Design Document

CA – 50808 Child Welfare Program: BI: Vendor Dashboard Report

	DOCUMENT APPROVAL HISTORY	
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DATE	DOCUMENT VERSION	REVISION DESCRIPTION	AUTHOR
03/19/2019	.01	Initial Revision	Vallari Bathala
07/29/2019	.02	Section 1 Overview was changed to remove 'and stores' from the description to avoid confusion. Before: Updates to **Child Welfare Services / Case Management System (CWS/CMS)** vendors are sent to **CalACES** via the **DataMart** interface. Datamart is an Oracle database which extracts Foster Care data from the LRS system. A batch job runs nightly and identifies and stores any discrepancies between the vendor related information in both systems. The **Task Management Business Intelligence (BI) dashboard** and **Daily Skipped Issuance Report**, are used to help identify the vendor record(s) requiring corrective action. When discrepancies are detected, changes may have to be made in the **DataMart** or **CalACES** system. After: Updates to **Child Welfare Services / Case Management System (CWS/CMS)** vendors are sent to **CalACES** via the **DataMart** interface. Datamart is an Oracle database which extracts Foster Care data from the LRS system. A batch job runs nightly and identifies any discrepancies between the vendor related information in both systems. The **Task Management Business**	Vallari Bathala

DATE	DOCUMEN T VERSION	REVISION DESCRIPTION	AUTHO R
		Intelligence (BI) dashboard and **Daily Skipped Issuance Report**, are used to help identify the vendor record(s) requiring corrective action. When discrepancies are detected, changes may have to be made in the **DataMart** or **CalACES** system.	
08/08/2019	.03	1) Section 2.5 Report and Data Level Security was updated to clarify that a user can only be assigned either CWS BI Consumer or CWS BI Vendor Exception Role at any given time and not both for OBIEE dashboard security to function properly. Before: The Placement Vendor Exception Report dashboard will only be visible to users who have the **CWS BI Vendor Exception Role** security role associated to their security profile. If user only has the **CWS BI Vendor Exception Role**, all other dashboards will not be visible without proper rights. The **CWS BI Vendor Exception Role** security role will be tied to the security group called **CWS BI Consumer**. County Security Administrators will be responsible for providing both the **CWS BI Consumer** security group and **CWS BI Vendor Exception Role** security role to the appropriate users. After: The Placement Vendor Exception Report dashboard will only be visible to users who have the **CWS BI Vendor Exception Role** security role associated to their security profile. If user only has the **CWS BI Vendor Exception Role**, all other dashboards will not be visible without proper rights. County Security Administrators will be responsible for providing the **CWS BI Vendor Exception Role** to users who can only view the Placement	

DATE	DOCUMENT VERSION	REVISION DESCRIPTION	AUTHOR
		Vendor Exception Report dashboard. For users who can view all dashboard, County Security Administrators must provide only the **CWS BI Consumer** security. Both **CWS BI Vendor Exception Role** and **CWS BI Consumer** cannot be assigned to the same user. 2) The Work Order dashboard links were also updated in the Table of Contents mock up to match the original link names. Before: Work Orders Status Summary After: Work Order Status Summary	
9/13/2019	.04	# of Vendors by Month was updated from Bar Graph to Line Graph to match the screen shot 1. The widget shall toggle between 'Line Graph' and 'Table' a. 'Line Graph' view shall be the first to load by default and 'Table' view will be the second option. 2. The 'Line Graph' and 'Table' shall display # of Vendors by each month when prompted by multiple months. # of Exception Reasons by Month was updated from Bar Graph to Line Graph to match the screen shot 1. The widget shall toggle between 'Line Graph' and 'Table' a. 'Line Graph' view shall be the first to load by default and 'Table' view will be the second option. 2. The 'Line Graph' and 'Table' shall display number of 'Exception Reasons' by each month when prompted by multiple months.	

<table>
<tr><th>DATE</th><th>DOCUMENT VERSION</th><th>REVISION DESCRIPTION</th><th>AUTHOR</th></tr>
<tr><td>9/19/2019</td><td>.05</td><td>1.3 Overview of Recommendations

Number 11. Restrict month filter range to 3 months was removed because OBIEE cannot force a restriction on the number of months a user can choose.

2.1.4 Placement Vendor Exception Report – Vendor Transaction Exception Reasons Page Layout mockup was updated to include the new changes in the page.
1. From Month and To Month in the page prompt were changed to Month.
2. # of Vendors without IDs and # of Exception Reasons Resolved were updated to add Bar Graph and Table toggle

2.1.4.1 Prompt Detail – the mock up was updated to remove From Month and To Month and only include Month
1. Table 3 – Prompt Description
a. Descriptions for From Month and To Month were replaced by Month
<table><tr><td>*Month</td><td>Current month</td><td>Multi-select option to filter data by. The data will display as of the day a user is viewing the dashboard. See Assumptions for range limits.</td></tr></table>b. Prompt Description note was updated
i. Before: Note: * indicates that ‘From Month’ and ‘To Month’ filters are always required.
ii. After: Note: * indicates that ‘Month’ filter is always required.
2. Table 4 – Logic for parameters will be as follows:
a. Parameter Addition and Parameter Description for From</td><td></td></tr>
</table>

<table>
<tr><th>DATE</th><th>DOCUMENT VERSION</th><th>REVISION DESCRIPTION</th><th>AUTHOR</th></tr>
<tr><td></td><td></td><td>and To Month were replaced my Month

<table>
<tr><td>Vendor Transaction Exception Reasons</td><td>Add “Month” dropdown prompt.</td><td>This is a mandatory field which displays the month as of the day the dashboard is viewed. At least one month must be selected to filter the dashboard. The values will be in MM/YYYY format.</td></tr>
</table>

2.1.4.2.2 # of Vendors without IDs
1. Added the behavior of the widgets when more than one month is prompted
a. The ‘Bar Graph’ and ‘Table’ shall display number of ‘Vendors’ by each month when prompted by multiple months.
2. Updated Bar Graph mockup to include toggle drop down in Figure 2.1.4.2.2-1 - # of Vendors without IDs – Bar Graph
3. Added Figure 2.1.4.2.2-2 - # of Vendors without IDs – Table mockup
2.1.4.2.3 # of Exception Reasons Resolved
1. Updated Bar Graph mockup to include toggle drop down in Figure 2.1.4.2.3 - # of Exception Reasons Resolved – Bar Graph
2. Added Figure 2.1.4.2.3 - # of Exception Reasons Resolved – Table mockup
2.2.1 Prompt Detail
1. Updated Month Filter Type description to specify that the filter is a single select filter
a. Before: Multi-select option to filter data by. The data will display as of the day a user is viewing the dashboard. See Assumptions for range limits.
b. After: Single-select option to filter data by. The data will display as of the day a user is viewing the dashboard.</td><td></td></tr>
</table>

DATE	DOCUMENT VERSION	REVISION DESCRIPTION	AUTHOR
		2. Table 10 – Prompt Description note was updated a. Before: **Note**: * indicates that 'From Month' and 'To Month' filters are always required. b. After: **Note**: * indicates that 'Month' filter is always required.	

Table of Contents

1 OVERVIEW

1.1 Current Design

Updates to **Child Welfare Services / Case Management System (CWS/CMS)** vendors are sent to **CalACES** via the **DataMart** interface. Datamart is an Oracle database which extracts Foster Care data from the LRS system. A batch job runs nightly and identifies any discrepancies between the vendor related information in both systems. The **Task Management Business Intelligence (BI) dashboard** and **Daily Skipped Issuance Report**, are used to help identify the vendor record(s) requiring corrective action. When discrepancies are detected, changes may have to be made in the **DataMart** or **CalACES** system.

1.2 Requests

Task Management BI dashboard is driven by case number, not CWS/CMS vendor number. The Daily Skipped Issuance Report is used as a workaround to identify any vendor records which require corrections in either system. However, the report is limited to skipped issuances and does not capture the population of vendors with missing/mismatched vendor information.

1.3 Overview of Recommendations

Develop a new vendor BI dashboard page which provides information on vendor information discrepancy between the DataMart and CalACES system. The dashboard must:

1) Include the vendor counts by **Placement Types**
2) Include vendor counts without **Vendor ID's**
3) Identify the vendor **Exception Reasons**
    a. The Exception Reasons identifies the discrepancies between DataMart and CalACES
4) Include vendor counts by Exception Reasons
5) Include number of Exception Reasons for each month
6) Include case lists which provide vendor information and the specific 'Exception Reasons'
7) Include a count of all vendor exceptions which are resolved
8) Include vendor counts for a specified range of months
9) Include a full list of all '**Vendors'**, '**Vendor Types'**, '**Programs'**, 'Placement Types' and 'Exception Reasons'
10) Create a new security role to restrict access to the Vendor dashboard

1.4 Assumptions

Assumption Point	Details	Potential Impact
1.	A single vendor can have multiple Vendor Types	One Vendor ID may be counted as many as the Vendor Types in both the top-level widgets and lower-level case lists.
2.	Large Month ranges will slow down the dashboards as well as exports.	The entire dashboard including second and third level reports, and case lists.
3.	Dashboard will not retain incremental history.	The entire dashboard including second and third level reports, and case lists.
4.	A 'Resolved' button will be added to Foster Care Resource Detail Page. A worker can use this button to indicate that an exception has been actioned. This is a manual process and the dashboard will reflect the data as is.	Widgets which report on resolved Vendor exceptions.
5.	Vendor information will only be displayed in dashboard if associated case programs are active or if case does not have a program.	The entire dashboard including second and third level reports, and case lists.
6.	Exceptions identified before deployment will not be available in the dashboard. Only data captured after the day of deployment will be available.	The entire dashboard including second and third level reports, and case lists.
7.	Users will not have the ability to see other dashboards if they only have rights to see the Vendor dashboard.	User security rights.
8.	Mockups in design are only examples of how the data will look and might now always be accurate to actual data.	The entire dashboard including second and third level reports, and case lists.
9.	Days to Resolve Vendor exception reasons must not count weekends or County Holidays.	The entire dashboard including second and third level reports, and case lists.

2 RECOMMENDATIONS

2.1 Placement Vendor Exception Report Dashboard

2.1.1 Overview

The **Placement Vendor Exception Report** dashboard page will provide metrics on the Child Welfare Vendors. The information in this dashboard shall reflect data available in the Foster Care Resource Databank and information received from the DataMart Interface. The dashboard page will update nightly and focus on the number of Vendors flagged with an 'Exception Reason'. The page will also provide metrics on Vendors by 'Program', 'Placement Type', as well as identify Vendor information received without Vendor IDs. The widgets will also drill down to a granular case list.

2.1.2 Page Description

Table 1 – Page

Dashboard Name	Page Name
Placement Vendor Exception Report	**Vendor Transaction Exception Reasons**
Placement Vendor Exception Report	**Exception Reason Monthly Distribution**

2.1.3 Metric Definition

Table 2 – Metrics

Metric Name	Metric Definition	Related Widget
Vendors	The number of CWS/CMS providers that have discrepancies between the DataMart Interface and the CalACES System	# of Vendors by Placement Types, # of Vendors without IDs and # of Vendors by Month
Exception Reasons	The total number of discrepancies in vendor information between the LRS system and DataMart	# of Exception Reasons by Month and # of Exception Reasons Resolved

2.1.4 Placement Vendor Exception Report – Vendor Transaction Exception Reasons Page Layout

Placement Vendor Exception Report

Vendor Transaction Exception Reasons | Exception Reason Monthly Distribution

Prompt

Data extracted as of March 3rd, 2016 11:06 PM

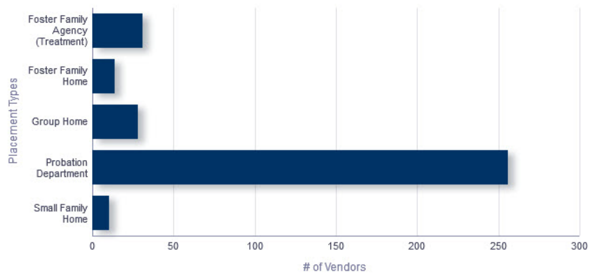
* Month: 01/2016;02/2016;03/2 Vendor ID: --Select Value-- Placement Type: --Select Value--

Apply Reset

* - Indicates required fields

# of Vendors by Placement Types

Bar Graph



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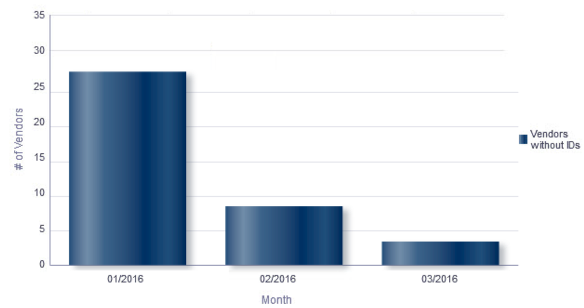
# of Vendors without IDs

Bar Graph



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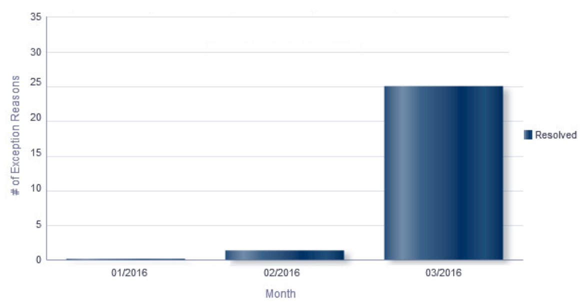
# of Exception Reasons Resolved

Bar Graph



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Exception Reasons Transaction List

Days to Resolve Exception Reason

1 Day: 2 Days: >= 3 Days:

Placement Type: Foster Family Agency (Treatment) Exception Reason: Invalid Value

Case Number	Program	Vendor ID	Vendor Name	Vendor Address	Vendor Phone	Vendor Type	Placement ID	Placement Date	Placement Type	Exception Reason	# of Days to Resolve
XXXXXXX	AAP	Vendor ID	Vendor Name 10.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	Placement ID	03/09/2016	Foster Family Agency (Treatment)	Invalid Value	1
XXXXXXX	AAP	Vendor ID	Vendor Name 100.0	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	Placement ID	03/08/2016	Foster Family Agency (Treatment)	Invalid Value	2
XXXXXXX	AAP	Vendor ID	Vendor Name 100.0	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	Placement ID	03/02/2016	Foster Family Agency (Treatment)	Invalid Value	12
XXXXXXX	AAP	Vendor ID	Vendor Name 12.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	Placement ID	03/11/2016	Foster Family Agency (Treatment)	Invalid Value	3
XXXXXXX	AAP	Vendor ID	Vendor Name 13.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	Placement ID	03/15/2016	Foster Family Agency (Treatment)	Invalid Value	8
XXXXXXX	AAP	Vendor ID	Vendor Name 15.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	Placement ID	03/01/2016	Foster Family Agency (Treatment)	Invalid Value	6
XXXXXXX	AAP	Vendor ID	Vendor Name 15.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	Placement ID	03/25/2016	Foster Family Agency (Treatment)	Invalid Value	2
XXXXXXX	AAP	Vendor ID	Vendor Name 2.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	Placement ID	03/04/2016	Foster Family Agency (Treatment)	Invalid Value	2
XXXXXXX	AAP	Vendor ID	Vendor Name 21.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	Placement ID	03/30/2016	Foster Family Agency (Treatment)	Invalid Value	2
XXXXXXX	AAP	Vendor ID	Vendor Name 22.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	Placement ID	03/24/2016	Foster Family Agency (Treatment)	Invalid Value	2
XXXXXXX	AAP	Vendor ID	Vendor Name 23.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	Placement ID	03/03/2016	Foster Family Agency (Treatment)	Invalid Value	5
XXXXXXX	AAP	Vendor ID	Vendor Name 24.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	Placement ID	03/09/2016	Foster Family Agency (Treatment)	Invalid Value	3
XXXXXXX	AAP	Vendor ID	Vendor Name 26.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	Placement ID	03/29/2016	Foster Family Agency (Treatment)	Invalid Value	8
XXXXXXX	AAP	Vendor ID	Vendor Name 30.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	Placement ID	03/31/2016	Foster Family Agency (Treatment)	Invalid Value	1
XXXXXXX	AAP	Vendor ID	Vendor Name 32.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	Placement ID	03/23/2016	Foster Family Agency (Treatment)	Invalid Value	11
XXXXXXX	AAP	Vendor ID	Vendor Name 34.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	Placement ID	03/30/2016	Foster Family Agency (Treatment)	Invalid Value	8
XXXXXXX	AAP	Vendor ID	Vendor Name 34.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	Placement ID	03/31/2016	Foster Family Agency (Treatment)	Invalid Value	11
XXXXXXX	AAP	Vendor ID	Vendor Name 44.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	Placement ID	03/10/2016	Foster Family Agency (Treatment)	Invalid Value	4
XXXXXXX	AAP	Vendor ID	Vendor Name 44.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	Placement ID	03/29/2016	Foster Family Agency (Treatment)	Invalid Value	1
XXXXXXX	AAP	Vendor ID	Vendor Name 46.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	Placement ID	03/02/2016	Foster Family Agency (Treatment)	Invalid Value	3
XXXXXXX	AAP	Vendor ID	Vendor Name 46.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	Placement ID	03/08/2016	Foster Family Agency (Treatment)	Invalid Value	8
XXXXXXX	AAP	Vendor ID	Vendor Name 5.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	Placement ID	03/24/2016	Foster Family Agency (Treatment)	Invalid Value	6
XXXXXXX	AAP	Vendor ID	Vendor Name 51.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	Placement ID	03/17/2016	Foster Family Agency (Treatment)	Invalid Value	7
XXXXXXX	AAP	Vendor ID	Vendor Name 55.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	Placement ID	03/21/2016	Foster Family Agency (Treatment)	Invalid Value	1
XXXXXXX	AAP	Vendor ID	Vendor Name 58.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	Placement ID	03/21/2016	Foster Family Agency (Treatment)	Invalid Value	7

Rows 1 - 25

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2.1.4.1 Prompt Detail

Table 3 – Prompt Description

Filter Name	Default Value	Filter Type
*Month	Current month	Multi-select option to filter data by. The data will display as of the day a user is viewing the dashboard. See Assumptions for range limits.
Vendor ID	All	Multi-select option to filter data by ‘Vendor ID’
Placement Type	All	Multi-select option to filter data by Child Welfare ‘Placement Type’.

Note: * indicates that ‘Month’ filter is always required.

Table 4 - Logic for parameters will be as follows:

Dashboard Page	Parameters Addition	Parameter Description
Vendor Transaction Exception Reasons	**Add** “Month” dropdown prompt.	This is a mandatory field which displays the month as of the day the dashboard is viewed. At least one month must be selected to filter the dashboard. The values will be in **MM/YYYY** format.
	Add “Vendor ID” dropdown prompt.	The values will be the 6 digit CMS/CWS Number in the Foster Care Resource Detail Page for all vendors.
	Add “Placement Type” dropdown prompt.	The values will be the types of institutions a child is placed.

2.1.4.2 Widget Details

2.1.4.2.1 # of Vendors by Placement Types

Widget shall display the total number of Vendors by child 'Placement Types' during the prompted month(s).

1. The widget shall toggle between 'Bar Graph' and 'Table'
    a. 'Bar Graph' view shall be the first to load by default and 'Table' view will be the second option.
    b. The 'Bar Graph' and 'Table' shall display 'Placement Types' with the following metrics:
        i. # of Vendors
2. The report will sum the values for all months for each 'Placement Types' and metric when filtered for multiple months.

Note: A vendor can have more than one Placement Type. Therefore, a single vendor may be counted in multiple Placement Types. The widget and case list will reflect the data.

