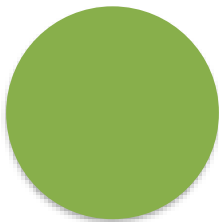# Cal**SAWS** | JPA Board of Directors Meeting

July 17, 2020

# Agenda

1. Call Meeting to Order
2. Public Opportunity to speak on items NOT on the Agenda. Public comments are limited to no more than three minutes per speaker, except that a speaker using a translator shall be allowed up to six minutes.

   Note: The public may also speak on any Item ON the Agenda by waiting until that item is read, then requesting recognition from the Chair to speak.

   - All lines will be muted when meeting begins.
   - To unmute:
     - When connected via computer – click the microphone icon.
     - When connected via telephone – press *6.
3. Confirmation of Quorum and Agenda Review

# Action Items

# Action Items

4. Consent Items

   a. Approval of the Minutes and review of the Action Items from the June 25, 2020 joint meeting of the CalSAWS JPA Member Representatives and Board of Directors.

   b. Approval of Accenture Amendment 108 contingent on federal approval, which includes requests for:

      i. One-year Extension

      ii. Technical Adjustments

   c. Approval of C-IV Accenture Amendment 109 contingent on federal approval, which includes requests for:

      i. Hardware/Software Additions for CalSAWS DD&I, Imaging and Analytics Solutions

# Action Items

4. Consent Items

    d.   Approval of C-IV Accenture Amendment 110 contingent on federal approval, which includes requests for:

        i.    Change Order CO-103 CalHEERS Maintenance, Revision 2

        ii.    Change Order CO-104 SB 1341 Maintenance, Revision 2

        iii.    Change Order CO-110 Central CCP Covered CA Expansion Maintenance, Revision 1

    e.   Approval of C-IV M&O QA First Data Amendment 69 contingent on Federal approval, which includes requests for;

        i.    Extension of Change Order CO-016 CalHEERS

        ii.    Extension of Change Order CO-018 Covered CA CSC

        iii.    Extension of Change Order CO-032 SB1341

# Action Items

4. Consent Items

    f. Approval of MOU between CalSAWS Consortium and California Department of Social Services (CDSS) effective July 1, 2020 to provide the CDSS the necessary and relevant CalWORKs and CalFresh data retained by the counties of Monterey, Napa, and Stanislaus in the C-IV System. The data provided to the CDSS for use by the Cross-system Analytics and Assessment for Learning and Skills Attainment (CAAL-Skills) Project.
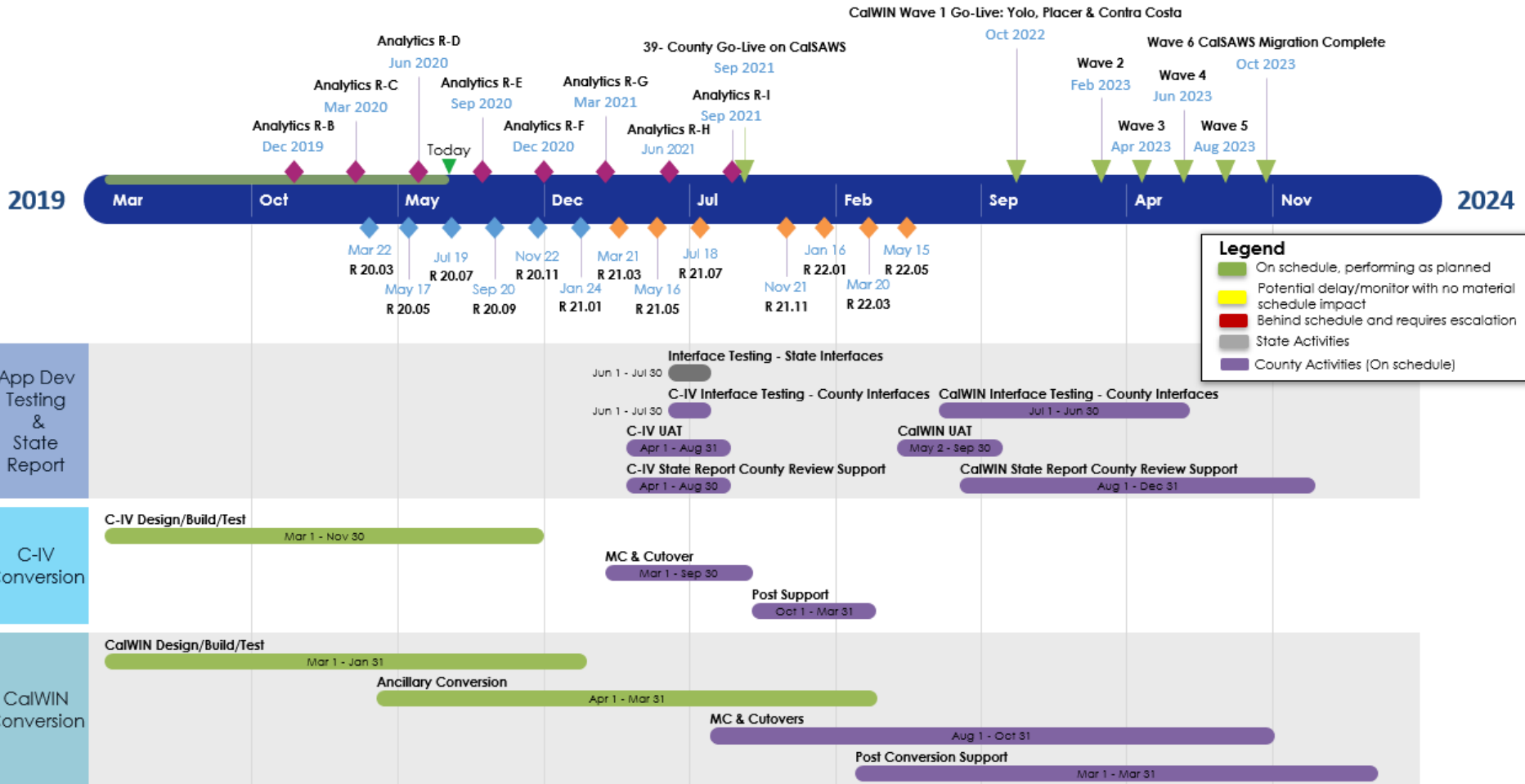
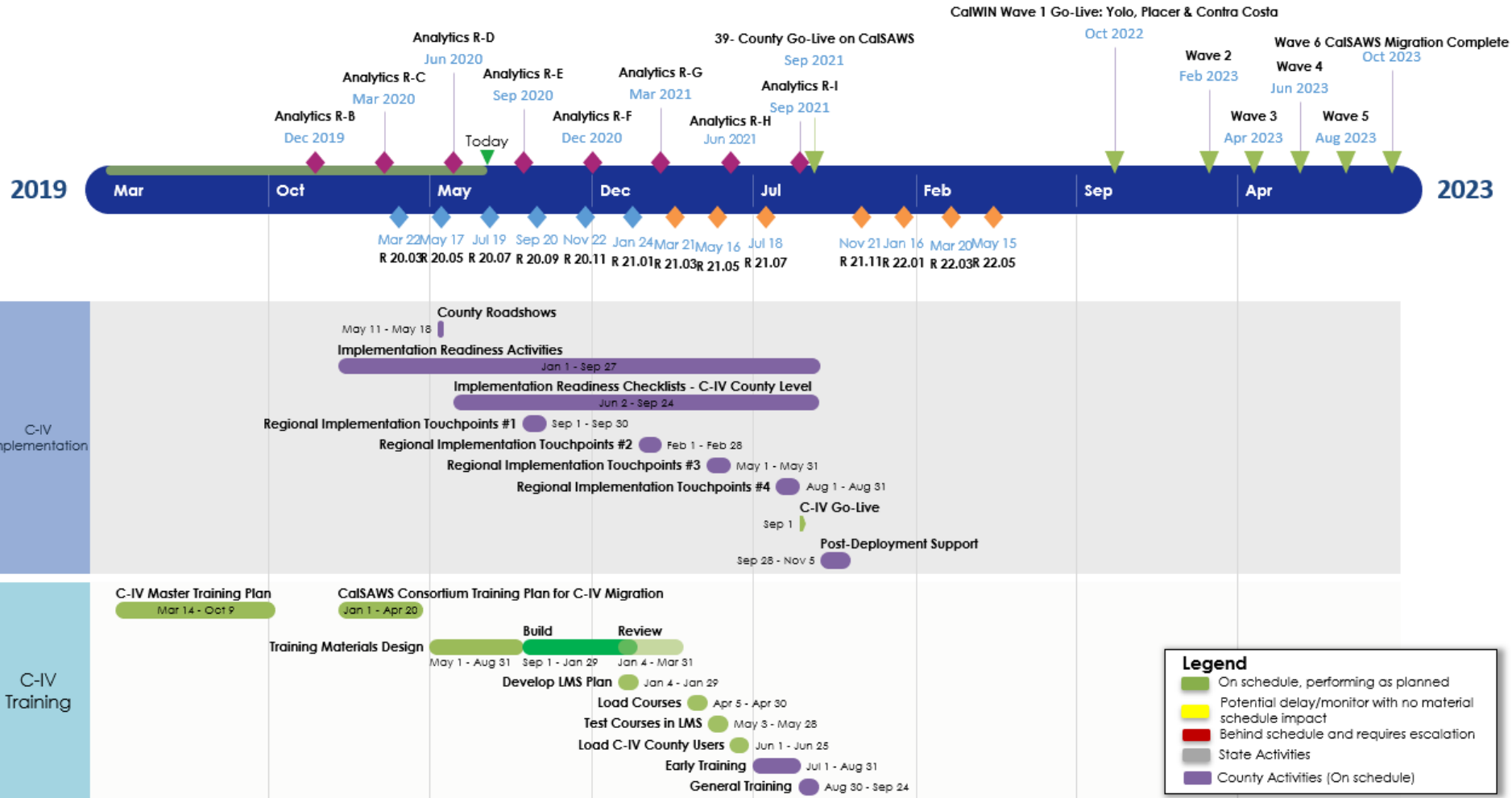# Informational Items

