



Design Document

CA SCR 202349 CIV SCR 102218 – Update the
Uncollectible Recovery Account Batch

CalACES	DOCUMENT APPROVAL HISTORY	
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1 OVERVIEW

This document describes the changes needed in the system to enhance the Uncollectible Recovery Account Batch.

1.1 Current Design

- 1) In the CalACES system the CalFresh Admin Error Recovery Accounts with an original balance of \$35 or less are marked Uncollectible with the status reason of "CF - \$125 or Less – AE".

1.2 Request

The Request is to:

- 1) Update the Uncollectible Recovery Account batch to mark the CalFresh Admin Error Recovery Accounts with an original balance of \$35 or less as 'Terminated' with the status reason of "CF - \$35 or Less – AE".

1.3 Overview of Recommendations

This system change request will:

- 1) Add the following Recovery Account Status Reasons:
 - a. CF - \$35 or Less - AE.
 - b. Policy Threshold Limit
- 2) Update the Uncollectible Recovery Account Batch to update the CalFresh recovery accounts with the cause code of Admin Error and original balance of \$35 or less as 'Terminated' with the status reason of "CF - \$35 or less - AE".
- 3) Update the Recovery Account Activation Batch to suppress the generation of overpayment NOAs for CalFresh Recovery Accounts with the cause code of Admin Error and an original balance of \$35.00 or less.

1.4 Assumptions

- 1) The system would continue to generate the CalWORKs Overpayment NOAs for the recovery accounts where the original balance is \$35.00 or less and recoup it if the person is currently aided.

2 RECOMMENDATIONS

2.1 Recovery Account Detail

2.1.1 Overview

This update is to add new Recovery Account Status Reasons in the system.

2.1.2 Description of Changes

- 1) Add a Recovery Account Status Reason of 'CF - \$35 or Less - AE'.
NOTE: This update is specific to LRS system as C-IV already has this status reason.
- 2) Add a Recovery Account Status Reason of 'Policy Threshold Limit'.
- 3) For CalFresh Recovery Accounts where the Original Balance is \$35 or less, enable the Users to manually set CalFresh Recovery Accounts to Status 'Uncollectible' with a Status Reason of 'CF - \$35 or less - AE', when the Cause Code is one of the following:
CalFresh - Admin Caused (After 3/2000)
CalFresh - Admin Caused (Prior to 3/2000)
NOTE: This update is specific to LRS system as C-IV already has this functionality.
- 4) Update the Recovery Account Detail page to display the 'Policy Threshold Limit' status reason in the drop down when the Terminated button is clicked.
- 5) Update the Code Hierarchy between 'Terminated' status and the following status reasons.
 - a. 'CF - \$35 or less – AE
 - b. 'CF - \$125 or Less – AE
 - c. Cash - Under \$35 - AE

2.1.3 Page Location

No Change.

2.1.4 Counties Impacted

All CalACES Counties.

2.1.5 Security Updates

No Change.

2.1.6 Page Mapping

No Change.

2.1.7 Programs Impacted

CalWORKs and CalFresh.

2.2 Uncollectible Recovery Account Batch

2.2.1 Overview

This enhancement is to update the Uncollectible Recovery Account Batch to update the CalFresh recovery accounts with the cause code of Admin Error and original balance of \$35 or less as 'Terminated' with the status reason of 'CF - \$35 or less - AE'. Also, this update will modify the batch to mark the recovery accounts where the original balance is less than the specified amount per policy as 'Terminated'. Currently, the batch marks these recovery accounts as 'Uncollectible'.

2.2.2 Description of Changes

- 1) Update the batch to track the recovery accounts with following statuses and not limited only to Active when sweeping across the system:
 - a. Pending Agreement
 - b. Suspended
- 2) Update the batch to find CalFresh Admin Error Recovery Accounts with an original balance of \$35 or less that have been set to 'Active', 'Pending Agreement' or 'Suspended' status during the batch day - and update the Status to 'Terminated' and Status Reason to 'CF - \$35 or less - AE', where the "Is this an ICT" indicator is 'No'.

NOTE: This update is specific to LRS system as C-IV already has this functionality.

- 3) Update the batch to not check for an active responsible party for a case associated to that responsible person for CalWORKs and CalFresh recovery accounts when the original balance is \$35.00 or less.
- 4) Update the batch to mark the recovery accounts as 'Terminated' where the original balance is less than the specified amount per policy. Currently, the batch marks these recovery accounts as 'Uncollectible'.

NOTE: This is for CalFresh and CalWORKs in C-IV, whereas, for CalACES system this applies to CalFresh, CalWORKs and CAPI.

- 5) Once the Status is updated to 'Terminated' as per above step, post a transaction with a Transaction Type of 'Terminated' with an amount equal to the outstanding balance on the recovery account so that the balance becomes zero.

- 6) Add a Journal Entry once the Status is updated to Terminated.

For CIV-
Category – Fiscal
Type – Fiscal

Message – The Recovery Account XXXXXXXX is Terminated by the System since the Outstanding Balance is less than the threshold defined for the program.

For LRS-

Category – Fiscal

Type – Recovery Account

Message - The Recovery Account XXXXXXXX is Terminated by the System since the Outstanding Balance is less than the threshold defined for the program.

NOTE – LRS will implement this change in 19.09 with CA-208681.

NOTE:

- 1) If running a EDBC results into an overpayment which is under the threshold, the user takes appropriate action to create the recovery account and save it. The recovery account at this moment is in 'Pending' status. If the user attempts to Activate the recovery account manually on the same day as the account was created, then the Uncollectible Batch will mark the recovery account as 'Terminated' the same night. However, If the user does not attempt to Activate the recovery account manually on the same day as the account was created, then also the Uncollectible Batch will mark the recovery account as 'Terminated' the same night.
- 2) If there exists an External Recovery Account which is in 'Pending' status the Uncollectible Recovery Account batch will not 'Terminate' it unless the user manually acts to Activate the external recovery account. Once Activated the Uncollectible Recovery Account batch will process it and mark it 'Terminated' the same night.

2.2.3 Execution Frequency

No Change.

2.2.4 Key Scheduling Dependencies

No Change.

2.2.5 Counties Impacted

All CalACES Counties.

2.2.6 Data Volume/Performance

No Change.

2.2.7 Failure Procedure/Operational Instructions

No Change.

2.2.8 Programs Impacted

CalWORKs and CalFresh.

2.3 Recovery Account Activation Batch (CalACES Only)

2.3.1 Overview

The Recovery Account Activation Batch sends Overpayment NOAs for Pending Recovery accounts and then the status for the recovery account is updated from 'Pending' to 'Pending Agreement'. Furthermore, the batch also activates the recovery accounts which are in 'Pending Agreement' status. This enhancement is to update batch to suppress the generation of overpayment NOAs for CalFresh Recovery Accounts with the cause code of Admin Error and an original balance of \$35.00 or less.

2.3.2 Description of Changes

- 1) Update the batch to suppress the generation of CalFresh Overpayment NOAs for CalFresh Recovery Accounts with the cause code of 'CalFresh - Admin Caused (after 3/2000)' or 'CalFresh - Admin Caused (prior to 3/2000)' and an original balance of \$35.00 or less.

2.3.3 Execution Frequency

No Change.

2.3.4 Key Scheduling Dependencies

No Change.

2.3.5 Counties Impacted

All CalACES Counties.

2.3.6 Data Volume/Performance

No Change.

2.3.7 Failure Procedure/Operational Instructions

No Change.

2.3.8 Programs Impacted

CalWORKs and CalFresh.

2.4 Data Change Request (CalACES Only)

A Data Cleanup activity will be done as part of this change request. Since go live the recovery accounts were marked as Uncollectible by the system and that being the reason these accounts appear on the CalFresh quarterly State FNS-209 – The Status of Claims Against Households report. As a part of the cleanup following actions will be taken:

- Find all the recovery accounts marked as Uncollectible by the system.
- For the records identified from the above step, post a transaction with a Transaction Type of 'Terminated' with an amount equal to the outstanding balance on the recovery account so that the balance becomes zero.
- Update the status of the recovery account to 'Terminated' and the status reason based on the following logic:
 - a. 'CF - \$35 or less - AE' when the original balance for the CalFresh Recovery account is \$35 or less and the cause code is 'CalFresh - Admin Caused (after 3/2000)' or 'CalFresh - Admin Caused (prior to 3/2000)'.
 - b. 'CF - \$125 or Less - AE' when the original balance for the CalFresh Recovery account is between \$36 and \$125 and the cause code is 'CalFresh - Admin Caused (after 3/2000)' or 'CalFresh - Admin Caused (prior to 3/2000)'.
 - c. 'Cash - Under \$35 - AE' when the original balance for the CalWORKs Recovery account is \$35 or less and the cause code is 'Cash - Admin Caused'.
 - d. 'Cash - Under \$35 - AE' when the original balance for the CAPI Recovery account is \$35 or less and the cause code is 'Cash - Admin Caused'.

3 SUPPORTING DOCUMENTS

Number	Functional Area	Description	Attachment

4 REQUIREMENTS

4.1 Project Requirements

REQ #	REQUIREMENT TEXT	How Requirement Met
2.11.2.12	The LRS shall discontinue collection of overpayments/overissuances once all outstanding overpayments/overissuances have been collected, there is an overpayment/overissuance claim status change to terminated, voided, or uncollectable, or the collection of the overpayment/overissuance has been deemed satisfied.	This Requirement is met by enhancing the Uncollectible Recovery Account Batch to update the CalFresh recovery accounts with the cause code of Admin Error and original balance of \$35 or less as 'Terminated' with the status reason of 'CF - \$35 or less - AE'.

4.2 Migration Requirements

DDID #	REQUIREMENT TEXT	How Requirement Met
N/A		

5 MIGRATION IMPACTS

Number	Functional Area	Description	Impact	Priority	Address Prior to Migration?
N/A					

6 OUTREACH

Provide a list to all Counties for the recovery accounts that are currently marked as Uncollectible in the System and have transactions posted to them which are not backed out.

7 APPENDIX


None.



Design Document

CA-203096/CIV-10287 –
Support Non-Compliance Reasons in
Request MAGI and MAGI NOAs
(CH-119408)

Version 1.6

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05/07/2019	1.6	Updated Technical Note in 2.1.7 to show MEDS Disc Code 59 for Refused Assign Supp Right	Renee

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1 OVERVIEW

The purpose of this document is to satisfy the functional specifications in support of changes within CalHEERS CR-119408. This SCR allows CalACES to communicate the following Non-Compliance Reasons to CalHEERS through eHIT.

- Failure to apply for/accept unconditionally available income
- Failure to provide the Veteran's information to complete the MC 05
- Failure to provide other health insurance information
- Failure to comply with Third Party Liability
- Failure to apply for Medicare
- Failure to provide 2.1Q (Child Support)
- Failure to provide or apply for SSN
- Failure to provide identity/name

When a person has one or more Non-Compliance(s) recorded in CalACES, the CalACES Worker sends an EDR with the Non-Compliance(s) to CalHEERS through eHIT. CalHEERS applies the Non-Compliance(s) and determines the person MAGI Denied or Discontinued. CalHEERS responds with a DER that includes the Non-Compliance reason(s) as Eligibility Evaluation Reason Codes (EERC). When the CalACES Worker runs EDBC, CalACES generates a NOA for the MAGI or Non-MAGI Medi-Cal Denial or Discontinuance that includes Non-Compliance language.

