

# CalSAWS

California Statewide Automated Welfare System

## **Design Document**

CA-50303

Update WTW/REP Sanctions from Program Level  
to Person Level

CalSAWS	DOCUMENT APPROVAL HISTORY	
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## 1 OVERVIEW

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The purpose of this SCR CA-50303 in reference to EAS Manual is to make structural changes to the system's architecture for WTW/REP program sanctions logic. These changes will benefit the County Welfare Department (CWD) worker to better track the sanctions or penalties of program individuals.

### 1.1 Current Design

In the CalSAWS current design, the individuals that are not compliant with WTW/REP requirements have sanctions imposed for WTW/REP programs, which results in a financial penalty for CalWORKs/RCA. When a sanctioned participant is discontinued, and then reappears or moves to a different case, the sanction imposed does not follow the person to the new case. Therefore, the EDBC eligibility for CalWORKs/RCA in the new case will not apply any sanctions imposed from the previous record. This situation occurs when the WTW/REP sanctions in the previous case did not end. For the WTW/REP programs, the sanction status indicates that the participant will receive financial penalty when CalWORKs/RCA EDBC eligibility is determined.

### 1.2 Requests

1. Allow the users the ability to add or edit WTW and REP type Eligibility Non-Compliance records.
2. Automatically create or update Eligibility Non-Compliance records for WTW/REP program status records that are created or updated through the Online program status page.
3. Modify EDBC Summary page to display sanctioned (WTW/REP) or penalized (Cal-Learn) individuals in the "Sanctions and Penalties" section.
4. Modify EDBC logic to evaluate Eligibility Non-Compliance records instead of program status records when imposing 'FRE' role for WTW and REP sanctioned individuals.
5. Add a batch job that creates Eligibility Non-Compliance records that 'sync up' with 'Sanction' program status records created through batch programs.
6. Update EDBC batch sweep jobs to be triggered off WTW/REP Eligibility Non-Compliance records.
7. Data change to create Eligibility Non-Compliance records for existing 'Sanction' program status records.

### 1.3 Overview of Recommendations

1. Update the Eligibility Non-Compliance Detail page to display WTW and REP types along with the applicable reason options with the ability for users to manually add and edit records.
2. Update the WTW/REP Status Detail page to automatically create or update an Eligibility Non-Compliance record based on the program status record.
3. Update the EDBC Summary page to display and store data in a new EDBC section called "Sanctions and Penalties".
4. Update CW/RCA EDBC logic to populate the "Sanctions and Penalties" section of the EDBC Summary page and impose 'FRE' role based on WTW or REP Eligibility Non-Compliance records.
5. Update CW/RCA EDBC logic to populate the "Sanctions and Penalties" section of the EDBC Summary page based on Cal-Learn program penalty.
6. Add a new batch job that will auto create Eligibility Non-Compliance records to 'sync up' with 'Sanction' status records created by batch programs.
7. Update batch sweep job PB00E179 to trigger EDBC based on Eligibility Non-Compliance records effective next month that were created since the last batch run.
8. Update batch sweep job PB00E181 to trigger EDBC based on Eligibility Non-Compliance records that were 'end dated' since the last batch run.
9. Data change to create Eligibility Non-Compliance records for WTW/REP program individuals with existing 'Sanction' program statuses.

### 1.4 Assumptions

1. Fields not mentioned to be modified within the description of changes will retain their current functionality and logic.
2. No change to the existing logic of determining Cal-Learn Penalties in this SCR.
3. No Reports impact with this SCR.
4. Eligibility Non-Compliance records will not be created for 'Sanction' program status records with a reason of 'Sanction Cured', 'Sanction Denied', '2<sup>nd</sup> Instance' or '3<sup>rd</sup> Instance'.
5. If the user 'end dates' the Eligibility Non-Compliance record manually, the WTW/REP status record will not be updated.

6. Systematically created Eligibility Non-Compliance records are created via batch or a 'WTW' or 'REP' status.
7. If a worker action 'end dates' the Eligibility Non-Compliance record in a previous month, the worker is responsible for running EDBC for Eligibility redetermination.
8. NOAs that currently generate when CW/RCA program person is sanctioned based on WTW/REP program status reasons will continue to generate with this SCR.

## 2 RECOMMENDATIONS

### 2.1 Update Eligibility Non-Compliance Detail Page

#### 2.1.1 Overview

Update the Eligibility Non-Compliance Detail page to display WTW and REP Eligibility Non-Compliance records as Types, when the program is set to 'Cash', with the ability to manually add and edit records.