# CalSAWS Gantt Chart Update

# CalSAWS Project Gantt
## High Level Overview – App-Dev & Conversion
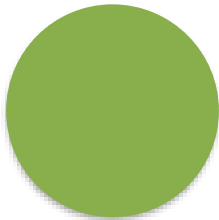
# CalSAWS Project Gantt

## High Level Overview - C-IV & Implementation

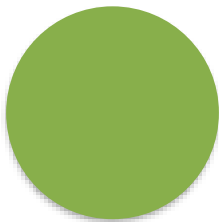# Overview of June JPA Member Representatives Meeting

- Public Comment Log process

# CalSAWS and State Budget Update

- Policy Update
- Scaling back on enhancements

# CalSAWS and State Budget Update
## Premise Funding

| CalSAWS Project Budget - FY 20/21 | Funding |
|---|---|
| ABAWD Automation | $8,189,000 |
| Brown Tapia Settlement | $398,430 |
| CalFresh Over-issuances (SB 278) | $37,900 |
| CalFresh Periodic Report (SAR 7 Reminder) | $869,000 |
| CalFresh Public Assistance Cases Tracking | $3,000,000 |
| CalFresh Safe Drinking Water Pilot (SB 89) | $1,200,630 |
| CalWORKs Earned Income Disregard (SB 80) | $809,700 |
| CalWORKs Overpayments (SB 726) | $431,250 |
| Disaster CalFresh | $533,658 |
| Foster Care Eligibility Determination (FCED) | $2,650,838 |
| Home Visiting Program (HVP) Automation | $803,184 |
| Medi-Cal Automation (SB 1341) | $7,090,016 |
| Online CalWORKs Assessment Tool (OCAT) | $3,193,515 |
| Removal of HA Consecutive Day Rule | $805,385 |
| SAWS Shared Application Forms Revision | $8,079,000 |
| SSI Cash-out Reversal Automation (AB 1811) | $108,860 |
| Stage One Continuous Eligibility | $3,895,000 |
| **Total** | **$42,095,366** |

# 2019 – 2020 State Policy Commitments
## Completed

| Program | Policy Item | C-IV/CalSAWS Implementation Month | CalWIN Implementation Month |
|---|---|---|---|
| CalFresh | CalFresh Expansion to SSI Population | May 2019 | May 2019 |
| CalWORKs | SB 726 Overpayment Threshold Increase Phase I | July 2019 | July 2019 (Minor Updates) *February 2020 (Phase I) |
| CalWORKs | Maximum Aid Payment (MAP) Increase | July 2019 | September 2019 |
| CalFresh | ABAWD Year 2 | September 2019 | August 2019 |
| CalWORKs | CalOAR Phase I | October 2019 | October 2019 |
| CalFresh | Increase Over issuance Threshold | November 2019 | July 2019 (Minor updates) *February 2020 (Major automation) |
| Medi-Cal | Young Adult Expansion | November 2019 | November 2019 |

# 2019 – 2020 State Policy Commitments
# **Completed**

| Program | Policy Item | C-IV/CalSAWS Implementation Month | CalWIN Implementation Month |
|---|---|---|---|
| Child Care | Update CCP 7/New CCP 8 | January 2020 | November 2020 |
| CalFresh | ABAWD Discretionary Exemptions and Notices | February/March 2020 | February/March 2020 |
| CalWORKs | Increases to Asset Test & Vehicle Equity | March 2020 | April 2020 |
| CalWORKs | CalOAR Phase II | May 2020 | May 2020 |
| CalWORKs | Home Visiting Data Collection | May 2020 | May 2020 |
| CalWORKs | Earned Income Disregard and Income Reporting Threshold Change | May 2020 | May 2020 |
| CalWORKs CalFresh Medi-Cal | COVID-19 Efforts including suspending renewals and reporting requirements | March 2020 | March 2020 |

# 2020 – 2021 State Policy Commitments
## In Progress

| Program | Policy Item | C-IV/CalSAWS Targeted Implementation Month | CalWIN Targeted Implementation Month |
|---|---|---|---|
| CalWORKs | Homeless Assistance 16 Cumulative Days | July 2020 | August 2020 |
| CalFresh | Automate the Disaster CalFresh Determination | July 2020 | N/A |
| CalWORKs | OCAT Rebuild & SAWS Integration | August 2020 | August 2020 |
| CalFresh | ABAWD Phase III | May 2021* | N/A |
| CalFresh | Safe Drinking Water | Release is dependent on receipt of final policy* | N/A |
| Child Care | Report and Online Provider Screen | November 2020 | November 2020 |
| CalWORKs | Continue COVID-19 related good cause exemption to untick the 24/48 month time clocks – ends August 31,2020 | August 2020 | August 2020 |
| CalWORKs CalFresh | COVID-19  - Resume renewals and reporting requirements | May 2020 –July 2020 | May 2020 –July 2020 |

* Targeted for implementation in the CalSAWS system only.

# 2020 – 2021 State Policy Commitments
## In Progress

| Program | Policy Item | C-IV/LRS/CalSAWS Targeted Implementation Month | CalWIN Targeted Implementation Month |
|---|---|---|---|
| Medi-Cal | Continue COVID-19 - suspending renewals and reporting requirements | Ongoing | Ongoing |
| Medi-Cal | COVID- 19 –resume renewals and reporting requirements | TBD | TBD |
| Foster Care | Continue COVID-19 support payments for non-minor dependent past the age of 21 though June 30, 2021 | No automation – Managed via CIT 0089-20 | No automation – Counties are using existing non-system determined issuance functionality |

# 2020 – 2021 Key Proposals Reliant on SAWS Automation Changes

| Program | Policy Item | Proposed Policy Effective Date | Proposed Implementation Date | Final Policy Needed By Date+ |
|---|---|---|---|---|
| CalWORKs | Child support disregard increase the pass-through payment to families | January 1, 2022 | November 2021 | September 1, 2020 |
| CalWORKs | Establish a single 60-month CalWORKs time limit and allow clients to participate in a greater array of welfare-to-work activities for the entire 60 months | May 1, 2022 | March 2022 | August 1, 2020 |
| CalWORKs | CalOAR data validation and continued development of performance indicators | TBD | TBD | 12 Months prior to the policy effective date |
| CalFresh | Pre-pop SAR form and pre-pop applications with Medi-Cal Renewals | January 1, 2024 | November 2023 | April, 1, 2022 |

* The SAWS are in discussions with the administration about their ability to automate these proposals during the CalSAWS migration, and the dates by which policy is needed to begin this work.