1.1 Current Design

The CalHEERS eHIT Interface does not have functionality for CalACES to send Non-Compliance reason(s) to CalHEERS. Therefore, CalACES Workers use Negative Actions or Failed Admin Verifications to Deny or Discontinue a person from MAGI Medi-Cal but the Negative Action reason may not be accurate or specific enough to generate the appropriate language on a NOA or send the proper Termination reason to MEDS. The Non-Compliance language is not populated on MAGI Medi-Cal Denial/Discontinuance NOAs.

CalACES Non-MAGI Medi-Cal EDBC evaluates a person as Non-Compliant if a person's Non-Compliance record is effective on the last day of the EDBC benefit month.

CalACES generates Non-MAGI Medi-Cal Discontinuance NOAs for some Non-Compliances, but the 90-day cure language does not appear on the NOA.

The Non-Compliance Reason "Apply/Accept VA - Sign CA5" is missing reference to the "MC05"

CalACES has validation to prevent sending an EDR when the Primary Applicant has not proved their identity.

CalACES-C-IV does not have "Third Party Liability" Non-Compliance reason.

CalACES Non-MAGI Medi-Cal EDBC can close a person for multiple Role/Status Reasons, but only one Role/Status Reason displays on CalACES online pages based on the Role/Status Reason with the highest pre-determined "MC Priority". The "MC Priority" should be unique for each Role/Status Reason; however, CalACES-LRS has both "FTP Third Party Liability" and "FTP Income" Status Reasons with the same "MC Priority".

CalACES-C-IV captures all Non-Compliances in the "Eligibility Non-Compliance Detail" page, while CalACES-LRS captures Non-Compliances in three places:

- "Eligibility Non-Compliance Detail" Page
- Verification records for "SSN" and "Name/Identity"
- Absent Parent page for "Failure to provide 2.1Q (Child Support)"

CalACES-LRS does not have "SSN" or "Name/Identity" Non-Compliance reasons in the "Eligibility Non-Compliance Detail" page and Non-MAGI Medi-Cal EDBC evaluates a person as Non-Compliant for these reasons based on "SSN" or "Name/Identity" Verification records.

CalACES-LRS Non-MAGI Medi-Cal Denial/Discontinuance NOAs do not generate for below Non-Compliance reasons.

- Apply/Accept DIB
- Apply/Accept Military Benefits
- Apply/Accept Retirement
- Apply/Accept SDI
- Apply/Accept UIB
- Apply/Accept VA - Sign CA5
- Apply/Accept Work. Comp
- Apply for Medicare

CalACES-LRS has a Non-MAGI Medi-Cal Non-Compliance batch that will Deny/Discontinue a person with outstanding Verifications for "SSN".

1.2 Requests

1. Update CalACES to communicate Non-Compliance reason(s) to CalHEERS.
2. Update CalACES to generate a MAGI Medi-Cal Denial/Discontinuance Notice of Action when a person is determined Ineligible or Discontinued from MAGI Medi-Cal due to Non-Compliance(s) using the current Non-MAGI Medi-Cal Non-Compliance language.
3. Update CalACES Medi-Cal EDBC to determine a person Non-Compliant for Non-MAGI Medi-Cal if the person's Non-Compliance is effective for the whole benefit month.
4. Update CalACES Medi-Cal MAGI-only EDBC to close a person for Non-Compliance-specific Role/Status Reason if person is Ineligible or Discontinued from MAGI due to Non-Compliance.
5. Update CalACES-LRS to generate a Non-MAGI Medi-Cal Denial/Discontinuance NOA when a person is closed due to below Non-Compliance Reason(s).
 - Apply/Accept DIB
 - Apply/Accept Military Benefits
 - Apply/Accept Retirement
 - Apply/Accept SDI
 - Apply/Accept UIB
 - Apply/Accept VA - Sign CA5
 - Apply/Accept Work. Comp
 - Apply for Medicare
6. Update CalACES to add a Batch EDBC skip reason so Batch EDBC will not use a DER with a Non-Compliance if the Non-Compliance was not requested by the Worker.
7. Update CalACES-LRS to add a new batch job to create Non-Compliance records when the SSN or Name/Identity verification is overdue.
8. Update CalACES-LRS to modify the existing MC SSN verification due Batch EDBC sweep job to trigger Batch EDBC when the MC participant has a Procedural Requirement Non-Compliance with the reason of 'SSN'.

1.3 Overview of Recommendations

1. Update CalACES to send the following Non-Compliance reason(s) to CalHEERS in the EDR and display the Eligibility Evaluation Reason Codes received in the DER for the same:
 - Failure to apply for/accept unconditionally available income
 - Failure to provide the Veteran's information to complete the MC 05
 - Failure to provide other health insurance information
 - Failure to comply with Third Party Liability
 - Failure to apply for Medicare
 - Failure to provide 2.1Q (Child Support)
 - Failure to provide or apply for SSN
 - Failure to provide identity/name
2. Update CalACES to send CalHEERS Non-Compliance reasons through eHIT in an EDR based on the following mappings:

CalACES		CalHEERS
Non-Compliance Type	Non-Compliance Reason	MAGI Non-Compliance Description
Unconditionally Available Income	Apply/Accept DIB	Failure to apply for/accept unconditionally available income
	Apply/Accept Military Benefits	
	Apply/Accept Retirement	
	Apply/Accept SDI	
	Apply/Accept UIB	
	Apply/Accept VA - Sign CA5	
	Apply/Accept Work. Comp	
Failure to Provide	Other Health Care Coverage	Failure to provide other health insurance information
	Third Party Liability	Failure to comply with Third Party Liability
	Name/Identity	Failure to provide identity/name
Procedural Requirement	Apply for Medicare	Failure to apply for Medicare
	SSN	Failure to provide or apply for SSN

CalACES – C-IV only		CalHEERS
Non-Compliance Type	Non-Compliance Reason	MAGI Non-Compliance Description
Child/Medical Support	Child/Medical Support Non Co-op	Failure to provide 2.1Q (Child Support)
	Assign Support Rights	
CalACES – LRS only		CalHEERS
Absent Parent page	Type	MAGI Non-Compliance Description
Child Support Cooperation	Do not agree to cooperate	Failure to provide 2.1Q (Child Support)
Assign Medical Support Rights	I refuse to assign medical support rights	

3. Update CalACES Medi-Cal EDBC to close a MAGI Medi-Cal person with the Non-Compliance-specific Role/Status reason instead of the generic "Failed MAGI" Role/Status reason. This will allow the existing MEDS batch jobs to send a more specific Termination reason to MEDS.
4. Update CalACES to generate a MAGI Medi-Cal Denial/Discontinuance NOA when a person is determined MAGI Denied or Discontinued due to Non-Compliance(s). Include the 90-day cure language for Discontinuances.
5. Update CalACES Medi-Cal EDBC to determine a person Non-Compliant for Non-MAGI Medi-Cal if the person's Non-Compliance record is effective for the whole benefit month.
6. Update CalACES Non-Compliance Reason "Apply/Accept VA - Sign CA5" to read "Apply/Accept VA - Sign CA5/MC05"
7. Update the MAGI Pages to display the Non-Compliance reasons sent through eHIT in an EDR or received in a DER.
8. Update CalACES-LRS "FTP Third Party Liability" and "FTP Income" Role/Status Reasons to have unique "MC Priority".
9. Update CalACES-LRS to have "SSN" and "Name/Identity" Non-Compliance reasons in the "Eligibility Non-Compliance Detail" page.
10. Update CalACES-LRS Non-MAGI Medi-Cal EDBC to evaluate a person as Non-Compliant for "SSN" or "Name/Identity" based on the records in the "Eligibility Non-Compliance Detail" page instead of the pending overdue Verification records.
11. Update CalACES-C-IV to have "Third Party Liability" Non-Compliance reason in the "Eligibility Non-Compliance Detail" page.

12. Update CalACES-LRS Non-MAGI Medi-Cal Denial/Discontinuance NOAs to generate for the following Non-Compliance reasons:
 - Apply/Accept DIB
 - Apply/Accept Military Benefits
 - Apply/Accept Retirement
 - Apply/Accept SDI
 - Apply/Accept UIB
 - Apply/Accept VA - Sign CA5/MC05
 - Apply/Accept Work. Comp
 - Apply for Medicare
13. Update CalACES to prevent a MAGI Medi-Cal person from being Denied or Discontinued based on a Referral or Determination Change with a Non-Compliance reason(s).
14. Update CalACES-C-IV Medi-Cal EDBC to close a person for Role/Status Reason "FTP Third Party Liability".
15. Update CalACES to add a skip reason to Batch EDBC to not use a DER with a Non-Compliance if it was not requested by the Worker when running Batch EDBC for a Medi-Cal program.
16. Update CalACES-LRS to add a new batch job to create Non-Compliance records when the SSN or Name/Identity verification is overdue.
17. Update CalACES-LRS to modify the existing MC SSN verification due Batch EDBC sweep job to trigger Batch EDBC when the MC participant has a Procedural Requirement Non-Compliance with the reason of 'SSN'.

1.4 Assumptions

1. CalACES will use the existing Non-MAGI Medi-Cal Non-Compliance NOA language for the MAGI Medi-Cal Denial/Discontinuance NOAs due to Non-Compliance(s) unless otherwise described in this document.
2. This SCR does not apply to County Medical Services Program (CMSP) or Medicare Savings Program (MSP) recipients; there are no changes to CMSP or MSP Non-Compliance rules.

2 RECOMMENDATIONS

2.1 Eligibility

1. Update the Non-MAGI Medi-Cal EDBC rules to evaluate a person as “Non-Compliant” for a Non-MAGI Medi-Cal program if the person’s Medi-Cal Non-Compliance is effective for the whole EDBC benefit month. A person is Non-Compliant only when a single Non-Compliance record spans the whole benefit month. This update does not apply to CMSP or MSP.
2. Update Medi-Cal EDBC rules to display the following validation on Run EDBC and Negative Action pages if the MAGI Determination used by EDBC has one or more Non-Compliance EERC(s) listed in the recommendation 2.3.2 for at least one Active or Pending Member on the Medi-Cal Program and does not have an associated EDR with the same Non-Compliance(s) per person.

Validation Message:

Medi-Cal - EDBC cannot be run for this program. At least one Pending or Active Member in the MAGI determination has a Non-Compliance not requested by a Worker. Request a new MAGI Determination.

3. **CalACES-LRS:** Update the Non-MAGI Medi-Cal EDBC rules to evaluate the person as “Non-Compliant” based on the Non-Compliance records instead of the Verification records of “SSN” or “Name/Identity” and apply the corresponding Role/Status Reason as follows:

Program	Non-Compliance Type	Non-Compliance Reason	Role/Status Reason
Medi-Cal	Procedural Requirement	SSN	SSN Enumeration
Medi-Cal	Failure to Provide	Name/Identity	FTP Name/Identity

Technical Note: Update the SSN Verification Type for the Medi-Cal program to no longer use the Mandatory LRS Verification logic (CT_170_02).