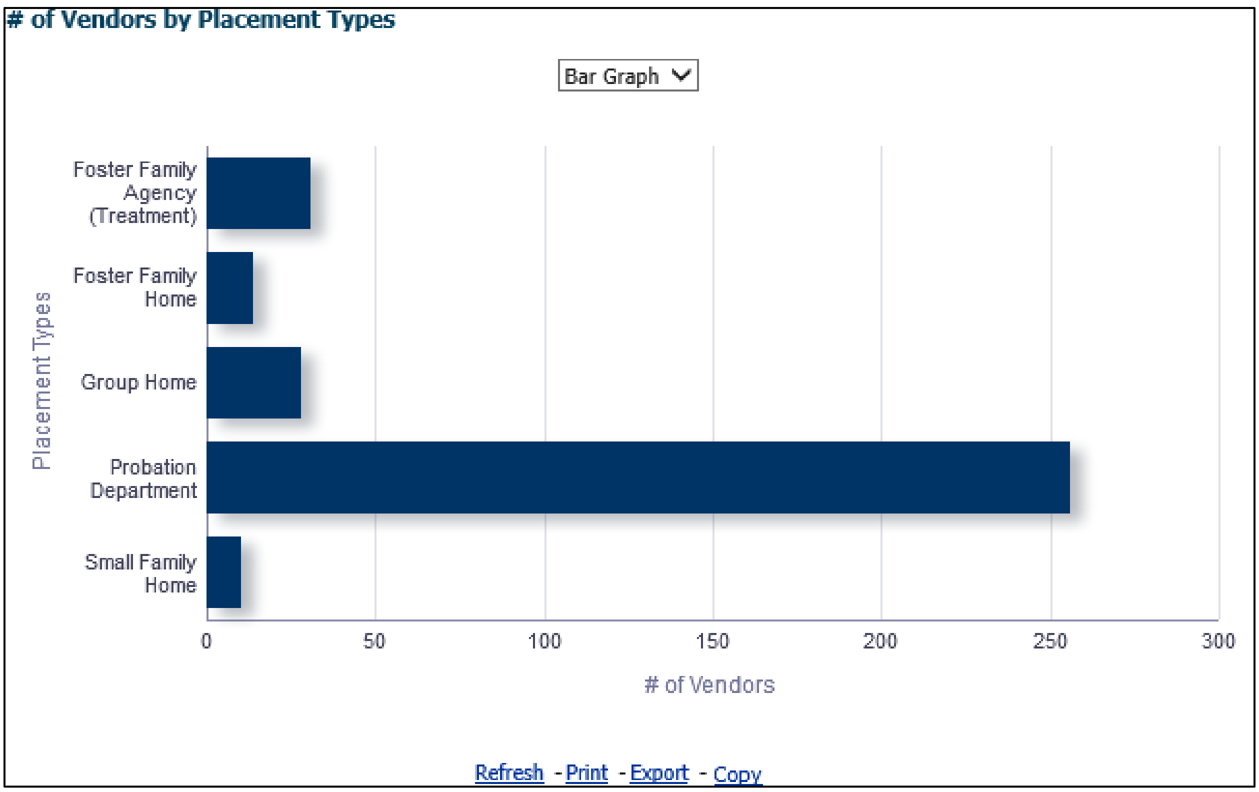

Figure 2.1.4.2.1-1 - # of Vendors by Placement Types – Bar Graph

# of Vendors by Placement Types

Table

Placement Types	# of Vendors
Foster Family Agency (Treatment)	31
Foster Family Home	14
Group Home	28
Probation Department	256
Small Family Home	10

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Figure 2.1.4.2.1-2 - # of Vendors by Placement Types – Table

3. From the top-level 'Table' and 'Bar Graph' views, the widget will drill down to a second-level case list: Vendors by Placement Type - Case List.

2.1.4.2.2 # of Vendors without IDs

This widget will focus on data which does not have an existing Vendor ID, or a Vendor ID is not provided.

1. The widget shall toggle between 'Bar Graph' and 'Table'
    a. 'Bar Graph' view shall be the first to load by default and 'Table' view will be the second option.
2. The 'Bar Graph' and 'Table' shall display number of 'Vendors' by each month when prompted by multiple months.

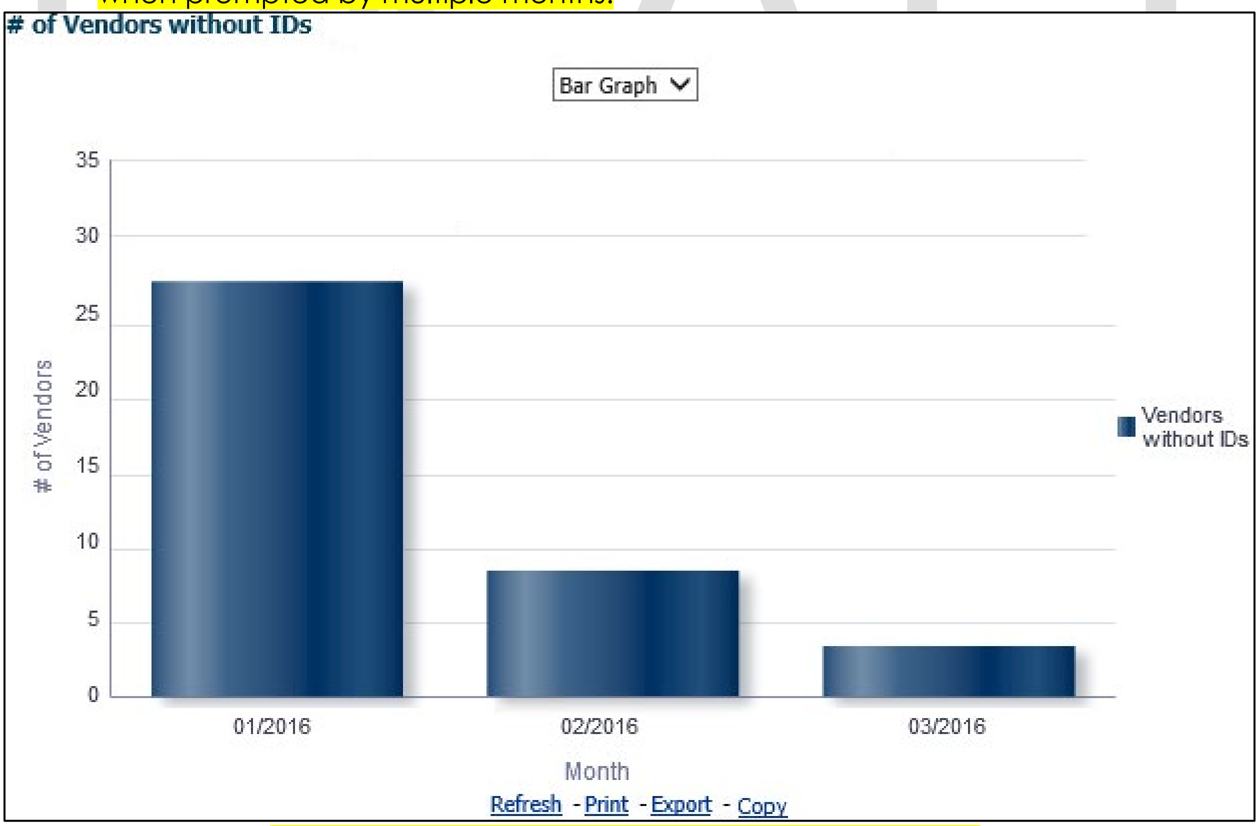

Figure 2.1.4.2.2-1 - # of Vendors without IDs – Bar Graph

# of Vendors without IDs

Table

Month	# of Vendors
01/2016	27
02/2016	8
03/2016	4

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Figure 2.1.4.2.2-2 - # of Vendors without IDs – Table

3. From the top-level 'Bar Graph' view, the widget will drill down to a second-level case list: Vendors without IDs - Case List.

2.1.4.2.3 **# of Exception Reasons Resolved**

Widget shall display the total number of 'Exceptions' which have been resolved by an end user.

1. The widget shall toggle between 'Bar Graph' and 'Table'
   a. 'Bar Graph' view shall be the first to load by default and 'Table' view will be the second option.
2. The 'Bar Graph' and 'Table' shall display number of 'Resolved Exception Reasons' by each month when prompted by multiple months.

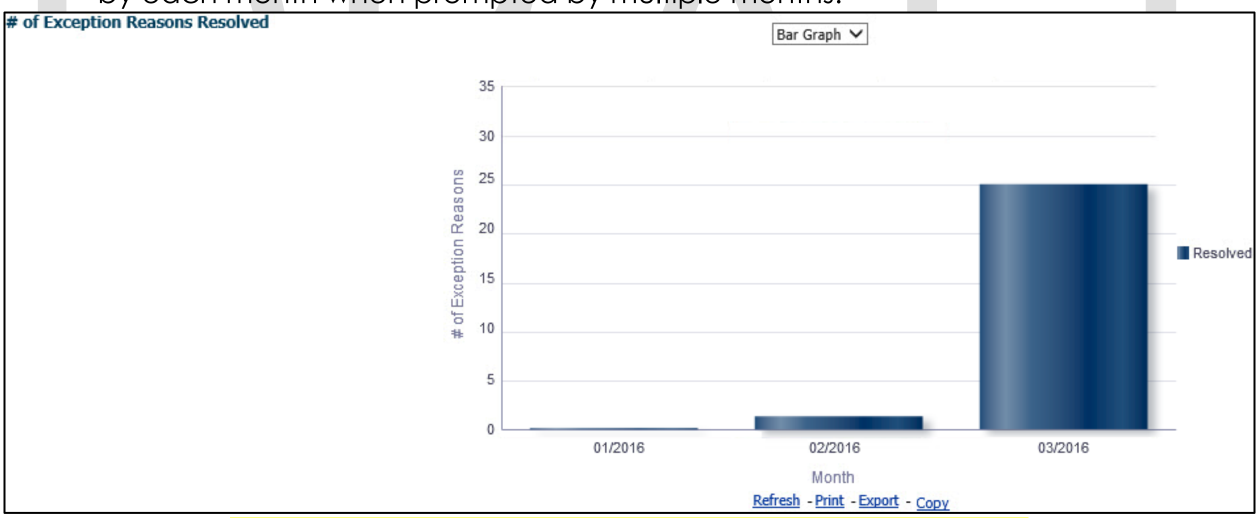

Figure 2.1.4.2.3 - # of Exception Reasons Resolved – Bar Graph

# of Exception Reasons Resolved

Table

Month	# of Exception Reasons
01/2016	1
02/2016	2
03/2016	25

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Figure 2.1.4.2.3 - # of Exception Reasons Resolved – Table

3. From the top-level 'Table' and 'Bar Graph' views, the widget will drill down to a second-level case list: Exception Reasons Resolved - Case List.

2.1.4.2.4 **Exception Reason Transaction List**

Widget shall display a list of all Vendor with flagged discrepancies between the DataMart Interface and CalACES System.

Table 5 - The logic of the Exception Reason Transaction List will be as follows:

<table>
<tr><th colspan="2">Placement Vendor Exception Report</th></tr>
<tr><th>Case List</th><th>Addition</th></tr>
<tr><td>Exception Reason Transaction List</td><td>
Add "Case Number" – Case Number associated to a child placed with a vendor.
Add "Vendor ID" – The unique ID for each vendor resource specified in the 'Foster Care Resource Databank Detail' page in the Application.
Add "Program" – The Child Welfare 'Program' associated to a Case.
Add "Vendor Name" – The name associated to a Vendor. This can be an individual or an agency.
Add "Vendor Address" – The full address of a provider. The address must be as follows: Street Address, City, County, State, Zip Code.
Add "Vendor Phone" – The phone number of the provider.
Add "Vendor Type" – The vendor category type. (Category ID = 10511)
Add "Placement ID" – The unique identifier of Child Placement table.
Add "Placement Date" – Date on which a child was placed in care of a Vendor.
Add "Placement Type" – The 'Placement Type' associated to a Vendor. (Category ID = 298)

Note: A single Vendor can have multiple placement types.

Add "Exception Reason" – The error thrown during inbound processing of the record.
Add "# of Days to Resolve" – Threshold for number of days in it
</td></tr>
</table>

Placement Vendor Exception Report	
Case List	**Addition**
	takes a worker to resolve a vendor exception reason. The threshold will be as follows: 1 Day: Green (#00CC00) 2 Days: Yellow (#FFFF00) >= 3 Days: Red (#FF0000) **Note:** 1. Day 1 will start the day after the error is identified. Please see Appendix: [Days to Resolve](#). 2. This number must be calculated with the [Assumption](#) that Create Date is day 0 and weekend and County Holidays are not counted.

Exception Reasons Transaction List

Days to Resolve Exception Reason

1 Day: 2 Days: >= 3 Days:

Placement Type: Foster Family Agency (Treatment)

Exception Reason: Invalid Value

Case Number	Program	Vendor ID	Vendor Name	Vendor Address	Vendor Phone	Vendor Type	Placement ID	Placement Date	Placement Type	Exception Reason	# of Days to Resolve
XXXXXXX	AAP	Vendor ID	Vendor Name 10.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	Placement ID	03/09/2016	Foster Family Agency (Treatment)	Invalid Value	1
XXXXXXX	AAP	Vendor ID	Vendor Name 100.0	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	Placement ID	03/08/2016	Foster Family Agency (Treatment)	Invalid Value	2
XXXXXXX	AAP	Vendor ID	Vendor Name 100.0	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	Placement ID	03/02/2016	Foster Family Agency (Treatment)	Invalid Value	12
XXXXXXX	AAP	Vendor ID	Vendor Name 12.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	Placement ID	03/11/2016	Foster Family Agency (Treatment)	Invalid Value	3
XXXXXXX	AAP	Vendor ID	Vendor Name 13.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	Placement ID	03/15/2016	Foster Family Agency (Treatment)	Invalid Value	8
XXXXXXX	AAP	Vendor ID	Vendor Name 15.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	Placement ID	03/01/2016	Foster Family Agency (Treatment)	Invalid Value	6
XXXXXXX	AAP	Vendor ID	Vendor Name 15.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	Placement ID	03/25/2016	Foster Family Agency (Treatment)	Invalid Value	2
XXXXXXX	AAP	Vendor ID	Vendor Name 2.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	Placement ID	03/04/2016	Foster Family Agency (Treatment)	Invalid Value	2
XXXXXXX	AAP	Vendor ID	Vendor Name 21.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	Placement ID	03/30/2016	Foster Family Agency (Treatment)	Invalid Value	2
XXXXXXX	AAP	Vendor ID	Vendor Name 22.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	Placement ID	03/24/2016	Foster Family Agency (Treatment)	Invalid Value	2
XXXXXXX	AAP	Vendor ID	Vendor Name 23.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	Placement ID	03/03/2016	Foster Family Agency (Treatment)	Invalid Value	5
XXXXXXX	AAP	Vendor ID	Vendor Name 24.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	Placement ID	03/09/2016	Foster Family Agency (Treatment)	Invalid Value	3
XXXXXXX	AAP	Vendor ID	Vendor Name 26.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	Placement ID	03/29/2016	Foster Family Agency (Treatment)	Invalid Value	8
XXXXXXX	AAP	Vendor ID	Vendor Name 30.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	Placement ID	03/31/2016	Foster Family Agency (Treatment)	Invalid Value	1
XXXXXXX	AAP	Vendor ID	Vendor Name 32.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	Placement ID	03/23/2016	Foster Family Agency (Treatment)	Invalid Value	11
XXXXXXX	AAP	Vendor ID	Vendor Name 34.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	Placement ID	03/30/2016	Foster Family Agency (Treatment)	Invalid Value	8
XXXXXXX	AAP	Vendor ID	Vendor Name 34.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	Placement ID	03/31/2016	Foster Family Agency (Treatment)	Invalid Value	11
XXXXXXX	AAP	Vendor ID	Vendor Name 44.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	Placement ID	03/10/2016	Foster Family Agency (Treatment)	Invalid Value	4
XXXXXXX	AAP	Vendor ID	Vendor Name 44.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	Placement ID	03/29/2016	Foster Family Agency (Treatment)	Invalid Value	1
XXXXXXX	AAP	Vendor ID	Vendor Name 46.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	Placement ID	03/02/2016	Foster Family Agency (Treatment)	Invalid Value	3
XXXXXXX	AAP	Vendor ID	Vendor Name 46.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	Placement ID	03/08/2016	Foster Family Agency (Treatment)	Invalid Value	8
XXXXXXX	AAP	Vendor ID	Vendor Name 5.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	Placement ID	03/24/2016	Foster Family Agency (Treatment)	Invalid Value	6
XXXXXXX	AAP	Vendor ID	Vendor Name 51.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	Placement ID	03/17/2016	Foster Family Agency (Treatment)	Invalid Value	7
XXXXXXX	AAP	Vendor ID	Vendor Name 55.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	Placement ID	03/21/2016	Foster Family Agency (Treatment)	Invalid Value	1
XXXXXXX	AAP	Vendor ID	Vendor Name 58.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	Placement ID	03/21/2016	Foster Family Agency (Treatment)	Invalid Value	7

Rows 1 - 25

Refresh - Print - Export - Copy

Figure 2.1.4.2.4 – Exception Reason Transaction List

2. The top-level ‘Table’ will not drill down to a case list.

2.1.5 Case List

Where applicable, widgets will link to each of these case lists.

2.1.5.1 Vendors by Placement Type - Case List

Table 6 - The logic of the Vendors by Placement Type - Case List will be as follows:

Placement Vendor Exception Report	
Case List	**Addition**
Vendors by Placement Type - Case List	1. **Add** "Case Number" – Case Number associated to a child placed with a vendor. 2. **Add** "Vendor ID" – The unique 6 – digit CWS/CMS Vendor Number for each vendor resource specified in the 'Foster Care Resource Databank Detail' page in the Application. 3. **Add** "Program" – The Child Welfare 'Program' associated to a child's Case. 4. **Add** "Vendor Name" – The name associated to a Vendor. This can be an individual or an agency. 5. **Add** "Vendor Address" – The full address of a provider. The address must be as follows: Street Address, City, County, State, Zip Code. 6. **Add** "Vendor Phone" – The phone number of the provider. 7. **Add** "Vendor Type" – The vendor category type. (**Category ID = 10511**) 8. **Add** "Placement Date" – Date on which a child was placed in care of a Vendor. 9. **Add** "Placement Type" – The type of place associated to a Vendor in the 'Child Placement Detail' page in the Application. (**Category ID = 298**) **Note:** A single Vendor can have multiple placement types. 10. **Add** "Exception Reason" – The error thrown during inbound processing of the record.

DRAFT

The following mock-up screen shots do not display real data.

Vendors by Placement Type - Case List

Case Number	Vendor ID	Program	Vendor Name	Vendor Address	Vendor Phone	Vendor Type	Placement Date	Placement Type	Exception Reason
XXXXXXX	Vendor ID	Kin-Gap	Vendor Name 10	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	03/08/2016	Probation Department	Group Home
XXXXXXX	Vendor ID	Kin-Gap	Vendor Name 10	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/08/2016	Foster Family Home	Group Home
XXXXXXX	Vendor ID	Kin-Gap	Vendor Name 10	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/07/2016	Probation Department	Group Home
XXXXXXX	Vendor ID	Kin-Gap	Vendor Name 10	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/08/2016	Foster Family Agency (Treatment)	Group Home
XXXXXXX	Vendor ID	Kin-Gap	Vendor Name 11	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/25/2016	Foster Family Home	Group Home
XXXXXXX	Vendor ID	Kin-Gap	Vendor Name 15	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/22/2016	Foster Family Agency (Treatment)	Group Home
XXXXXXX	Vendor ID	Kin-Gap	Vendor Name 16	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	03/28/2016	Group Home	Group Home
XXXXXXX	Vendor ID	Kin-Gap	Vendor Name 16	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/30/2016	Probation Department	Group Home
XXXXXXX	Vendor ID	Kin-Gap	Vendor Name 17	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/03/2016	Probation Department	Group Home
XXXXXXX	Vendor ID	Kin-Gap	Vendor Name 18	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	03/07/2016	Probation Department	Group Home
XXXXXXX	Vendor ID	Kin-Gap	Vendor Name 18	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/24/2016	Small Family Home	Group Home
XXXXXXX	Vendor ID	Kin-Gap	Vendor Name 19	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	03/15/2016	Probation Department	Group Home
XXXXXXX	Vendor ID	Kin-Gap	Vendor Name 19	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/04/2016	Probation Department	Group Home
XXXXXXX	Vendor ID	Kin-Gap	Vendor Name 19	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/16/2016	Probation Department	Group Home
XXXXXXX	Vendor ID	Kin-Gap	Vendor Name 21	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/10/2016	Probation Department	Group Home
XXXXXXX	Vendor ID	Kin-Gap	Vendor Name 23	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/09/2016	Probation Department	Group Home
XXXXXXX	Vendor ID	Kin-Gap	Vendor Name 24	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	03/02/2016	Probation Department	Group Home
XXXXXXX	Vendor ID	Kin-Gap	Vendor Name 25	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	03/16/2016	Probation Department	Group Home
XXXXXXX	Vendor ID	Kin-Gap	Vendor Name 30	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	03/09/2016	Probation Department	Group Home
XXXXXXX	Vendor ID	Kin-Gap	Vendor Name 30	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/01/2016	Small Family Home	Group Home
XXXXXXX	Vendor ID	Kin-Gap	Vendor Name 30	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/30/2016	Foster Family Home	Group Home
XXXXXXX	Vendor ID	Kin-Gap	Vendor Name 31	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/24/2016	Probation Department	Group Home
XXXXXXX	Vendor ID	Kin-Gap	Vendor Name 32	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	03/31/2016	Probation Department	Group Home
XXXXXXX	Vendor ID	Kin-Gap	Vendor Name 32	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/24/2016	Group Home	Group Home
XXXXXXX	Vendor ID	Kin-Gap	Vendor Name 33	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/28/2016	Group Home	Group Home

Rows 1 - 25

From Month is greater than or equal to **01/2016**
and To Month is less than or equal to **03/2016**

Return - Refresh - Print - Export - Copy

Figure 2.1.5.1 – Vendors by Placement Type - Case List

2.1.5.2 Vendors without IDs - Case List

Table 7 - The logic of the Vendors without IDs - Case List will be as follows:

Placement Vendor Exception Report	
Case List	**Addition**
Vendors without IDs - Case List	1. **Add** "Case Number" – Case Number associated to a child placed with a vendor. 2. **Add** "Program" – The Child Welfare 'Program' associated to a child's Case. 3. **Add** "Vendor Name" – The name associated to a Vendor. This can be an individual or an agency. 4. **Add** "Vendor Address" – The full address of a provider. The address must be as follows: Street Address, City, County, State, Zip Code. 5. **Add** "Vendor Phone" – The phone number of the provider.