#### 2.1.2 Eligibility Non-Compliance Detail Mockup

The mockup shows a form titled "Eligibility Non-Compliance Detail". At the top right are two buttons: "Save and Return" and "Cancel". A legend indicates that an asterisk (\*) denotes required fields. The form contains the following fields:

- Program:** Cash
- Name:** \* Dimgol Alexander
- Type:** \* WTW
- Reason:** \* Quit a Job
- Begin Date:** \* 10/01/2020
- End Date:** [Empty text box] [Calendar icon]

At the bottom right, there are two more buttons: "Save and Return" and "Cancel".

**Figure 2.1.2.1 – Eligibility Non-Compliance Detail page (Systematically Created Record)  
– Edit Mode**



## Eligibility Non-Compliance Detail

\*- Indicates required fields

Close

**Program:**

Cash

**Name: \***

Dimgol Alexander

**Type: \***

WTW

**Reason: \***

Quit a Job

**Begin Date: \***

09/01/2020

**End Date:**

Close

Figure 2.1.2.2 – Eligibility Non-Compliance Detail page – View Mode

## Eligibility Non-Compliance Detail

\*- Indicates required fields

Save

Cancel

**Program:**

Cash

**Name: \***

BOWNE, JUNIE 26M

**Type: \***

WTW

**Reason: \***

Quit a Job

**Begin Date: \***

**End Date:**

Save

Cancel

Figure 2.1.2.3 – Eligibility Non-Compliance Detail page (Manual Created Record) – Create Mode

## Eligibility Non-Compliance Detail

\*- Indicates required fields

Save



Cancel

**Program:**  
Cash

**Name: \***  
BOWNE, JUNIE 26M

**Type: \***  
WTW

**Reason: \***  
Quit a Job

**Begin Date: \***  **End Date:** 

Save Cancel

Figure 2.1.2.3 – Eligibility Non-Compliance Detail page (Manual Created Record) – Edit Mode

### 2.1.3 Description of Change

1. Display WTW and REP in the 'Type' field – Add 'WTW' and 'REP' to the 'Type' field when the program is set to 'Cash'. This field will only be editable in create and edit mode for manually created records. These will be listed in alphabetical order.
2. Display the applicable reasons in the 'Reason' field for when WTW is the 'Type' – Allow the applicable reasons to be viewed in the 'Reason' field when an Eligibility Non-Compliance record is created through the System or Manually, for a 'Type' of WTW. This field will only be editable in create and edit mode for manually created records. The following are the reasons to be available, listed in alphabetical order:
  - a. Failed to sign post 24 MTC Fed plan
  - b. Not accepting a job
  - c. Not Participating in an activity
  - d. Not providing proof of satisfactory progress
  - e. Not signing the WtW plan
  - f. Post WTW 24 MTC Failed to Sign Subsequent Fed Plan
  - g. Post WTW 24 MTC Fed Standards Not Met - Progress
  - h. Post WTW 24 MTC Fed Standards Not Met - Participation
  - i. Quitting a job
  - j. Reducing their earnings

3. Display the applicable reasons in the 'Reason' field for when REP is the 'Type' – Allow the applicable reasons to be viewed in the 'Reason' field when an Eligibility Non-Compliance record is created Systematically or Manually, for a 'Type' of REP. This field will only be editable in create and edit mode for manually created records. The following are the reasons to be available, listed in alphabetical order:
  - a. Failed to sign post 24 MTC Fed plan
  - b. Not accepting a job
  - c. Not Participating in an activity
  - d. Not providing proof of satisfactory progress
  - e. Not signing the WtW plan
  - f. Post WTW 24 MTC Failed to Sign Subsequent Fed Plan
  - g. Post WTW 24 MTC Fed Standards Not Met - Progress
  - h. Post WTW 24 MTC Fed Standards Not Met - Participation
  - i. Quitting a job
  - j. Reducing their earnings
4. When editing a Systematically created record, only the field 'End Date' will be editable in Edit Mode.

NOTE:

- The WTW and REP Eligibility Non-Compliance reasons match the Sanction reasons on the WTW Status Detail and REP Status Detail page.
- 'Sanction Cured' and 'Sanction Denied' status reasons are used to denote ends of sanctions and therefore will not be listed as a reason for WTW and REP.
- '2<sup>nd</sup> instance' and '3<sup>rd</sup> instance' status reasons will not be listed.

