

# CalSAWS | Executive Summary for the JPA Board of Directors

Date: Thursday, June 24, 2021

Location: Conference Call/Zoom

## Agenda Item and Summary

## Type of Item

1. **Call Joint Meetings of the Member Representatives and Board of Directors ("Board") of the CalSAWS Consortium ("CalSAWS") to Order.** Procedural

**Summary:** Board Chair, Michael Sylvester, will call the Joint Meetings of the Member Representatives and Board of Directors ("Board") of the CalSAWS Consortium ("CalSAWS") to Order.

2. **Confirmation of quorum, agenda review, and protocols.** Procedural

- **Quorum of Member Representatives**
- **Quorum of Board of Directors**
- **Agenda Review**
- **Protocols**

**Summary:** Board Chair, Michael Sylvester, will confirm quorum of the Member Representatives and Board of Directors. John Boule will provide a high-level overview of the Agenda and protocols.

3. **PUBLIC COMMENT: Public opportunity to speak on items not on the Agenda. Public comments are limited to no more than three minutes per speaker, except that a speaker using a translator shall be allowed up to six minutes.** Procedural

**NOTE:** The public may also speak on any Item ON the Agenda by waiting until that Item is read then requesting recognition from the Chair to speak.

**Summary:** Board Chair, Michael Sylvester, will provide the public with an opportunity to speak on items not on the Agenda.

4. **Guest Speakers** Procedural

- **CA Health & Human Services Agency Secretary – Mark Ghaly MD, MPH**
- **CWDA Executive Director – Cathy Senderling-McDonald**
- **Accenture Chief Executive Officer – Julie Sweet**
- **Deloitte Consulting, LLP Executive Chair of the Board – Janet Foutty**
- **Gainwell Technologies President & CEO – Paul Saleh**

**Summary:** Dan Kalamaras, Michael Sylvester, and John Boule will introduce the Guest Speakers.

## CalSAWS Member Representatives Action Items

5. Nominations, Elections, and Appointments of the JPA Board and Project Steering Committee Members for Fiscal Year 2021/2022. Action
- a. Pursuant to the JPA Agreement Article II, section 2.04, subsections (c) and (d), the Directors from Regions 1 through 5 shall be selected from the Member Representatives from their respective Regions. Regions 1 and 4 shall each select two (2) Directors, Regions 2 and 3 shall each select one (1) Director, and Region 5 shall select three (3) Directors. Region 6, represented by Los Angeles County, shall have three (3) Directors, who shall be the three (3) Member Representatives from Region 6.
    - i. Present the nomination slate from the Regional Nomination conference calls, gather any further nominations from the floor, and proceed to elect the JPA Board of Directors for those Regions for the period of July 1, 2021, through June 30, 2022.
    - ii. Introduce the Directors appointed by Region 6 for the period of July 1, 2021 through June 30, 2022.
  - b. Pursuant to the JPA Bylaws Article VIII, Paragraph A., each Region will nominate one or more candidates from among its Deputy Directors, or small county equivalents, to serve on the Project Steering Committee. Regions 1 and 4 will each appoint two (2) Project Steering Committee members, Regions 2 and 3 will each appoint one (1) Project Steering Committee member, and Regions 5 and 6 will each appoint three (3) Project Steering Committee members.
    - i. Present the nomination slate from the Regional Nomination conference calls, gather any further nominations from the floor, and proceed to elect the Project Steering Committee for the period of July 1, 2021 through June 30, 2022.
    - ii. Introduce the Project Steering Committee Members appointed by Region 6 for the period of July 1, 2021 through June 30, 2022

**Summary:** The Consortium is seeking Member Representatives approval of Nominations, Elections, and Appointments of the JPA Board and Project Steering Committee Members for Fiscal Year 2021/2022.

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**CalSAWS Member Representatives Informational Item**

6. Pursuant to the JPA Agreement Article II, Section 2.04 (b) the State shall have the right to select a representative to serve on the Board as the Ex-Officio representative of the State. Informational
- a. Introduce Director of OSI, Dan Kalamaras, as the Ex-Officio representative of the State.

**Summary:** Michael Sylvester and John Boule will provide an overview on the JPA Agreement Article II, Section 2.04 (b) and will introduce Dan Kalamaras as the State's Ex-Officio JPA Representative.

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**CalSAWS JPA Board Action Items**

7. Pursuant to the JPA Agreement, Article III, Section 3.01, the Board of Directors shall elect from among its Directors, a Chair and Vice-Chair. Action
- a. Seek nominations and creation of slate for Board of Directors Chair and Vice-Chair for the period of July 1, 2021 through June 30, 2022.



- b. Proceed to elect the Chair and Vice-Chair for the period of July 1, 2021 through June 30, 2022.