Placement Vendor Exception Report	
Case List	**Addition**
	6. **Add** "Vendor Type" – The vendor category type. (**Category ID = 10511**) 7. **Add** "Placement Date" – Date on which a child was placed in care of a Vendor. 8. **Add** "Placement Type" – The type of place associated to a Vendor in the 'Child Placement Detail' page in the Application. (**Category ID = 298**) **Note:** A single Vendor can have multiple placement types. 9. **Add** "Exception Reason" – The error thrown during inbound processing of the record.

The following mock-up screen shots do not display real data.

Vendors without IDs - Case List

Case Number	Program	Vendor Name	Vendor Address	Vendor Phone	Vendor Type	Placement Date	Placement Type	Exception Reason
XXXXXXX	AAP	Vendor Name 11	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	03/21/2016	Foster Family Agency (Treatment)	Invalid Value
XXXXXXX	AAP	Vendor Name 11	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/09/2016	Foster Family Agency (Treatment)	Invalid Value
XXXXXXX	AAP	Vendor Name 12	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	03/14/2016	Foster Family Agency (Treatment)	Invalid Value
XXXXXXX	AAP	Vendor Name 13	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/03/2016	Foster Family Agency (Treatment)	Invalid Value
XXXXXXX	AAP	Vendor Name 14	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	03/31/2016	Foster Family Agency (Treatment)	Invalid Value
XXXXXXX	AAP	Vendor Name 14	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/28/2016	Foster Family Agency (Treatment)	Invalid Value
XXXXXXX	AAP	Vendor Name 3.(	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/29/2016	Foster Family Agency (Treatment)	Invalid Value
XXXXXXX	AAP	Vendor Name 30	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	03/10/2016	Foster Family Agency (Treatment)	Invalid Value
XXXXXXX	AAP	Vendor Name 31	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/02/2016	Foster Family Agency (Treatment)	Invalid Value
XXXXXXX	AAP	Vendor Name 33	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	03/09/2016	Foster Family Agency (Treatment)	Invalid Value
XXXXXXX	AAP	Vendor Name 33	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	03/30/2016	Foster Family Agency (Treatment)	Invalid Value
XXXXXXX	AAP	Vendor Name 38	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	03/28/2016	Foster Family Agency (Treatment)	Invalid Value
XXXXXXX	AAP	Vendor Name 40	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/17/2016	Foster Family Agency (Treatment)	Invalid Value
XXXXXXX	AAP	Vendor Name 50	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	03/15/2016	Foster Family Agency (Treatment)	Invalid Value
XXXXXXX	AAP	Vendor Name 50	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/14/2016	Foster Family Agency (Treatment)	Invalid Value
XXXXXXX	AAP	Vendor Name 50	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/22/2016	Foster Family Agency (Treatment)	Invalid Value
XXXXXXX	AAP	Vendor Name 50	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/23/2016	Foster Family Agency (Treatment)	Invalid Value
XXXXXXX	AAP	Vendor Name 54	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/16/2016	Foster Family Agency (Treatment)	Invalid Value
XXXXXXX	AAP	Vendor Name 56	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/11/2016	Foster Family Agency (Treatment)	Invalid Value
XXXXXXX	AAP	Vendor Name 56	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/24/2016	Foster Family Agency (Treatment)	Invalid Value
XXXXXXX	AAP	Vendor Name 6	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/30/2016	Foster Family Agency (Treatment)	Invalid Value
XXXXXXX	AAP	Vendor Name 61	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	03/07/2016	Foster Family Agency (Treatment)	Invalid Value
XXXXXXX	AAP	Vendor Name 61	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	03/17/2016	Foster Family Agency (Treatment)	Invalid Value
XXXXXXX	AAP	Vendor Name 62	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	03/29/2016	Foster Family Agency (Treatment)	Invalid Value
XXXXXXX	AAP	Vendor Name 63	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/21/2016	Foster Family Agency (Treatment)	Invalid Value

Rows 1 - 25

From Month is greater than or equal to **01/2016**
and To Month is less than or equal to **03/2016**

Return - Refresh - Print - Export - Copy

Figure 2.1.5.2 – Vendors without IDs - Case List

2.1.5.3 Exception Reasons Resolved - Case List

Table 9 - The logic of the Exception Reasons Resolved - Case List will be as follows:

Placement Vendor Exception Report	
Case List	**Addition**
Exception Reasons Resolved - Case List	1. **Add** "Case Number" – Case Number associated to a child placed with a vendor. 2. **Add** "Vendor ID" – The unique 6 – digit CWS/CMS Vendor Number for each vendor resource specified in the 'Foster Care Resource Databank Detail' page in the Application. 3. **Add** "Program" – The Child Welfare 'Program' associated to a child's Case. 4. **Add** "Vendor Name" – The name associated to a Vendor. This can be an individual or an agency. 5. **Add** "Vendor Address" – The full address of a provider. The address must be as follows: Street Address, City, County, State, Zip Code. 6. **Add** "Vendor Phone" – The phone number of the provider. 7. **Add** "Vendor Type" – The vendor category type. (**Category ID = 10511**) 8. **Add** "Placement Date" – Date on which a child was placed in care of a Vendor. 9. **Add** "Placement Type" – The type of place associated to a Vendor in the 'Child Placement Detail' page in the Application. (**Category ID = 298**) **Note:** A single Vendor can have multiple placement types. 10. **Add** "Exception Reason" – The error thrown during inbound processing of the record.

The following mock-up screen shots do not display real data.

Exception Reasons Resolved - Case List

Case Number	Vendor ID	Program	Vendor Name	Vendor Address	Vendor Phone	Vendor Type	Placement Date	Placement Type	Exception Reason
XXXXXXX	Vendor ID	Kin-Gap	Vendor Name 1.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/07/2016	Probation Department	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Kin-Gap	Vendor Name 10.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/17/2016	Foster Family Home	Invalid Value
XXXXXXX	Vendor ID	Kin-Gap	Vendor Name 10.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/17/2016	Probation Department	No such vendor
XXXXXXX	Vendor ID	Kin-Gap	Vendor Name 100.0	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	03/16/2016	Probation Department	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Kin-Gap	Vendor Name 100.0	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/17/2016	Foster Family Agency (Treatment)	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Kin-Gap	Vendor Name 11.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/02/2016	Foster Family Home	Invalid Value
XXXXXXX	Vendor ID	Kin-Gap	Vendor Name 12.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	03/08/2016	Probation Department	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Kin-Gap	Vendor Name 12.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	03/09/2016	Group Home	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Kin-Gap	Vendor Name 13.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/01/2016	Small Family Home	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Kin-Gap	Vendor Name 15.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	03/07/2016	Probation Department	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Kin-Gap	Vendor Name 15.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/03/2016	Small Family Home	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Kin-Gap	Vendor Name 15.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/31/2016	Foster Family Agency (Treatment)	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Kin-Gap	Vendor Name 17.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/14/2016	Small Family Home	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Kin-Gap	Vendor Name 18.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/16/2016	Foster Family Agency (Treatment)	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Kin-Gap	Vendor Name 19.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/15/2016	Probation Department	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Kin-Gap	Vendor Name 19.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/25/2016	Foster Family Home	Invalid Value
XXXXXXX	Vendor ID	Kin-Gap	Vendor Name 19.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/28/2016	Group Home	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Kin-Gap	Vendor Name 2.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/08/2016	Small Family Home	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Kin-Gap	Vendor Name 21.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/10/2016	Small Family Home	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Kin-Gap	Vendor Name 21.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/22/2016	Foster Family Agency (Treatment)	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Kin-Gap	Vendor Name 22.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/08/2016	Group Home	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Kin-Gap	Vendor Name 23.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/21/2016	Group Home	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Kin-Gap	Vendor Name 24.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/14/2016	Foster Family Home	Invalid Value
XXXXXXX	Vendor ID	Kin-Gap	Vendor Name 25.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	03/28/2016	Group Home	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Kin-Gap	Vendor Name 26.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/07/2016	Probation Department	No such vendor

Rows 1 - 25

From Month is greater than or equal to **03/2016**
and To Month is less than or equal to **03/2016**

Return - Refresh - Print - Export - Copy

Figure 2.1.5.3 – Exception Reasons Resolved - Case List

2.2 Placement Vendor Exception Report – Exception Reason Monthly Distribution Page Layout

2.2.1 Prompt Detail

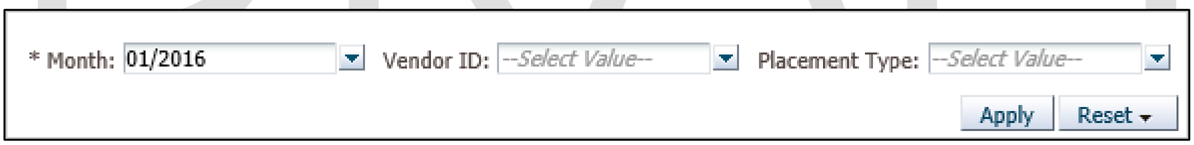


Table 10 – Prompt Description

Filter Name	Default Value	Filter Type
*Month	Current Month	Single-select option to filter data by. The data will display as of the day a user is viewing the dashboard.
Vendor ID	All	Multi-select option to filter data by 'Vendor ID'
Placement Type	All	Multi-select option to filter data by Child Welfare 'Placement Type'.

Note: * indicates that 'Month' filter is always required.

Table 11 - Logic for parameters will be as follows:

Dashboard Page	Parameters Addition	Parameter Description
Exception Reason Monthly Distribution	**Add** "Month" dropdown prompt.	This is a mandatory field which displays the month as

Dashboard Page	Parameters Addition	Parameter Description
		of the day the dashboard is viewed. At least one month must be selected to filter the dashboard. The values will be in **MM/YYYY** format.
	Add "Vendor ID" dropdown prompt.	The values will be the 6 digit CMS/CWS Number in the Foster Care Resource Detail Page for all vendors.
	Add "Placement Type" dropdown prompt.	The values will be the types of institutions a child is placed.

2.2.2 Widget Details

2.2.2.1 # of Vendors by Month

Widget shall display the total number of Vendors by the prompted month(s).

3. The widget shall toggle between 'Line Graph' and 'Table'
    a. 'Line Graph' view shall be the first to load by default and 'Table' view will be the second option.
4. The 'Line Graph' and 'Table' shall display # of Vendors by each month when prompted by multiple months.

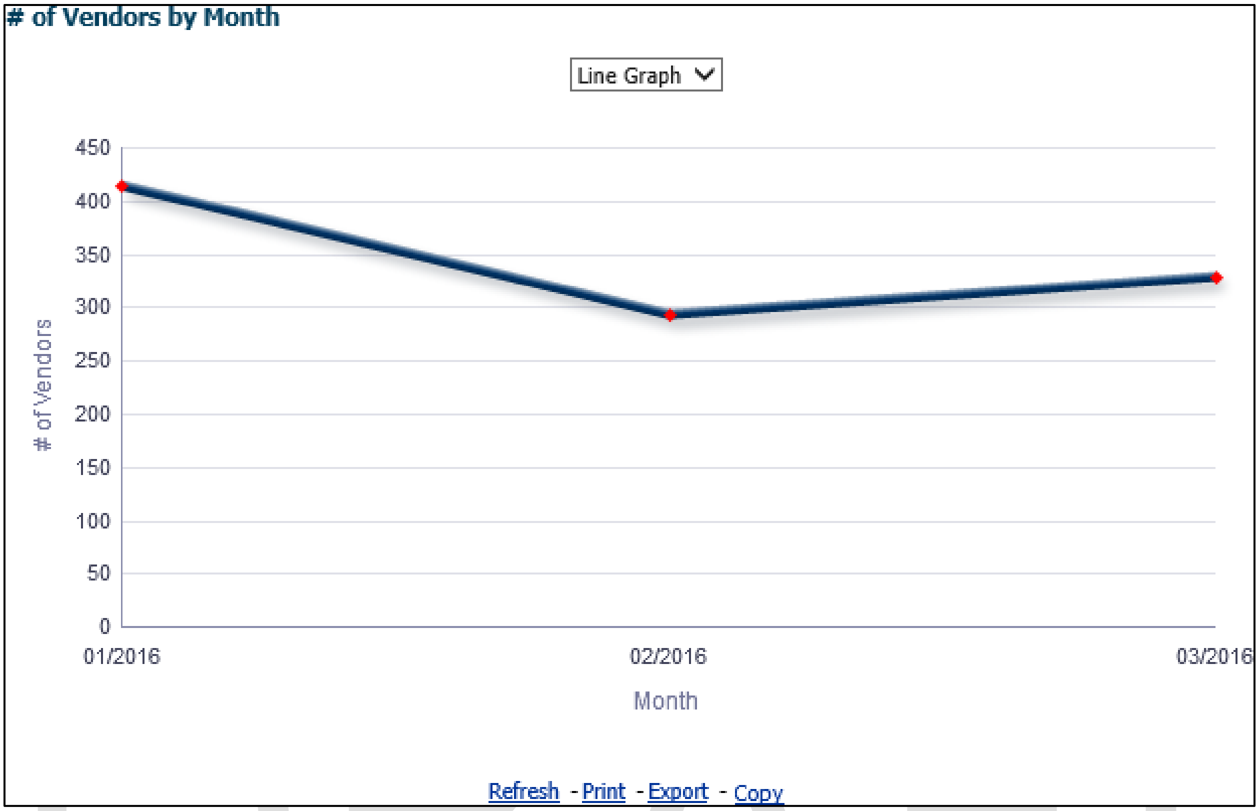
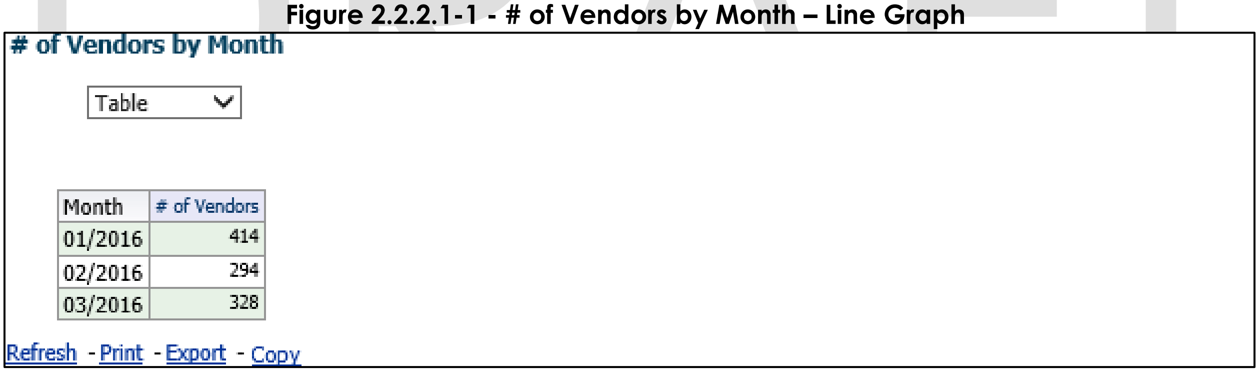


Figure 2.2.2.1-1 - # of Vendors by Month – Line Graph



Month	# of Vendors
01/2016	414
02/2016	294
03/2016	328

Figure 2.2.2.1-2 - # of Vendors by Month – Table

5. From the top-level 'Table' and 'Bar Graph' views, the widget will drill down to a second-level case list: Vendors by Month - Case List.

2.2.2.2 # of Exception Reasons by Month

Widget shall display the total number of 'Exceptions' by the prompted month(s).

3. The widget shall toggle between 'Line Graph' and 'Table'
   a. 'Line Graph' view shall be the first to load by default and 'Table' view will be the second option.
4. The 'Line Graph' and 'Table' shall display number of 'Exception Reasons' by each month when prompted by multiple months.

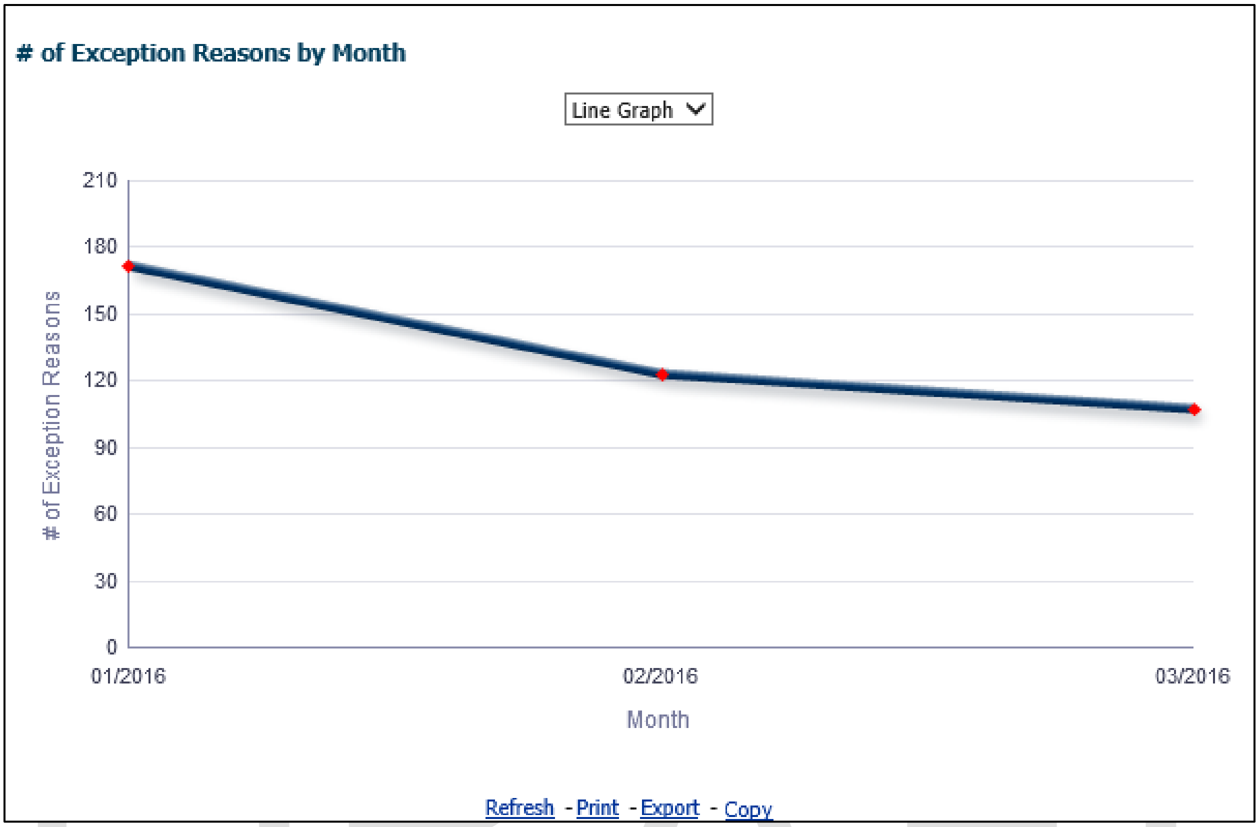


Figure 2.2.2.2-1 - # of Exception Reasons by Month – Line Graph

# of Exception Reasons by Month

Table

Month	# of Exception Reasons
01/2016	172
02/2016	123
03/2016	107

Refresh - Print - Export - Copy

Figure 2.2.2.2-2 - # of Exception Reasons by Month – Table

5. From the top-level 'Table' and 'Bar Graph' views, the widget will drill down to a second-level case list: Exception Reasons by Month - Case List.

2.2.3 Case Lists

2.2.3.1 Vendors by Month - Case List

Table 12 - The logic of the Vendors by Month - Case List will be as follows:

<table>
<tr><th colspan="2">Placement Vendor Exception Report</th></tr>
<tr><th>Case List</th><th>Addition</th></tr>
<tr><td>Vendors by Month - Case List</td><td>

Add “Case Number” – Case Number associated to a child placed with a vendor.
Add “Vendor ID” – The unique 6 – digit CWS/CMS Vendor Number for each vendor resource specified in the ‘Foster Care Resource Databank Detail’ page in the Application.
Add “Program” – The Child Welfare ‘Program’ associated to a child’s Case.
Add “Vendor Name” – The name associated to a Vendor. This can be an individual or an agency.
Add “Vendor Address” – The full address of a provider. The address must be as follows: Street Address, City, County, State, Zip Code.
Add “Vendor Phone” – The phone number of the provider.
Add “Vendor Type” – The vendor category type. (Category ID = 10511)
Add “Placement Date” – Date on which a child was placed in care of a Vendor.
Add “Placement Type” – The type of place associated to a Vendor in the ‘Child Placement Detail’ page in the Application. (Category ID = 298)

Note: A single Vendor can have multiple placement types.
Add “Exception Reason” – The error thrown during inbound processing of the record.

</td></tr>
</table>

The following mock-up screen shots do not display real data.