#### 2.1.4 Page Location

- **Global:** Eligibility
- **Local:** Customer Information
- **Task:** Non-Compliance

### 2.1.5 Page Mapping

N/A

### 2.1.6 Page Usage/Data Volume Impacts

No Impact

## 2.2 Update WTW Status Detail Page and the REP Status Detail Page

### 2.2.1 Overview

Update the WTW Status Detail page and the REP Status Detail page to automatically create or update an Eligibility Non-Compliance record based on 'Status' records being added.

### 2.2.2 WTW Status Detail Page Mockup (Applies to REP as well)

#### WTW Status Detail

\*- Indicates required fields

Confirm

Cancel

**Status:**  
Active  
**Status Reason:**  
Enrolled - Cured Sanction  
**Begin Date:**  
02/01/2021  
**End Date:**

#### Eligibility Non-Compliance Records to Be Updated

Name	Program	Type	Reason	Current Begin Date	Current End Date	New Begin Date	New End Date
John Smith	Cash	WTW	Not Accepting a Job	01/01/2021			01/31/2021

Confirm

Cancel

Figure 2.2.2.1 – WTW Status Detail Confirmation page (Updated Table)

## WTW Status Detail

\*- Indicates required fields

Confirm

Cancel

**Status:**  
Active  
**Begin Date:**  
01/01/2021

**Status Reason:**  
Enrolled - Cured Sanction  
**End Date:**

### Eligibility Non-Compliance Records to Be Updated

Name	Program	Type	Reason	Current Begin Date	Current End Date	New Begin Date	New End Date
John Smith	Cash	WTW	Not Accepting a Job	01/01/2021			01/02/2021

Confirm

Cancel

Figure 2.2.2.2 – WTW Status Detail Confirmation page (Updated Table – Scenario where Status date encompasses the entire date range of the Eligibility Non-Compliance)

## WTW Status Detail

\*- Indicates required fields

Confirm

Cancel

**Status:**  
Sanction  
**Begin Date:**  
01/15/2021

**Status Reason:**  
Quitting a Job  
**End Date:**

### Eligibility Non-Compliance Records to Be Created

Name	Program	Type	Reason	Begin Date	End Date
John Smith	Cash	WTW	Quitting a Job	02/01/2021	

Confirm

Cancel

Figure 2.2.2.3 – WTW Status Detail Confirmation page (Created table)

### 2.2.3 Description of Change

1. Add logic to the WTW Status Detail page and the REP Status Detail page to create an Eligibility Non-Compliance record for the participant when a status of 'Sanction' is set, and the reason is not 'Sanction Cured' or 'Sanction Denied'. This record will only be created if there is not an existing record with the same type and reason, that would be created, with overlapping dates. New Eligibility Non-Compliance records will be created for time periods where the participant has a Sanction, and a current Eligibility Non-Compliance record does not encompass the

added Sanction's date range. The following is the description of the applicable fields for the created Eligibility Non-Compliance record:

- a. Program – This will display 'Cash' for the program.
- b. Name – The name of the WTW or REP program person.
- c. Type – This will display the 'Type' of the Eligibility Non-Compliance record. This will be 'WTW' or 'REP'.
- d. Reason – This will display the 'Reason' for which the Eligibility Non-Compliance record was imposed. This will be the same reason as the 'Sanction' reason.
- e. Begin Date – The Begin Date of the Eligibility Non-Compliance Record. This will be the 1<sup>st</sup> of the month for the 'Effective Month' of the Sanction.
- f. End Date – The End Date of the Eligibility Non-Compliance Record. This will be the end date entered for the 'Sanction' status.

Note:

- These fields will be dependent on what page the user is on. REP is applicable to the REP Status Detail page and WTW is applicable to the WTW Status Detail page.
- Reference section 4.1 for sample scenarios that apply to these rules

2. Add logic to create and update any Eligibility Non-Compliance records for specific WTW or REP statuses being added, so no Eligibility Non-Compliance records exist during the statuses date range:

- a. The applicable WTW or REP statuses are the following:
  - i. 'Active'
  - ii. 'Good Cause'
  - iii. 'Exempt'
- b. When updating Eligibility Non-Compliance records due to the status update, follow effective dating logic for records to be shortened.
- c. Eligibility Non-Compliance records will be created or updated based on the following high-level scenarios:
  - i. An Eligibility Non-Compliance record will be updated when the date range of the added status overlaps or encompasses the entire date range of the Eligibility Non-Compliance record. Section 3.c provides descriptions and definitions of the

applicable fields for the updated Eligibility Non-Compliance record.