4. **CalACES-LRS:** Update the MC Priority for “FTP Income” Status Reason (CT_73) to be higher than the MC Priority for “FTP Third Party Liability” Status Reason.
5. **CalACES-C-IV:** Add the following Status Reason (CT_73) with the same MC Priority as CalACES-LRS from #4 above.

Code	Status Reason
TP	FTP Third Party Liability

6. **CalACES-C-IV:** Update the Non-MAGI Medi-Cal EDBC rules to Deny/Discontinue a person for the Medi-Cal program with “FTP Third Party Liability” Status Reason if the person is Non-Compliant due to the “Failure to Provide - Third Party Liability” Non-Compliance.

7. Update Medi-Cal EDBC MAGI-Only Mode rules to close a person for the Non-Compliance-specific Role/Status Reason (instead of "Failed MAGI") when the criteria in the following table is met in the DER used by EDBC and its corresponding EDR. Use the existing MC Priority of the Non-Compliance to display the Role/Status Reason on the CalACES online pages. If both a Negative Action and Non-Compliance apply, Negative Action is higher priority over Non-Compliance.

Note: The existing MAGI-Only Mode functionality to close a Medi-Cal program person with program status of "Denied" or "Discontinued" remains unchanged. If the Non-Compliance is cured, the worker should rescind or reapply the person, request MAGI to remove the Non-Compliance and then run EDBC.

DER used by MAGI-Only Medi-Cal EDBC		CalACES Non-Compliance (In the associated EDR)	MAGI-Only Mode EDBC closure Role/Status Reason
EERC	Person MAGI Status		
Failure to apply for/accept unconditionally available income	Ineligible or Discontinue	Apply/Accept DIB	Refused DIB
Failure to apply for/accept unconditionally available income	Ineligible or Discontinue	Apply/Accept Military Benefits	Refused Military Ben
Failure to apply for/accept unconditionally available income	Ineligible or Discontinue	Apply/Accept Retirement	Refused Retirement
Failure to apply for/accept unconditionally available income	Ineligible or Discontinue	Apply/Accept SDI	Refused SDI
Failure to apply for/accept unconditionally available income	Ineligible or Discontinue	Apply/Accept UIB	Refused UIB
Failure to apply for/accept unconditionally available income	Ineligible or Discontinue	Apply/Accept VA - Sign CA5	Refused VA
Failure to apply for/accept unconditionally available income	Ineligible or Discontinue	Apply/Accept Work. Comp	Refused Wkr Cmp
Failure to provide Other Health Insurance information	Ineligible or Discontinue	Other Health Care Coverage	FTP Other Health Care
Failure to comply with Third Party Liability	Ineligible or Discontinue	Third Party Liability	FTP Third Party Liability
Failure to apply for Medicare	Ineligible or Discontinue	Apply for Medicare	Didn't Apply Medicare
Failure to provide or apply for SSN	Ineligible or Discontinue	SSN	SSN Enumeration
Failure to provide identity/name	Ineligible or Discontinue	Name/Identity	FTP Name/Identity

CalACES-C-IV Only			
DER used by MAGI-Only Medi-Cal EDBC		CalACES Non-Compliance (In the associated EDR)	MAGI-Only EDBC closure Role/Status Reason
EERC	Person MAGI Status		
Failure to provide 2.1Q (Child Support)	Ineligible or Discontinue	Child/Medical Support Non Co-op	Non Co-Op Chld/Med Supp
Failure to provide 2.1Q (Child Support)	Ineligible or Discontinue	Assign Support Rights	Refused Assign Supp Right
CalACES-LRS Only			
DER used by MAGI-Only Medi-Cal EDBC		CalACES Non-Compliance (In the associated EDR)	MAGI-Only EDBC closure Role/Status Reason
EERC	Person MAGI Status		
Failure to provide 2.1Q (Child Support)	Ineligible or Discontinue	Child Support Cooperation - Do not agree to cooperate	Non Co-Op Chld/Med Supp
Failure to provide 2.1Q (Child Support)	Ineligible or Discontinue	Assign Medical Support Rights - I refuse to assign medical support rights	Refused Assign Supp Right

Technical Note: The existing MEDS batch jobs send the Termination reason to MEDS based on existing CalACES/MEDS closure reason mappings noted in the table below. This SCR makes no updates to existing MEDS batch jobs or CalACES/MEDS closure reason mappings. This SCR updates MAGI-only Mode EDBC to close for a more specific reason (instead of "Failed MAGI") which allows the existing MEDS batch jobs to send the more specific Termination reason to MEDS based on the existing CalACES/MEDS closure reason mappings noted below.

CalACES Closure Reason	MEDS Disc Code-32	MEDS Denial Code-33
Refused DIB, Refused Military Ben, Refused Retirement, Refused SDI, Refused UIB, Refused VA, Refused Wkr Cmp, FTP Third Party Liability, SSN Enumeration, FTP Name/Identity	61	E
FTP Other Health Care, Didn't Apply Medicare, Non Co-Op Chld/Med Supp	04	E
Refused Assign Supp Right	59	E

MEDS Code Descriptions

04 – Failure to Cooperate, Medi-Cal Only

59 – Other than 50-70

61 – Refused to provide essential information (non-CA7)

E – Failure to Cooperate

2.2 Online

1. Update the "MAGI Person Detail" Page to display Non-Compliance(s) sent in the EDR and received in the DER.
 - a. Add a new section named "Non-Compliances" after the "Citizenship Documents" section.

The mockup shows a web form titled "MAGI Person Detail". At the top right are buttons for "View Differences" and "Close". The form is divided into several sections with blue headers:

- Name**: Fields for Last Name (Barney), First Name (Grace), Middle Name, Maiden Name, and Suffix.
- Date of Death**: A text field.
- Optional Sexual Orientation and Gender Identity (SOGI) Information**: A section header with a dropdown arrow.
- Citizenship Documents**: A section header with a dropdown arrow.
- Non-Compliances**: A section header with a dropdown arrow. Below it are two entries: "Failure to apply for/accept unconditionally available income" and "Failure to apply for Medicare".
- Additional Information**: A section header with a dropdown arrow. Below it are two fields: "Applying for Health Coverage:" and "Full MC Determination Requested:". The "Applying for Health Coverage:" field has a dropdown menu with "Entitled To Medicare" selected. The "Full MC Determination Requested:" field has a dropdown menu with "Yes" selected.

At the bottom right are buttons for "View Differences" and "Close".

MAGI Person Detail page with new Non-Compliances section mock up

- b. Display each Non-Compliance sent/received for a person on a separate line.
 - c. Update Page Mapping.

2. Update the "MAGI Request Detail" page to display "Yes" when the EDR contains a Non-Compliance.
 - a. Add a new column named, "Non-Compliance" to the right of "CIN" in the "Case Members included in the Request" section.

MAGI Request Detail

Close

MAGI Case Number:

CH00005544

MAGI Case Name:

CH - Grace Barney

Request ID:

6150216

C-IV Case Number:

[0005544](#)

C-IV Case Name:

Grace Barney

Origination:

Type:

Eligibility Determination Request

Status:

Complete

Program:

Medi-Cal

Run Reason:

Continuing

Benefit Month:

10/01/2018

Application

Case Members included in the Request

Name	MEDS PN	DOB	SSN	CIN	Non-Compliance
Grace Barney	02	01/20/1927	474-64-8374	30600008A	Yes
Jason Jones	05	07/12/1954		666972409	
Harrold Barney	01	07/02/1965	454-54-5522	30700301A	Yes
Baby Ted Barney	03	01/24/2006	699-87-8782	30600003A	Yes
Baby Bop Barney	04	02/29/2000	699-87-8785	30800030C	

View Associations

MAGI Request Detail page with new "Non-Compliance" column mock up

- b. Display "Yes" if one or more Non-Compliances exist in the EDR for the person.
- c. Display blank if Non-Compliances do not exist for the person.
- d. **CalACES-C-IV:** Update Page Mapping.

3. Rename the Non-Compliance Reason “Apply/Accept VA - Sign CA5” to “Apply/Accept VA - Sign CA5/MC05” for the Non-Compliance Type “Unconditionally Available Income” in the “Eligibility Non-Compliance Detail” page.

The mockup shows a form titled "Eligibility Non-Compliance Detail". At the top right are three buttons: "Save and Add Another", "Save and Return", and "Cancel". Below the title is a red asterisk icon followed by the text "Indicates required fields". The form fields are as follows: "Program:" is set to "Medi-Cal"; "Name:" is a dropdown menu with "- Select -" selected; "Type:" is a dropdown menu with "Unconditionally Available Income" selected; "Reason:" is a dropdown menu with "Apply/Accept VA - Sign CA5/MC05" selected; "Begin Date:" and "End Date:" are date input fields with calendar icons. At the bottom right are three buttons: "Save and Add Another", "Save and Return", and "Cancel".

Eligibility Non-Compliance Detail page mock up for Apply/Accept VA – Sign CA5/MC05

4. **CalACES – C-IV:** Add the Non-Compliance Reason (CT_365) “Third Party Liability” for the Non-Compliance Type “Failure to Provide”, Program “Medi-Cal” in the “Eligibility Non-Compliance Detail” page.

The mockup shows a form titled "Eligibility Non-Compliance Detail". At the top right are three buttons: "Save and Add Another", "Save and Return", and "Cancel". Below the title is a red asterisk icon followed by the text "Indicates required fields". The form fields are as follows: "Program:" is set to "Medi-Cal"; "Name:" is a dropdown menu with "- Select -" selected; "Type:" is a dropdown menu with "Failure to Provide" selected; "Reason:" is a dropdown menu with "Third Party Liability" selected; "Begin Date:" and "End Date:" are date input fields with calendar icons. At the bottom right are three buttons: "Save and Add Another", "Save and Return", and "Cancel".

Eligibility Non-Compliance Detail page mock up for Third Party Liability

5. **CalACES – LRS:** Add the Non-Compliance Reason (CT_365) “SSN” Non-for the Non-Compliance type “Procedural Requirement”, Program “Medi-Cal”.

The mockup shows a form titled "Eligibility Non-Compliance Detail". At the top left, a red asterisk icon is followed by the text "Indicates required fields". At the top right, there are two blue buttons: "Save and Add Another" and "Save". The form fields are as follows: "Program:" is a text field with "Medi-Cal" entered; "Name:" is a dropdown menu with "- Select -" selected; "Type:" is a dropdown menu with "Procedural Requirement" selected; "Reason:" is a dropdown menu with "SSN" selected; "Begin Date:" and "End Date:" are date pickers with calendar icons.

Eligibility Non-Compliance Detail page mock up for SSN

6. **CalACES – LRS:** Add the Non-Compliance Reason (CT_365) “Name/Identity” for the Non-Compliance type “Failure to Provide”, Program “Medi-Cal”.