Vendors by Month - Case List

Case Number	Vendor ID	Program	Vendor Name	Vendor Address	Vendor Phone	Vendor Type	Placement Date	Placement Type	Exception Reason
XXXXXXX	Vendor ID	Medi-Cal	Vendor Name 1	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/02/2016	Small Family Home	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Medi-Cal	Vendor Name 10	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/02/2016	Foster Family Home	Invalid Value
XXXXXXX	Vendor ID	Medi-Cal	Vendor Name 11	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/08/2016	Group Home	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Medi-Cal	Vendor Name 11	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/25/2016	Probation Department	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Medi-Cal	Vendor Name 13	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	03/24/2016	Group Home	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Medi-Cal	Vendor Name 15	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	03/15/2016	Group Home	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Medi-Cal	Vendor Name 15	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	03/15/2016	Probation Department	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Medi-Cal	Vendor Name 16	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	03/21/2016	Probation Department	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Medi-Cal	Vendor Name 16	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/09/2016	Probation Department	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Medi-Cal	Vendor Name 16	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/17/2016	Group Home	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Medi-Cal	Vendor Name 17	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/14/2016	Foster Family Home	Invalid Value
XXXXXXX	Vendor ID	Medi-Cal	Vendor Name 18	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/21/2016	Foster Family Agency (Treatment)	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Medi-Cal	Vendor Name 20	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/18/2016	Probation Department	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Medi-Cal	Vendor Name 20	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/31/2016	Foster Family Agency (Treatment)	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Medi-Cal	Vendor Name 21	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/07/2016	Probation Department	No such vendor
XXXXXXX	Vendor ID	Medi-Cal	Vendor Name 23	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/10/2016	Foster Family Agency (Treatment)	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Medi-Cal	Vendor Name 28	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/03/2016	Probation Department	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Medi-Cal	Vendor Name 28	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/03/2016	Small Family Home	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Medi-Cal	Vendor Name 28	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/22/2016	Probation Department	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Medi-Cal	Vendor Name 28	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/30/2016	Foster Family Agency (Treatment)	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Medi-Cal	Vendor Name 3	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/01/2016	Probation Department	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Medi-Cal	Vendor Name 30	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/30/2016	Group Home	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Medi-Cal	Vendor Name 31	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/16/2016	Foster Family Agency (Treatment)	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Medi-Cal	Vendor Name 32	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/29/2016	Probation Department	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Medi-Cal	Vendor Name 35	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	03/28/2016	Probation Department	Vendor is in an activated status/already allocated Vendor Number

Rows 1 - 25

From Month is greater than or equal to **03/2016**
and To Month is less than or equal to **03/2016**

Return - Refresh - Print - Export - Copy

Figure 2.2.3.1 – Vendors by Month - Case List

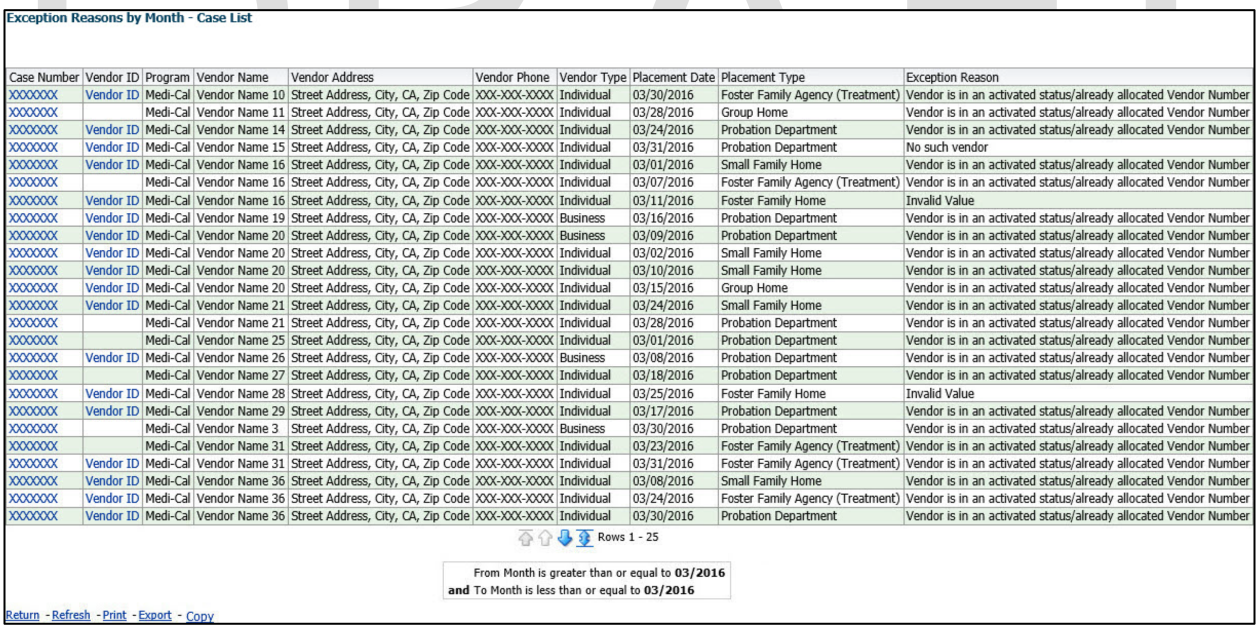
2.2.3.2 Exception Reasons by Month - Case List

Table 13 - The logic of the Exception Reasons by Month - Case List will be as follows:

<table>
<tr><th colspan="2">Placement Vendor Exception Report</th></tr>
<tr><th>Case List</th><th>Addition</th></tr>
<tr><td>Exception Reasons by Month - Case List</td><td>1. Add “Case Number” – Case Number associated to a child placed with a vendor.
2. Add “Vendor ID” – The unique 6 – digit CWS/CMS Vendor Number for each vendor resource specified in the ‘Foster Care Resource Databank Detail’ page in the Application.
3. Add “Program” – The Child Welfare ‘Program’ associated to a child’s Case.
4. Add “Vendor Name” – The name associated to a Vendor. This can be an individual or an agency.
5. Add “Vendor Address” – The full address of a provider. The address must be as follows: Street Address, City, County, State, Zip Code.
6. Add “Vendor Phone” – The phone number of the provider.</td></tr>
</table>

Placement Vendor Exception Report	
Case List	**Addition**
	7. **Add** “Vendor Type” – The vendor category type. (**Category ID = 10511**) 8. **Add** “Placement Date” – Date on which a child was placed in care of a Vendor. 9. **Add** “Placement Type” – The type of place associated to a Vendor in the ‘Child Placement Detail’ page in the Application. (**Category ID = 298**) **Note:** A single Vendor can have multiple placement types. 10. **Add** “Exception Reason” – The error thrown during inbound processing of the record.

The following mock-up screen shots do not display real data.



Exception Reasons by Month - Case List

Case Number	Vendor ID	Program	Vendor Name	Vendor Address	Vendor Phone	Vendor Type	Placement Date	Placement Type	Exception Reason
XXXXXXX	Vendor ID	Medi-Cal	Vendor Name 10	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/30/2016	Foster Family Agency (Treatment)	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX		Medi-Cal	Vendor Name 11	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/28/2016	Group Home	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Medi-Cal	Vendor Name 14	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/24/2016	Probation Department	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Medi-Cal	Vendor Name 15	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/31/2016	Probation Department	No such vendor
XXXXXXX	Vendor ID	Medi-Cal	Vendor Name 16	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/01/2016	Small Family Home	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX		Medi-Cal	Vendor Name 16	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/07/2016	Foster Family Agency (Treatment)	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Medi-Cal	Vendor Name 16	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/11/2016	Foster Family Home	Invalid Value
XXXXXXX	Vendor ID	Medi-Cal	Vendor Name 19	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	03/16/2016	Probation Department	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Medi-Cal	Vendor Name 20	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	03/09/2016	Probation Department	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Medi-Cal	Vendor Name 20	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/02/2016	Small Family Home	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Medi-Cal	Vendor Name 20	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/10/2016	Small Family Home	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Medi-Cal	Vendor Name 20	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/15/2016	Group Home	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Medi-Cal	Vendor Name 21	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/24/2016	Small Family Home	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX		Medi-Cal	Vendor Name 21	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/28/2016	Probation Department	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX		Medi-Cal	Vendor Name 25	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/01/2016	Probation Department	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Medi-Cal	Vendor Name 26	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	03/08/2016	Probation Department	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX		Medi-Cal	Vendor Name 27	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/18/2016	Probation Department	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Medi-Cal	Vendor Name 28	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/25/2016	Foster Family Home	Invalid Value
XXXXXXX	Vendor ID	Medi-Cal	Vendor Name 29	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/17/2016	Probation Department	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX		Medi-Cal	Vendor Name 3	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	03/30/2016	Probation Department	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX		Medi-Cal	Vendor Name 31	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/23/2016	Foster Family Agency (Treatment)	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Medi-Cal	Vendor Name 31	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/31/2016	Foster Family Agency (Treatment)	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Medi-Cal	Vendor Name 36	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/08/2016	Small Family Home	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Medi-Cal	Vendor Name 36	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/24/2016	Foster Family Agency (Treatment)	Vendor is in an activated status/already allocated Vendor Number
XXXXXXX	Vendor ID	Medi-Cal	Vendor Name 36	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/30/2016	Probation Department	Vendor is in an activated status/already allocated Vendor Number

Rows 1 - 25

From Month is greater than or equal to **03/2016**
and To Month is less than or equal to **03/2016**

Return - Refresh - Print - Export - Copy

Figure 2.2.3.2 – Exception Reasons by Month - Case List

2.3 General OBIEE function Mockups

2.3.1 Drill links

1. A popup link to the drill down options will be available when there is a second level widget or case list is available.
2. When a graph and case list are both drill down options, the graph option shall be the first selectable option and case list will be the last.
3. Case Numbers in case lists will link back to the to the Application.
    a. A popup link will appear when a case number is selected
    b. The link, Navigate to Case Summary page, will link back to the Case Summary page of the case number
4. Vendor IDs in case lists will link back to the Application.
    a. A popup link will appear when a Vendor number is selected
    b. The link, Navigate to Foster Care Resource Detail page, will link back to the Foster Care Resource Search of the Vendor Number

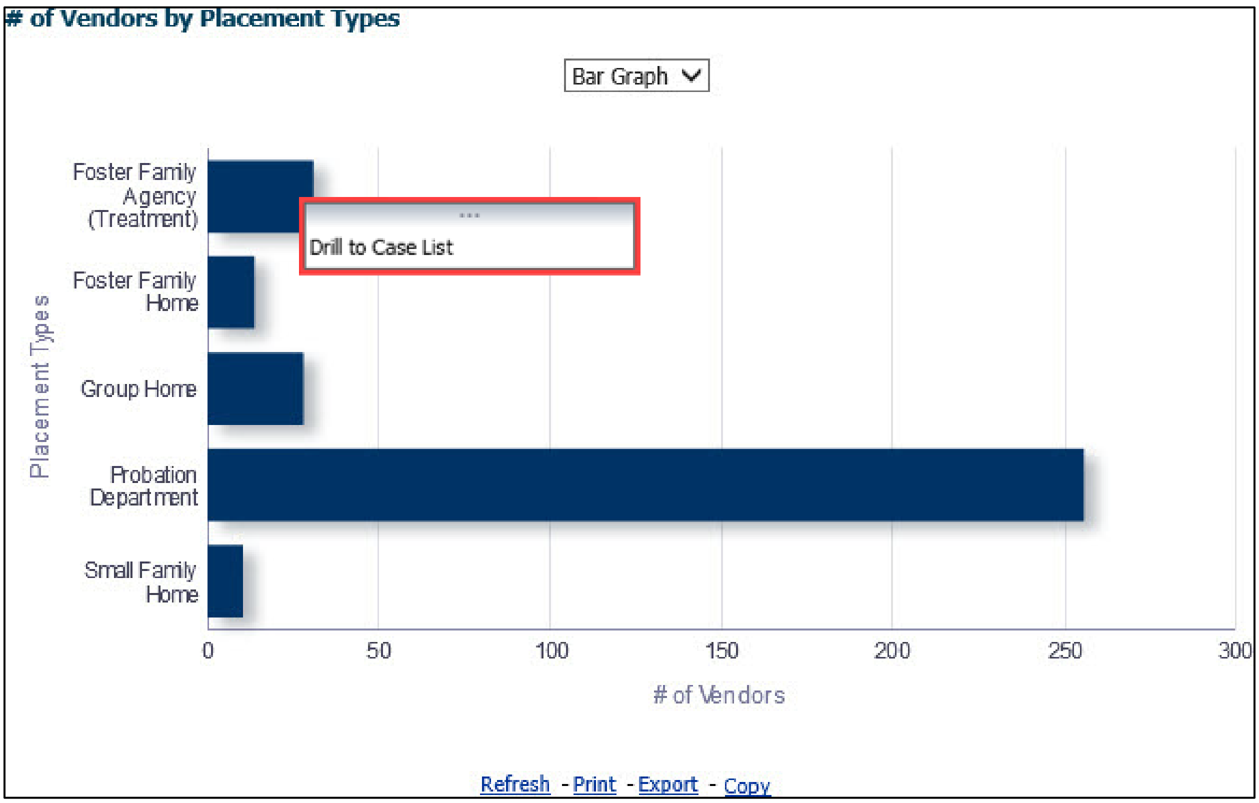
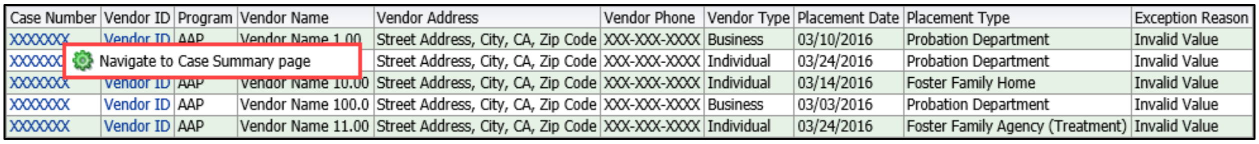


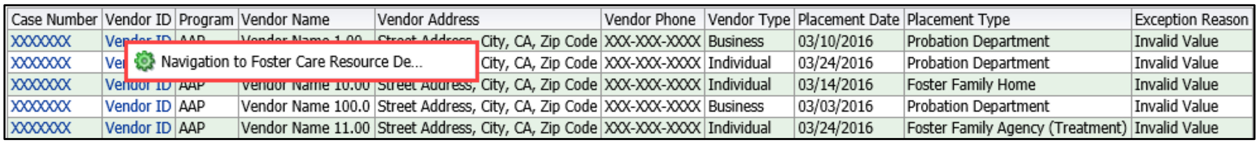
Figure 2.3.1-1 – Drill down link list

Note: This list of link(s) mockup is only an example and may be different in other widgets. See widget descriptions in section 2.1.6 Widget Details for more details.



Case Number	Vendor ID	Program	Vendor Name	Vendor Address	Vendor Phone	Vendor Type	Placement Date	Placement Type	Exception Reason
XXXXXXX	Vendor ID	AAP	Vendor Name 1.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	03/10/2016	Probation Department	Invalid Value
XXXXXXX	Navigate to Case Summary page			Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/24/2016	Probation Department	Invalid Value
XXXXXXX	Vendor ID	AAP	Vendor Name 10.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/14/2016	Foster Family Home	Invalid Value
XXXXXXX	Vendor ID	AAP	Vendor Name 100.0	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	03/03/2016	Probation Department	Invalid Value
XXXXXXX	Vendor ID	AAP	Vendor Name 11.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/24/2016	Foster Family Agency (Treatment)	Invalid Value

Figure 2.3.1-2- Case Number to CalACES South Summary page link



Case Number	Vendor ID	Program	Vendor Name	Vendor Address	Vendor Phone	Vendor Type	Placement Date	Placement Type	Exception Reason
XXXXXXX	Vendor ID	AAP	Vendor Name 1.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	03/10/2016	Probation Department	Invalid Value
XXXXXXX	Navigation to Foster Care Resource De...			City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/24/2016	Probation Department	Invalid Value
XXXXXXX	Vendor ID	AAP	Vendor Name 10.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/14/2016	Foster Family Home	Invalid Value
XXXXXXX	Vendor ID	AAP	Vendor Name 100.0	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Business	03/03/2016	Probation Department	Invalid Value
XXXXXXX	Vendor ID	AAP	Vendor Name 11.00	Street Address, City, CA, Zip Code	XXX-XXX-XXXX	Individual	03/24/2016	Foster Family Agency (Treatment)	Invalid Value

Figure 2.3.1-3- Vendor ID to Foster Care Resource Detail page link

2.4 Report Navigation

The Work Order Summary dashboard page can be found here:

Global Navigation: Reports

Local Navigation: Business Intelligence

Menu: Child Welfare Program STATS

Dashboard: Placement Vendor Exception Report

Dashboard Pages: Vendor Transaction Exception Reasons and Exception Reason Monthly Distribution

Dashboard Description: The Placement Vendor Exception Report dashboard page provides details on the number of Vendors flagged with an Exception Reason. The dashboard allows the ability to break down Vendors by ‘Program’, ‘Placement Type’, as well as identify Vendor information without received without Vendor IDs

Execution Frequency: Nightly Batch

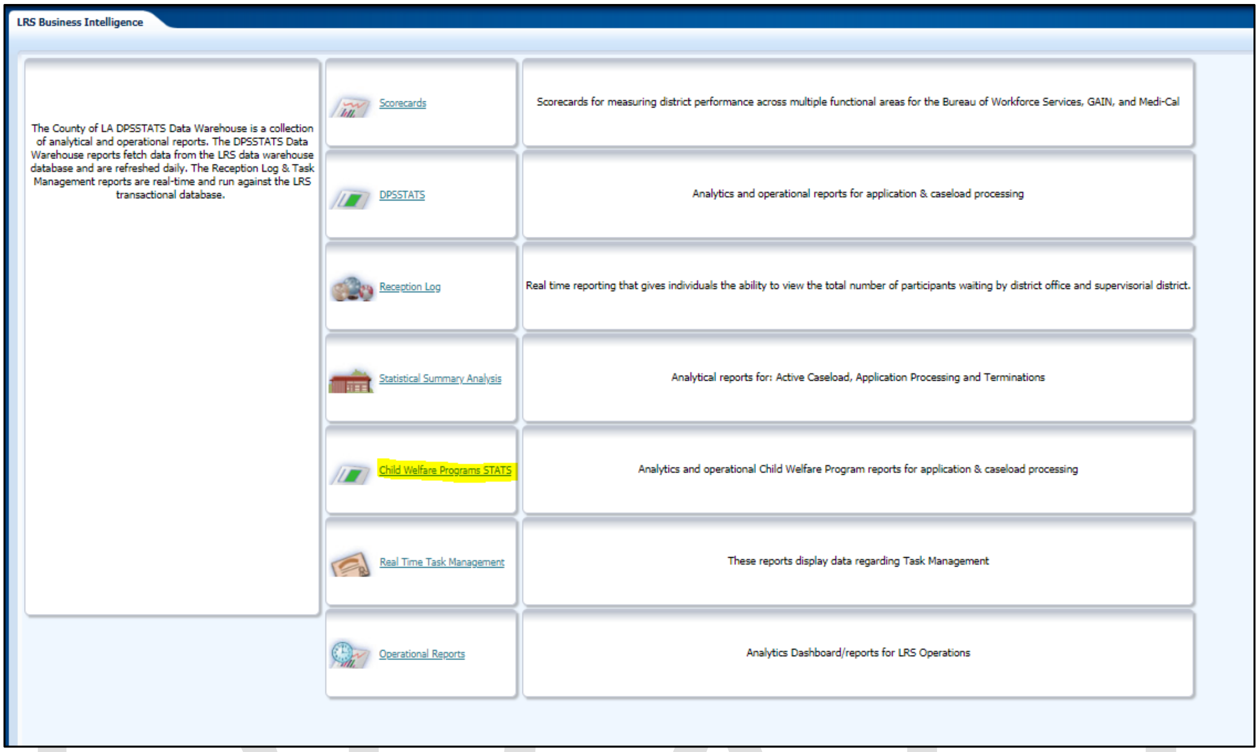


Figure 2.4-1 – Landing Page

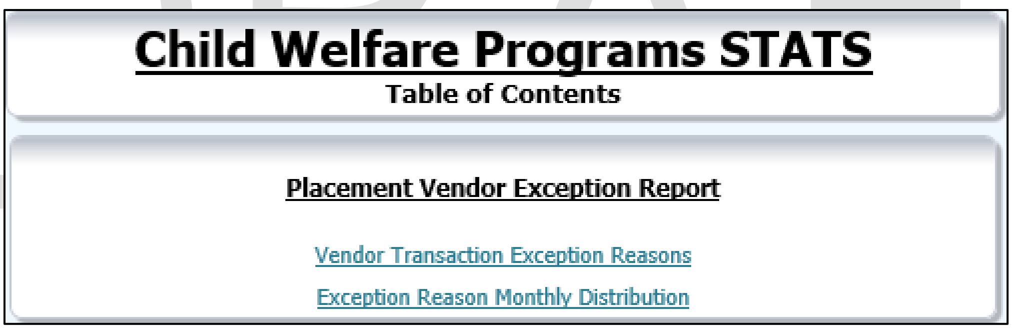


Figure 2.4-2 – Report Navigation

2.5 Report and Data Level Security

The Placement Vendor Exception Report dashboard will only be visible to users who have the **CWS BI Vendor Exception Role** security role associated to their security profile. If user only has the **CWS BI Vendor Exception Role**, all other dashboards will not be visible without proper rights. County Security Administrators will be responsible for providing the **CWS BI Vendor Exception Role** to users who can only view the Placement Vendor Exception Report dashboard. For users who can view all dashboard, County Security Administrators must **only** provide the **CWS BI Consumer** security. Both **CWS BI Vendor Exception Role** and **CWS BI Consumer** security cannot be assigned to the same user.