- ii. An Eligibility Non-Compliance record will be created when the status creates a gap between the current Eligibility Non-Compliance date range. Section 3.e provides descriptions and definitions of the applicable fields for the created Eligibility Non-Compliance record.

Note:

- These fields will be dependent on what page the user is on. REP is applicable to the REP Status Detail page and WTW is applicable to the WTW Status Detail page.
  - Only Eligibility Non-Compliance records under a 'Cash' program and with a type of 'WTW' or 'REP' will be updated or created in these scenarios.
  - Reference section 4.1 for sample scenarios that apply to these rules
3. Add a Confirmation page to display tables for the Eligibility Non-Compliance records to be created or updated based on the logic to be added to this page. When an Eligibility Non-Compliance record will be created or updated, a Confirmation page will be loaded when the user saves a specific 'WTW' or 'REP' status. This page will only be viewable when the user is in Create mode.
    - a. The applicable WTW or REP statuses are the following:
      - i. 'Active'
      - ii. 'Good Cause'
      - iii. 'Exempt'
    - b. These tables will be dynamic and will only display when values are available. The following are the two tables and their applicable columns:
    - c. Add a table (fig 2.2.2.1) to the Confirmation page named 'Eligibility Non-Compliance Record to be Updated' when an Eligibility Non-Compliance record will be updated, because of a 'WTW' or 'REP' status. Include the following fields:
      - i. Program – This will display 'Cash' as the program.
      - ii. Name – This will display the name of the individual who has the Eligibility Non-Compliance.

- iii. Type – This will display the 'Type' of the Eligibility Non-Compliance record. This will be 'WTW' or 'REP'.
- iv. Reason – This will display the 'Reason' for which the Eligibility Non-Compliance record was imposed.
- v. Current Begin Date – The Begin Date of the Eligibility Non-Compliance Record. This will be the begin date of the original Eligibility Non-Compliance record that is being updated. This table will be ordered chronologically by the Current Begin Date, starting with most recent date.
- vi. New Begin Date – For an 'Exempt', 'Good Cause' and 'Active' status this will be the first of the month following the end date of the added status. The 10-day rule will apply for this scenario. This will occur when the added status overlaps the Begin Date of the current Eligibility Non-Compliance record, but is end dated prior to the Eligibility Non-Compliance record's end date.
- vii. Current End Date – The End Date of the Eligibility Non-Compliance Record. This will be the end date of the original Eligibility Non-Compliance record that is being updated.
- viii. New End Date –
  - 1. WTW/REP Status overlaps Current End Date of the Eligibility Non-Compliance Record - For an 'Exempt' and 'Good Cause' status this will be the last day of the month prior of the begin date for the added status. However, if the 'Good Cause' or 'Exempt' status begins in the same month of the begin date for the Eligibility Non-Compliance record, then the new end date will be the calendar day after the Eligibility Non-Compliance record's current begin date. For an 'Active' status this will be the last day of the month of the begin date of the added status unless it falls on the first of the month; then the end date will be the last day of the month for the month prior to the begin date of the status. This will occur when the added status overlaps the End Date of the current Eligibility Non-Compliance record.
  - 2. WTW/REP Status Encompasses Entire Date Range of the Eligibility Non-Compliance



Record - This will be the calendar day after the Eligibility Non-Compliance record's current begin date. This will occur when the added status encompasses the entire date range of the Eligibility Non-Compliance record.

- d. Add a table (fig. 2.2.2.1) to the Confirmation page named 'Eligibility Non-Compliance Record to be Created' when an Eligibility Non-Compliance record will be created, because of a status. Include the following fields:
  - i. Program – The program will be 'Cash'.
  - ii. Name – This will display the name of the individual who has the Eligibility Non-Compliance.
  - iii. Type – This will display the 'Type' of the Eligibility Non-Compliance record. This will be 'WTW' or 'REP'.
  - iv. Reason – This will be the 'Reason' for which the Eligibility Non-Compliance record was imposed. This will be the same reason as the 'Sanction' reason.
  - v. Begin Date – The Begin Date of the Eligibility Non-Compliance Record. This will be the 1<sup>st</sup> of the month for the 'Effective Month' of the new Sanction due to effective dating logic.
  - vi. End Date – The End Date of the Eligibility Non-Compliance Record. This will be the end date entered for the 'Sanction' status.
- e. Confirm Button – This Button will navigate the user to the Effective Dating Confirmation List page when a program status will be updated due to effective dating and will then be saved to the database through the Effective Dating Confirmation List page.
- f. Cancel Button – This Button will navigate the user back to the WTW Status Detail List page or the REP Status Detail List page. This button will only appear in Create and Edit modes.