The mockup shows a form titled "Eligibility Non-Compliance Detail". At the top left, a red asterisk icon is followed by the text "Indicates required fields". At the top right, there are two blue buttons: "Save and Add Another" and "Save". The form fields are as follows: "Program:" is a text field with "Medi-Cal" entered; "Name:" is a dropdown menu with "- Select -" selected; "Type:" is a dropdown menu with "Failure to Provide" selected; "Reason:" is a dropdown menu with "Name/Identity" selected; "Begin Date:" and "End Date:" are date pickers with calendar icons.

Eligibility Non-Compliance Detail page mock up for Name/Identity

2.3 eHIT

1. Create a new Code Category for MAGI Non-Compliance Reasons with the following MAGI Non-Compliance Reason codes and descriptions.

Code	Description
VB	Failure to apply for/accept unconditionally available income
FH	Failure to provide other health insurance information
FV	Failure to provide Veteran's information for MC 05
FT	Failure to comply with Third Party Liability
FM	Failure to apply for Medicare
FQ	Failure to provide 2.1Q (Child Support)
PN	Failure to provide or apply for SSN
FN	Failure to provide identity/name

2. Add the following Eligibility Evaluation Reason Codes to the Codes table (CT_466).

Code	Eligibility Evaluation Reason
WS	Failure to apply for/accept unconditionally available income
VI	Failure to provide Veteran's information for MC 05
HI	Failure to provide Other Health Insurance information
TP	Failure to comply with Third Party Liability
AM	Failure to apply for Medicare
CS	Failure to provide 2.1Q (Child Support)
FS	Failure to provide or apply for SSN
NG	Failure to provide identity/name

The new EERCs will display on the following pages when applicable.

- MAGI Determination Summary
- MAGI Determination Detail
- MAGI Referral Detail

MAGI Determination Summary					
Close					
Request ID: 6150216		Benefit Month: 10/2018		Date Run: 09/05/2018	
Household Eligibility: Could Not Determine		Run Reason: Continuing		Requested by C-IV Batch: No	
Name	Primary Aid Code	Status	Eligibility Evaluation Reasons	Negative Action Reason	Carry Forward Status
Barney, Harrold		Ineligible	Failure to apply for Medicare		
Barney, Grace	M3	Ineligible	Failure to apply for/accept unconditionally available income, Failure to comply with Third Party Liability		
Barney, Baby Ted		Eligible			
Barney, Baby Bop	P5	Eligible			
Jones, Jason		Pending Eligible			

Example: MAGI Determination Summary page with Non-Compliance EERCs

- Update CalACES outbound mappings for CalACES Non-Compliance Reason Codes (CT_365) to MAGI Non-Compliance Reason codes added in recommendation 2.3.1 as shown in below table.

CalACES		Mapping to CalHEERS	
Non-Compliance Type	Non-Compliance Reason	MAGI Non-Compliance Reason Code	MAGI Non-Compliance Description
Unconditionally Available Income	Apply/Accept DIB	VB	Failure to apply for/accept unconditionally available income
Unconditionally Available Income	Apply/Accept Military Benefits	VB	Failure to apply for/accept unconditionally available income
Unconditionally Available Income	Apply/Accept Retirement	VB	Failure to apply for/accept unconditionally available income
Unconditionally Available Income	Apply/Accept SDI	VB	Failure to apply for/accept unconditionally available income

CalACES		Mapping to CalHEERS	
Non-Compliance Type	Non-Compliance Reason	MAGI Non-Compliance Reason Code	MAGI Non-Compliance Description
Unconditionally Available Income	Apply/Accept UIB	VB	Failure to apply for/accept unconditionally available income
Unconditionally Available Income	Apply/Accept VA - Sign CA5/MC05	VB	Failure to apply for/accept unconditionally available income
Unconditionally Available Income	Apply/Accept Work. Comp	VB	Failure to apply for/accept unconditionally available income
Failure to Provide	Other Health Care Coverage	FH	Failure to provide other health insurance information
Failure to Provide	Third Party Liability	FT	Failure to comply with Third Party Liability
Procedural Requirement	Apply for Medicare	FM	Failure to apply for Medicare
Procedural Requirement	SSN	PN	Failure to provide or apply for SSN
Failure to Provide	Name/Identity	FN	Failure to provide identity/name
CalACES-C-IV only		Mapping to CalHEERS	
Non-Compliance Type	Non-Compliance Reason	MAGI Non-Compliance Reason Code	MAGI Non-Compliance Description
Child/Medical Support	Child/Medical Support Non Co-op	FQ	Failure to provide 2.1Q (Child Support)
Child/Medical Support	Assign Support Rights	FQ	Failure to provide 2.1Q (Child Support)

Note: "FV - Failure to provide Veteran's information for MC 05" MAGI Non-Compliance reason is not mapped to any CalACES Non-Compliance reason. CalACES will never send this MAGI Non-Compliance Reason in the EDR but will display on the MAGI Person Detail page if CalHEERS sends this MAGI Non-Compliance Reason in the DER.

4. **CalACES – LRS:** Update CalACES-LRS outbound mapping to send “FQ” MAGI Non-Compliance code in an EDR when the following values are selected in the “Absent Parent” page.

Section	Type	Mapping to CalHEERS	
		MAGI Non-Compliance Reason Code	MAGI Non-Compliance Description
Child Support Cooperation	Do not agree to cooperate	FQ	Failure to provide 2.1Q (Child Support)
Assign Medical Support Rights	I refuse to assign medical support rights	FQ	Failure to provide 2.1Q (Child Support)

5. Update eHIT outbound logic to:
- Send the associated MAGI Non-Compliance(s) in the EDR based on the mappings in 2.3.3 above when all the following are true:
 - The Non-Compliance is for the Medi-Cal program.
 - The Non-Compliance record is effective for the whole EDR benefit month. A person is Non-Compliant only if there exist a single Non-Compliance record that span the whole EDR benefit month.
 - CalACES-LRS:** Send “FQ” MAGI Non-Compliance in the EDR based on the mappings in 2.3.4 above when the following is true:
 - The “Child Support Cooperation-Do not agree to cooperate” and/or “Assign Medical Support Rights-I refuse to assign medical support rights” record is effective for the whole EDR benefit month. A person is Non-Compliant only if there exist a single Non-Compliance record that span the whole EDR benefit month.
 - Save both the Non-Compliance/Child Support Cooperation/Assign Medical Support Rights reason code and the MAGI Non-Compliance reason code in the EDR record.

Technical Note: There is a many-to-one relationship between some CalACES Non-Compliances and MAGI Non-Compliances. Saving the CalACES Non-Compliance reason code is required to generate the appropriate Non-Compliance NOA fragment.

Also, multiple unique MAGI Non-Compliance reasons can be sent in an EDR; however, the same MAGI Non-Compliance reason should not be duplicated in the EDR when multiple CalACES Non-Compliances exist that map to the same MAGI Non-Compliance.

For Example: A person has CalACES Non-Compliance records that cover the whole EDR benefit month for:

Unconditionally Available Income	Apply/Accept VA - Sign CA5/MC05	VB	Failure to apply for/accept unconditionally available income
Unconditionally Available Income	Apply/Accept Work. Comp	VB	Failure to apply for/accept unconditionally available income
Failure to Provide	Other Health Care Coverage	FH	Failure to provide other health insurance information

The MAGI Non-Compliances sent in the EDR should be "VB" and "FH".

- Update eHIT inbound logic to save the MAGI Non-Compliance(s) received in DER.

2.4 Batch

2.4.1 Add a Non-Compliance skip reason to Batch EDBC

2.4.1.1 Overview

Add a new skip reason to Batch EDBC if the MAGI Determination used by MC EDBC has one or more Non-Compliance EERC(s) listed in the recommendation 2.3.2 for at least one Active or Pending Member on the Medi-Cal Program and does not have an associated EDR with the same Non-Compliance(s) per person.

2.4.1.2 Description of Change

1. Create a CTCR to add the new Batch EDBC skip reason for the new Non-Compliance skip.

Batch EDBC Skip reason	Description
New/Update	<i>New</i>
Category Id	<i>707</i>
Short Decode Name	<i>MAGI Determination has a Non-Compliance not requested by Worker.</i>
Long Decode Name	<i>At least one Pending or Active Member in the MAGI determination has a Non-Compliance not requested by a Worker. Request a new MAGI Determination.</i>
Begin date	<i>Default System Min Date / 01-JAN-00 00:00:00</i>
End date	<i>Default System High Date / 31-DEC-99 00:00:00</i>

2. Update Batch EDBC skip logic to skip cases that use a DER with a Non-Compliance not requested by CalACES when running Batch EDBC for a Medi-Cal program.
 - a. **Skip Criteria:** The MAGI Determination used by EDBC has one or more Non-Compliance EERC(s) listed in the recommendation 2.3.2 for at least one Active or Pending Member on the Medi-Cal Program and does not have an associated EDR with the same Non-Compliance(s) per person.

Technical Note: This new Non-Compliance Batch EDBC skip logic is the same validation logic as when worker runs EDBC online as described in Recommendation 2.1.2.

2.4.2 CalACES-LRS: Create SSN and/or Name/Identity Non-Compliance records

2.4.2.1 Overview

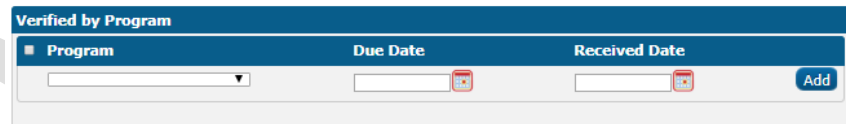
CalACES-LRS does not automate the creation of Non-Compliance records for overdue SSN or Name/Identity verifications. This SCR will add a new batch job to create Non-Compliance records for MC participants when the SSN or Name/Identity verification is overdue.

2.4.2.2 Description of Change

1. Add a new Non-Compliance batch job that will identify Program Persons when all the following conditions are met:
 - a. Program Code is MC
 - b. Program status is Active
 - c. Program Person status is Active or Pending
 - d. Verification type is either 'SSN' or 'Name/Identity'
 - e. Verification status is 'Pending' or 'Refused'
 - f. Verification Due date is between the last success batch run date and the current batch run date OR,
 - g. The SSN verification has an extension in which the latest extension end date is greater than the verification due date. Batch will trigger when the latest extension end date is between the last success batch run date and the current batch run date.
 - h. The SSN or Name/Identity verification must not be verified already by the MC program.

Note: Verified by Program information is displayed at the bottom of Verification Detail page.

Sample:



Verified by Program		
Program	Due Date	Received Date
<input type="text"/>	<input type="text"/>	<input type="text"/>

- i. There does not already exist a Non-Compliance record with all the below details:
 1. The Non-Compliance has the same reason to potentially be created.
 2. Non-Compliance begin date is before or on the current batch date.
 3. Non-Compliance end date is on or after the current batch date.
2. Create a Non-Compliance record for the identified persons with details as specified below:
 - a. If the pending verification type is 'SSN' then the new batch will create a Non-Compliance with the below details:
 - i. Type of 'Procedural Requirement'.
 - ii. Reason of 'SSN'.
 - iii. Non-Compliance Name of Participant that is pending or refused for verification.
 - iv. Non-Compliance Begin Date will be the current batch date.
 - v. Non-Compliance End Date will be the high date (12/31/9999).