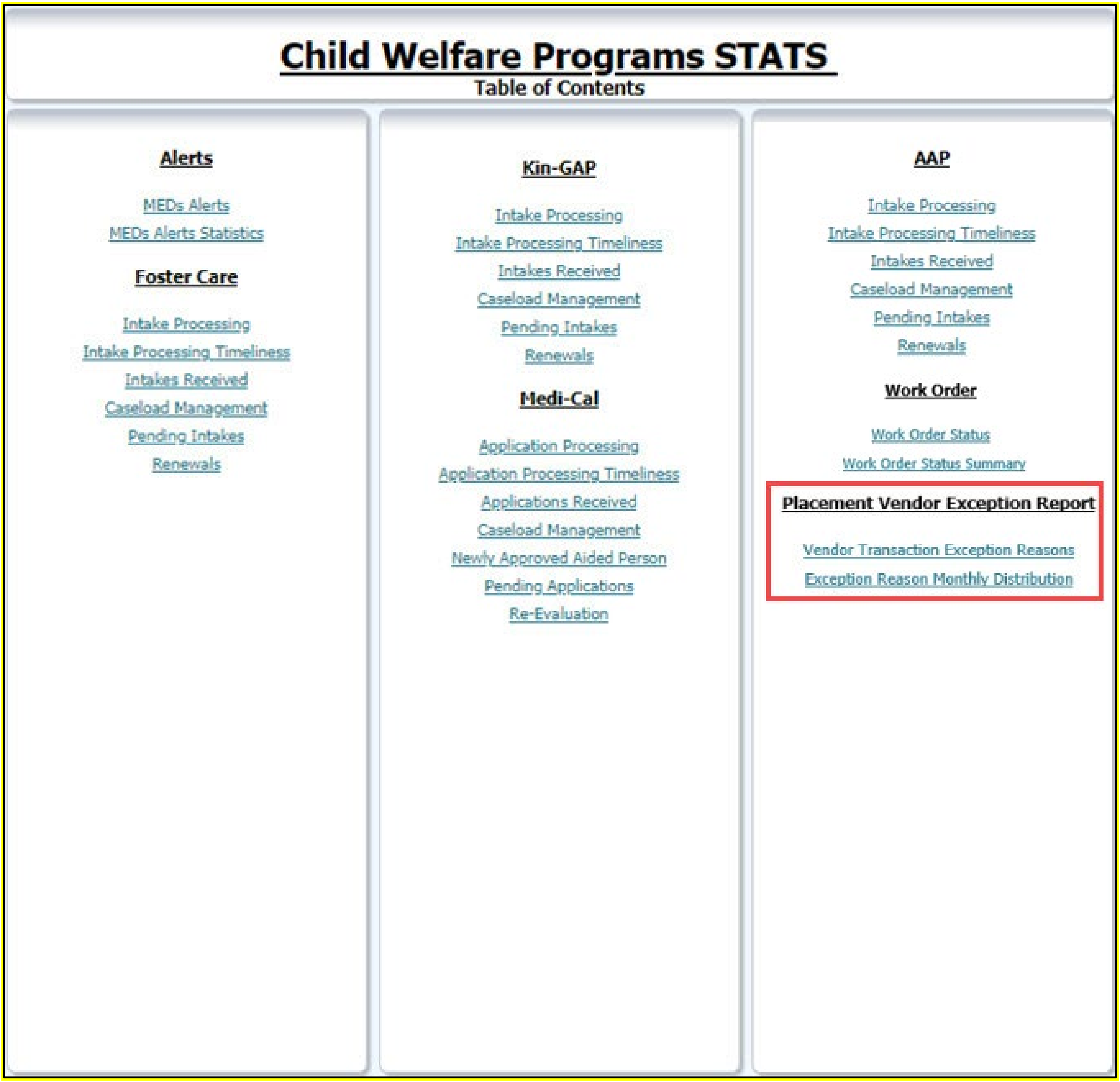


Figure 2.5-1 – Table of Contents with CWS BI Consumer Role

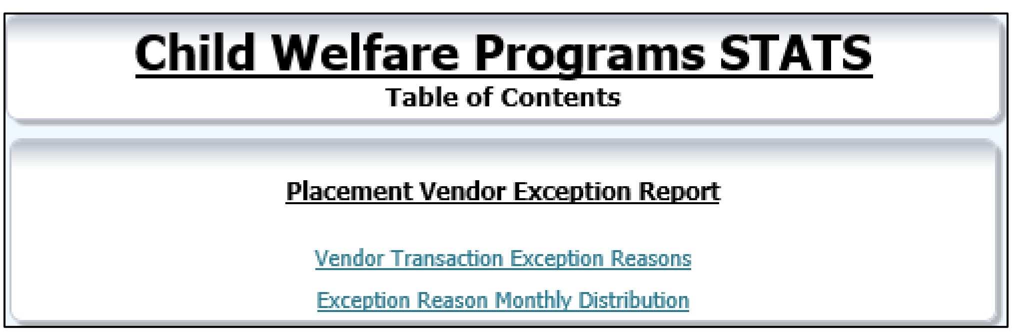


Figure 2.5-2 – Table of Contents with only CWS BI Vendor Exception Role

2.6 ETL Dependencies

A new load plan and batch jobs will be created. The batch job will kick off the new load plan. It will only run after the previous batch job(s) completes successfully.

2.7 Dashboard Standards

Font: OBIEE default

Font Color: Black (#000000)

Font Size: 11pt

2.8 Dashboard Details

Please see 2.3 Report Navigation for Placement Vendor Exception Report.

2.9 Data Volume/Usage/Performance

Large datasets and export files will affect the performance and download speed. In case of large volume of data, it is recommended that the user utilize filters to avoid long processing times or export the data as a .csv file.

2.10 Create a new reporting table for DCFS transaction error messages

2.10.1 Overview

Create a new database reporting table for the Placement Vendor Exception Report to retain the outgoing exceptions when processing the DCFS Inbound file from DataMart/DCFS.

2.10.2 Description of Changes

The following new elements will be stored in the new reporting table:

1. Transact Text
2. Error Code
3. Error Message
4. Created on
5. Updated on
6. Created by
7. Updated by
8. Program Code
9. Batch Date
10. CWS/CMS Case ID
11. Vendor ID
12. Org Reference ID

Note: The data in the new table will include all the error transactions and is not limited to vendor specific errors.

2.11 Update Foster Care, KinGap, and Adoption Assistance Program inbound reader

2.11.1 Overview

DCFS Data Mart sends information from CWS/CMS to LRS for creation and maintenance of Foster Care, KinGap, and Adoption Assistance Programs. Inbound Files from DCFS are then loaded into LRS and processed by the inbound reader batch jobs and any transaction that cannot be processed are exception out to protect case data integrity in LRS.

2.11.2 Description of Change

Currently, any transaction received in the inbound file that cannot be processed by the inbound reader will exception out and exception details are temporarily stored in database that is erased once an outbound file has been generated.

With this SCR, the Inbound readers for FC, KG, AAP will process the inbound files from DCFS data mart and parse the exception data to the new reporting table that contains elements such as Vendor id, CWS/CMS case id, Org reference id. These 3 elements are conditionally required based on the transaction type for the Placement Vendor Exception Report.

The data stored in the new reporting table will be available for 90 days and will later be deleted.

3 SUPPORTING DOCUMENTS

Number	Functional Area	Description	Attachment
1	Security Matrix	Listing of all impacted or additional Security Groups and Roles for this SCR.	CA 50808 - Security Matrix.xlsx

4 REQUIREMENTS

4.1 Project Requirements

REQ #	REQUIREMENT TEXT	How Requirement Met
3.4.4.2.20(a)(b)	The LRS shall utilize industry standard packages and applications for the extraction and processing of LRS Data from the LRS databases, including: • OBIEE • COUNTY data warehouse(s) using Oracle tools and processes	Work Order dashboards exist in OBIEE

4.2 Migration Requirements

DDID #	REQUIREMENT TEXT	How Requirement Met
N/A	Not Applicable	Not Applicable

5 MIGRATION IMPACTS

Number	Functional Area	Description	Impact	Priority	Address Prior to Migration?
N/A	Not Applicable	Not Applicable	Not Applicable	Not Applicable	Not Applicable

6 APPENDIX

6.1 Days to Resolve

Error Identified	Description
03/02/2016	Start counting on: 03/03/2016 = Day 1 If exception is still unresolved by 03/04/2016 = Day 2 If exception is still unresolved by 03/07/2016 or later = Day 3 or more
03/04/2016	Start counting on: 03/07/2016 = Day 1 If exception is still unresolved by 03/08/2016 = Day 2 If exception is still unresolved by 03/09/2016 or later = Day 3 or more
07/01/2016	Start counting on: 07/05/2016 = Day 1 If exception is still unresolved by 07/06/2016 = Day 2 If exception is still unresolved by 07/07/2016 or later = Day 3 or more

6.2 Three-month prompt restriction

Scenario	Expected Action
Default Month: 01/2019 User input for From Month: 09/2018	To Month: 09/2018 10/2018 11/2018

DRAFT

Design Document

CA-52219 Supervisor's Approval for Refunds

	DOCUMENT APPROVAL HISTORY	
CalACES	Prepared By	Ishrath Khan
	Reviewed By	Sidhant Garg, Kapil Santosh, Gloria Williams, Sheryl Eppler

DATE	DOCUMENT VERSION	REVISION DESCRIPTION	AUTHOR

Table of Contents

DRAFT

1 OVERVIEW

1.1 Current Design

The Recovery Account Payment Refund process allows the user to complete and save the Refund request via the Transaction Detail; Transaction Transfer/ Refund page without a Supervisor approval and create an issuance for the refund through the issuance batch process that generates the warrants overnight. Additionally, the Transaction Detail page allows a user to access and complete a payment Transfer or payment Refund request via the Transfer/Refund command button without having any security restrictions for each type of request on the Transaction Detail page.

1.2 Requests

To avoid violation of County Fiscal internal control requirements of protecting, misappropriation of County assets and preventing fraud, request is hereby made to modify the Recovery Account Transfer/Refund process to

a. Add Supervisor Approval/Disapproval functionality.
b. Separate the Transfer/Refund command button into two buttons with separate security rights for the Transfer and Refund process.

1.3 Overview of Recommendations

1. Modify the online Recovery Account Transfer/Refund process to include two separate command buttons for Transfer and Refund transactions. On the Transaction Detail page, remove the Transfer/Refund command button and add the following two new command buttons.
   a. Transfer
   b. Refund
2. Add two new pages namely 'Transaction Transfer Detail' and 'Transaction Refund Detail'. The 'Transaction Refund Detail' will allow for Supervisor Authorization or Rejection of the Recovery Account Refund transactions.
3. Update the Transaction Refund page to enable Supervisor Approval for Refund transactions if the request for Approval of a refund transaction is rejected, no transaction will be posted to the Recovery Accounts.
4. Update the existing Security group 'Transaction Transfer' to delete existing security rights associated to it (TransactionTransfer/Refund/Edit & Transaction/Transfer/Refund/View) and add new Security rights for the Transfer button:
   a. TransactionTransferEdit
   b. TransactionTransferView
5. Add the following new Security Groups for the "Refund" button
   a. TransactionRefundEdit

   b. TransactionRefundView
   c. TransactionRefundApprove
   d. TransactionRefundDeputyApprove
   e. TransactionRefundDisapprove
6. Add the following new Security Rights for the "Refund" button
   f. TransactionRefundEdit
   g. TransactionRefundView
   h. TransactionRefundApprove
   i. TransactionRefundDeputyApprove
   j. TransactionRefundDisapprove
7. Update Online Help and page mapping to account for changes being made to the online pages.
8. Create a Task for Supervisor or Deputy when a Refund has been initiated by the Worker or approved by a Supervisor respectively. Create a task for the worker when the refund request initiated by the worker is disapproved by a Supervisor or Deputy.

1.4 Assumptions

1. The Supervisor approval of Refunds process outlined in this document would also be applicable to Regular recovery accounts for Foster Care, KG and AAP.
2. The Supervisor approval of Refunds process outlined in this document would also be applicable to recovery accounts with type 'GR Recoverable'.
3. The following programs will be impacted by this SCR: CalWORKS, CalFresh, CAPI, GR, IHSS, RCA/RAM and REP.

2 RECOMMENDATIONS

2.1 Recovery Account Detail

2.1.1 Overview

This update is to add a new field 'External' on the page to identify between the accounts which are created because of System Determinations or External accounts.

2.1.2 Recovery Account Detail Page mock-up

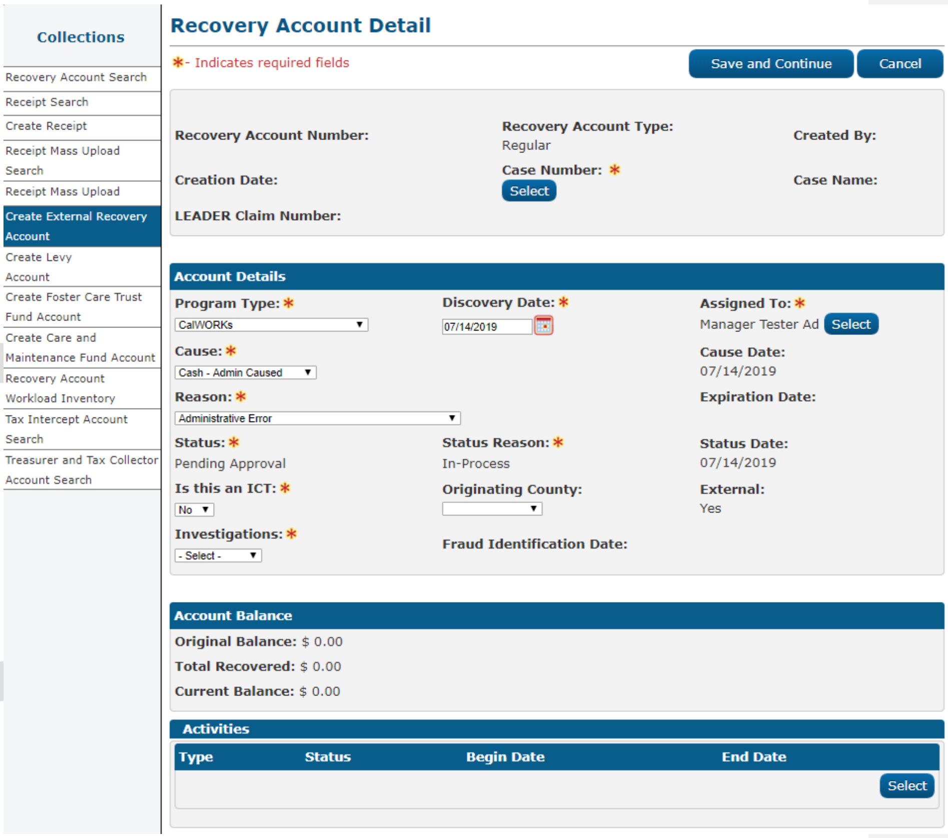


Figure 2.1.1 – Recovery Account Detail – Create (External)

2.1.3 Description of Changes

1) Add a new field 'External' on the page to identify between the accounts which are created because of System Determinations or External accounts. This will be a Read Only field and will display 'Yes' or 'No' when the recovery account type is 'Regular'.

2.1.4 Page Location

No Change.

2.1.5 Counties Impacted

All CalACES Counties.

2.1.6 Security Updates

No Change.

2.1.7 Page Mapping

Add the page mapping for new ‘External’ field and map it to PGM_ID from database.

2.2 Transaction Detail

2.2.1 Overview

The Transaction Detail page allows the User to view or post a transaction to a recovery account. This page displays Recovery Account Information and Overpayment Distribution details. Once the required information on the Transaction Detail page is completed and saved, the following buttons are currently displayed in View mode - Generate Form, **Transfer Refund**, Edit, Back Out and Close. The ‘Transfer/Refund’ button allows a user to complete a payment Transfer and/or payment refund. This SCR will remove the ‘Transfer Refund’ button and replace it with two new buttons namely ‘Transfer’ and ‘Refund’. Each button will have individual security rights assigned so only the appropriate users can process a transfer or refund transaction respectively. The “Transfer” and “Refund” buttons will only be visible if the following conditions are met:

a. Recovery Account is Active.
b. The transaction is not backed out.
c. The Recovery Account is not a Trust fund Account.
d. The Transaction Type is NOT one of the following:
    I. External Refund
    II. Benefit Reduction
    III. Payment Reduction (if the Transaction Method is ‘System’)
    IV. Spend Down
    V. F/C Abatement
    VI. ICT from another County
    VII. Levy Payment
    VIII. Offset
    IX. Prior Collection
    X. Refund

XI. R & R Refund
XII. Returned Warrant
XIII. Transfer to General Fund
XIV. Refund Reversal
XV. Expungement
XVI. Written-Off
XVII. Back Out

2.2.2 Transaction Detail Page mock-up

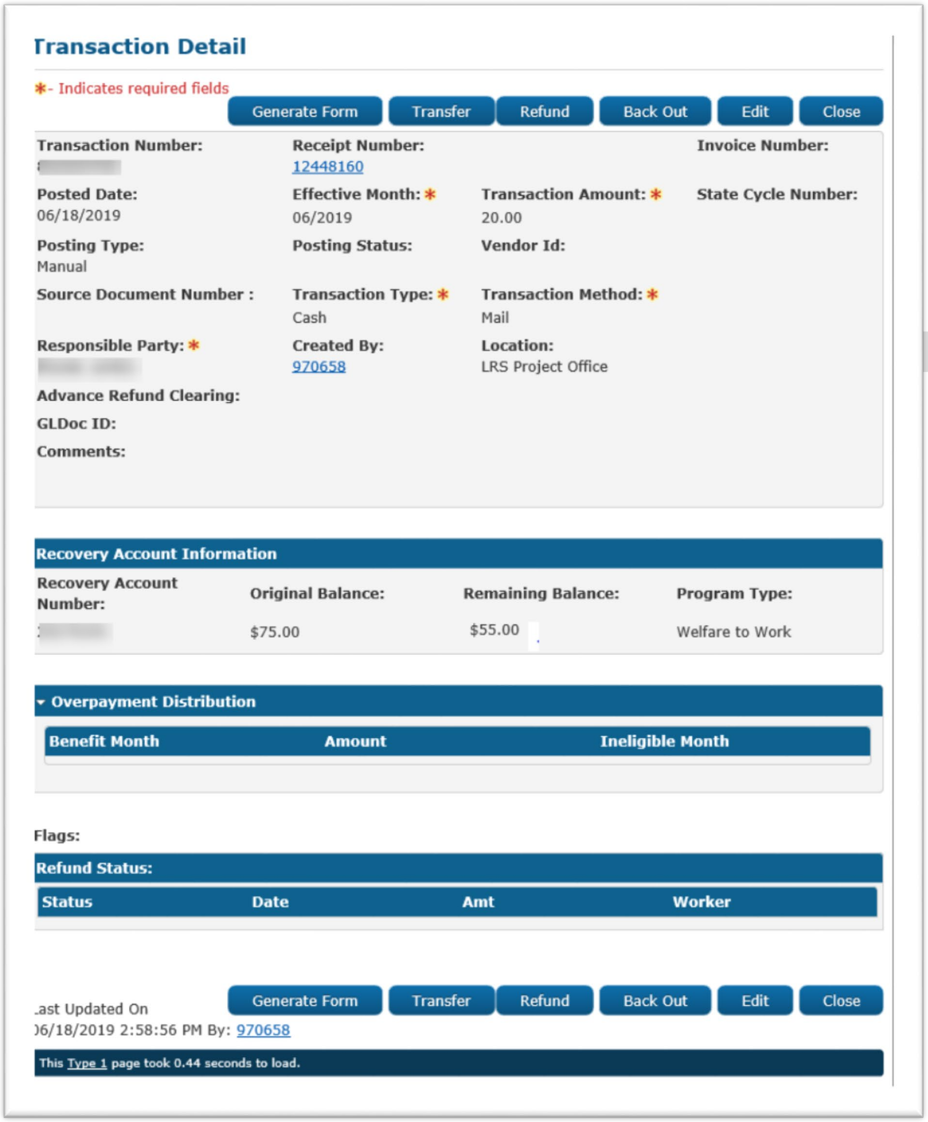
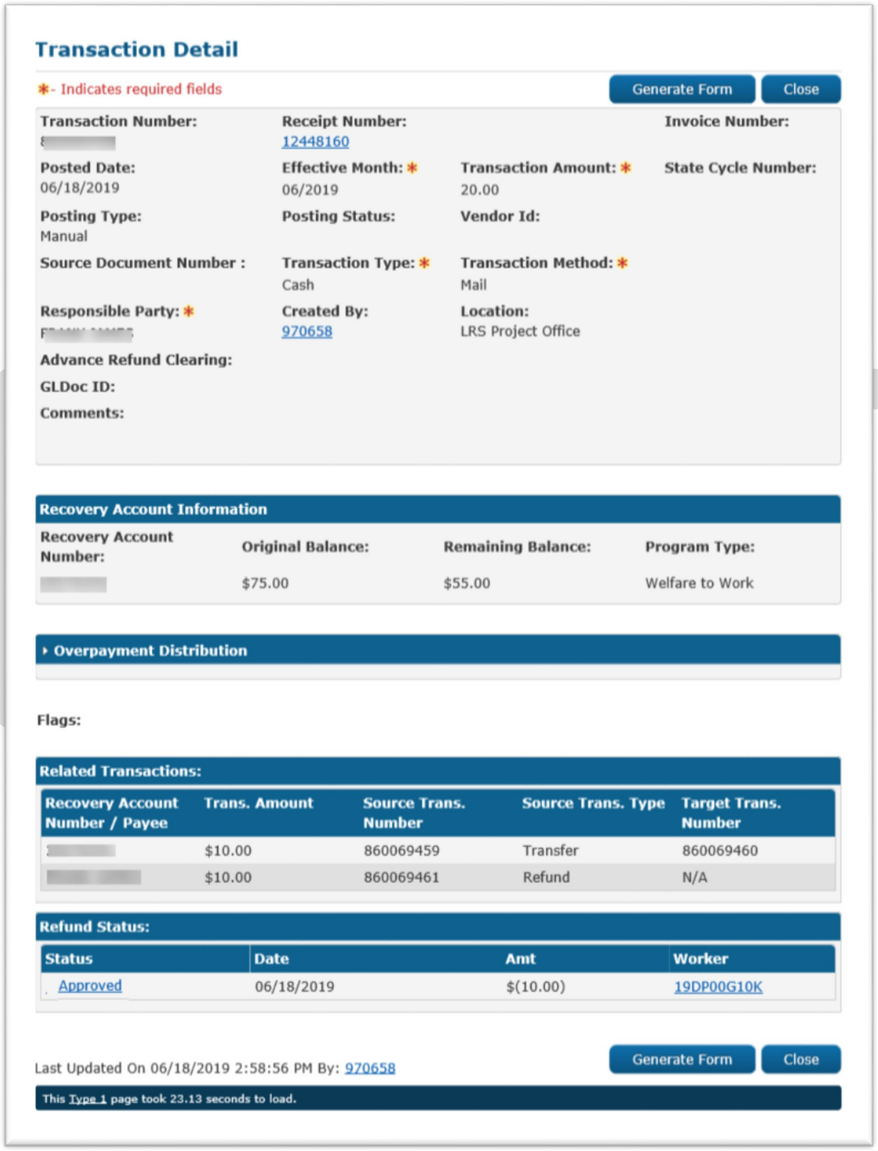