Note:

- These fields will be dependent on what page the user is on. REP is applicable to the REP Status Detail page and WTW is applicable to the WTW Status Detail page.
- Reference section 4.1 for sample scenarios that apply to these rules.

#### 2.2.4 Page Location

1. WTW Status Detail page:
  - **Global:** Empl. Services
  - **Local:** Case Summary
  - **Task:** WTW
2. REP Status Detail page:
  - **Global:** Empl. Services
  - **Local:** Case Summary
  - **Task:** REP

#### 2.2.5 Page Mapping

None, this page only renders existing data

#### 2.2.6 Page Usage/Data Volume Impacts

No Impact

### 2.3 Update the EDBC Summary Page to Store and Display “Sanctions and Penalties” Data.

#### 2.3.1 Overview

When assessing WTW, REP or Cal-Learn programs through EDBC, the CalSAWS will store and display the sanctioned (WTW/REP) or penalized (Cal-Learn) program individuals in the CW/RCA EDBC Summary page.

#### 2.3.2 Description of Changes

1. Update the EDBC Summary page to store and display the sanctioned (WTW/REP) or penalized (Cal-Learn) program individuals in a new “Sanctions and Penalties” section which will show the following:
  - i. Name – This will display the name of the individual who has the Eligibility Non-Compliance (WTW,REP) or penalty (Cal-Learn).
    1. The Name will wrap to the next line if needed
  - ii. Type – This field will display the type of the Eligibility Non-Compliance (WTW,REP) or penalty (Cal-Learn).

- iii. Reason/Instance – This field will display the reason for which the Eligibility Non-Compliance (WTW, REP) or penalty (Cal-Learn) has been imposed.
  1. The Reason will wrap to the next line if needed. The instance will be concatenated to the reason if it is not the first instance.
- iv. Begin Date – This field will map to the effective begin date of the Eligibility Non-Compliance (WTW,REP) or penalty (Cal-Learn).
- v. End Date - This field will map to the effective end date of the Eligibility Non-Compliance (WTW,REP) or penalty (Cal-Learn). This field will display as blank when end date is high dated.
- vi. The section will be displayed below in the “Work Eligibility” section in the EDBC summary page.

### 2.3.3 Mockup

▼ Sanctions and Penalties				
Name	Type	Reason/Instance	Begin Date	End Date
Camoes, Nicolau 40F	WTW	Quit a Job-2	10/01/2020	

Figure 2.2.3.1 – Sanction and Penalties Section of the EDBC Summary Page

### 2.3.4 Page Location

1. **Global:** Eligibility
2. **Local:** Customer Information
3. **Task:** Run EDBC/EDBC Results

### 2.3.5 Programs Impacted

1. CW
2. RCA
3. WTW
4. REP
5. Cal-Learn

## 2.4 Update CW/RCA EDBC to use the Eligibility Non-Compliance to set the role of 'FRE' and populate the "Sanction and Penalties" section of the EDBC Summary Page.

### 2.4.1 Overview

In CalSAWS, the CW/RCA EDBC logic currently imposes the role of 'FRE' on the WTW/REP mandatory participant based on the WTW/REP 'Sanction' program status record effective for the benefit period. The CW/RCA EDBC logic will be modified to instead impose the role of 'FRE' on the WTW/REP mandatory participant when there is a WTW/REP type Eligibility Non-Compliance record effective for the entire benefit month.

The Eligibility Non-Compliance record will also be used to populate the new "Sanctions and Penalties" section of the EDBC Summary page.

### 2.4.2 Description of Change

1. Update the CW/RCA EDBC logic to update role to 'FRE' and role reason when there exists an Eligibility Non-Compliance record effective for the entire benefit month with a type (WTW or REP) and reason per codes in table CT364 (observing the 10 day notice). This will replace the logic that currently sets the role of 'FRE' and role reason based on the program status record on the WTW or REP program under Employment Services.
2. Add the following codes and mapping to CT364 that will be used by EDBC to determine the CW/RCA program person role reason for the new Eligibility Non Compliance reasons (CT365):

Eligibility Non-Compliance Program	Eligibility Non-Compliance Type	Eligibility Non-Compliance Reason	CW/RCA Program Reason
Cash	WTW	Failed to sign post 24 MTC Fed plan	CW Non Part.
Cash	WTW	Not accepting a job	CW Non Part.