# 2020 – 2021 Key Proposals Reliant on SAWS Automation Changes

| Program | Policy Item | Proposed Policy Effective Date | Proposed Implementation Date* | Final Policy Needed By Date+ |
|---|---|---|---|---|
| Foster Care | Transitional Housing Supplements for Non Minor dependents | TBD | July 2021 (CalWIN) September 2022 (CalSAWS) | August 1, 2020 |
| Medi-Cal | Medicare part B disregard | TBD | TBD | 12 Months prior to the policy effective date |
| Medi-Cal | Support Act Juvenile | TBD | TBD | 12 Months prior to the policy effective date |
| Medi-Cal | Aged Blind and Disabled FPL Expansion | TBD | May 2021 | November 1, 2020 |

* The SAWS are in discussions with the administration about their ability to automate these proposals during the CalSAWS migration, and the dates by which policy is needed to begin this work.

# CalSAWS and State Budget Update
# Timeline: Recap

- User Acceptance test for the C-IV Counties is only 11 months away!
- Go-live for the C-IV Counties to CalSAWS is only 15 months away!
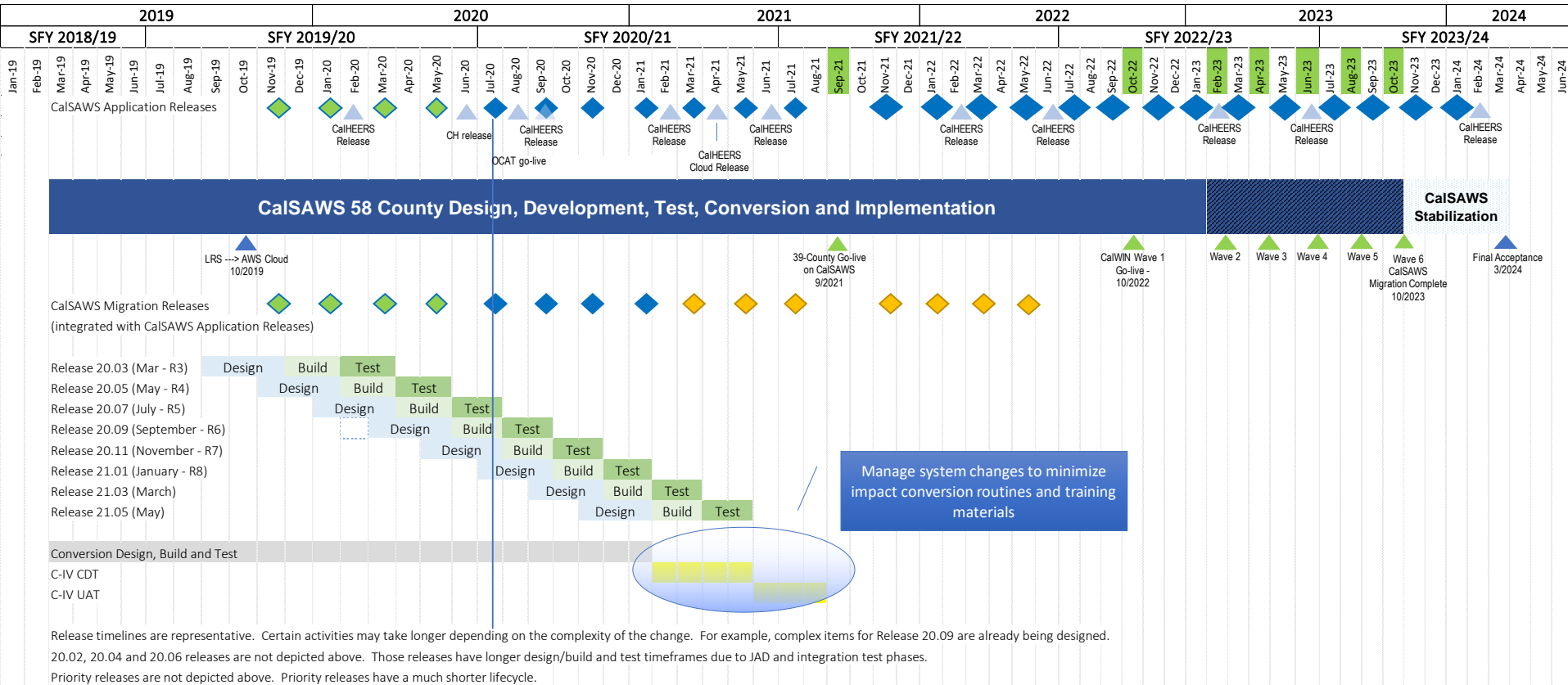
# CalSAWS and State Budget Update
# Scaling back on Enhancements

- For SFY 20-21, COVID-19 changes continue to be a priority along with migration changes and upcoming key State policy changes
  - Consortium staff continue to work closely with CWDA, OSI, CDSS and DHCS to align on policy priorities and to assess impact on migration activities
  - Consortium staff continue to work with Accenture to identify potential impacts to migration scope and timelines for new State policy changes
  - In March 2020, the project asked committees to stop prioritizing their top 10 lists due to COVID-19 emergency changes taking precedence
    - To date, over 65 COVID-19 related system changes have been implemented in both CalSAWS and C-IV, with over 10 more in flight for each system

# CalSAWS and State Budget Update
## Scaling back on Enhancements

Current priorities for the CalSAWS and C-IV Systems:
- Emergency policy items. E.g. COVID related system changes
- Migration Requirements, inclusive of DDIDs, SCRs, DDCRs
- Upcoming state policy items with agreed upon implementation dates that require changes to both systems before C-IV migration E.g. COLAs
- Single county operational changes (e.g. new EBT printers, office moves, bank change)

# CalSAWS and State Budget Update
# Scaling back on Enhancements

What is no longer being prioritized for the C-IV System:

- Enhancements, other than operational enhancements
- Policy items with a state approved implementation date of CalSAWS migration or later for the 39 C-IV Counties
- SCRs that increase the scope of conversion or other migration teams such as training
- Job Aids and OLH

# CalSAWS and State Budget Update
# Scaling back on Enhancements

Statewide Portal Project:
- Vendor negotiations complete
- Contract and APD with the Feds for approval
- JPA Board approval targeted for August 2020

Existing LRS, C-IV, MyBenefits CalWIN Portal/Mobile Applications:
- Any enhancement requests received for any of the existing portals will be considered for the new portal
- No planned enhancements unless there is an immediate need/emergency E.g COVID-19 message updates
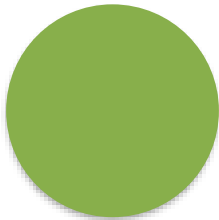
# Medi-Cal RE Process Update

# Medi-Cal RE Process Update

- Turning on MC RE/discontinuance batch is currently still on hold.

- It appears the PHE will be extended for another 90 days, through 10/25/2020.

- The Project has discussed with DHCS the need to put together ideas for moving forward once the PHE is ended.