Figure 2.2.1 – Transaction Detail – Create Mode



Transaction Detail

*- Indicates required fields

Generate Form | Close

Transaction Number: [illegible]

Receipt Number: 12448160

Invoice Number:

Posted Date: 06/18/2019

Effective Month:* 06/2019

Transaction Amount:* 20.00

State Cycle Number:

Posting Type: Manual

Posting Status:

Vendor Id:

Source Document Number :

Transaction Type:* Cash

Transaction Method:* Mail

Responsible Party:* [illegible]

Created By: 970658

Location: LRS Project Office

Advance Refund Clearing:

GLDoc ID:

Comments:

Recovery Account Information

Recovery Account Number:	Original Balance:	Remaining Balance:	Program Type:
[illegible]	$75.00	$55.00	Welfare to Work

Overpayment Distribution

Flags:

Related Transactions:

Recovery Account Number / Payee	Trans. Amount	Source Trans. Number	Source Trans. Type	Target Trans. Number
[illegible]	$10.00	860069459	Transfer	860069460
[illegible]	$10.00	860069461	Refund	N/A

Refund Status:

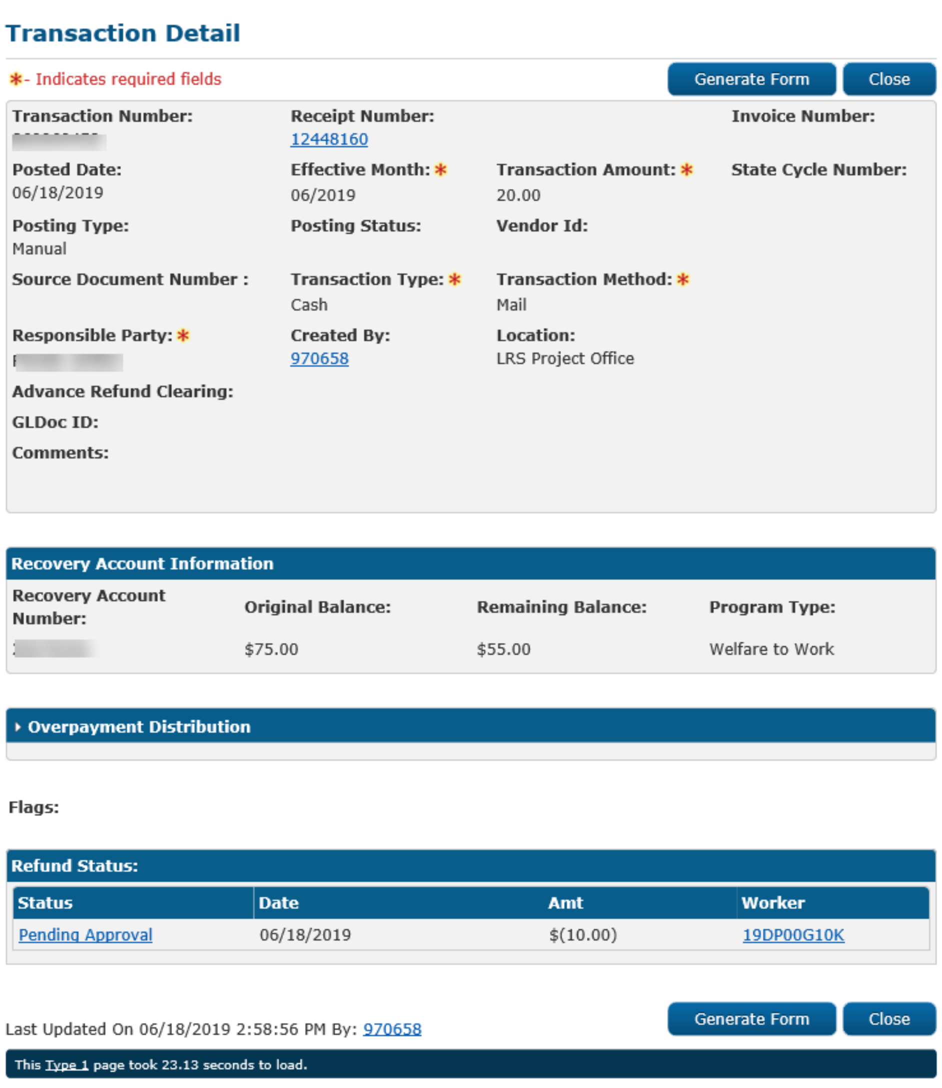
Status	Date	Amt	Worker
Approved	06/18/2019	$(10.00)	19DP00G10K

Last Updated On 06/18/2019 2:58:56 PM By: 970658

Generate Form | Close

This Type 1 page took 23.13 seconds to load.

2.2.2 – Transaction Detail (View Mode for Approved Refunds)



Transaction Detail

*- Indicates required fields

Generate Form | Close

Transaction Number: [redacted]
Receipt Number: 12448160
Invoice Number:

Posted Date: 06/18/2019
Effective Month: * 06/2019
Transaction Amount: * 20.00
State Cycle Number:

Posting Type: Manual
Posting Status:
Vendor Id:

Source Document Number :
Transaction Type: * Cash
Transaction Method: * Mail

Responsible Party: * [redacted]
Created By: 970658
Location: LRS Project Office

Advance Refund Clearing:

GLDoc ID:

Comments:

Recovery Account Information

Recovery Account Number:	Original Balance:	Remaining Balance:	Program Type:
[redacted]	$75.00	$55.00	Welfare to Work

▸ Overpayment Distribution

Flags:

Refund Status:

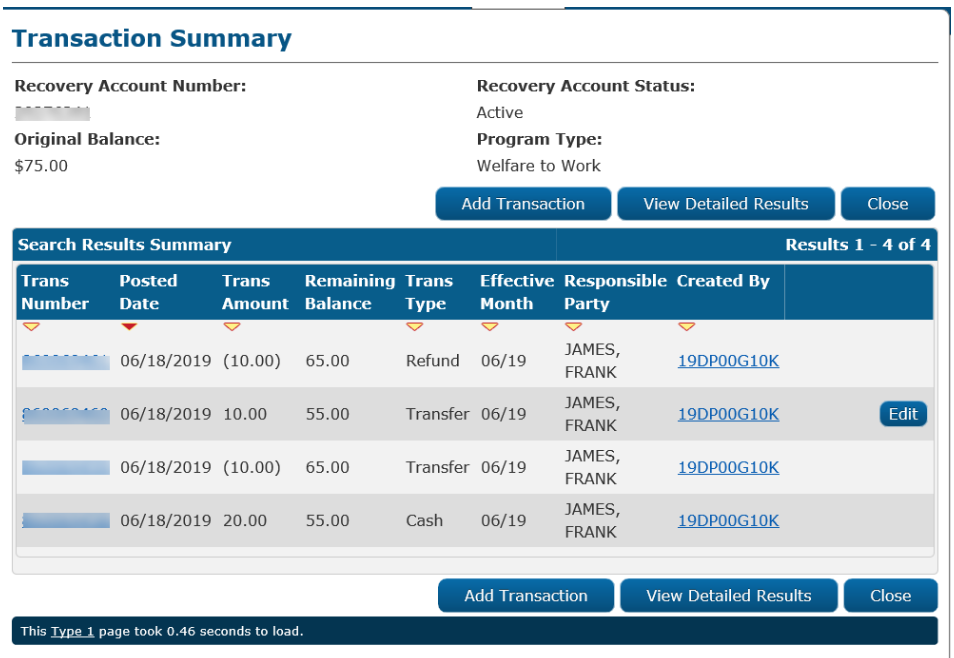
Status	Date	Amt	Worker
Pending Approval	06/18/2019	$(10.00)	19DP00G10K

Last Updated On 06/18/2019 2:58:56 PM By: 970658

Generate Form | Close

This Type 1 page took 23.13 seconds to load.

2.2.3 – Transaction Detail (View Mode for Pending Refunds)



2.2.4 – Transaction Summary

2.2.3 Description of Changes

1) Remove the 'Transfer Refund' button on the transaction detail page.
2) Add a single button 'Transfer 'on the transaction detail page. Once this button is clicked, user will be navigated to the "Transaction Transfer Detail" page.
3) Add a single button 'Refund 'on the transaction detail page. Once this button is clicked, user will be navigated to the "Transaction Refund Detail" page.
4) For Recovery Account transactions eligible for a refund, add a "Refund Status' section to the Transaction Detail page. (see Fig 2.2.2 above)
5) Add a hyperlink under "Refund Status" section for all the approval statuses to navigate the user to the Transaction Refund Detail page (see Fig-2.2.3 above).

6) If the refund status of the related transaction is in "Awaiting Approval (L1)" or "Awaiting Approval (L2)' or "Approved", the 'Transfer' button and 'Refund' button will be hidden on the Transaction Detail page.
7) Under the Refund Status section, the 'Worker' field will be populated using the worker number (WRKR_NUM_IDENTIF) that last updated the status.
8) Update existing Refund Approval statuses for Foster Care Trust Fund Refunds to reconcile with new statuses being added as part of this SCR (CATGRY 10405).
    a. Pending Supervisor Approval to Awaiting Approval (L2).
    b. Refund Approval to Approved.

2.2.4 Page Location

Global: Fiscal

Local: Recovery Account Search

Task: Transaction Summary

2.2.5 Security Updates

No Change.

2.2.6 Page Mapping

Remove existing page mapping for 'Transfer Refund' and add page mappings for the two new fields 'Transfer' and 'Refund' on the 'Transaction Detail' page.

2.2.7 Page Usage/Data Volume Impacts

No Change.

2.3 Transaction Transfer Detail

2.3.1 Overview

This page allows a user to complete a payment Transfer from one Recovery Account to another.

Navigation: When the user clicks on the "transfer" button on the 'Transaction Detail page', they will be navigated to the 'Transaction Transfer Detail' page in create mode. The user can then click on the "Select" button on the page to choose the target Recovery Account for the transfer transaction.

After clicking on 'Select' the user is navigated to the 'Select Recovery Account' page. Once a Recovery Account is selected, the user can click on the 'Add' button to add a 'Transaction Transfer Amount' on the 'Transaction Transfer Detail' page and click save. The 'Transaction Transfer Detail' page will then load in View mode. Clicking on the 'Close' button will bring the user back to 'Transaction Detail' page where all the saved transfer transactions can be viewed. Clicking Close on this page will navigate the user to the 'Transaction Summary' page where all the transactions posted against the Recovery Account can be viewed.

2.3.2 Transaction Transfer Detail Page mock-up

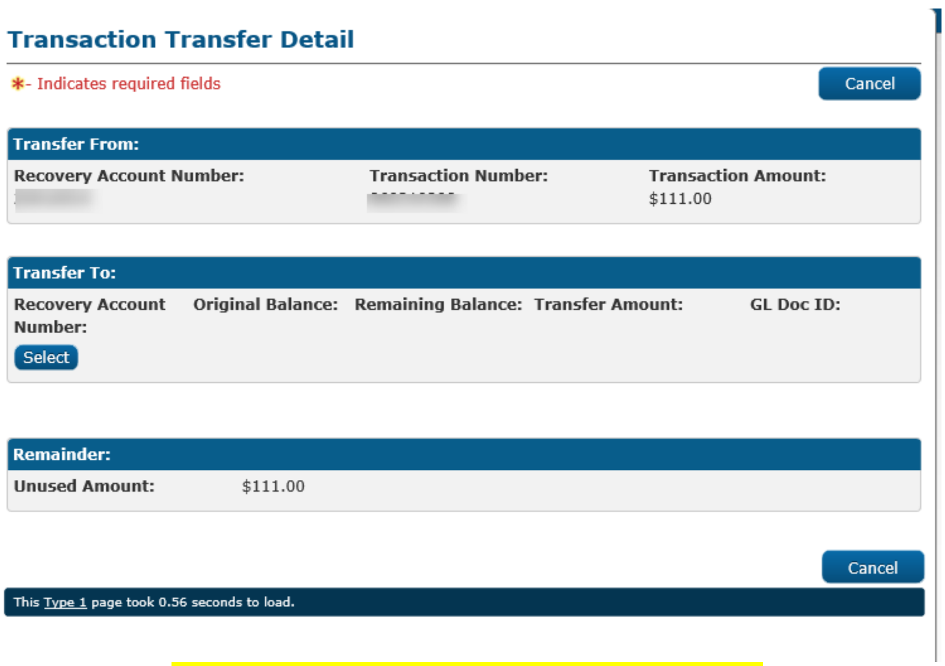


Figure 2.3.1 – Transaction Transfer Detail – Create Mode

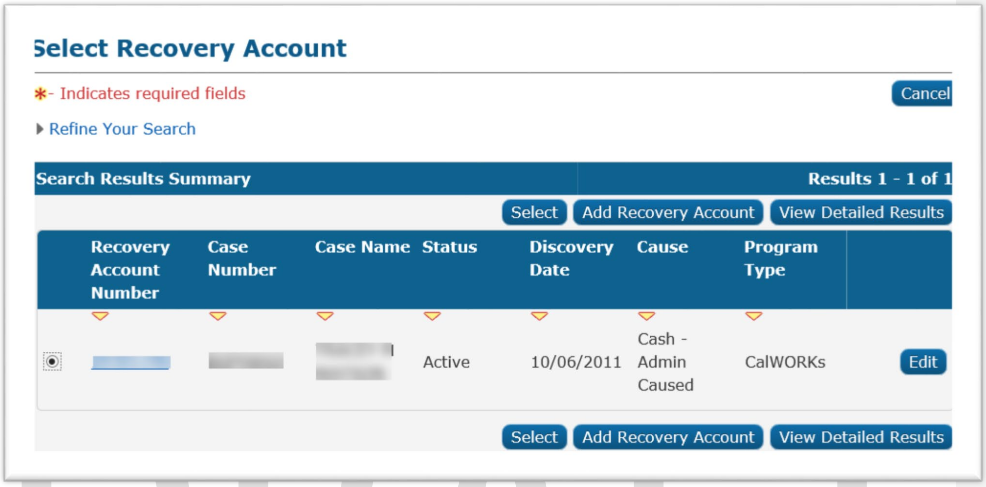
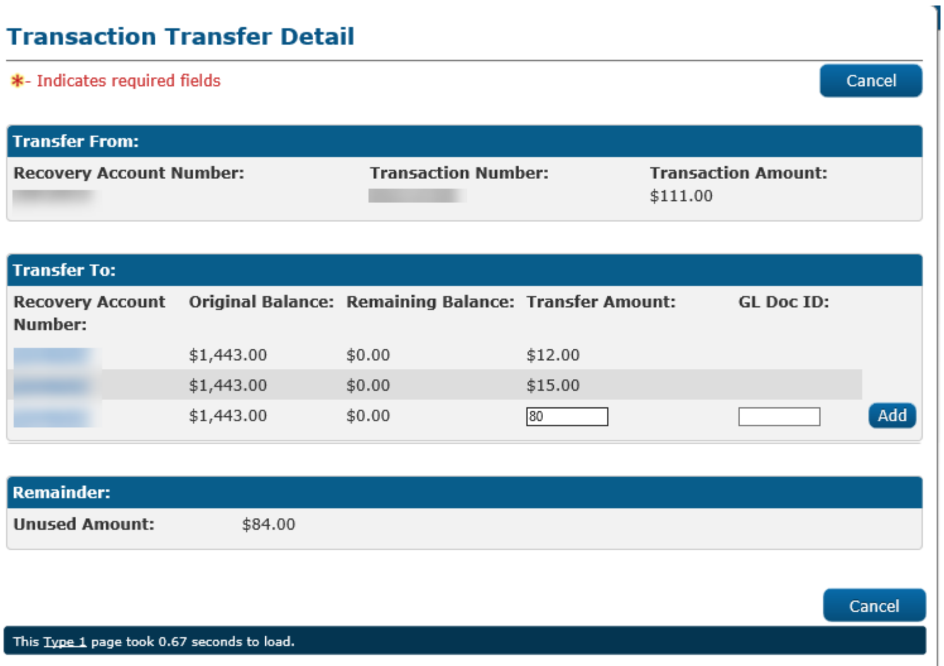
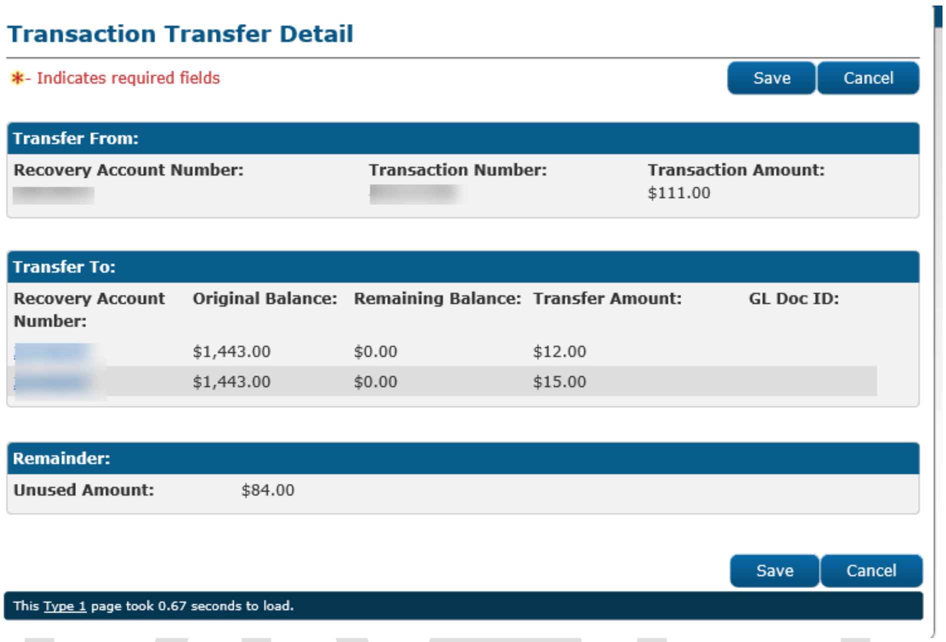


Figure 2.3.2 Select Recovery Account



2.3.3 – Transaction Transfer Detail (Create Mode Before Add)



Transaction Transfer Detail

*- Indicates required fields

Save | Cancel

Transfer From:

Recovery Account Number:	Transaction Number:	Transaction Amount:
[redacted]	[redacted]	$111.00

Transfer To:

Recovery Account Number:	Original Balance:	Remaining Balance:	Transfer Amount:	GL Doc ID:
[redacted]	$1,443.00	$0.00	$12.00	
[redacted]	$1,443.00	$0.00	$15.00	