<b>Eligibility Non-Compliance Program</b>	<b>Eligibility Non-Compliance Type</b>	<b>Eligibility Non-Compliance Reason</b>	<b>CW/RCA Program Reason</b>
Cash	WTW	Not Participating in an activity	CW Non Part.
Cash	WTW	Not providing proof of satisfactory progress	CW Non Part.
Cash	WTW	Not signing the WtW plan	CW Non Part.
Cash	WTW	Post WTW 24 MTC Failed to Sign Subsequent Fed Plan	Post WTW 24 MTC CW Fed Requirements Not Met
Cash	WTW	Post WTW 24 MTC Fed Standards Not Met - Progress	Post WTW 24 MTC CW Fed Requirements Not Met
Cash	WTW	Post WTW 24 MTC Fed Standards Not Met - Participation	Post WTW 24 MTC CW Fed Requirements Not Met
Cash	WTW	Quitting a job	CW Non Part.
Cash	WTW	Reducing their earnings	CW Non Part.
Cash	REP	Failed to sign post 24 MTC Fed plan	CW Non Part.
Cash	REP	Not accepting a job	CW Non Part.
Cash	REP	Not Participating in an activity	CW Non Part.
Cash	REP	Not providing proof of satisfactory progress	CW Non Part.
Cash	REP	Not signing the WtW plan	CW Non Part.

Eligibility Non-Compliance Program	Eligibility Non-Compliance Type	Eligibility Non-Compliance Reason	CW/RCA Program Reason
Cash	REP	Post WTW 24 MTC Failed to Sign Subsequent Fed Plan	Post WTW 24 MTC CW Fed Requirements Not Met
Cash	REP	Post WTW 24 MTC Fed Standards Not Met - Progress	Post WTW 24 MTC CW Fed Requirements Not Met
Cash	REP	Post WTW 24 MTC Fed Standards Not Met - Participation	Post WTW 24 MTC CW Fed Requirements Not Met
Cash	REP	Quitting a job	CW Non Part.
Cash	REP	Reducing their earnings	CW Non Part.

3. Update the CW/RCA EDBC logic to populate the “Sanction and Penalties” section of the EDBC page based on the following criteria:

- i. Name of Eligibility Non-Compliance applicant.
- ii. The Type will be “WTW” if Eligibility Non-Compliance has type ‘WTW’ or “REP” if the Eligibility Non-Compliance has type ‘REP’.
- iii. The WTW/REP Reason will be the same as the “WTW” or “REP” type Eligibility Non-Compliance reason.
- iv. Begin Date - The begin date of the record will map to the effective begin date of the Eligibility Non-Compliance.
- v. End Date – The End Date of the record will map to the effective end date of the Eligibility Non-Compliance.

#### 2.4.3 Programs Impacted

1. CW
2. RCA

3. WTW
4. REP

## 2.5 Update CW/RCA EDBC Fiscal logic to populate Cal-Learn Penalty data in the “Sanctions and Penalties” Section of the EDBC Summary Page

### 2.5.1 Overview

The Cal-Learn penalty determined by the EDBC Fiscal logic (observing the 10 day notice) will be used to populate the “Sanctions and Penalties” section of the EDBC Summary page.

### 2.5.2 Description of Change

1. Update the EDBC Fiscal logic (observing the 10 day notice) to populate the following information for all non-compliance discovered within the benefit month in the “Sanction and Penalties” section of the EDBC Summary page when determining Cal-Learn penalties:
  - i. Name of penalized applicant.
  - ii. The Type will be “Cal-Learn”.
  - iii. When the Cal-Learn EDBC updates the ‘Sanction and Penalties’ section of the EDBC the Reason will be the same as the non-compliance reason from the Cal-Learn non-compliance cause determination detail.
  - iv. Begin Date - The begin date of the record will map to the effective begin date of the penalty .
  - v. End Date – The End Date of the record will map to the effective end date of the penalty.

### 2.5.3 Mockup

▼Sanctions and Penalties				
Name	Type	Reason/Instance	Begin Date	End Date
TeenMom, CL 17F	Cal-Learn	The Participant Did Not Go to School	12/01/2020	01/31/2021

**Figure 2.6.3.1 – Sanction and Penalties Section of the EDBC Summary Page for multi-month Cal-Learn penalty**

#### 2.5.4 Programs Impacted

1. CW
2. RCA
3. Cal-Learn

### 2.6 New Batch Job - Sanction Sync

#### 2.6.1 Overview

Create a new batch job to synchronize Eligibility Non-Compliance records with WTW/REP Program Status 'Sanction' records created by batch.