- The Project is finalizing automation to protect MC cases from auto discontinuing through batch when certain criteria are set. Targeted release is mid-August.
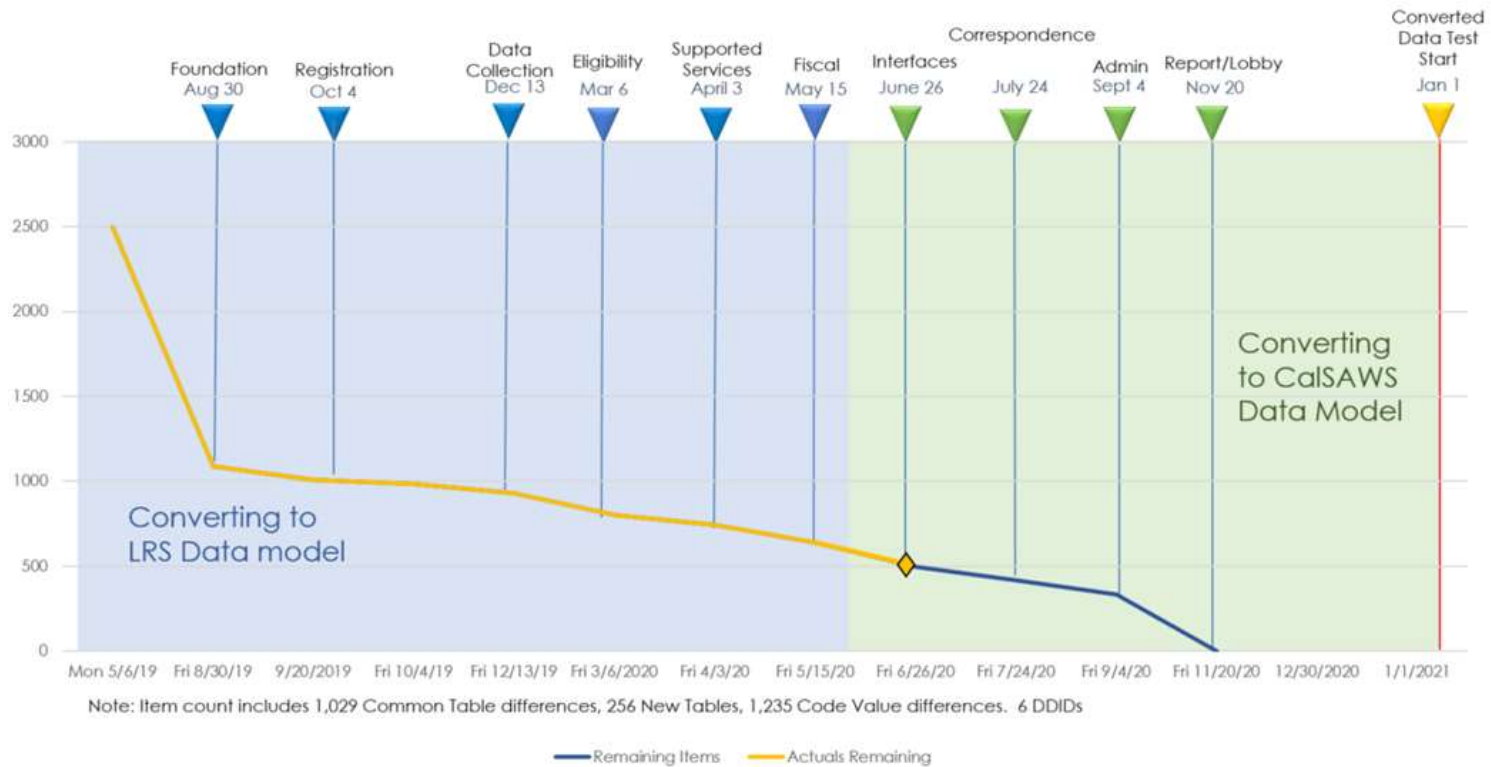
# Conversion Status and Accomplishments

# Conversion Status and Accomplishments

## C-IV Burndown Chart and Progress



Note: Item count includes 1,029 Common Table differences, 256 New Tables, 1,235 Code Value differences. 6 DDIDs
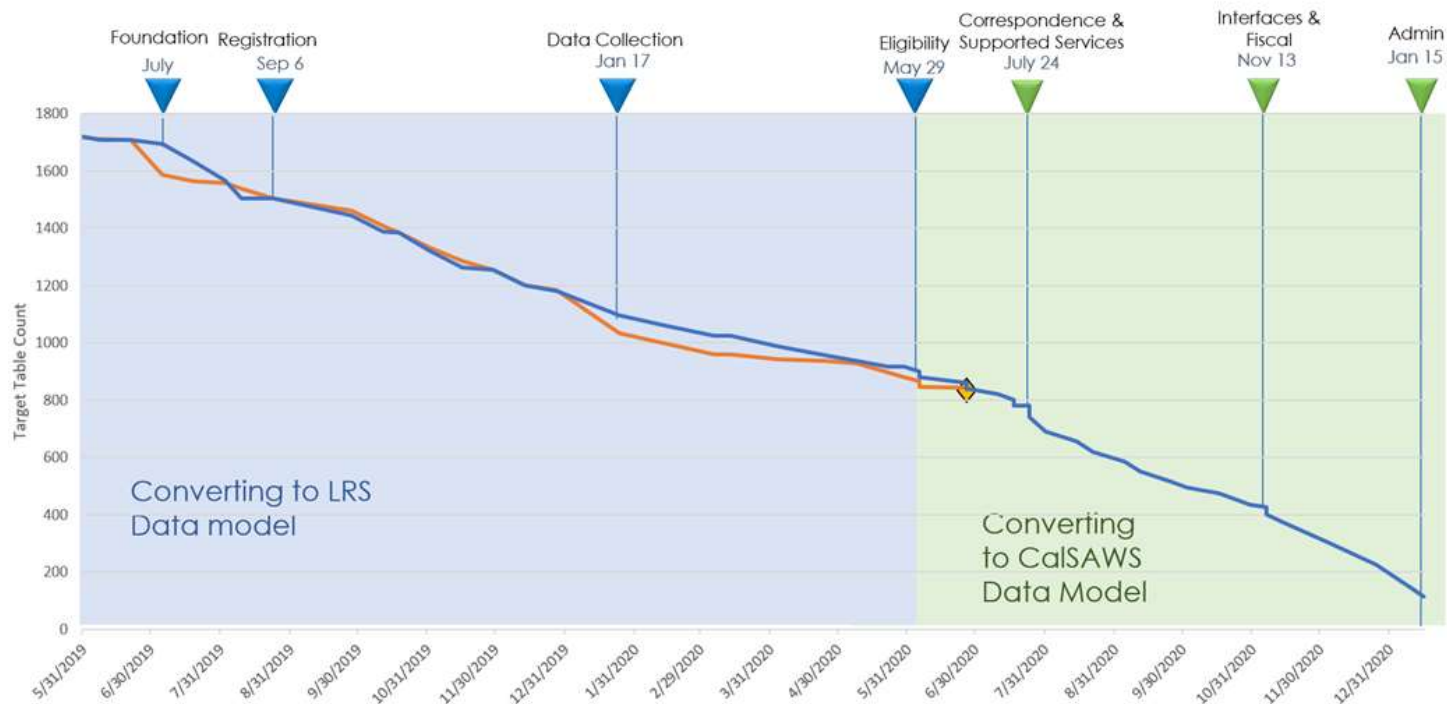
**C-IV Conversion Continues On-Schedule with:**

- Foundation, Registration, Data Collections, Eligibility, Supported Services, and Fiscal areas Complete;
- Interfaces are In-Progress; and,
- Correspondence, Admin, Reports, and Lobby areas On-Schedule to Complete Prior to the Next C-IV Conversion Phase/Milestone (Converted Data Test and Mock Conversion).

# Conversion Status and Accomplishments
## CalWIN Burndown Chart and Progress



Note: 1718 is the cumulative tables to be looked at. This includes looking at tables multiple times as tables cross functional area. There are 708 unique tables identified for conversion evaluation

**CalWIN Conversion Continues On-Schedule with:**
- Foundation, Registration, Data Collections, and Eligibility areas Complete;
- Supported Services and Correspondence areas In-Progress; and,
- Fiscal, Interfaces, Admin, Reports, and Lobby areas On-Schedule to Complete Prior to the Next CalWIN Conversion Phase/Milestone (Converted Data Test and Mock Conversion).

# Conversion Status and Accomplishments

## Ancillary Systems and Duplicate Person Update

- **Ancillary Systems**
  - Discovery sessions scheduled through July
    - Collections session held July 7
  - Planning sessions will be scheduled for August
- **Duplicate Persons**
  - Person De-Duplication Business Process and Communication Protocol Document
  - Person De-Duplication Report

# Conversion Demonstration

## Converted Placer Case

# Conversion Demonstration
## Converted Placer Case

# Conversion Demonstration

## Converted Placer Case

# Conversion Demonstration

## Converted Placer Case

# OCAT Update

# OCAT Project Update

## Executive Summary

- Critical path: schedule is for System Test to complete on 7/10, UAT to begin on 7/20, Training to begin on 7/27, and Go-live on 8/24
- Schedule is tight but here's the plan for critical activities

| Critical Path Activity | Plan |
|---|---|
| As of 7/9, system test pass rate is 89% and 15 high/medium defects exist. Areas of highest fails/defects are: ADA and Reports | 4 defects are scheduled to be resolved by 7/10; remaining defects may be resolved after 7/10 but before UAT. System Test exit criteria is planned to be met by 7/17. |
| UAT preparation activities have had some impacts due to system test defects and UAT environment availability | UAT environment delivered to Consortium UAT team on 7/13. System defects impacting UAT to be resolved by 7/10 with a few resolved after 7/10 but before UAT. Cambria and Consortium are working together to review and finalize UAT test scripts and prepare for UAT kickoff. |
| Training materials review and updates have had some impacts due to system test defects and limited environment access | Some agreed upon adjustments have been made to training schedule without impacting overall end dates. Training materials review and updates are in progress for FDEL date. |