1. If there exists a future-dated Non-Compliance record, create a new record with the current batch date as the begin date, and the day prior to the existing record's begin date as the new record's end date.
 2. If there are more than one future-dated Non-Compliance records, create a new record with the current batch date as the begin date, and the day prior to the closest existing future-dated record's begin date as the new record's end date.
- b. If the pending verification type is 'Name/Identity' then the new batch will create Non-Compliance with below details.
 - i. Type of 'Failure to Provide'.
 - ii. Reason of 'Name/Identity'.
 - iii. Non-Compliance Name of Participant that is pending or refused for verification.
 - iv. Non-Compliance Begin Date of current batch date.
 - v. Non-Compliance End Date will be the high date (12/31/9999).
 1. If there exists a future-dated Non-Compliance record, create a new record with the current batch date as the begin date, and the day prior to the existing future-dated record's begin date as the new record's end date.
 2. If there are more than one future-dated Non-Compliance records, create a new record with the current batch date as the begin date, and the day prior to the closest existing future-dated record's begin date as the new record's end date.
3. Add a batch property to allow running the batch job per county; currently, the batch job will run only for LA county.

2.4.2.3 Execution Frequency

Daily except Sunday and Holidays.

2.4.2.4 Key Scheduling Dependencies

The new batch will run before the existing MC SSN Verification Due Batch EDBC sweep job (PB00E176).

2.4.2.5 Counties Impacted

Los Angeles County only.

2.4.2.6 Data Volume/Performance

N/A.

2.4.2.7 Failure Procedure/Operational Instructions

Batch Support Operations staff will evaluate transmission errors and failures and determine the appropriate resolution (i.e., manually retrieving the file from the directory and contacting the external partner if there is an account or password issue, etc...).

2.4.3 CalACES-LRS: Update existing MC SSN Verification Due Batch EDBC sweep job

2.4.3.1 Overview

The existing CalACES-LRS MC SSN Verification Due Batch EDBC sweep job (PB00E176) triggers Batch EDBC when the SSN verification is overdue. This SCR will update the batch job to trigger Batch EDBC when a MC participant has a Non-Compliance type of Procedural Requirement with the Non-Compliance Reason of 'SSN'. Additionally, the journal entry will be modified from 'Batch EDBC for MC SSN Verifications' to 'SSN Non-Compliance'.

2.4.3.2 Description of Change

1. Modify the existing journal entry of the MC SSN Verification Due Batch EDBC sweep job with below updated information.

Journal Entry	Description
New/Update	<i>Update</i>
Category Id	942
Code Num Identif	<i>MV</i>
Short Description	<i>Batch EDBC ran for <Month Year>.</i>
Long Description	<i>Batch EDBC ran for <Month Year>. Batch EDBC processed for the MC program for following reasons: SSN Non-Compliance.</i>
Trigger Condition	<i>When MC participant has a Procedural Requirement Non-Compliance with the reason of 'SSN'.</i>

2. Update the existing MC SSN verification due batch job (PB00E176) to trigger Batch EDBC when all the following are met:
 - a. Program Code is MC
 - b. Program status is Active
 - c. Program Person status is Active
 - d. Participant has a Non-Compliance type of Procedural Requirement and the Non-Compliance Reason of 'SSN'.
 - e. The Non-Compliance Begin date is before or on the same date as the benefit month and End date is on or after the last day of the benefit month.
3. Add a batch property to allow running the batch job per county; currently, the batch job will run only for LA county.

2.4.3.3 Execution Frequency

No Change. Monthly on Batch 10-day cut off.

2.4.3.4 Key Scheduling Dependencies

No Change.

2.4.3.5 Counties Impacted

Los Angeles County only.

2.4.3.6 Data Volume/Performance

No Change.

2.4.3.7 Failure Procedure/Operational Instructions

No Change. Batch Support Operations staff will evaluate transmission errors and failures and determine the appropriate resolution (i.e., manually retrieving the file from the directory and contacting the external partner if there is an account or password issue, etc...).

2.5 Correspondence

Attachments in Section 4 have the Correspondence design updates for CalACES-LRS and CalACES-C-IV.

2.6 Automated Regression Test

2.6.1 Overview

New regression test scripts will be created to verify the sending of Non-Compliance reasons through the eHIT interface during (and only during) the applicable period.

2.6.2 Description of Changes

Create new regression test scripts to cover each of the following scenarios.

Scenario 1: Non-Compliance at Intake

Create a new Medi-Cal case with at least three applicants, where all would be MAGI eligible. Add a Non-Compliance for one of the applicants in the BDA month and request a MAGI determination. Verify that the person is made Ineligible / Denied, and that the appropriate Non-Compliance and EERC details display on the MAGI Request Detail, MAGI Person Detail, and MAGI Determination Summary pages.

Repeat case setup with each of the following Non-Compliance types:

- Failure to apply for or accept unconditionally available income including but not limited to UIB, SDI, Veteran's Benefits
- Failure to provide the Veteran's information to complete the MC 05
- Failure to provide other health insurance information
- Failure to comply with Third Party Liability
- Failure to apply for Medicare
- Failure to provide 2.1Q (Child Support)
- Failure to provide or apply for SSN
- Failure to provide identity/name

Scenario 2: Non-Compliance Ongoing

Create a new Medi-Cal case with at least three applicants, where all would be MAGI eligible. Request a MAGI determination and run EDBC to approve the application in the BDA month. Add a Non-Compliance for one of the applicants in the second month and request a new MAGI determination for that month. Verify that the person is made Ineligible / Discontinued, and that the appropriate Non-Compliance and EERC details display on the MAGI Request Detail, MAGI Person Detail, and MAGI Determination Summary pages.

Repeat case setup with each of the following Non-Compliance types:

- Failure to apply for or accept unconditionally available income including but not limited to UIB, SDI, Veteran's Benefits
- Failure to provide the Veteran's information to complete the MC 05
- Failure to provide other health insurance information
- Failure to comply with Third Party Liability

- Failure to apply for Medicare
- Failure to provide 2.1Q (Child Support)
- Failure to provide or apply for SSN
- Failure to provide identity/name

Scenario 3: Non-Compliance Cured

Create or identify an existing Medi-Cal case where an applicant has been denied or discontinued for each of the Non-Compliance reasons listed below. End-date the Non-Compliance record in the current or come-up month, and re-apply for the person in the same month. Request a MAGI determination and run EDBC for this month, and verify that the person is made Eligible / Active, and that the previous Non-Compliance and EERC details do not display on the MAGI Request Detail, MAGI Person Detail, and MAGI Determination Summary pages.

Non-Compliance types:



- Failure to apply for or accept unconditionally available income including but not limited to UIB, SDI, Veteran's Benefits
- Failure to provide the Veteran's information to complete the MC 05
- Failure to provide other health insurance information
- Failure to comply with Third Party Liability
- Failure to apply for Medicare
- Failure to provide 2.1Q (Child Support)
- Failure to provide or apply for SSN
- Failure to provide identity/name

3 REQUIREMENTS

3.1 Project Requirements

REQ #	REQUIREMENT TEXT	How Requirement Met
2.8.1.7	The LRS shall determine when an individual is eligible for Medi-Cal coverage and shall ensure that all required information is collected, eligibility is determined, and share of cost is computed.	CalACES sends the Non-Compliance Reason(s) to CalHEERS to evaluate the eligibility accurately.

4 SUPPORTING DOCUMENTS

Number	Functional Area	Description	Attachment
1	Correspondence	Design updates for CalACES-CIV	 CIV NOA Design Document.docx
2	Correspondence	Design updates for CalACES-LRS	 CalACES FDDs.zip


5 MIGRATION IMPACTS

SCR Number	Functional Area	Description	Impact	Priority	Address Prior to Migration?
CA-203096	Medi-Cal	Existing LRS-only batch to discontinue individuals from Non-MAGI Medi-Cal due to overdue SSN verification updated to query MC Non-Compliance record for SSN instead of Verification record (See section 2.4.3)	Batch PB00E176 exists in LRS only. Batch will remain only in LRS. Updated to be configurable to be used by other counties post migration		No
CA-203096	Medi-Cal	A new batch added to LRS only to create MC Non-Compliance records for outstanding SSN and Name/Identity verifications (See section 2.4.4)	Added to LRS only. Configurable to be used by other counties post migration		No



Design Document

CA-203993 CIV-101933 - Update Diaper Benefit
Functionality

	DOCUMENT APPROVAL HISTORY	
	Prepared By	Rainier Dela Cruz
	Reviewed By	Jyothi Chavata, Eric Chu, Kapil Santosh, Naresh Barsagade, Alexia England, Carl Moore

DATE	DOCUMENT VERSION	REVISION DESCRIPTION	AUTHOR
09/13/2018	0.1	Initial Revision	Rainier Dela Cruz
03/14/2019	0.2	<p>Updated the recommendation to the daily and monthly approval sweeps to check if the participant is a parent or a non-parental caretaker.</p> <p>Updated the recommendation to include the check for Pending or Active Cal-Learn.</p> <p>Updated the recommendation to include Cal-Learn participant for CW MMO.</p>	Rainier Dela Cruz
03/29/2019	0.3	<p>Added recommendation to update the Daily and Monthly Auxiliary Payment Authorization batch job to not create the need, service arrangement, and payment request for diaper allowance when the CW program is discontinued.</p> <p>Added recommendation to update the page validation on the Service Arrangement Detail page.</p>	Rainier Dela Cruz
04/25/2019	0.4	Updated the validation message on the Service Arrangement Detail page based on Region 8 feedback. For LRS, the validation message will reference WTW and CW REP. For C-IV, it will only reference WTW. A migration SCR has been logged to address the difference (Please see Section 5 for more information).	Rainier Dela Cruz
5/20/2019	0.5	Updated the validation message on Service Arrangement Detail and	Kapil Santosh

DATE	DOCUMENT VERSION	REVISION DESCRIPTION	AUTHOR
		Payment Request Detail pages. Added recommendation to Nightly Payment Request Issuance Sweep procedure.	

DRAFT

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1 OVERVIEW

ACL 18-38 required County Welfare Departments (CWDs) to issue thirty dollars (\$30) per month, for each qualified child under age three on the first of the payment month, to California Work Opportunity and Responsibility to Kids (CalWORKs) recipients (and CW sanctioned persons, in the process of curing or have cured their WTW/CW REP sanction) who are qualified Cal-Learn (CL) or Welfare-to-Work (WTW)/CW Refugee Employment Program (REP) participants, as WTW/CW REP supportive service to assist with diaper costs. SCR CA-202085/CIV-100986 (Diaper Benefit Functionality Phase I) provided system functionality for users to manually create a need, service arrangement, and payment request to issue diaper allowance via EBT or another payment method. SCR CA-59192 CIV-100305 (Add Diaper Benefit Functionality Phase II) implemented the automated functionality that determines qualified children with qualified participants and creates the need, service arrangement and payment request to automatically issue a diaper allowance and generates the appropriate NA 823 NOA through different batch processes.