#### 2.6.2 Description of Change

1. Create a new Batch Job to:
  - a. Create a new Eligibility Non-Compliance record if there exists a WTW/REP program sanction status record created by batch since the last successful batch run date that is not being cured/denied and does not have a matching Eligibility Non-Compliance record.
    - i. Set the 'Program' value to 'Cash'
    - ii. Set the 'Type' to the program of the WTW/REP program sanction record (WTW or REP)
    - iii. Set the 'Reason' to the reason of the WTW/REP program sanction record (refer to section 2.1.3.2 for a complete list of Sanction Reasons)
    - iv. Set the Begin date to the effective month begin date of the WTW/REP program sanction record (observing the Online 10-day cutoff logic)
  - b. New Batch Job will be county configurable

#### 2.6.3 Execution Frequency

Daily

#### 2.6.4 Key Scheduling Dependencies

Predecessor Batch Jobs: PB00S882, PB00S883 (Sanction Status Update Batch jobs)

Successor Batch Jobs: PB00E179



### **2.6.5 Counties Impacted**

All Counties that opt in

### **2.6.6 Data Volume/Performance**

The anticipated number of newly created Eligibility Non-Compliance records via this new batch job will be directly proportional to the number of batch-generated Sanction WTW/REP statuses. The anticipated number of records processed per daily execution is fewer than 100.

### **2.6.7 Failure Procedure/Operational Instructions**

Batch Support Operations staff will evaluate transmission errors and failures and determine the appropriate resolution (i.e., manually retrieving the file from the directory and contacting the external partner if there is an account or password issue, etc.)

## **2.7 WTW Sanction Created Batch - PB00E179**

### **2.7.1 Overview**

Currently this batch job triggers EDBC for the come-up month for CalWORKs/RCA persons active the month following the batch run month and who have WTW/REP program sanction effective the month following the batch run month.

This SCR will modify PB00E179 to trigger CalWORKs/RCA EDBC when a WTW/REP type Eligibility Non-Compliance record is effective the month following the batch run month instead of triggering on WTW/REP program sanction.

### **2.7.2 Description of Change**

1. Modify PB00E179 trigger logic to trigger CalWORKs/RCA EDBC for the come-up month:
  - a. CalWORKs/RCA persons are active the month following the batch run month.
  - b. There is an Eligibility Non-Compliance record with a Program value of "Cash" and a Type of "WTW" or "REP" that is active the month following the batch run month and associated to the CalWORKs or RCA person.

### **2.7.3 Execution Frequency**

Monthly as of Batch 10-Day

### **2.7.4 Key Scheduling Dependencies**

N/A

### **2.7.5 Counties Impacted**

All Counties that opt in

### **2.7.6 Data Volume/Performance**

N/A

### **2.7.7 Failure Procedure/Operational Instructions**

Batch Support Operations staff will evaluate transmission errors and failures and determine the appropriate resolution (i.e., manually retrieving the file from the directory and contacting the external partner if there is an account or password issue, etc.)

## **2.8 WTW/REP Sanction Ended - PB00E181**

### **2.8.1 Overview**

Currently batch job PB00E181 triggers EDBC through the come-up month for CalWORKs/RCA individuals who are active the month following the batch run when a user ends the same person's WTW/REP Sanction or Non-Compliance/In process of curing sanction status.

This SCR will modify PB00E181 to trigger EDBC for CW/RCA individuals when an Eligibility Non-Compliance record is ended instead of triggering from a WTW/REP Sanction status end date.

### **2.8.2 Description of Change**

1. Update PB00E181 Trigger Logic as follows:
  - a. CW/RCA individual is active the month following the batch run month
  - b. There does not exist an Eligibility Non-Compliance record where the "Program" value is "Cash" and the "Type" is

“WTW” or “REP” that is effective greater than the Batch Date.

- c. A previously open-ended Eligibility Non-Compliance record was ended since the last successful execution of this job, or

A WTW/REP Program Status of Non-Compliance with a reason of 'In the process of curing sanction' associated to the CW/RCA individual was ended and replaced with an Active Status.

### **2.8.3 Execution Frequency**

Daily – No Change

### **2.8.4 Key Scheduling Dependencies**

N/A

### **2.8.5 Counties Impacted**

All Counties that opt in

### **2.8.6 Data Volume/Performance**

N/A

### **2.8.7 Failure Procedure/Operational Instructions**

Batch Support Operations staff will evaluate transmission errors and failures and determine the appropriate resolution (i.e., manually retrieving the file from the directory and contacting the external partner if there is an account or password issue, etc.)