# OCAT Project Update

## High Level Project Timeline

| Key Activities | Adjusted Schedule | | Implementation Timeline | | |
|---|---|---|---|---|---|
| | Start | Finish | Jun | Jul | Aug |
| Final System Test | 6/15/20 | 7/17/20 | | | |
| Final UAT Prep/Readiness Check | 7/13/20 | 7/17/20 | | | |
| UAT Execution | 7/20/20 | 8/20/20 | | | |
| Training Delivery | 7/27/20 | 8/20/20 | | | |
| Cutover and Go-Live | 8/21/20 | 8/24/20 | | | |

- Schedule risk opened to monitor/mitigate some delays with finalizing system test and potential impacts to other critical implementation activities converging on tight timelines
  - System test exit criteria
  - Non-functional test exit criteria
  - UAT entry and exit criteria
  - Implementation readiness (deliverables, stakeholders, cutover tasks)
  - Review and update of final training materials
  - Review and update of final system documentation for M&O
- Go-Live
  - Monday, August 24, 2020

# OCAT Project Update

## Application Development and Test

- Development phase was 99.4 % complete as of June 12

- Cambria has requested and the Consortium approved deferral of two items

  - Chatbot – provides county users on-screen access to FAQs, how to contact the help desk, how to reset a password
    - No change to go-live date
    - Plan is to deliver to UAT by 8/10 and allow 2 weeks to test this functionality

  - Business Rules User Interface – only for Consortium Admin user to edit existing business rules
    - Change from August go-live to September
    - Does not impact county users as this function is limited to a Consortium Admin role

# OCAT Project Update

## Final System Test

| System Test 7/9/20 | Test Cases | | | | | Open Defects | | | |
|---|---|---|---|---|---|---|---|---|---|
| | Passed | Failed | Not Executed | Execution Coverage | Pass Rate | High | Med | Low | Total |
| Totals | 400 | 43 | 8 | 98% | 89% | 1 | 14 | 17 | 32 |

Exit criteria: execute all tests and resolve all high/med defects

- Test cases
  - 8 test cases not executed (related to 5 reports and data extract)
  - 32 test cases failed with High/Med priority defects (steps executed)
  - 11 test cases failed with only Low priority defects (steps executed)
- Defects open
  - High
    - 1 to be resolved by 7/12
  - 14 Medium
    - 4 to be resolved by 7/10
    - 4 to be resolved by 7/12
    - 4 to be resolved by 7/17
    - 2 determined not required for UAT



Open High/Med Defects
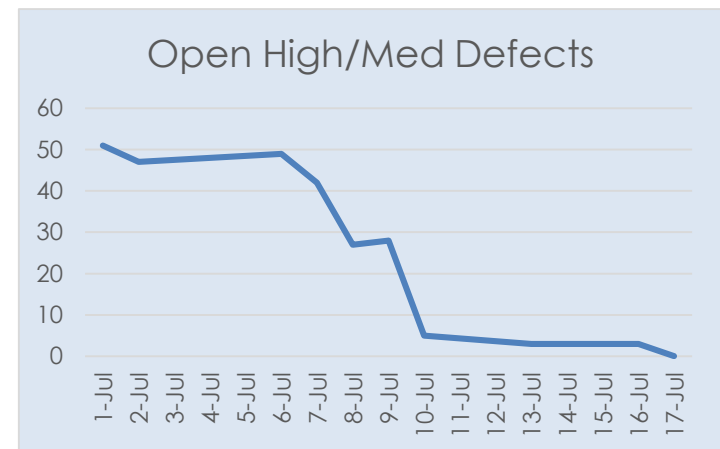
# OCAT Project Update
## Final System Test

Closeout

- Resolve 13 open High/Med defects required for UAT + 7 potential new defects (projected) from not executed/failed tests = 20 potential defects

As of July 9

Closeout projections for week 5

Test cases with low priority defects only

| Test Cases | Week 1 15-Jun | Week 2 22-Jun | Week 3 29-Jun | Week 4 6-Jul | Week 5 13-Jul |
|---|---|---|---|---|---|
| Passed | 40 | 250 | 334 | 400 | 437 |
| Failed | 9 | 53 | 104 | 43 | 15 |
| Executed | 49 | 303 | 438 | 443 | 452 |
| Not Executed | 403 | 149 | 14 | 9 | 0 |
| Pass Rate | 82% | 83% | 76% | 90% | 97% |
| Total Open Defects | 45 | 71 | 65 | 32 | 12 |
| Cumulative Closed Defects | | 54 | 73 | 100 | 120 |

20 defects closed; low priority open

Closing > 20 defects per week

- Performance test to be completed with analysis and tuning
- Disaster recovery test to be completed
- Penetration test is scheduled to begin on 7/13 and will be conducted by an independent third party

# OCAT Project Update

## Training and Implementation

Training Materials

- Draft desk guides and web-based training (WBT) materials were submitted on 6/29/20

- Materials are currently in review with Consortium, QA, and some county feedback

  - High volume of comments and documents to review and update

  - Some application functionality being finalized through system test (e.g., reports, ADA) which will result in changes needed to materials

System Maintenance Plan

- Draft system documentation was submitted on 6/26/20

- Materials were reviewed and comments provided

  - High volume of comments and documents to review and update

  - Additional detail and clarifications are needed

# County Readiness Tracking

## Tasks

| ID | Task | Start Date | End Date |
|----|------|-----------|----------|
| 1 | Define Your Project Team & Communication Plans | 4/9/2020 | 5/7/2020 |
| 2 | Know Your Stakeholders | 4/9/2020 | 5/7/2020 |
| 3 | Identify & Prepare for Process Changes | 5/7/2020 | 6/11/2020 |
| 4 | Prepare for Connectivity | 6/11/2020 | 7/09/2020 |
| 5 | Prepare for Training | 6/11/2020 | 7/9/2020 |
| 6 | Execute Self-Paced Training | 7/27/2020 | 8/21/2020 |
| 7 | Cutover to New System | 7/9/2020 | 8/24/2020 |
| 8 | Post-Go-Live Support | 8/24/2020 | 9/30/2020 |

# OCAT User Acceptance Test

## Preparation Activities

- Logistics
  - Planning for remote testing
  - Preparing tools and support resources needed
  - Testing with CalWIN, C-IV, and LRS Counties
- Testers
  - Up to 35 testers identified
  - Testers will be trained by the OCAT team on UAT execution on OCAT system, tools, and processes
- Scenarios and Cases
  - End-to-end scenarios with OCAT, LRS, C-IV, and CalWIN
  - Currently finalizing test scenarios, cases, and data
  - Received county feedback on test scenarios
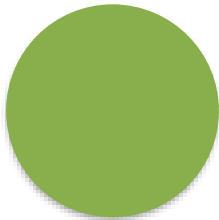
# OCAT User Acceptance Test

## Execution Activities

- Test Execution
  - Daily/weekly test execution plans
  - Testers will execute planned test cases, record results, identify defects, and retest/close defects
  - Daily test status and defect triage meetings
  - Weekly test status reports

# CalSAWS Quarterly Financial Update

# CalSAWS Quarterly Financial Updates

## Overview

CalSAWS DD&I and Premise

CalWIN M&O

C-IV M&O

LRS M&O

JPA Admin

**1** Actuals to Date
**Based on Vendor Invoices & County Claims**

**2** Projections (Estimates to Complete)
**Estimated Costs for Future Months**

**3** Estimate at Completion (EAC)
**Actual Costs Plus Estimated**

**4** Total Allocation/Budget
**Amount Allocated by Line Item for the Approved Budget**

**5** Balance
**Difference Between EAC and Budget**
**Negative balance is over budget**
**Positive balance is under budget**

**6** % Expended to Date (Actuals)
**Percent of Actuals to Date Divided by the Budget**

**7** % EAC to Budget
**Percent of EAC Divided by the Budget**

## Total Actuals & Projections



- $12,841,079
- $39,879,775
- $420,517,984

■ Actuals  ■ Projections (ETC)  ■ Over Budget  ■ Under Budget

## % Expended to Date



| | Actuals | Projections | Under Budget |
|---|---|---|---|
| LRS | 5% | 87% | 7% / 0% |
| C-IV | 13% | 83% | 3% |
| CalWIN | 10% | 85% | 5% |
| CalSAWS | 6% | 94% | |