With the implementations of the SCR CA-202085/CIV-100986 and SCR CA-59192 CIV-100305, there were several issues/conditions not covered in the design:

1. The Cal-Learn Report Card Bonus payment cannot be issued to the Senior Parent on a Nested Parent Case as required in MPP 42-769.12.123 because only the Cal-Learn primary applicant can be set as a payee when creating service arrangement for this payment type.
2. The Diaper Allowance benefit cannot be issued manually for eligible child(ren) of WTW/REP participant with WTW/REP program status "Sanction" but still remains "Active" in CW program while batch process was already programmed to create Diaper Allowance Payment Request for this condition.
3. The batch automation was **not** programmed to include child in CW under the age of three with a status of Denied or Discontinued and the qualified participant is the parent or nonparental caretaker of the child when determining qualified children.
4. The batch automation was **not** programmed to include active CW program persons with a role of Medi-Cal Member Only (MMO) when determining qualified participants.
5. The qualified participants are not notified for the discontinuance/or ineligibility of Diaper Allowance when they are no longer eligible to diaper allowance due to other factors **and** their SAR 7 or RE packets are not received or incomplete because the NA 960 X/Y or NA RE X/Y only indicates the reason 'SAR 7/RE Incomplete'.

6. The batch automation was **not** programmed to check the status of the CalWORKs program before creating the need, service arrangement, and payment request to issue diaper allowance.
7. The Customer Options for "Diaper Allowance" from Empl. Services global navigation displays the "Complete" checkbox field which is not relevant to this page.

This document describes changes needed to the on-line Payment Request Detail page and the modification to the Diaper Payment Request batch sweeps to handle the issues/conditions mentioned above.

1.1 Current Design

With the implementation of SCR CA-202085/CIV-100986 (Diaper Benefit Functionality Phase I), the functionality was developed to allow users to manually create diaper allowance in the system. Users must manually create a need, a service arrangement, and a payment request to issue diaper allowance manually in the system. When creating a service arrangement for Cal-Learn "Rpt Card Bonus", the payee can only be set to the primary applicant, which is Cal-Learn participant. Furthermore, when a payment request is created for diaper allowance, if the status of the WTW program/CW REP is 'Sanction', the payment request cannot be approved.

With the implementation of SCR CA-59192 CIV-100305 (Add Additional Diaper Benefit Functionality Phase II), the functionality was developed to automatically create and pay diaper allowance to eligible participants. The automation is performed by different batch processes. The daily and monthly diaper allowance approval sweeps determine qualified children with qualified participants. The sweeps currently exclude child in CW under the age of three with a status of Denied or Discontinued and the qualified participant is the nonparental caretaker of the child when determining qualified children. The sweeps also exclude active CW program persons with a role of Medi-Cal Member Only (MMO) when determining qualified participants.

In addition, the monthly diaper allowance discontinuance sweep evaluates whether the qualified children with qualified participants currently receiving diaper allowance are no longer eligible. If the participant is no longer eligible to diaper allowance and their SAR 7 is not received or incomplete, the discontinuance reason applied is 'SAR 7/RE Incomplete'.

A qualified child can be opted out of diaper allowance by creating a Customer Options record with the type of 'Diaper Allowance Opt-out'. The Customer Options List page where the record can be created was updated to be accessible through the Empl. Services global navigation. The 'Continue' button is hidden on the page. However, the 'Complete' checkbox is still visible.

1.2 Requests

1. As part of Phase II, the Service Arrangement Detail page was updated to set the payee on the service arrangement to the primary applicant of the employment services program when the program payee is selected. This change allowed the need to be setup for the child and the payments made to the qualified participant when manually issuing diaper allowance. However, for Cal-Learn, a person can have an administrative role of 'Payee' on the program. The update removed the existing functionality that sets the payee on the service arrangement to the payee on the Cal-Learn program when the program payee is selected. The Service Arrangement Detail page needs to be updated to add the previous functionality back in to allow user to issue "Rpt Card Bonus" to the caretaker relative (Senior Parent in a nested case).
2. When manually issuing the diaper allowance, the need will be created for the qualified child and the service arrangement and payment request will be created for the qualified participant. Current page validation prevents the creation of a service arrangement and associated payment request for diaper allowance when the qualified participant has a WTW or REP program status of 'Sanction'. Specific to the support service of diaper allowance, the requirement is to create a service arrangement, and approved payment request, when the WTW or CW REP program status is 'Sanction', but a CalWORKs sanction has not yet removed the person from aid.
3. The daily and monthly diaper allowance approval sweeps will identify a qualified child with a qualified participant for the payment month. Currently, the definition of a qualified child does not include a child under the age of three who is on an active CW program with a status of Denied or Discontinued and the qualified participant, who is on the same CW program with a status of Active and a Role of Member or MMO, is the parent or nonparental caretaker of the child and is a WTW or CalWORKs REP participant and the program status on the WTW or CW REP program is Active, Non-Compliant, Good Cause, or Sanction or is a Cal-Learn participant with a program status of Pending or Active. The approval sweeps will need to be updated expand the definition of a qualified child to include a child under the age of three who is on an active CW program with a status of Denied or Discontinued and the qualified participant is the nonparental caretaker of the child.
4. The daily and monthly diaper allowance approval sweeps currently do not define a qualified participant as an active CW program person with a role of MMO, who is a WTW or CalWORKs REP participant and the program status on the WTW or CW REP program is Active, Non-Compliant, Good Cause or Sanction (while awaiting the CW sanction) or a Cal-Learn participant with a program status of Pending or Active. The approval sweeps will need to be updated to expand the definition of a qualified participant to include an Active CW program person with a role of MMO who is a WTW or CalWORKs REP participant and the program status on the WTW or CW REP program is Active, Non-

Compliant, Good Cause or Sanction (while awaiting the CW sanction) or is a Cal-Learn participant with a program status of Pending or Active.

5. When the qualified participant is no longer eligible to diaper allowance and the qualified participant's SAR 7 or RE packet has not been received or is incomplete, the participant will only receive the NA 960 X/Y or NA RE X/Y to notify them that they will lose their diaper allowance because they failed to turn in their SAR 7 or RE packet, or the packet is incomplete. Since only the NA 960 or NA RE will be generated in this scenario, the participant is unaware of other factors affecting their eligibility to the diaper allowance, whereas, had both the NA 960 X/Y or NA RE X/Y and the NA 823 generated, the participant would be made aware of all the factors affecting their eligibility. The monthly diaper allowance discontinuance sweep needs to be updated to set the discontinuance reason as 'Participant Not Eligible' to have both the NA 960 X/Y or NA RE X/Y and the NA 823 generate.
6. The purpose of the Daily and Monthly Auxiliary Payment Authorization batch jobs is to create the need, service arrangement, and payment request for diaper allowance. The daily job authorizes the diaper allowance for the current month and the monthly job authorizes the diaper allowance the upcoming month. When the Auxiliary Payment Authorization batch jobs run to create the need, service arrangement, and payment request to issue diaper allowance, the current logic does not check the status of the CW program. When the batch job runs at the end of the month without a check of the CW status, it will create the need, service arrangement, and payment request to issue diaper allowance for the upcoming month even though the CW program is discontinued. The Daily and Monthly Auxiliary Payment Authorization batch jobs will be updated to not create the need, service arrangement, and payment request, thereby preventing the issuance of a payment for diaper allowance when the CW program is discontinued. Diaper Allowance Payments are not authorized or issued by batch on CW discontinued cases or for post-employment / job retention services; Diaper Allowance Payments for post-employment / job retention services must be manually created by WTW/REP workers.
7. The Nightly Payment Request Issuance Sweep Procedure sweeps all approved payment requests that don't have an issuance created and insert the authorization record into a transaction table. The record is then processed by the nightly issuance batch which creates issuances for the authorized payment requests. The Nightly Payment Request Sweep needs to be updated for Diaper Allowance payment requests to pick WTW/REP program in Active, Non-Comp, Good Cause and Sanction status (while awaiting the CW sanction) and CalLearn program in Active or Pending status. The sweep also needs to be updated to not pick diaper allowance payment requests when the CW program is discontinued, unless the active WTW/REP program status reason indicates post-employment or job retention services.

8. The 'Continue' button is hidden on the Customer Options List when navigating to the page through Empl. Services. The 'Complete' checkbox needs to be hidden.

1.3 Overview of Recommendations

This system change request will do the following:

1. The Service Arrangement Detail page will be updated add the previous functionality that sets the payee on the service arrangement as the payee on the Cal-Learn program when the program payee is selected.
2. The page validation on the Service Arrangement Detail page will be updated to allow the user to save a service arrangement for diaper allowance when the qualified participant's status on the WTW program or CW REP is 'Sanction' and has a CalWORKs role of Member or MMO. The Payment Request Detail page will also be updated to allow the user to approve a payment request created for diaper allowance if the qualified participant's status on the WTW program or CW REP is 'Sanction' and has a CalWORKs role of Member or MMO.
3. The daily and monthly diaper allowance approval sweep will be updated to define a qualified child as a child under the age of three who is on an active CW program with a status of Active, Denied or Discontinued and the qualified participant, who is on the same CW program with a status of Active and a Role of Member or MMO, is the parent or nonparental caretaker of the child and is a WTW or CalWORKs REP qualified participant and the program status on the WTW or CW REP program is Active, Non-Compliant, Good Cause, or Sanction (while awaiting the CW sanction) or is a Cal-Learn participant with a program status of Pending or Active.
4. The daily and monthly diaper allowance approval sweep will be updated to expand the definition of a qualified participant to include an Active CW program person with a role of MMO who is a WTW or CalWORKs REP participant and the program status on the WTW or CW REP program is Active, Non-Compliant, Good Cause or Sanction (while awaiting the CW sanction) or a Cal-Learn participant with a program status of Pending or Active.
5. The monthly diaper allowance discontinuance sweep will be updated to set the discontinuance reason as 'Participant Not Eligible' if the participant is no longer eligible to diaper allowance and their SAR 7 or RE packet is not received or incomplete.
6. The daily and monthly Auxiliary Payment Authorization batch job will be updated to not create the need, service arrangement, and payment request for diaper allowance if the CalWORKs program is discontinued.

Note: Diaper Allowance Payments are not authorized or issued by batch on CW discontinued cases or for post-employment / job retention services; Diaper Allowance Payments for post-employment / job retention services must be manually created by WTW/REP workers.

7. The Nightly Payment Request Sweep will be updated for Diaper Allowance payment requests to pick WTW/REP program in Active, Non-Comp, Good Cause and Sanction status and CalLearn program in Active or Pending status. The sweep will also be updated to not pick diaper allowance payment requests when the CW program is discontinued, unless the active WTW/REP program status reason indicates post-employment or job retention services.
8. The Customer Option List Page will be updated to hide the 'Complete' checkbox when navigating to the page through Empl. Services.

1.4 Assumptions

1. When the qualified child is no longer eligible and the qualified participant's SAR 7 or RE packet has not been received or is incomplete, the household will receive the NA 960 X/Y or NA RE X/Y stating they will lose their cash aid due to not turning in or completing the SAR 7 or RE packet and the NA 823 stating the child is no longer eligible to diaper allowance.
2. If the worker discontinues the CalWORKs program after the 10-day cutoff for the upcoming month, the diaper payment creation batch job will no longer create the need, service arrangement, and payment request for the upcoming month after the update in **Section 2.5** has been implemented. Therefore, the diaper allowance (unless for post-employment or job retention) will not be issued for the upcoming month. Within the CW discontinuance process, the worker will also notify (through the NA 823) the participant that they are no longer eligible to the diaper allowance for the upcoming month.