## **2.9 Data Change WTW/REP- CalSAWS Only**

### **2.9.1 Overview**

In CalSAWS only, create Eligibility Non-Compliance records for WTW and REP program 'sanctioned' individuals starting with the earliest 'Sanction' status record encompassing any status records up to the next 'non sanction' status record. Eligibility Non-Compliance records will be created for all WTW and REP sanctioned individuals

so that EDBC can impose fiscal penalties for sanctions added prior to this SCR being implemented.

### **2.9.2 Description of Change**

1. In CalSAWS only, create an Eligibility Non-Compliance record with the following information:
  - a. Program – The type of program ('Cash')
  - b. Name – Name of the WTW or REP participant for the Eligibility Non-Compliance record based on Sanction person.
  - c. Type – The type for the Eligibility Non-Compliance record (WTW or REP) based on Sanction program.
  - d. Reason – The applicable reason for non-compliance for the Eligibility Non-Compliance record based on Sanction reason.
  - e. Begin Date – The begin date for the Eligibility Non-Compliance record based on the earliest 'Sanction' status begin date.
  - f. End Date – The end date for the Eligibility Non-Compliance record based on the day before the next 'non sanction' status record begin date. Below is the list of 'non sanction' statuses:
    - 'Active' (regardless of status reason)
    - 'Exempt' (regardless of status reason)
    - 'Good Cause' (regardless of status reason)

NOTE: Eligibility Non-Compliance records will not be created for 'Sanction' status records with status reason of '2<sup>nd</sup> Instance' or '3<sup>rd</sup> instance'.

### **2.9.3 Estimated Number of Records Impacted**

1. WTW – 338,488 estimated number of WTW participant status records in CalSAWS.
2. REP – 978 estimated number of REP participant status records in CalSAWS.

## 3 REQUIREMENTS

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### 3.1 Project Requirements

REQ #	REQUIREMENT TEXT	How Requirement Met
2.8.2.19	The LRS shall apply all sanctions, penalties, and disregards when performing benefit calculation(s).	EDBC will look at eligibility non-compliance records when determining WTW/REP eligibility sanctions.

## 4 APPENDIX

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### 4.1 Scenarios

1. WTW/REP Sanction Scenarios for cases where the program status caused an Eligibility Non-Compliance record to be created:
  - i. Program is active from Jan to March. Sanction status is added from April to high date & Eligibility Non-Compliance record created with begin date of April 1st. Active status is added from May to High date. Eligibility Non-Compliance record is end dated April 30th & Sanction Status is end dated April 30th due to effective dating.
  - ii. Program is active from Jan to March. Sanction status is added from April to high date & Eligibility Non-Compliance record created with begin date of April 1st. Active status is added from April to high date. Eligibility Non-Compliance record is updated to the calendar day after the Eligibility Non-Compliance's begin date & Sanction Status is removed due to effective dating.
  - iii. Program is active from Jan to March. Sanction status is added from April to high date & Eligibility Non-Compliance record created with begin date of April 1st. Active status is added from January to April. The Eligibility Non-Compliance records begin date is updated to May 1st & the Sanction status is updated to May 1st due to effective dating.
  - iv. Program is active from Jan. to high date. Sanction status is added from April to May & Eligibility Non-Compliance record created with a begin date of April 1st and an end date of May 31st.
  - v. Program is active from Jan. to April. Program is Sanctioned from May to June. Another Sanction record exists from July to August and is Active from September to High date. Active status is

added from June to High date. The first Eligibility Non-Compliance record will have an end date set to May 31<sup>st</sup> & the Sanction status is updated to May 31<sup>st</sup> due to effective dating. The second Eligibility Non-Compliance record will be updated to the calendar day after the Eligibility Non-Compliance's begin date along with the correlated Sanction status because of effective dating.

- vi. Program is active from Jan. to April, program is Sanctioned from May to June. Another Sanction record exists from July to August and active from September to High date. Active status is added from June to July. The first Sanction record will have an end date set to May 31<sup>st</sup>. The second Sanction record will have the begin date set to August 1<sup>st</sup>.
- vii. Program is Sanctioned from January to High date. Active status is added for April to May. Eligibility Non-Compliance record has an end date set to March 31<sup>st</sup>; a new Eligibility Non-Compliance record will be created from June to High date.