■ Actuals  ■ Projections  ■ Over Budget  ■ Under Budget
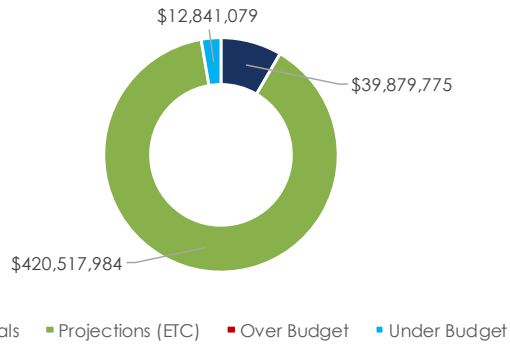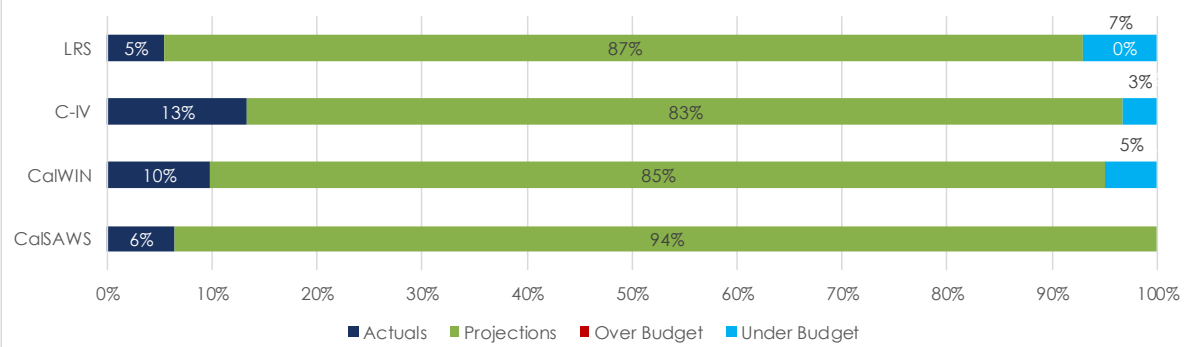
| Category | Actuals to Date[1] | Projections (ETC) | EAC | Total Allocation (Budget) | Balance + Under / (-Over) | % Expended to Date | % EAC to Budget | Notes |
|---|---|---|---|---|---|---|---|---|
| **CalSAWS** | **$13,526,510** | **$195,765,021** | **$209,291,531** | **$209,291,531** | **$0** | **6.5%** | **100.0%** | |
| DD&I App. Dev. | $4,037,253 | $31,347,112 | $35,384,365 | $35,384,365 | $0 | 11.4% | 100.0% | |
| DD&I Non-App. Dev. | $8,735,118 | $103,037,560 | $111,772,678 | $111,772,678 | $0 | 7.8% | 100.0% | |
| DD&I Training | $11,163 | $3,003,723 | $3,014,886 | $3,014,886 | $0 | 0.4% | 100.0% | |
| DD&I GA/GR | $0 | $7,205,370 | $7,205,370 | $7,205,370 | $0 | 0.0% | 100.0% | |
| DD&I Procurement | $104,028 | $1,878,917 | $1,982,945 | $1,982,945 | $0 | 5.2% | 100.0% | |
| CalSAWS M&O | $279,875 | $10,553,920 | $10,833,795 | $10,833,795 | $0 | 2.6% | 100.0% | |
| CalSAWS Premise | $359,073 | $38,738,419 | $39,097,492 | $39,097,492 | $0 | 0.9% | 100.0% | |
| **CalWIN M&O** | **$10,726,180** | **$93,516,379** | **$104,242,559** | **$109,731,489** | **$5,488,930** | **9.8%** | **95.0%** | |
| CalWIN M&O | $9,543,335 | $85,006,921 | $94,550,256 | $94,550,256 | $0 | 10.1% | 100.0% | |
| CalHEERS Interface | $952,872 | $6,883,429 | $7,836,301 | $13,325,231 | $5,488,930 | 7.2% | 58.8% | Projected Savings from Application Maintenance |
| CalHEERS CSCN | $229,973 | $1,626,029 | $1,856,002 | $1,856,002 | $0 | 12.4% | 100.0% | |
| **C-IV M&O** | **$12,288,517** | **$77,261,880** | **$89,550,397** | **$92,610,360** | **$3,059,963** | **13.3%** | **96.7%** | |
| C-IV M&O | $11,917,557 | $66,981,944 | $78,899,501 | $78,899,501 | $0 | 15.1% | 100.0% | |
| CalHEERS Interface | $114,935 | $7,176,939 | $7,291,874 | $10,351,837 | $3,059,963 | 1.1% | 70.4% | Projected Savings from Application Maintenance |
| Covered CA CSC | $256,025 | $3,102,997 | $3,359,022 | $3,359,022 | $0 | 7.6% | 100.0% | |
| **LRS M&O** | **$3,338,568** | **$53,193,194** | **$56,531,762** | **$60,823,948** | **$4,292,186** | **5.5%** | **92.9%** | |
| LRS M&O | $3,338,568 | $49,941,184 | $53,279,752 | $55,525,576 | $2,245,824 | 6.0% | 96.0% | Projected Savings from Hardware/Software |
| CalHEERS Interface | $0 | $3,252,010 | $3,252,010 | $5,298,372 | $2,046,362 | 0.0% | 61.4% | Projected Savings from Application Maintenance |
| **JPA Admin. Budget** | **$0** | **$781,510** | **$781,510** | **$781,510** | **$0** | **0.0%** | **100.0%** | |
| CalSAWS 58 Counties | $0 | $781,510 | $781,510 | $781,510 | $0 | 0.0% | 100.0% | |
| **Total** | **$39,879,775** | **$420,517,984** | **$460,397,759** | **$473,238,838** | **$12,841,079** | **8.4%** | **97.3%** | |

[1.] July partial actuals (Invoices)

# Cal**SAWS** | SFY 2020/21 CONSORTIUM PERSONNEL BUDGET & FTEs

| CATEGORY | Actuals to Date | Projections (ETC) | EAC | Total Allocation (Budget) | BALANCE +Under / (-Over) | % Expended to Date | % EAC to Budget |
|---|---|---|---|---|---|---|---|
| **CalSAWS DD&I** | **$1,486,136** | **$19,175,020** | **$20,661,156** | **$20,661,156** | **$0** | **7.2%** | **100.0%** |
| Consortium Personnel - County[1] | $0 | $10,168,057 | $10,168,057 | $10,168,057 | $0 | 0.0% | 100.0% |
| Consortium Personnel - Contractor[2,3] | $1,486,136 | $9,006,963 | $10,493,099 | $10,493,099 | $0 | 14.2% | 100.0% |
| **CalWIN M&O** | **$407,662** | **$1,977,768** | **$2,385,430** | **$2,385,430** | **$0** | **17.1%** | **100.0%** |
| Consortium Personnel - County[1] | $15,169 | $264,545 | $279,714 | $279,714 | $0 | 5.4% | 100.0% |
| Consortium Personnel - Contractor[2,3] | $392,493 | $1,713,223 | $2,105,716 | $2,105,716 | $0 | 18.6% | 100.0% |
| **C-IV M&O** | **$277,486** | **$3,951,014** | **$4,228,500** | **$4,228,500** | **$0** | **6.6%** | **100.0%** |
| Consortium Personnel - County | $0 | $2,076,441 | $2,076,441 | $2,076,441 | $0 | 0.0% | 100.0% |
| Consortium Personnel - Contractor[2] | $277,486 | $1,874,573 | $2,152,059 | $2,152,059 | $0 | 12.9% | 100.0% |
| **LRS M&O** | **$0** | **$13,373,256** | **$13,373,256** | **$13,373,256** | **$0** | **0.0%** | **100.0%** |
| Consortium Personnel - County | $0 | $13,373,256 | $13,373,256 | $13,373,256 | $0 | 0.0% | 100.0% |
| Consortium Personnel - Contractor[2] | $0 | $0 | $0 | $0 | $0 | 0.0% | 0.0% |
| **Premise** | **$24,752** | **$833,175** | **$857,927** | **$857,927** | **$0** | **2.9%** | **100.0%** |
| Consortium Personnel - County | $0 | $697,039 | $697,039 | $697,039 | $0 | 0.0% | 100.0% |
| Consortium Personnel - Contractor[2] | $24,752 | $136,136 | $160,888 | $160,888 | $0 | 15.4% | 100.0% |
| **Total** | **$2,196,036** | **$39,310,233** | **$41,506,269** | **$41,506,269** | **$0** | **5.3%** | **100.0%** |