2 RECOMMENDATIONS

2.1 Service Arrangement Detail

2.1.1 Overview

The Service Arrangement Detail page allows users to create a service arrangement for a requested supportive service need. As part of Phase II, the Service Arrangement Detail page was updated to set the payee on the service arrangement to the primary applicant of the employment services program when the program payee is selected. This allowed the need to be setup for the child and the payments made to the qualified participant when manually issuing diaper allowance. However, for Cal-Learn, a person can have an administrative role of 'Payee' on the program. The update removed the existing functionality that sets the payee on the service arrangement to the payee on the Cal-Learn program when the program payee is selected. This section will describe the update to the Service Arrangement Detail page to re-add the previous

functionality to allow user to issue "Rpt Card Bonus" to the caretaker relative (Senior Parent in a nested case).

Currently, a page validation prevents a service arrangement from being created for diaper allowance if the status of the qualified participant's WTW program or CW REP is 'Sanction'. This section will describe the changes to allow the creation of a service arrangement when the status of the WTW program or CW REP is 'Sanction' (while awaiting the CW sanction).

2.1.2 Description of Changes

1. Update the Service Arrangement Detail page to set the Cal-Learn program payee as the payee on the service arrangement when the program payee is selected. If there is no Cal-Learn program payee, set the primary applicant of the Cal-Learn program as the payee on the service arrangement when the program payee is selected. This update is only for service arrangements created for non-diaper allowance. If the need category is "Other Supportive Services" and the need type is "Diaper Allowance," when the program payee is selected on the Service Arrangement Detail page, the payee will be set as the primary applicant of the Cal-Learn program.
2. Remove the following validation that is currently shown on the Service Arrangement Detail page for Diaper Allowance and replace it with new validations.
'The program or the person within it is not Active, Pending, Good Cause or Non-Comp (Welfare-to-Work only) on <Date> which falls within the Arrangement Period. Please adjust the dates.'
3. Add a new validation on the Service Arrangement Detail page to allow a service arrangement to be created for Diaper Allowance when the status on the qualified participant's WTW program or CW REP is Active, Non-Comp, Good Cause or Sanction (while awaiting the CW sanction). The validation message will say:
'The <Program> program or the person within it is not Active, Non-Comp, Good Cause or Sanction (Sanction and CW MEM or MMO) on <Date> which falls within the Arrangement Period. Please adjust the dates.'

Note: <Program> will be WTW or REP program in this validation.

<Date> will be the "**Service Arrangement Begin Date**" if the program/person does not qualify to receive Diaper Allowance payments as of the Service Arrangement begin date, or a **date within the Service Arrangement period** when the program/person becomes ineligible to receive Diaper payments after the Service Arrangement begin date.

The worker must enter the exact dates during which the program or the person is eligible to receive the Diaper allowance payment. The validation would be shown on the page and the worker would be blocked from

creating a Service Arrangement for Diaper Allowance, if the program or the person is not eligible for any day within the service arrangement period.

Example:

- a. Worker enters Service Arrangement period from 3/15/2019 to 5/31/2019. The WTW status changes from Active to Deregistered on **3/20/2019**. The worker would not be allowed to save the Service Arrangement and the following validation would be shown.

'The WTW program or the person within it is not Active, Non-Comp, Good Cause or Sanction (Sanction and CW MEM or MMO) on **3/20/2019** which falls within the Arrangement Period. Please adjust the dates.'

- b. Worker enters Service Arrangement period from 3/15/2019 to 5/31/2019. The WTW status changes from Non-Comp to Sanction on **3/20/2019** and CW role for the WTW participant changes from FRE to MEM on 4/1/2019. The worker would not be allowed to save the Service Arrangement and the following validation would be shown.

'The WTW program or the person within it is not Active, Non-Comp, Good Cause or Sanction (Sanction and CW MEM or MMO) on **3/20/2019** which falls within the Arrangement Period. Please adjust the dates.'

Note: In this case, a Service Arrangement for Diaper allowance will be allowed to be saved only if the worker enters a Service Arrangement begin date **on or after 4/1/2019**.

- c. Worker enters Service Arrangement period from **3/15/2019** to 5/31/2019. The WTW status changes from Active to Deregistered on 2/1/2019. The worker would not be allowed to save the Service Arrangement and the following validation would be shown.

'The WTW program or the person within it is not Active, Non-Comp, Good Cause or Sanction (Sanction and CW MEM or MMO) on **3/15/2019** which falls within the Arrangement Period. Please adjust the dates.'

- d. Worker enters Service Arrangement period from **3/15/2019** to 5/31/2019. The WTW status changes from Non-Comp to Sanction on 3/20/2019 and CW role for the WTW participant is FRE from 2/1/2019. The worker would not be allowed to save the Service Arrangement and the following validation would be shown.

'The WTW program or the person within it is not Active, Non-Comp, Good Cause or Sanction (Sanction and CW MEM or MMO) on **3/15/2019** which falls within the Arrangement Period. Please adjust the dates.'

- e. Worker enters Service Arrangement period from 3/01/2019 to 3/31/2019. The WTW status changes from Active to Deregistered on **3/20/2019**. The worker would not be allowed to save the Service Arrangement and the following validation would be shown.

'The WTW program or the person within it is not Active, Non-Comp, Good Cause or Sanction (Sanction and CW MEM or MMO) on

3/20/2019 which falls within the Arrangement Period. Please adjust the dates.'

4. Add a new validation on the Service Arrangement Detail page to allow a service arrangement to be created for diaper allowance when the status on the qualified participant's CalLearn program is Active or Pending. The validation message will say:

'The <Program> program or the person within it is not Active or Pending on <Date> which falls within the Arrangement Period. Please adjust the dates.'

Note: <Program> will be CalLearn program in this validation.

<Date> will be the "**Service Arrangement Begin Date**" if the program/person does not qualify to receive Diaper Allowance payments as of the Service Arrangement begin date, or a **date within the Service Arrangement period** when the program/person becomes ineligible to receive Diaper payments after the Service Arrangement begin date.

2.1.3 Page Location

Global: Employment Services

Local: Supportive Services

Task: Service Arrangements

2.1.4 Security Updates

No changes.

2.1.5 Page Mapping

No changes.

2.1.6 Page Usage/Data Volume Impacts

No changes.

2.2 Payment Request Detail

2.2.1 Overview

The user can create or approve a recipient's request for a supportive service on the Payment Request Detail page. When manually issuing diaper allowance, the need is created for the qualified child and the service arrangement and payment request are created for the qualified participant. Currently, there is a page validation that prevents a payment request from being approved if the

status of the qualified participant's WTW program or CW REP is 'Sanction'. This section will describe the changes to allow a payment request created for diaper allowance to be approved when the status of the WTW program or CW REP is 'Sanction'.

2.2.2 Description of Changes

1. Remove the following validation that is currently shown on the Payment Request Detail page when approving Diaper Allowance payment request and replace it with new validations.
'WTW Non-Transport Need Programs must be Active, Non-Comp, Good Cause or Pending during the Service Month.'
2. Add a new validation on the Payment Request Detail page to allow a payment request to be approved for Diaper Allowance when the status on the qualified participant's WTW program or CW REP is Active, Non-Comp, Good Cause or Sanction (while awaiting the CW sanction). The validation message will say:
'The {Program} Program or the person within it must be Active, Non-Comp, Good Cause or Sanction (Sanction and CW MEM or MMO) during the Service Month for receiving Diaper Allowance payments.'
3. Add a new validation on the Payment Request Detail page to allow a payment request to be approved for Diaper Allowance when the status on the qualified participant's CalLearn program is Active or Pending. The validation message will say:
'The {Program} Program or the person within it must be must be Active or Pending during the Service Month for receiving Diaper Allowance payments.'

Note: {Program} will be WTW or REP program in this validation and the validation would be shown if the program or the person is not eligible for receiving Diaper Allowance payments at least for one day in the payment request effective month.

Note: {Program} will be CalLearn program in this validation and the conditions would be checked as of the first day of the service month.

2.2.3 Page Location

Global: Fiscal

Local: Payment Request

Task: Payment Request Search

2.2.4 Security Updates

No changes.

2.2.5 Page Mapping

No changes.

2.2.6 Page Usage/Data Volume Impacts

No changes.

2.3 Daily and Monthly Diaper Allowance Approval Sweep

2.3.1 Overview

The daily and monthly approval sweeps identify a qualified child with a qualified participant for the payment month (current month for the daily sweep and the following month for the monthly sweep).

Currently, the definition of a qualified child does not include a child under the age of three, who is on an active CW program with a status of Denied or Discontinued and the qualified participant, who is on the same CW program, is the parent or nonparental caretaker of the child and is a WTW or CalWORKs REP participant and their WTW or CW REP program status is Active, Non-Compliant, Good Cause, or Sanction (while awaiting the CW sanction) with CW status of Active and a Role of Active Member or Active MMO or the parent or nonparental caretaker of the child is a Cal-Learn participant with program status is Active or Pending with CW status of Active Member or Active MMO. The approval sweeps will need to be updated to expand the definition of a qualified child to include a child under the age of three who is (aided or unaided) on an active CW program with a status of Denied or Discontinued and the qualified participant is the nonparental caretaker of the child. For example, a parent may have income to make the parent and his/her child unaided, but the stepparent of the unaided child be a qualified participant.

The daily and monthly approval sweeps currently do not define a qualified participant as an active CW program person with a role of MMO, who is a WTW or CalWORKs REP participant and the program status on the WTW or CW REP program is Active, Non-Compliant, Good Cause, or Sanction (while awaiting the CW sanction) or who is a Cal-Learn participant with Cal-Learn program status of Active or Pending. The approval sweeps will need to be updated to expand the definition of a qualified participant to include an active CW program person with a role of MMO, who is a WTW or CalWORKs REP participant and the program status on the WTW or CW REP program is Active, Non-Compliant, Good Cause, or

Sanction (while awaiting the CW sanction) or who is a Cal-Learn participant with Cal-Learn program status Active or Pending.

2.3.2 Description of Change

1. Update the daily and month diaper allowance approval sweeps to define a qualified child as a child under the age of three who has a status of Active, Denied, or Discontinued on an active CW program and the qualified participant, who is on the same CW program with an Active status and a Role of Member or MMO, is the parent or nonparental caretaker with the parental control set to 'Yes' of the child and is a WTW or CalWORKs REP participant and the program status on the WTW or CW REP program is Active, Non-Compliant, Good Cause, or Sanction (while awaiting the CW sanction) with CW status of Active Member or Active MMO or who is a Cal-Learn participant with programs status Pending or Active with CW status of Active Member or Active MMO.
2. Update the daily and month diaper allowance approval sweep to modify the definition of a qualified participant to include an active CW program person with a role of MMO, who is a WTW or CalWORKs REP participant and the program status on the WTW or CW REP program is Active, Non-Compliant, Good Cause or Sanction (while awaiting the CW sanction) or who is a Cal-Learn participant with Cal-Learn program status Active or Pending.