**Summary:** The Consortium is seeking Board approval of election from among its Directors, a Chair and Vice-Chair.

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| <p><b>8.</b> Approval of SFY 2021/22 CalSAWS Project Budget including CalSAWS Implementation Advance Planning Document (IAPD) Update, CalACES M&amp;O Operational APD Update, CalWIN M&amp;O Operational Update, County Purchases/Separate Services, Premise Item Funding, and the Administrative Budget for Unfunded costs.</p> | Action |
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**Summary:** The Consortium is seeking Board approval of the SFY 2021/22 CalSAWS Project Budget for a total amount of \$509,938,792 which includes: CalSAWS Implementation Advance Planning Document (IAPD) Update, CalACES M&O IAPD Update, CalWIN M&O IAPD Update, County Purchases/Separate Services, Premise Item Funding, and the Administrative Budget for Unfunded costs.

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| <p><b>9.</b> Approval of the SOC 2 and NIST 800-53 Auditing Services Agreement between the CalSAWS Consortium and Mayer Hoffman McCann P.C. which includes requests for:</p> <ul style="list-style-type: none"> <li>a. SOC 2 Type 1 report services for the C-IV, CalWIN, and LRS/CalSAWS systems for a point in time following the fiscal year ending June 30, 2021, in accordance with Statement on Standards for Attestation Engagements (SSAE) No.18</li> <li>b. SOC 2 Type 2 report services for the CalWIN and CalSAWS systems for fiscal years ending June 30, 2022, June 30, 2023, and June 30, 2024</li> <li>c. SOC 2 Type 2 report services for the CalSAWS system for fiscal year ending June 30, 2025</li> <li>d. Technical audit services for the CalWIN and LRS/CalSAWS systems to be conducted annually for fiscal years 2021, 2022, 2023, and 2024 based on the NIST Special Publication (SP) 800-53, revision 4</li> <li>e. Technical audit services for the CalSAWS system to be conducted for fiscal year ending June 30, 2025 based on the NIST Special Publication (SP) 800-53, revision 4</li> <li>f. Option: SOC 2 readiness assessments for the C-IV, CalWIN, and LRS/CalSAWS systems</li> </ul> | Action |
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**Summary:** The Consortium is seeking Board approval of the SOC 2 and NIST 800-53 Auditing Services Agreement with Mayer Hoffman McCann P.C. for a 5-year contract term (no optional term), which includes:

- a. SOC 2 Type 1 report services for the C-IV, CalWIN, and LRS/CalSAWS systems for a point in time following the fiscal year ending June 30, 2021, in accordance with Statement on Standards for Attestation Engagements (SSAE) No.18
- b. SOC 2 Type 2 report services for the CalWIN and CalSAWS systems for fiscal years ending June 30, 2022, June 30, 2023, and June 30, 2024
- c. SOC 2 Type 2 report services for the CalSAWS system for fiscal year ending June 30, 2025
- d. Technical audit services for the CalWIN and LRS/CalSAWS systems to be conducted annually for fiscal years 2021, 2022, 2023, and 2024 based on the NIST Special Publication (SP) 800-53, revision 4
- e. Technical audit services for the CalSAWS system to be conducted for fiscal year ending June 30 2025 based on the NIST Special Publication (SP) 800-53, revision 4



- f. Option: SOC 2 readiness assessments for the C-IV, CalWIN, and LRS/CalSAWS systems

This agreement has a maximum contract value of \$1,271,481, inclusive of the optional services for SOC 2 readiness assessments. The cost of this agreement is accounted for in the CalSAWS IAPDU and JPA Administrative Budget.

(Handout)

**10. Consent Calendar**

Action

- a. Approval of the May 14, 2021 JPA Board Meeting Minutes.
- b. Seeking Board authorization for the completion of Signature/Fund Custodian Authorization Forms required by San Bernardino County Auditor-Controller/Treasurer/Tax Collector for State Fiscal Year 2021/22:
  - i. Approve the completion of the form in the name of the CalSAWS Chair, authorizing the Chair to approve payments to be made by the Controller for CalSAWS.
  - ii. Approve the completion of the form in the name of the CalSAWS Vice-Chair, authorizing the Vice-Chair to approve payments to be made by the Controller for CalSAWS.
  - iii. Approve completion of the form in the name of the CalSAWS JPA Secretary, authorizing the JPA Secretary to approve invoices to be paid by the Controller for CalSAWS.
  - iv. Approve completion of the form in the name of CalSAWS Section Director, Holly Murphy, authorizing Holly Murphy to approve invoices to be paid by the Controller for CalSAWS, in the absence of the CalSAWS JPA Secretary.
  - v. Approve completion of the form in the name of CalSAWS Section Director, Laura Chavez, authorizing Laura Chavez to approve invoices to be paid by the Controller for CalSAWS, in the absence of the CalSAWS JPA Secretary.
  - vi. Approve completion of the form in the name of CalSAWS Section Director, Thomas Hartman, authorizing Thomas Hartman to approve invoices to be paid by the Controller for CalSAWS, in the absence of the CalSAWS JPA Secretary.
  - vii. Approve completion of the form in the name of CalWIN/WCDS Executive Director, Diane Alexander, authorizing Diane Alexander to approve invoices to be paid by the Controller for CalSAWS, in the absence of the CalSAWS JPA Secretary.
  - viii. Approve completion of the form in the name of Director CaSonya Thomas, authorizing CaSonya Thomas to approve invoices to be paid by the Controller for CalSAWS.