# Cal**SAWS** | SFY 2020/21 CONSORTIUM PERSONNEL FTEs

| SFY 2020/21 - Consortium Personnel FTE Counts | Current/Planned FTEs |
|---|---|
| **CalSAWS DD&I** | **110** |
| Consortium Personnel - County[1] | 32 |
| Consortium Personnel - Contractor[2] | 43 |
| Consortium Personnel - Contractor Limited Term[3] | 10 |
| TBD[4] | 25 |
| **CalWIN M&O** | **21** |
| Consortium Personnel - County[1] | 1 |
| Consortium Personnel - Contractor[2] | 12 |
| Consortium Personnel - Contractor Limited Term[3] | 6 |
| TBD[4] | 2 |
| **C-IV M&O** | **25** |
| Consortium Personnel - County[1] | 13 |
| Consortium Personnel - Contractor[2] | 9 |
| Consortium Personnel - Contractor Limited Term[3] | 1 |
| TBD[4] | 2 |
| **LRS M&O** | **68** |
| Consortium Personnel - County[1] | 68 |
| Consortium Personnel - Contractor[2] | 0 |
| Consortium Personnel - Contractor Limited Term[3] | 0 |
| TBD[4] | 0 |
| **Premise** | **2** |
| Consortium Personnel - County[1] | 1 |
| Consortium Personnel - Contractor[2] | 1 |
| **Total** | **226** |

[1]Includes only Consortium Staff, does not include County Support Staff

[2]Includes RGS and CSAC employees

[3]Includes RGS, CSAC, and First Data Staff (Non-Employees)

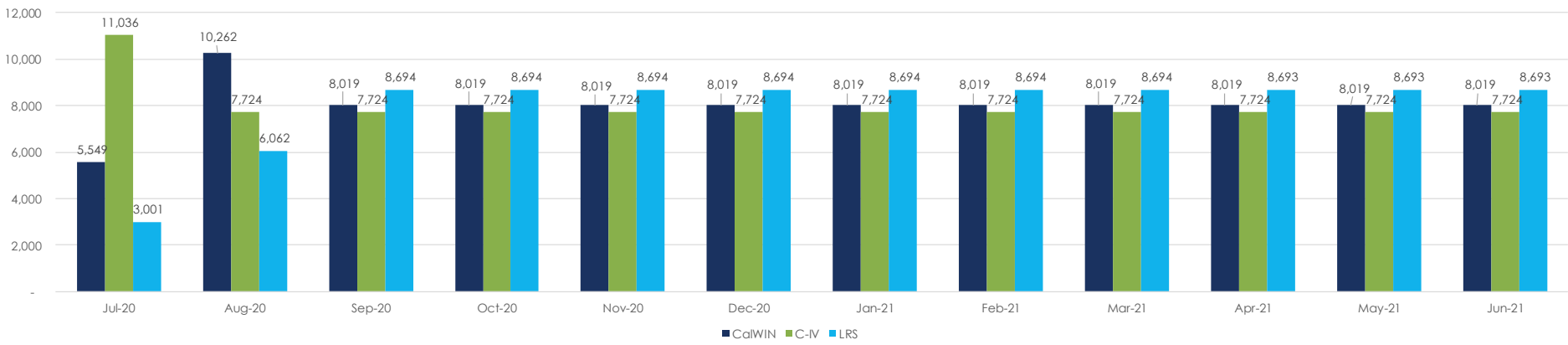[4]Does not account for backfill considerations

# Cal**SAWS** | SFY 2020/21 CHANGE BUDGET (APPLICATION MAINTENANCE / M&E HOURS)

| Change Budget Category | Actuals to Date | Projections (ETC) | EAC | Total Allocation (Budget) | BALANCE +Under / (-Over) | % Expended to Date | % EAC to Budget | Notes |
|---|---|---|---|---|---|---|---|---|
| **CalWIN M&O** | **$2,563,512** | **$26,393,585** | **$28,957,097** | **$34,446,027** | **$5,488,930** | **7.4%** | **84.1%** | |
| CalWIN M&O | $2,252,329 | $11,424,098 | $13,676,427 | $13,676,427 | $0 | 16.5% | 100.0% | |
| CalHEERS Interface Change Budget | $172,116 | $2,621,740 | $2,793,856 | $8,282,786 | $5,488,930 | 2.1% | 33.7% | Projected Savings from Application Maintenance |
| CalHEERS CSCN Change Budget | $0 | $249,595 | $249,595 | $249,595 | $0 | 0.0% | 100.0% | |
| CalWIN Premise | $139,067 | $12,098,152 | $12,237,219 | $12,237,219 | $0 | 1.1% | 100.0% | |
| **C-IV M&O** | **$3,578,932** | **$24,348,582** | **$27,927,514** | **$30,987,477** | **$3,059,963** | **11.5%** | **90.1%** | |
| C-IV M&O | $3,434,034 | $13,869,966 | $17,304,000 | $17,304,000 | $0 | 19.8% | 100.0% | |
| CalHEERS Interface Change Budget | $0 | $2,307,880 | $2,307,880 | $5,367,843 | $3,059,963 | 0.0% | 43.0% | Projected Savings from Application Maintenance |
| Covered CA CSC Change Budget | $36,366 | $665,202 | $701,568 | $701,568 | $0 | 5.2% | 100.0% | |
| C-IV Premise | $108,532 | $7,505,534 | $7,614,066 | $7,614,066 | $0 | 1.4% | 100.0% | |
| **LRS M&O** | **$1,076,411** | **$25,205,570** | **$26,281,981** | **$28,328,343** | **$2,046,362** | **3.8%** | **92.8%** | |
| LRS M&E | $1,060,229 | $10,363,771 | $11,424,000 | $11,424,000 | $0 | 9.3% | 100.0% | |
| CalHEERS Interface Change Budget | $0 | $1,498,090 | $1,498,090 | $3,544,452 | $2,046,362 | 0.0% | 42.3% | Projected Savings from Application Maintenance |
| LRS/Calsaws Premise | $16,182 | $13,343,709 | $13,359,891 | $13,359,891 | $0 | 0.1% | 100.0% | |
| **TOTAL** | **$7,218,855** | **$75,947,737** | **$83,166,592** | **$93,761,847** | **$10,595,255** | **7.7%** | **88.7%** | |

Note: Includes 8,000 hours/month for M&O per system, plus premise hours.

Application Maintenance
Hours/Month

# CalSAWS | SFY 2020/21 APPLICATION MAINTENANCE HOURS BY MONTH & SYSTEM

| Change Budget Category | Jul-20 | Aug-20 | Sep-20 | Oct-20 | Nov-20 | Dec-20 | Jan-21 | Feb-21 | Mar-21 | Apr-21 | May-21 | Jun-21 | Total |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| **CalWIN M&O** | **5,549** | **10,262** | **8,019** | **8,019** | **8,019** | **8,019** | **8,019** | **8,019** | **8,019** | **8,019** | **8,019** | **8,019** | **96,001** |
| Design & Build | 1,573 | 2,596 | - | - | - | - | - | - | - | - | - | - | 4,169 |
| Test | 1,025 | 3,766 | - | - | - | - | - | - | - | - | - | - | 4,791 |
| Management & Other Support[1] | 2,951 | 3,900 | - | - | - | - | - | - | - | - | - | - | 6,851 |
| Projection | - | - | 8,019 | 8,019 | 8,019 | 8,019 | 8,019 | 8,019 | 8,019 | 8,019 | 8,019 | 8,019 | 80,190 |
| **C-IV M&O** | **11,036** | **7,724** | **7,724** | **7,724** | **7,724** | **7,724** | **7,724** | **7,724** | **7,724** | **7,724** | **7,724** | **7,724** | **96,000** |
| Design & Build | 5,969 | - | - | - | - | - | - | - | - | - | - | - | 5,969 |
| Test | 1,193 | - | - | - | - | - | - | - | - | - | - | - | 1,193 |
| Management & Other Support[2] | 3,874 | - | - | - | - | - | - | - | - | - | - | - | 3,874 |
| Projection | - | 7,724 | 7,724 | 7,724 | 7,724 | 7,724 | 7,724 | 7,724 | 7,724 | 7,724 | 7,724 | 7,724 | 84,964 |
| **LRS M&O** | **3,001** | **6,062** | **8,694** | **8,694** | **8,694** | **8,694** | **8,694** | **8,694** | **8,694** | **8,693** | **8,693** | **8,693** | **96,000** |
| Design & Build | 1,623 | 4,252 | - | - | - | - | - | - | - | - | - | - | 5,875 |
| Test | 684 | 986 | - | - | - | - | - | - | - | - | - | - | 1,670 |
| Management & Other Support[3] | 694 | 824 | - | - | - | - | - | - | - | - | - | - | 1,518 |
| Projection | - | - | 8,694 | 8,694 | 8,694 | 8,694 | 8,694 | 8,694 | 8,694 | 8,693 | 8,693 | 8,693 | 86,937 |
| **COMBINED TOTAL** | **19,586** | **24,048** | **24,437** | **24,437** | **24,437** | **24,437** | **24,437** | **24,437** | **24,437** | **24,436** | **24,436** | **24,436** | **288,001** |