2.4 Monthly Diaper Allowance Discontinuance Sweep (C-IV Only)

2.4.1 Overview

The Monthly Diaper Allowance Discontinuance Sweep will evaluate whether the qualified children with qualified participants, currently receiving diaper allowance, are no longer eligible. When the qualified participant is no longer eligible and the qualified participant's SAR 7 or RE packet has not been received or is incomplete, the participant will only receive the NA 960 X/Y or NA RE X/Y to notify them that they will lose their diaper allowance because they failed to turn in their SAR 7 or RE packet, or the packet is incomplete.

The NA 823 batch sweep determines if the NA 823 needs to be generated for the discontinuance of diaper allowance by searching the auxiliary payment table for records that have been updated with a discontinuance date and the discontinuance reason is either Child Not Eligible or Participant Not Eligible. The NA 823 discontinuance notice does not generate in this scenario because the monthly diaper allowance discontinuance sweeps applies a reason of SAR7/RE Incomplete.

Since only the NA 960 or NA RE will be generated in this scenario, the participant is unaware of other factors affecting their eligibility to the diaper allowance,

whereas, had both the NA 960 X/Y or NA RE X/Y and the NA 823 generated, the participant would be made aware of all the factors affecting their eligibility to diaper allowance. The monthly discontinuance sweep will be updated to set the discontinuance reason as 'Participant Not Eligible' to have both the NA 960 X/Y or NA RE X/Y and the NA 823 generate.

2.4.2 Description of Change

1. Update the Monthly Diaper Allowance Discontinuance Sweep to set the discontinuance reason to 'Participant Not Eligible' (which will trigger the generation of the NA 823) when participant no longer eligible for diaper allowance and their SAR7 or RE Packet (CW RE or CF/CW RE) is in a status of not received or incomplete for the payment month.

Note: This update is for C-IV only, CalACES has already implemented this update to the monthly discontinuance sweep.

2. The discontinuance sweep currently uses the same logic as the Diaper Allowance Approval Sweep when determining if a qualified child with a qualified participant are still eligible for diaper allowance for the upcoming month. The discontinuance sweep will verify if the child or the participant still meets the same eligibility conditions for diaper allowance established in the approval sweep. In **Section 2.3**, the definition of a qualified child and a qualified participant are being updated. The same update will be made to the discontinuance sweep.

2.5 Daily and Monthly Auxiliary Payment Authorization Batch Job

2.5.1 Overview

The purpose of the Daily and Monthly Auxiliary Payment Authorization batch jobs is to create the need, service arrangement, and payment request for diaper allowance. The daily job authorizes the diaper allowance for the current month and the monthly job authorizes the diaper allowance the upcoming month. When the Auxiliary Payment Authorization batch jobs run to create the need, service arrangement, and payment request to issue diaper allowance, the current logic does not check the status of the CW program. When the batch job runs at the end of the month without a check of the CW status, it will create the need, service arrangement, and payment request to issue diaper allowance for the upcoming month even though the CW program is discontinued. The Daily and Monthly Auxiliary Payment Authorization batch jobs will be updated to not create the need, service arrangement, and payment request, thereby preventing the issuance of a payment for diaper allowance when the CW program is not active (status is not Active or Ineligible).

Note: Diaper Allowance Payments are not authorized or issued by batch on CW discontinued cases or for post-employment / job retention services; Diaper Allowance Payments for post-employment / job retention services must be manually created by WTW/REP workers.

2.5.2 Description of Change

Update the Daily and Monthly Auxiliary Payment Authorization batch job to not create the need, service arrangement, and payment request for diaper allowance if the CalWORKs program is not active (status is not Active or Ineligible).

Note: Diaper Allowance Payments are not authorized or issued by batch on CW discontinued cases or for post-employment / job retention services; Diaper Allowance Payments for post-employment / job retention services must be manually created by WTW/REP workers.

2.6 Nightly Payment Request Issuance Sweep Procedure

2.6.1 Overview

The purpose of the Nightly Payment Request Issuance Sweep Procedure is to sweep all approved payment requests that don't have an issuance created and insert the authorization record into a transaction table. The record is then processed by the nightly issuance batch which creates issuances for the authorized payment requests.

2.6.2 Description of Change

Add the following conditions to the Nightly Payment Request Issuance Sweep Procedure when the Need Category is 'Other Supportive Services' and Need Type is 'Diaper Allowance'

- a. The status of the WTW program or CW REP is Active, Non-Comp, Good Cause or Sanction (Sanction and CW MEM or MMO) in the payment request effective month.

Note: If the WTW/REP program status is eligible for at least one day in the payment request effective month, the month would be eligible for receiving diaper allowance payment. In case of Sanction program status, the CW role of MEM or MMO would be checked as of the first day of the payment request effective month.

- b. The status of the CalLearn program is Active or Pending in the payment request effective month.

Note: The CL program status would be checked as of the first day of the payment request effective month.

- c. The status of the CalWORKs program for the payment request payee is Active (status is Active or Ineligible) unless for post-employment or job retention.

Note: The status of the CalWORKs program would be checked as of the first day of the payment request effective month. The status of the

CalWORKs program would not be checked if the WTW/REP program's status reason is "Post Emp/Job Retention" for at least one day in the payment request effective month.

Note: The existing conditions on the Nightly Payment Request Issuance Sweep would be checked for payment requests that are not Diaper Allowance.

2.7 Customer Option List

2.7.1 Overview

The Customer Option List page allows the user to view, add, edit, and remove Customer Option records. For diaper allowance, the Customer Option List page was made accessible through the Customer Options task navigation under the Empl. Services global navigation tab. The user can add a Customer Option record to opt out a qualified child from receiving diaper allowance. The 'Continue' button was hidden when accessing the page through the Empl. Services navigation, however, the 'Complete' checkbox remained. The Customer Option List page will be updated to hide the checkbox.

2.7.2 Customer Options List Mockup

Customer Options List

Search Results Summary

Results 1 - 1 of 1

Display

From:

To:

View

Add

	Name	Type	Begin Date	End Date	
<input type="checkbox"/>	Test, Child	Diaper Allowance Opt-Out	08/01/2018		<div>Edit</div>

Remove

Add

Figure 2.4.2.1 – Customer Options List

2.7.3 Description of Changes

Update the Customer Option List page to hide the 'Complete' checkbox when navigating to the page through Empl. Services.

2.7.4 Page Location

Global: Employment Services

Local: Case Summary or Appraisal

Task: Customer Options

2.7.5 Security Updates

No changes.

2.7.6 Page Mapping

No changes.

2.7.7 Page Usage/Data Volume Impacts

No changes.

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3 SUPPORTING DOCUMENTS

Number	Functional Area	Description	Attachment

DRAFT

4 REQUIREMENTS

4.1 Project Requirements

REQ #	REQUIREMENT TEXT	How Requirement Met
2.10.1.1	<p>The LRS shall include the ability to issue and maintain the history of the following benefits:</p> <ul style="list-style-type: none">a. Monthly benefits issued on a recurring basis, on either a calendar month or fiscal (cyclical) period;b. Emergency issuances (e.g., Immediate Need, Expedited Services and Emergency Aid Requests);c. Diversion payments;d. Supplemental benefits;e. Recovery refunds;f. Special needs payments;g. Retroactive payments;h. Vendor and/or Service Provider payments;i. Tokens and cash bus passes;j. Non-traditional/alternative transportation (e.g., car pool, taxi vouchers, and parking fees);k. Vouchers/cash for special payments, ancillary payments and other services;l. Interim assistance payments;m. Transportation payments;n. Petty cash;o. Cal-Learn bonus;p. Cal-Learn graduation bonus;q. Vehicle repair program;r. Additional transportation expense payments;s. Ancillary payments; andt. Child care payments.	<p>The updates to the monthly and daily approval sweeps will pick up the population that were not issuing diaper allowance to or the workers were issuing manual diaper allowance.</p>

4.2 Migration Requirements

DDID #	REQUIREMENT TEXT	How Requirement Met

DRAFT

5 MIGRATION IMPACTS

SCR Number	Functional Area	Description	Impact	Priority	Address Prior to Migration?

DRAFT

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7 APPENDIX

7.1 Update the Need Type Code for Diaper Allowance (CalACES Only)

7.1.1 Overview

When the diaper allowance need category and need type were added as part of Diaper Benefit Functionality Phase I, a code num identification of 'DA' was used for the code value inserted into the Customer Need Type Code codes table (CT164) in C-IV. CalACES already had an existing need type of Diaper Allowance and uses the code num identification of '90'. The purpose of this update is to align the two systems to use the code num identification of 'DA' for the diaper allowance need type.

7.1.2 Description of Changes

1. Create a CTCR to update the end date of code value in CT164 with a code num identification of '90' with a date of 03/31/2019 and update the begin date of code value in CT164 with a code num identification of 'DA' with a date of 04/01/2019.
2. Create a DCR to convert records using the code num identification of '90' as the need type code to use the code num identification of 'DA'.

CATGRY_ID	CODE_NUM_IDENTIF
-----------	------------------

184	X1
-----	----

184	X2
-----	----

184	X3
-----	----

184	X4
-----	----

184	X5
-----	----

184	X6
-----	----

184	X7
-----	----

184	X8
-----	----

184	X9
-----	----

SHORT_DECODE_NAME

- X1 - Covered CA Subsidized (APTC and/or State Subsidy) 250-400%
- X2 - Covered CA Subsidized 100-150%
- X3 - Covered CA Subsidized 151-200%
- X4 - Covered CA Subsidized 201-250%
- X5 - Covered CA Cost Sharing Waiver 100-300%
- X6 - Covered CA AI/AN CSR Only No Income Test
- X7 - Covered CA Unsub Coverage or Ineligible for Subsidies Above 600%
- X8 - Covered CA Lawful Present/MC Ineligible Under 100%
- X9 - Covered CA State Subsidy Eligible 400-600%

LONG_DECODE_NAME

Covered California Subsidized Coverage (Advanced Premium Tax Credit and/or State Sub

Covered California Subsidized 100-150%

Covered California Subsidized 151-200%

Covered California Subsidized 201-250%

Covered California Cost Sharing Waiver 100-300%

Covered California American Indian/Alaskan Native Cost Sharing Reduction Only No Incon

Covered California Unsubsidized Coverage or Ineligible for Subsidies Above 600%

Covered California Lawful Present/Medi-Cal Ineligible Under 100%

Covered California State Subsidy Eligible 400-600%

CODE_DESCR

Provides coverage for people between 250-400% FPL.

Provides coverage for people between 100-150% FPL.

Provides coverage for people between 151-200% FPL.

Provides coverage for people between 201-250% FPL.

Provides coverage for people between 100-300% FPL.

Provides coverage for people on AI/AN CSR **Only No Income Test**.

Provides unsubsidized coverage **or Ineligible for Subsidies** for those above **600%** FPL.

Provides coverage for **Lawful Present/MC Ineligible** under 100% FPL.

Provides coverage for **people between 400-600% FPL**.