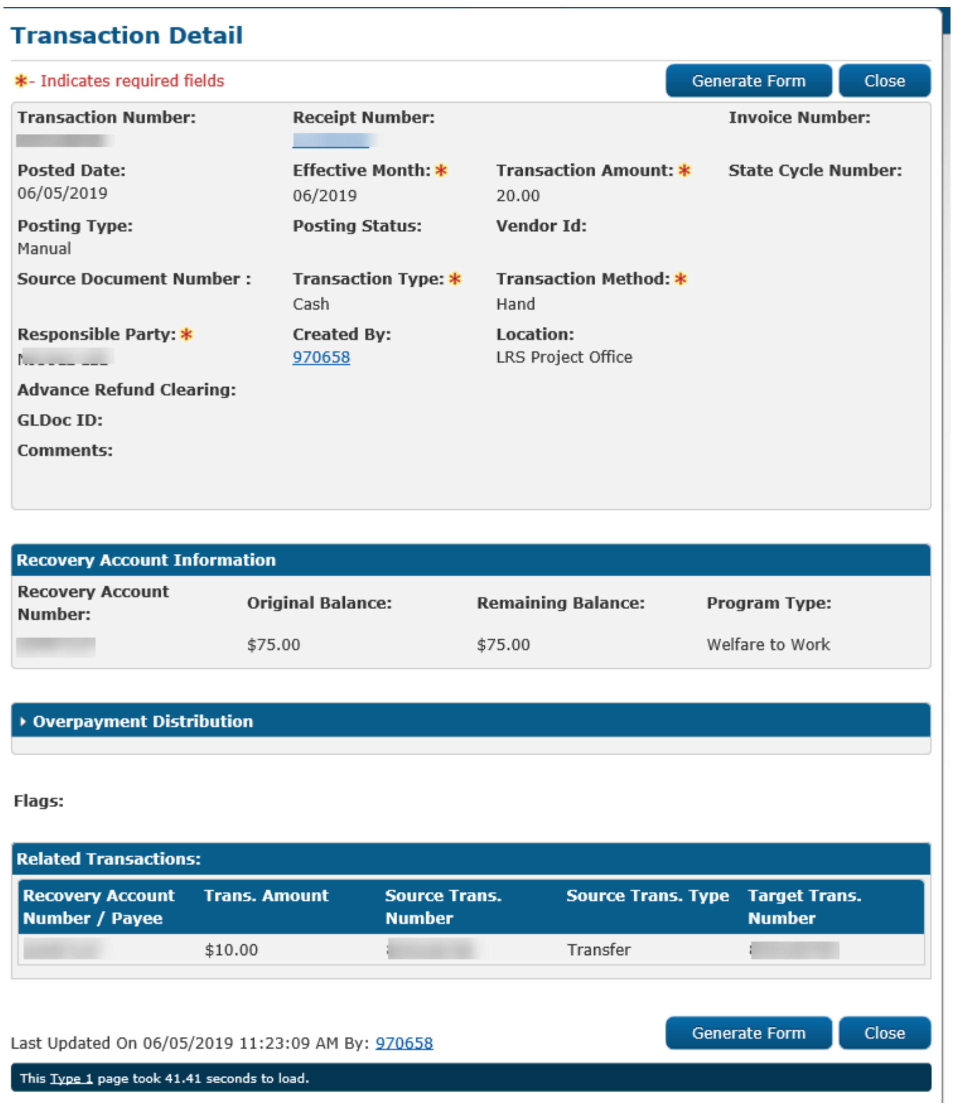
Remainder:

Unused Amount:	$84.00

Save | Cancel

This Type 1 page took 0.67 seconds to load.

Figure 2.3.3 – Transaction Transfer Detail (Create Mode After Add)



Transaction Detail

*- Indicates required fields

Generate Form | Close

Transaction Number:

Receipt Number:

Invoice Number:

Posted Date: 06/05/2019

Effective Month: * 06/2019

Transaction Amount: * 20.00

State Cycle Number:

Posting Type: Manual

Posting Status:

Vendor Id:

Source Document Number :

Transaction Type: * Cash

Transaction Method: * Hand

Responsible Party: *

Created By: 970658

Location: LRS Project Office

Advance Refund Clearing:

GLDoc ID:

Comments:

Recovery Account Information

Recovery Account Number:	Original Balance:	Remaining Balance:	Program Type:
	$75.00	$75.00	Welfare to Work

Overpayment Distribution

Flags:

Related Transactions:

Recovery Account Number / Payee	Trans. Amount	Source Trans. Number	Source Trans. Type	Target Trans. Number
	$10.00		Transfer	

Last Updated On 06/05/2019 11:23:09 AM By: 970658

Generate Form | Close

This Type 1 page took 41.41 seconds to load.

Figure 2.3.4 – Transaction Detail (After Saving the Transfer transaction)

2.3.3 Description of Changes

1) Rename existing 'Transaction Transfer/Refund' page to 'Transaction Transfer Detail'.
2) Remove Refund section of the existing 'Transaction Transfer/Refund' page so it is no longer visible.
3) Add the following validation when the 'Transfer amount' is greater than the '~~Transaction~~ Unused Amount': Transfer Amount - Transfer amount cannot be greater than the ~~transaction~~ Unused amount.
   NOTE: The Refund section will be moved to a new page – Transaction Refund Detail.
4) Add a validation to stop the worker from saving a Transfer when a pending refund request exists.
   a. A pending refund request for this transaction already exists. A transfer transaction cannot be created.

DRAFT

2.3.4 Page Location

Global: Fiscal

Local: Recovery Account Search

Task: Transaction Summary

2.3.5 Security Updates

Security Rights

Existing Security Right	New Security Right	Right Description	Right to Group Mapping
~~TransactionTransfer/RefundView~~	~~TransactionTransferView~~	~~This right grants view access to the "Transaction Transfer" page on click of the "Transfer" button on Transaction Detail page.~~	~~Transaction Transfer~~

Commented [IK1]: This right will not be used as the target transfer transaction cannot be accessed in View mode . So deleting this security right.

Existing Security Right	New Security Right	Right Description	Right to Group Mapping
TransactionTransfer/RefundEdit	TransactionTransferEdit	This right grants create and edit access to the “Transaction Transfer” page on click of the “Transfer” button on Transaction Detail page.	Transaction Transfer

Security Groups

Security Group	Group Description	Group to Right Mapping
Transaction Transfer	This group grants create, view and edit access for the Transaction Transfer pages.	TransactionTransferView TransactionTransferEdit

NOTE: Transaction transfer' is an existing Security group associated to TransactionTransfer/RefundView and TransactionTransfer/RefundEdit rights. Update both the security group and security rights to only be associated to transfers and remove all associations to refunds.

2.3.6 Page Mapping

Remove page mappings for fields associated to the Refund section of the page.

2.3.7 Page Usage/Data Volume Impacts

No Change.

2.4 Transaction Refund Detail page

2.4.1 Overview

This page allows a user to submit a refund request for each transaction. Each request must be approved by the Supervisor & Deputy Supervisor. The Supervisors or Deputy will have the ability to approve or reject a refund request. A task will be generated for the initiated worker when the request is changed from Awaiting Approval (L1)/Awaiting Approval (L2) to Disapproved status. A task will also be generated for the Supervisor when a refund request has been initiated by the worker.

Note:

1. The 'Approve' and 'Disapprove buttons will only be visible to users with appropriate security rights.
2. There shall only be one refund request approved per transaction.

Conditions for Refund:

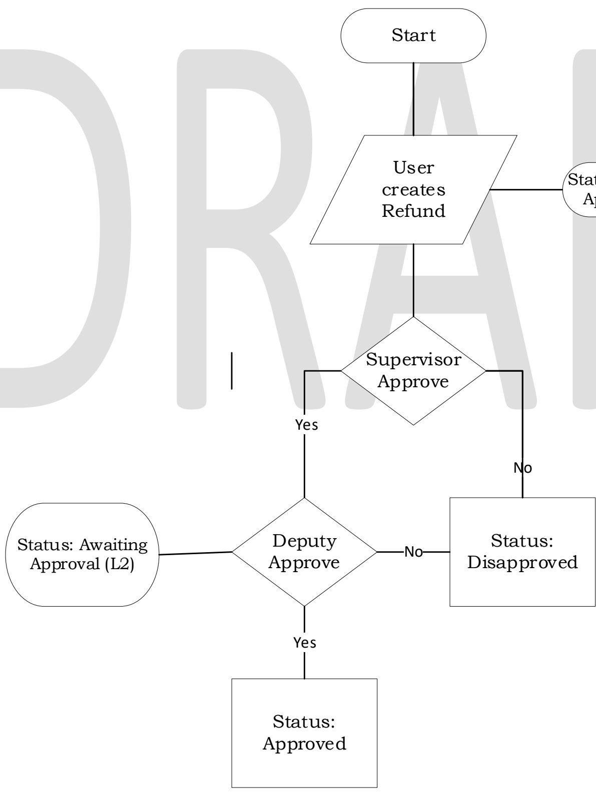
a. Recovery Account is Active and not a Trust Fund Account.
b. Recovery Account transaction type is NOT one of the following:

I. External Refund
II. Benefit Reduction
III. Payment Reduction (if the Transaction Method is 'System')
IV. Spend Down
V. F/C Abatement
VI. ICT from another County
VII. Levy Payment
VIII. Offset
IX. Prior Collection
X. Refund
XI. R & R Refund
XII. Returned Warrant
XIII. Transfer to General Fund
XIV. Refund Reversal
XV. Expungement
XVI. Written-Off
XVII. Back Out

Navigation: When a user clicks the "Refund" button on the 'Transaction Detail page', the user will be navigated to the 'Transaction Refund Detail' page. On this page, when the user enters the required fields such as 'Payee for

Supplemental ', Transaction Type, 'Refund request Amount' and clicks 'Save', an Approval status of 'Awaiting Approval (L1)' is created.

Users with Supervisor/Deputy rights will now be able to view the Approve, Disapprove buttons on the page in View mode and approve/disapprove the refund request accordingly. Once Refund request is saved, the page will load in View mode and clicking the 'Close' button will navigate the user to Transaction Detail page where the Refund transactions initiated by the worker and approved by Supervisor/Deputy, can be viewed. Clicking 'Close' on this page will navigate the user to the Transaction Summary page.



2.3.2 Approval flow based on Security rights:

a. ‘**Disapproved’**: The status of a Refund request can change to Disapproved at any point in the Approval process by clicking the ‘Disapprove’ button. It can change from:
    - ‘Awaiting Approval (L1)’ to ‘Disapproved.
    - ‘Awaiting Approval (L2)’ to ‘Disapproved.

   Note:

   Disapprove button will be shown when the status of the refund request is “Awaiting Approval (L1)” or Awaiting Approval (L2)” See section 2.4.4 for Security updates.

b. **Supervisor Authorization**: Upon initiation of Refund request by worker, the status is in “Awaiting Approval (L1)”. The Supervisor with "TransactionRefundApprove” security right can then click on the Approve or Disapprove button. If the Approve button is clicked, status changes to ‘Awaiting Approval (L2)’.

c. **Deputy Authorization:** When a Deputy with the "TransactionRefundDeputyApprove” security right clicks on the ‘Approve” button, the status changes from ‘Pending DeputyApproval’ to ‘Approved’

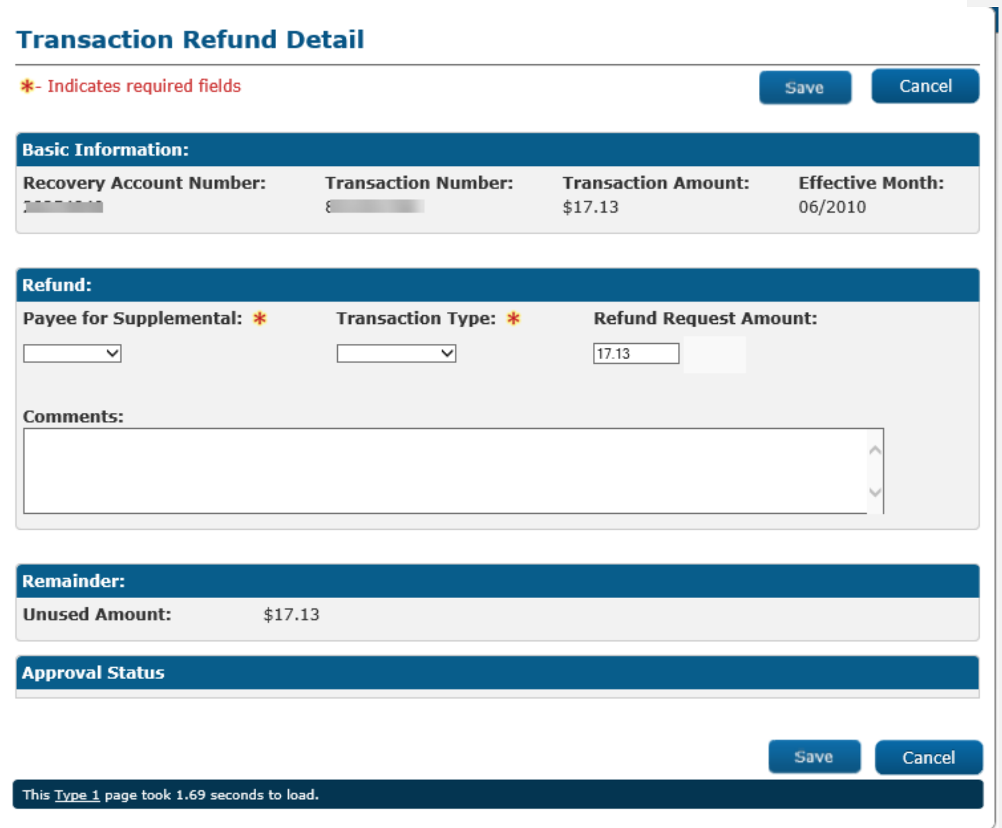


Figure 2.3.1 – Transaction Refund Detail (Create Mode before Save)

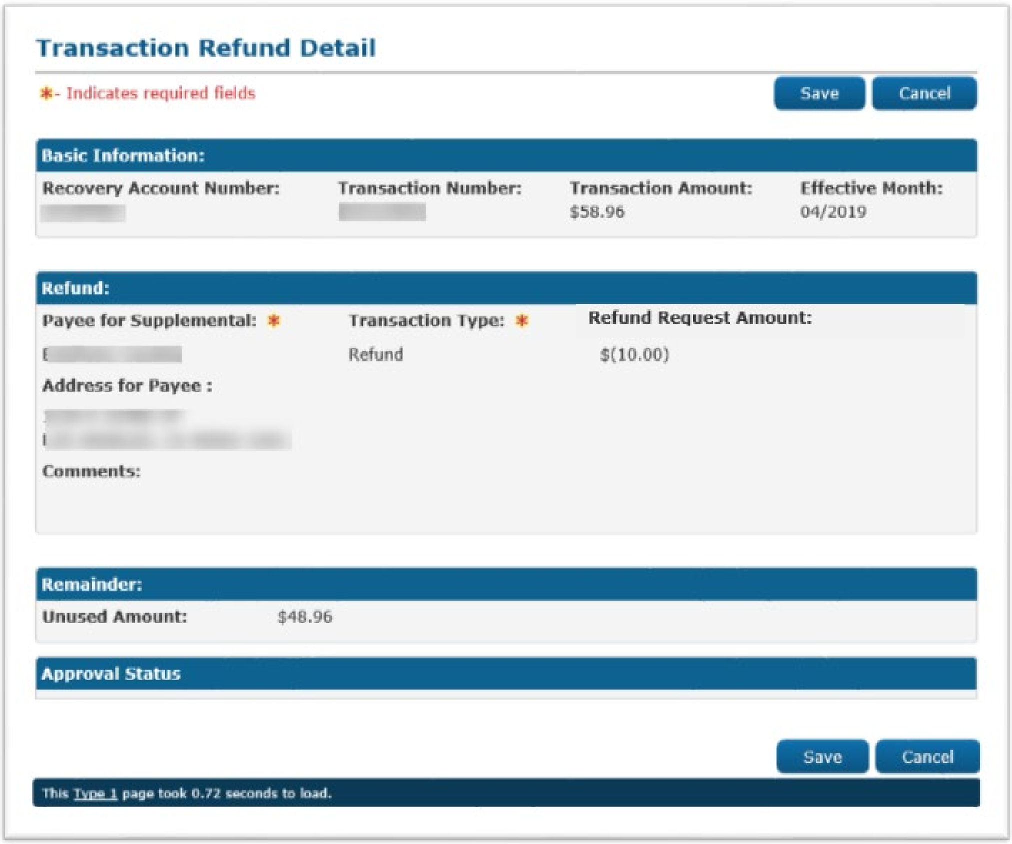


Figure 2.3.1 – Transaction Refund Detail (Create Mode after Add)

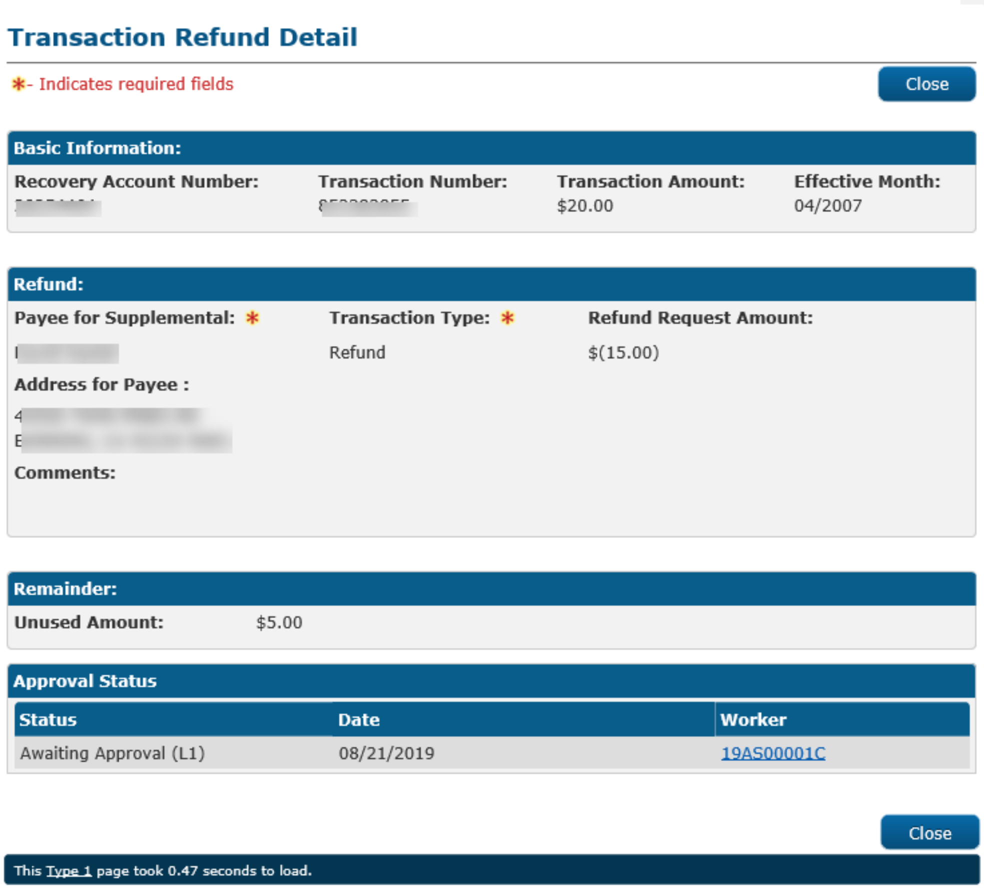


Figure 2.3.2 – Transaction Refund Detail (Create Mode After save)