**Summary:** The Consortium is seeking Board approval of the Consent Calendar items, which include the Minutes from the May 14, 2021 JPA Board Meeting and the San Bernardino County Signature Authorization forms listed above.

(Handouts)

**BREAK**

**Member Representatives Informational Items**



Agenda Item and Summary	Type of Item
<b>11. Prepping for the CalSAWS Journey</b>	Informational
<p><b>Summary:</b> June Hutchison, Wendy Battermann, Seth Richman, Rachel Frey, and Dawn Wilder will provide an overview on the Prepping for the CalSAWS Journey.</p>	
<b>12. CalSAWS Annual Audit Findings</b>	Informational
<p><b>Summary:</b> Holly Murphy will provide an overview on the CalSAWS Annual Audit Findings.</p>	
<b>13. Central Print Implementation Timeframe and Update</b>	Informational
<p><b>Summary:</b> Dawn Wilder will provide an overview on Central Print Implementation Timeframe and Update.</p>	
<b>14. Scaling Security to Meet the Challenges of CalSAWS</b>	Informational
<p><b>Summary:</b> Belinda Ramirez and Arnold Malvick will provide an overview on the Scaling Security to Meet the Challenges of CalSAWS.</p>	
<b>15. CalSAWS Cultural Transformation</b>	Informational
<p><b>Summary:</b> Ted Anderson will provide an overview of the CalSAWS Cultural Transformation initiatives.</p>	
<b>16. UAT Overview and Update</b>	Informational
<p><b>Summary:</b> Peggy Macias will provide an overview and update on UAT activities.</p>	
<b>17. CalSAWS IDEA</b>	Informational
<p><b>Summary:</b> Casey Morris will provide an update on CalSAWS IDEA.</p>	
<b>LUNCH</b>	
<b>18. BenefitsCal Readiness</b>	Informational
<ul style="list-style-type: none"> <li>• Demo</li> <li>• Communications Strategy</li> <li>• Training Plan</li> </ul>	
<p><b>Summary:</b> Gabby Otis will provide an update on the BenefitsCal Readiness.</p>	
<b>19. C-IV Migration Planning, Change Management, Training, and Implementation Support</b>	Informational
<ul style="list-style-type: none"> <li>• Change Management</li> <li>• C-IV Training Update and Timeframes</li> <li>• Greenlight</li> <li>• T-3 Implementation Packet and Dashboard</li> <li>• Cut-over weekend</li> </ul>	



Agenda Item and Summary	Type of Item
<ul style="list-style-type: none"> <li>• <b>Advocate/Stakeholder Communication Plan</b></li> <li>• <b>Post-Deployment Support</b></li> </ul> <p><b>Summary:</b> Helen Cruz, Shivani Smith, Ashley Arnold, Ted Anderson, Yong Vangbliayang, Keith Salas, Paul Trisler, and June Hutchison will provide an update on the C-IV Migration Planning, Change Management, Training, and Implementation Support.</p>	
<p><b>20. CalWIN Implementation Support Services Update</b></p> <p><b>Summary:</b> Duncan Gilliam, Jeric Huang, and Renee Carter will provide an update on the CalWIN Implementation Support Services.</p>	Informational
<p><b>21. CalSAWS Procurement Update</b></p> <ul style="list-style-type: none"> <li>• <b>Year in Review</b></li> <li>• <b>Procurement Advisory Group</b></li> </ul> <p><b>Summary:</b> Tom Hartman will provide an update on CalSAWS Procurement.</p>	Informational
<b>BREAK</b>	
<p><b>22. Conversion Status/Metrics and Demo</b></p> <p><b>Summary:</b> Paul Trisler and Keith Salas will provide an overview on the Conversion Status/Metrics.</p>	Informational
<p><b>23. Legislative Actions Update</b></p> <p><b>Summary:</b> Christiana Smith, Yingjia Huang, Rocky Givon, and Karen Rapponotti will provide an update on Legislative Actions.</p>	Informational
<p><b>24. Imaging Demo and Update</b></p> <p><b>Summary:</b> Danielle Benoit and John Dray will provide an Imaging Demo.</p>	Informational
<p><b>25. CalSAWS CSC Overview</b></p> <p><b>Summary:</b> Danielle Benoit and John Dray will provide an overview of the CalSAWS CSC.</p>	Informational
<p><b>26. San Bernardino County Bots Pilot Update</b></p> <p><b>Summary:</b> Danielle Benoit, John Dray, and Gilbert Ramos will provide an update on San Bernardino County Bots Pilot.</p>	Informational
<p><b>27. Analytics Demo and Update</b></p> <p><b>Summary:</b> Marc Petta and Keith Salas will provide a demo on Analytics.</p>	Informational



**28. Adjourn joint meetings of the CalSAWS Member Representatives and JPA Board of Directors.** Procedural

**Summary:** Board Chair, Michael Sylvester, will adjourn the meeting of the CalSAWS JPA Board of Directors.

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