**NOTES:**

Actuals for July 2020, partial actuals for August. Projections for remaining months.

| Management & Other Support Includes: | [1]CalWIN | [2]C-IV | [3]LRS |
|---|---|---|---|
| Project Management | ☑ | ☑ | ☑ |
| Release Communication | ☑ | ☑ | ☑ |
| SIRFRA/ SCERFRA/ External Inquiries | ☐ | ☑ | ☑ |
| Technical Support (Release Support) | ☑ | ☐ | ☑ |
| Change Management/Training | ☑ | ☑ | ☑ |
| Defect Fix (If Not Warrantable) | ☐ | ☑ | ☐ |
| Batch Support | ☐ | ☑ | ☐ |
| Level 3 Application Support | ☐ | ☑ | ☐ |
| Meetings | ☐ | ☑ | ☐ |
| Translation Services | ☑ | ☐ | ☐ |
| Offsets Due to Liquidated Damages | ☑ | ☐ | ☑ |
| Data Change Requests | ☑ | ☑ | ☐ |

# CalSAWS | SFY 2020/21 CONTRACT OBLIGATIONS

| Warranty & Liquidated Damages Detail - SFY 2020/21 | | | | | |
|---|---|---|---|---|---|
| Category | Contract | Service Month | Amount | Invoice Month | Invoice # |
| Deficiency Resolution Timeliness; Disaster Recovery | CalWIN | December-19 | $1,000 | Pending Clearance | |
| Covered CA Contact CalWIN System Availability -outage on 2/18; Deficiency Resolution Timeliness | CalWIN | February-20 | $59,000 | Pending Clearance | |
| Covered CA Contact CalWIN System Availability | CalWIN | March-20 | $24,250 | Pending Clearance | |
| **Total** | | | **$84,250** | | |

| Hours & Credits | | | | | |
|---|---|---|---|---|---|
| Category | Actuals | Projections (ETC) | EAC | Allowance | BALANCE +Under / (-Over) |
| CalWIN Modernization ("Modification") Hours | $2,804,518 | $2,406,587 | $5,211,105 | $7,006,550 | $1,795,445 |
| CalWIN Business Intelligence (BI) | $3,838,700 | $132,514 | $3,971,215 | $3,971,215 | $0 |
| CalWIN IDMS | $0 | $1,500,000 | $1,500,000 | $1,500,000 | $0 |
| CalWIN Business Rules Engine (BRE) | $0 | $3,500,000 | $3,500,000 | $3,500,000 | $0 |
| C-IV Royalty Fees | $600,006 | $0 | $600,006 | $600,006 | $0 |
| **Total** | **$7,243,224** | **$7,539,101** | **$14,782,325** | **$16,577,771** | **$1,795,445** |

Modernization Hours are updated each August with 8,500 hours; includes hours from prior years. Added two data retention proposals.
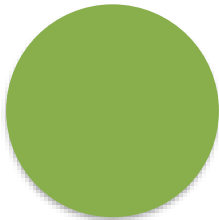
IDMS estimate of $1.5M for potential utilization of hours for CalSAWS GA/GR.

BRE estimate of $3.5M for potential utilization of hours for CalSAWS GA/GR.

Royalty fees includes $200k shifted from SFY 18/19 and $200K from SFY 20/21 in addition to SFY 19/20.

# CalSAWS Staffing Update

# CalSAWS Staffing Update

## Recruitment Statistics

| Team | Position | Open | Applicants |
|---|---|---|---|
| **Policy, Design, Governance / Application Development & Test** | Business Analyst | 4 Limited-Term | 6 |
| | Business Analyst / Tester | 3 Long-Term | 19 |
| | Lead Business Analyst | 1 Long-Term | 10 |
| | Lead Business Analyst CDSS | 1 Long-Term | 7 |
| **Customer Engagement** | Change Management Lead | 1 Limited-Term | 13 |
| | Implementation Coordinator | 3 Limited-Term | 15 |
| **Technical Operations** | Cloud Analyst | 1 Long-Term | 4 |
| | Conversion Analyst | 3 Limited-Term | 6 |
| | Help Desk Analyst | 1 Limited-Term | 5 |
| **PMO** | PMO Analyst | 1 Long-Term | 11 |
| | **Total** | **19** | **96** |

Individual Applications: 43

# CalSAWS Staffing Update

## Next Steps

- Select Candidates to Interview and Schedule Interviews 7/1 – 7/10

- Interviews – 7/13 – 7/31

- Identify selections 8/3 – 8/14

- Notify Directors and Receive Director Confirmation 8/17 – 8/21

- Candidate Notifications & Accept/Reject 8/24 – 8/28

| Sun | Mon | Tue | Wed | Thu | Fri | Sat |
|-----|-----|-----|-----|-----|-----|-----|
| | | | 1 | 2 | 3 | 4 |
| 5 | 6 | 7 | 8 | 9 | 10 | 11 |
| 12 | 13 | 14 | 15 | 16 | 17 | 18 |
| 19 | 20 | 21 | 22 | 23 | 24 | 25 |
| 26 | 27 | 28 | 29 | 30 | 31 | |

# Procurement Update

- Portal/Mobile
- CalWIN OCM
- Central Print
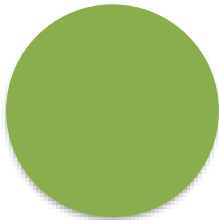
# CalSAWS Statewide Portal/Mobile Application
## Timeline

| PROCUREMENT EVENT | DATE |
|---|---|
| Released RFP | November 4, 2019 |
| Contractor Proposal Due Date | January 22, 2020 |
| Evaluated Proposals and Prepared Vendor Selection Report | January 23 – April 21, 2020 |
| Consortium Issued Notice of Intent to Award to Deloitte | April 22, 2020 |
| Contract Negotiations | April 27 – May 13, 2020 |
| State, Federal and JPA Board of Directors Approval of Agreement | May 14 – August 7, 2020 |
| Start Date of Statewide Portal/Mobile App Vendor | August 10, 2020 |
| Portal/Mobile App Go-Live | September 2021 |

# CalWIN Training, OCM & Implementation Support
## Timeline

| PROCUREMENT EVENT | DATE |
|---|---|
| Released RFP | December 17, 2019 |
| Contractor Proposal Due Date | April 23, 2020 |
| Evaluate Proposals and Prepare Vendor Selection Report | April 24 – August 5, 2020 |
| Consortium Issues Notice of Intent to Award | August 6, 2020 |
| Contract Negotiations | August 10 – September 1, 2020 |
| State, Federal and Consortium JPA Board of Directors Approval of Agreement | September 4 – December 1, 2020 |
| Planned Start Date of CalWIN Contractor | December 2, 2020 |

- Vendor oral presentations and key staff interviews: July 6-9.

# Central Print Procurement
## New Timeline

| Procurement Event | Date |
|---|---|
| Develop Requirements and RFP | January 21 – April 22, 2020 |
| Consortium RFP Review and Approval | April 23 – May 5, 2020 |
| State RFP Review and Approval | May 6 – July 6, 2020 |
| Federal RFP Review and Approval | July 8, 2020 |
| RFP Release | July 8, 2020 |
| Bidder's Conference | July 16, 2020 |
| Contractor Proposal Due Date | September 8, 2020 |
| Evaluate Proposals and Prepare Vendor Selection Report | September 9 – October 30, 2020 |
| Consortium Issues Notice of Intent to Award | November 2, 2020 |
| Contract Negotiations | November 4 – 12, 2020 |
| State, Federal and Consortium JPA Board of Directors Approval of Agreement | November 16, 2020 – February 9, 2021 |
| Planned Start Date of Central Print Contractor | February 22, 2021 |

- Have requested waiver from prior approval from CMS and expedited review from FNS. In order to accommodate a new start date of February 2021, the Consortium has moved the RFP release date to July 8, 2020 (from July 28). Should federal sponsors require changes, addenda to the RFP will be issued.

# Adjourn Meeting