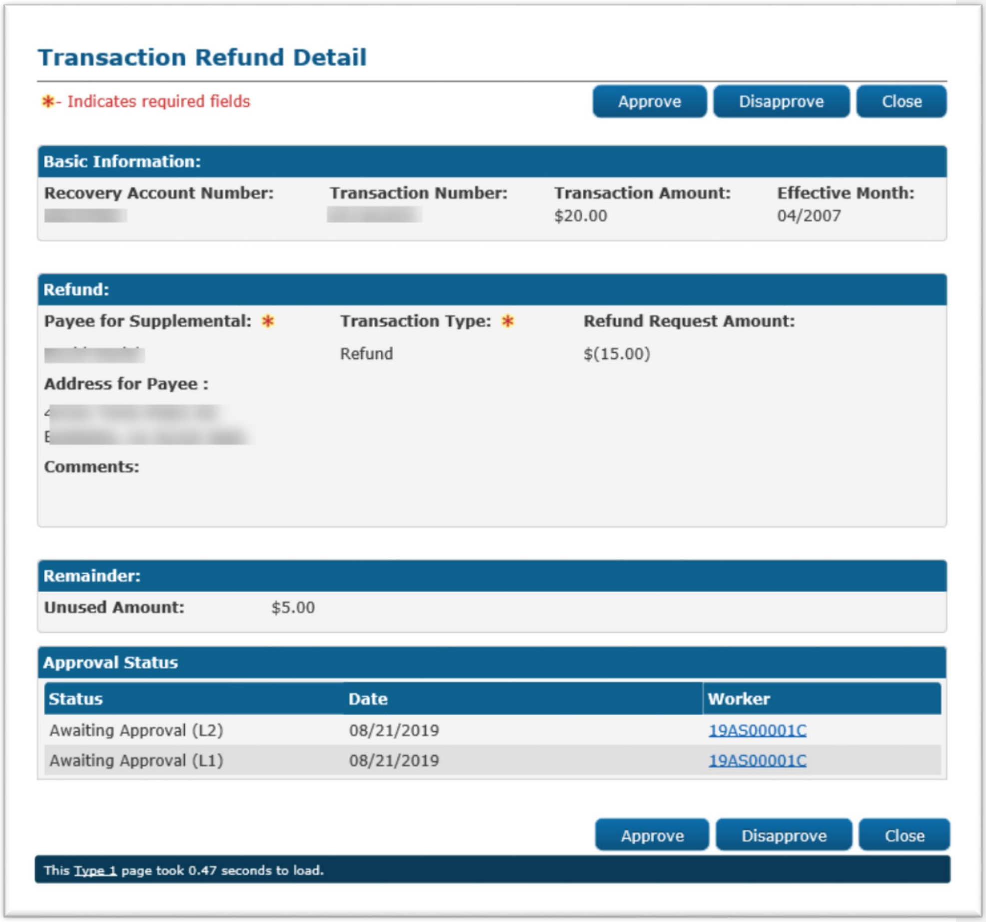
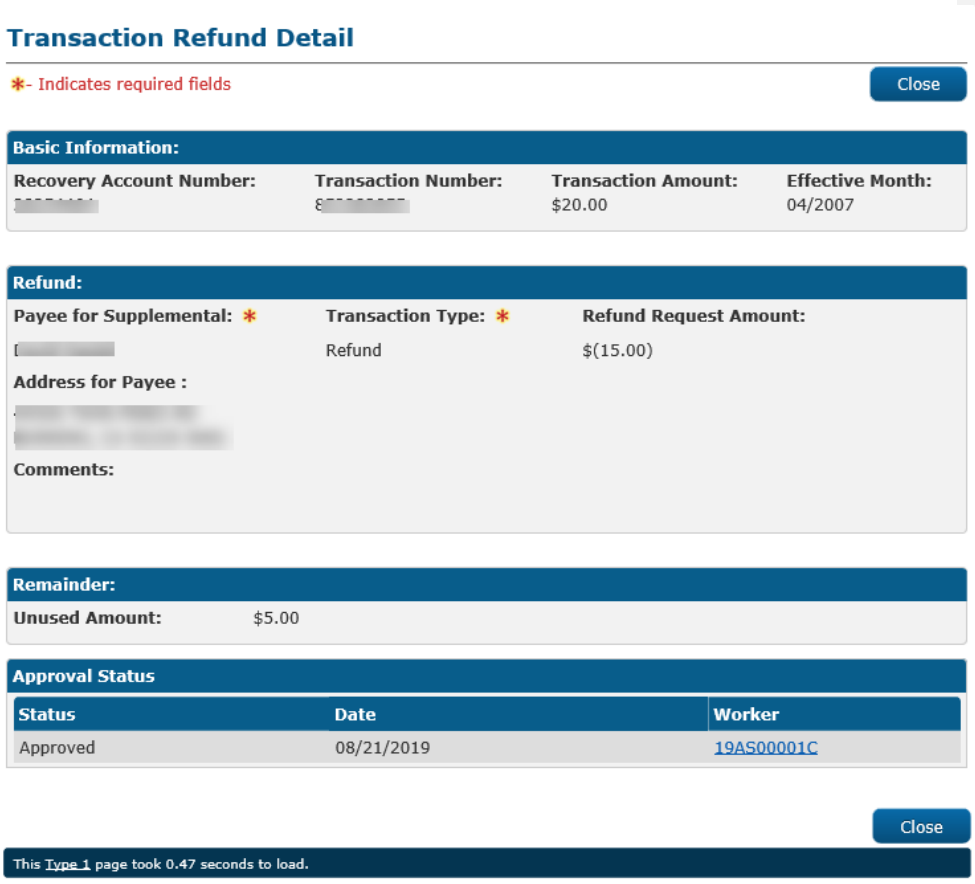


Figure 2.3.3 – Transaction Refund Detail (View Mode – Pre Approval)



Transaction Refund Detail

*- Indicates required fields

Close

Basic Information:

Recovery Account Number:	Transaction Number:	Transaction Amount:	Effective Month:
[illegible]	[illegible]	$20.00	04/2007

Refund:

Payee for Supplemental: *	Transaction Type: *	Refund Request Amount:
[illegible]	Refund	$(15.00)

Address for Payee :

[illegible]

Comments:

Remainder:

Unused Amount: $5.00

Approval Status

Status	Date	Worker
Approved	08/21/2019	19AS00001C

Close

This Type 1 page took 0.47 seconds to load.

Figure 2.3.3 – Transaction Refund Detail (View Mode – Post Approval)

2.4.2 Description of Changes

1. Create the Transaction Refund Detail page with the following components:
    a. Approve Button
    b. Disapprove button
    c. Save
    d. Cancel
    e. Close

   Security rights tied to these buttons is described in section 2.4.4
2. The fields on the page are described in section 2.4.5
3. The “Refund Request Amount” field should be equal or less than the “Unused Amount” field (Warning Message: The refund request amount cannot be greater than the unused amount of the related transaction).

Note: A refund transaction will only be posted to the Recovery Account after the refund has been approved by the user with Supervisor & Deputy security rights.

4. **Scenario for Refund process:**
    a. To initiate a refund, the worker shall click the “Refund” button on the Transaction Detail page. The status will change to “Awaiting Approval (L1)” on Save. To approve the refund, the users with appropriate security rights will be required to authorize the Refund as described below
    b. Firstly, the user with ‘Supervisor’ rights clicks the ‘Approve’ button following which status of the refund changes to ‘Awaiting Approval (L2)’. Secondly the user with ‘Deputy Approve’ rights shall click on the “Approve” button again to change the status from “Awaiting Approval (L2)” to “Approved”.

   Now, after the refund gets approved, refund transaction for the amount requested is posted to the Recovery Account. At the same time, a ‘transfer’ transaction is made for the remaining unused amount back to the original recovery Account. This is existing functionality which will be extended to the new Transaction Refund Detail page. See Figure 2.2.2 Transaction Detail.

   Note: If counties want to opt out of the approval process, they will have to grant the ‘TransactionRefundApprove’ and ‘TransactionRefundApproveDeputy’ security rights described in section 2.4.4 to the user that would be approving the refunds. The user would then have to click the Approve button twice to get the refund approved.
5. Add a comments box to the Refund section of the page to allow the user to enter comments regarding the refund transaction. When the refund request gets approved, copy over any comments from the Transaction Refund Detail page to the Transaction Detail page.

6. The following validation will be thrown if more than one refund request is initiated per transaction i.e if the Refund status is "Awaiting Approval (L1)", "Awaiting Approval (L2)"– "A pending refund request for this transaction already exists. There cannot be more than one pending refund request per transaction".
   The following values will be available for selection in the "Transaction Type' drop down on the Transaction Refund Detail page:
   - External Refund
   - Advance Refund
   - Refund
   - R & R Refund
7. **Tasks**:
   a. Save button: When the Fiscal or Collections worker clicks on "Save" button to initiate a Refund request, create an automated task for the worker's supervisor for authorization.

LRS Task Details	
Trigger Condition	The Refund request has been initiated by the worker.
Task Type	Supervisor Authorization – ~~Awaiting Approval (L1)~~ Transaction Refund
Task Category	Transaction Refund
Task Priority	Mid
Task Due Date	30 Calendar Days
Task Expiration Date	None
Task Long Description	Supervisor Authorization – ~~Awaiting Approval (L1)~~ Transaction Refund
Office Distribution	No
Task Initial Assignment	Current Program Worker's Supervisor
Task Navigation Template	Transaction Refund Detail

   b. Approve button: When the user with appropriate Supervisor security rights clicks this button, create an automated task for the Supervisor's deputy for authorization.

LRS Task Details	
Trigger Condition	The Refund request has been approved by the worker's supervisor.

Task Type	Deputy Authorization – ~~Approved~~ Transaction Refund
Task Category	Transaction Refund
Task Priority	Low
Task Due Date	30 Calendar Days
Task Expiration Date	None
Task Long Description	Deputy Authorization – ~~Approved~~ Transaction Refund
Office Distribution	No.
Task Initial Assignment	Current Program Worker's Deputy
Task Navigation Template	Transaction Refund Detail

c. Disapprove Button
   i. When either the Supervisor or Deputy clicks this button, create an automated task for the current program worker to notify them that their refund request has been rejected.

LRS Task Details	
Trigger Condition	The Refund request has been rejected.
Task Type	Transaction Refund Disapproved
Task Category	Transaction Refund
Task Priority	Low
Task Due Date	30 Calendar Days
Task Expiration Date	None
Task Long Description	Transaction Refund Disapproved
Office Distribution	No
Task Initial Assignment	Current Program Worker
Task Navigation Template	Transaction Refund Detail

2.4.3 Page Location

Global: Fiscal

Local: Recovery Account Search

Task: Transaction Summary

2.4.4 Security Updates

Security Rights

Security Right	Right Description
TransactionRefundView	This right grants view access to the “Transaction Refund” page on the click of “Refund” button on the Transaction Detail page.
TransactionRefundEdit	This right grants create/edit access to the “Transaction Refund” page on the click of “Refund” button on the Transaction Detail page.
TransactionRefundDisApprove	This right grants access to the Disapprove button on the “Transaction Refund” page
TransactionRefundApprove	Access to the Approve and Disapprove buttons for “Awaiting Approval (L1)” by Supervisor on the Transaction Refund Detail page
TransactionRefundApproveDeputy	Access to the Approve and Disapprove buttons for ‘Awaiting Approval (L2)’ by Deputy on the Transaction Refund Detail page

Security Groups

Security Group	Group Description	Group to Right Mapping
Transaction Refund View	This group grants view access to the Transaction Refund detail page.	TransactionRefundView
Transaction Refund Edit	This group grants create, view and edit access to the Transaction Refund detail page.	TransactionRefundView TransactionRefundEdit
Transaction Refund Approve	This group grants create, view and Supervisor approve rights to the Transaction Refund detail page.	TransactionRefundView TransactionRefundEdit TransactionRefundApprove TransactionRefundDisApprove
Transaction Refund Deputy Approve	This group grants create, view and Deputy approve rights to the Transaction Refund detail page.	TransactionRefundView TransactionRefundEdit TransactionRefundApprove TransactionRefundDeputyApprove TransactionRefundDisApprove

2.4.5 Page Mapping – Transaction Refund Detail

Field Name	Table Name	Column	Description
Transaction Number	RECOV_ACCT_TRANSACT	ID	This is a system-generated unique identifier for an instance of this table to be used as the primary key.
Recovery Account number	RECOV_ACCT	ID	This is a system-generated unique identifier.
Transaction Amount	RECOV_ACCT_TRANSACT_DETL	DOLLAR_AMT	This is the amount being deducted from or added to the recovery account.
Effective Month	RECOV_ACCT_TRANSACT_DETL	EFF_DATE	This is the month to which the overpayment was associated. This attribute pertains to the actual month when the transaction is effective which can be different from when the payment is posted or sent.
Status	RECOV_ACCT_TRANS_REFND_DETL	APPRV_STAT_CODE	This field captures the approval status of the refund.
Status Date	RECOV_ACCT_TRANS_REFND_DETL	APPRV_STAT_DATE	This field captures the status date of the refund.
Payee	RECOV_ACCT_TRANS_REFND	PAYEE_PERS_ID	This field captures the Payee information.
Transaction Type	RECOV_ACCT_TRANS_REFND	TRANSACT_TYPE_CODE	412 - This field captures the transaction type.
Amount	RECOV_ACCT_TRANS_REFND	DOLLAR_AMT	This field captures the refund amount.
Worker	RECOV_ACCT_TRANS_REFND	CREATED_BY	This field captures the worker that requested the refund.

2.4.6 Validations

Display the following validation messages when any of the required fields are missing when saving the page.

Field	Validation	Message
Payee	Required	~~Payee – Select Payee.~~ < Payee > - "Field is required. Please enter a value".
Transaction Type	Required	~~Transaction Type – Select Transaction Type.~~ < Transaction Type > - "Field is required. Please enter a value".
Amount	Required	~~Amount – Enter the Amount~~ < Amount - "Field is required. Please enter a value".
Amount	Custom	Amount – Amount exceeds the Unused amount
Refund	Custom	A pending refund request for this transaction already exists. There cannot be more than one pending refund request per transaction.

2.5 Task Management

2.5.1 Overview

When the refund request initiated by a Fiscal or Collections worker is ‘disapproved’, a task will be generated for the worker initiating the refund request, to let the worker know that their refund request was not approved. A task will also be generated for the Supervisor and/or Deputy when the refund request is in ‘Awaiting Approval (L1)’ or ‘Awaiting Approval (L2)’ status waiting for authorization.

2.5.2 Description of Changes

1. Generate the following 3 tasks associated to Refund Authorizations:

    a. ‘**Disapproved**’ task is generated when the status changes from:
        - ‘Awaiting Approval (L1)’ to ‘Disapproved.
        - ‘Awaiting Approval (L2)’ to ‘Disapproved.

When the refund request initiated by a worker is ‘disapproved’, a ‘Disapproved’ task will be generated for the worker that initiated the refund request, to let the worker know that their refund request was not approved. At any point during the approval process, the task can be Disapproved, which would clear the approval task and create a Disapproved task for the worker that created it.

Note: The Disapproved task can be manually cleared.

b. **Supervisor Authorization task:** Upon initiation of Refund request by worker, the system checks the approval required, and if the worker has the proper supervisor-to-deputy setup as follows the Supervisor Authorization task is created.
   - the supervisor must share a unit and office with the worker.
   - the deputy must share a section and office with the supervisor.

If any of the above criteria are NOT met, a task will not be created, and a warning message will display on the page: "Unable to determine supervisor/deputy. Task was not created." Action can still be taken on this refund request as long as the Approve or Disapprove button is clicked by anyone with the appropriate right, but it will not be on anyone's worklist.

c. **Deputy Authorization task:** when the status of the refund request changes from 'Awaiting Approval (L1)' to 'Awaiting Approval (L2)', a task will be generated for the Deputy if the deputy shares a section and office with the supervisor.

Note: Supervisor Authorization and Deputy Authorization tasks cannot be manually cleared. Tasks remaining in "Awaiting Approval (L1)" status for more than 90 days will be automatically expired via the existing batch process.

2.6 Automated Regression Test

Not required.

3 SUPPORTING DOCUMENTS

[This section should include any supporting documents for the design as imbedded documents. Some examples of supporting documents include the Security Matrix, Form Design Documents, NOA Design Documents, and ETL Source-to-Target Mappings.]

Number	Functional Area	Description	Attachment
1	Security	LRS Security matrix	LRS_SecurityMatrix_ SCR52219.xlsx
2	Security	C-IV Security matrix	C-IV_SecurityMatrix _SCR52219.xlsx

4 REQUIREMENTS

4.1 Project Requirements

REQ #	REQUIREMENT TEXT	How Requirement Met
1729	The CONTRACTOR shall migrate the following values in the "Reason" dropdown field when Cal-Learn, REP, WTW is selected as a program on the Recovery Account Detail page: 1) Administrative Error 2) Aid Paid Pending - State Hearing 3) Bounce Check Charge 4) Change in Living Arrangements/Household Composition 5) Collection Fee 6) Court Fees 7) Court Ordered Restitution 8) Eligible Person/Child out of Home 9) Failure to Provide Essential Information 10) Financial Sanction Penalty Not Done Timely 11) Fleeing Felon 12) Increased/Changed Earned Income 13) Increased/Changed In-Kind Income 14) Increased/Changed Other Income 15) Increased/Changed Stepparent Income 16) Other 17) Out of County 18) Overpayment Transferred In 19) Sheriffs Service Fee 20) Timing Out/Time Limits 21) Unreported Child Support 22) Unreported Income - IEVS 23) Unreported Income - Other	This SCR enhances the level of security for refund transactions associated to recovery accounts by adding Supervisor/Deputy approval.

4.2 Migration Requirements

DDID #	REQUIREMENT TEXT	How Requirement Met

5 MIGRATION IMPACTS

C-IV will adopt LRS' functionality for Recovery Account Transfers and Refunds.

6 OUTREACH

None.

7 APPENDIX

N/A

Design Document

CA-201021

Update Targeted Low-Income Search Page to Default the 'Type' and 'Status' fields to "Blank"

Version 1.1

	DOCUMENT APPROVAL HISTORY	
	Prepared By	Antony Lerner
	Reviewed By	Priya Subramaniam, Prashant Goel, William Baretsky, Tisha Mutreja

DATE	DOCUMENT VERSION	REVISION DESCRIPTION	AUTHOR
07/19/2019	1.0	Design Draft	Antony Lerner
09/03/2019	1.1	Content Revision	Antony Lerner

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1 OVERVIEW

The purpose of this document is to satisfy an enhancement to the LRS Targeted Low-Income Search page. The 'Type' and 'Status' fields will default to "Blank" for improved page loading performance when C-IV migrates to LRS due to a large number of Targeted Low-Income records in the C-IV counties. The page will load without Targeted Low-Income records in the search results and only display records when the Worker makes a selection. The option "All" will be removed from both the 'Type' and 'Status' drop-down lists to facilitate more selective searches that will decrease search volume and improve search performance.

1.1 Current Design

The LRS Targeted Low-Income Search Page defaults the 'Type' and 'Status' fields to "All" when the page loads. LRS does not have any Targeted Low-Income records. There are a large number of Targeted Low-Income records in C-IV counties.

1.2 Requests

Update the LRS Targeted Low-Income Search page to default the 'Type' and 'Status' drop-down lists to "Blank" in order to prepare for a large number of records when C-IV migrates and merges with LRS as one system. Remove the option "All" from both the 'Type' and 'Status' drop-down lists to decrease search volume and improve search performance.

1.3 Overview of Recommendations

1. Update the Targeted Low-Income Search page to default the 'Type' and Status fields to "Blank" on page load. All fields on page will default to "Blank" on page load. Search will not take place on page load.
2. Remove the option "All" from both the 'Type' and 'Status' drop-down lists. The search volume will decrease resulting in improved search performance. The Worker can select the desired value from the drop-downs to specify search criteria.
3. Prevent Search from taking place when no search criteria is entered by the Worker and Search button is clicked. Display validation message. Once the Worker enters at least one value as search criteria and clicks Search button, Search will execute.

2 RECOMMENDATIONS

2.1 Targeted Low-Income Search Page

2.1.1 Overview

Update the Targeted Low-Income Search page to default the 'Type' and 'Status' to "Blank" on page load. All fields on page will default to "Blank" on page load. Search will not take place on page load. The search will only happen when the Worker makes selection and clicks the Search button. Prevent Search from taking place when no search criteria is entered by the Worker and Search button is clicked. Display validation message. Once the Worker enters at least one value as search criteria and clicks Search button, Search will execute. Remove option "All" from both the 'Type' and 'Status' drop-down lists.

2.1.2 Targeted Low-Income Search Mockup

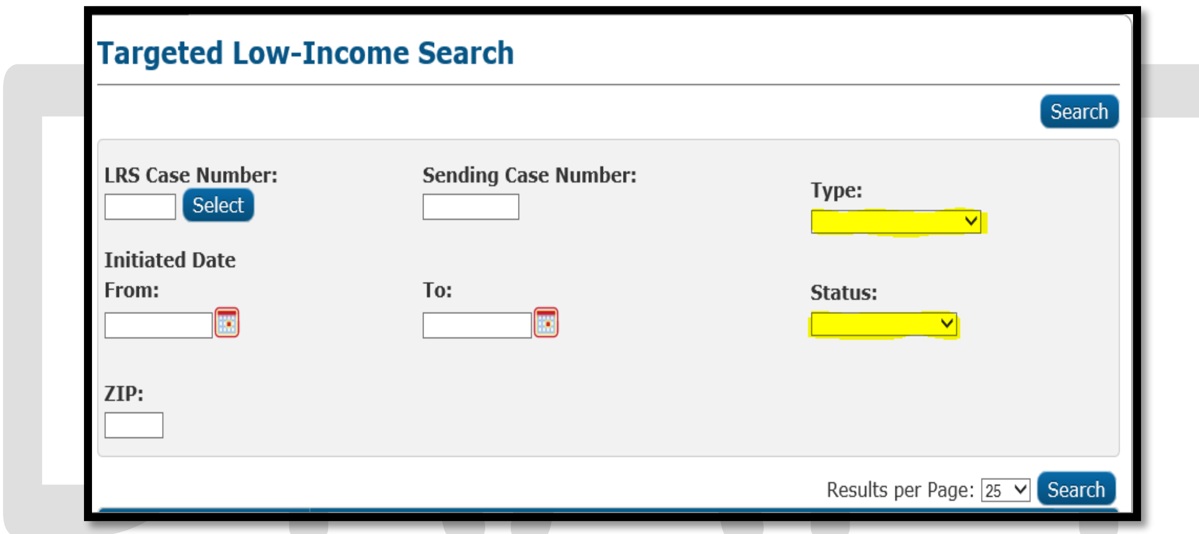

Figure 2.1.2.1 – Defaulted 'Type' and 'Status' to "Blank"

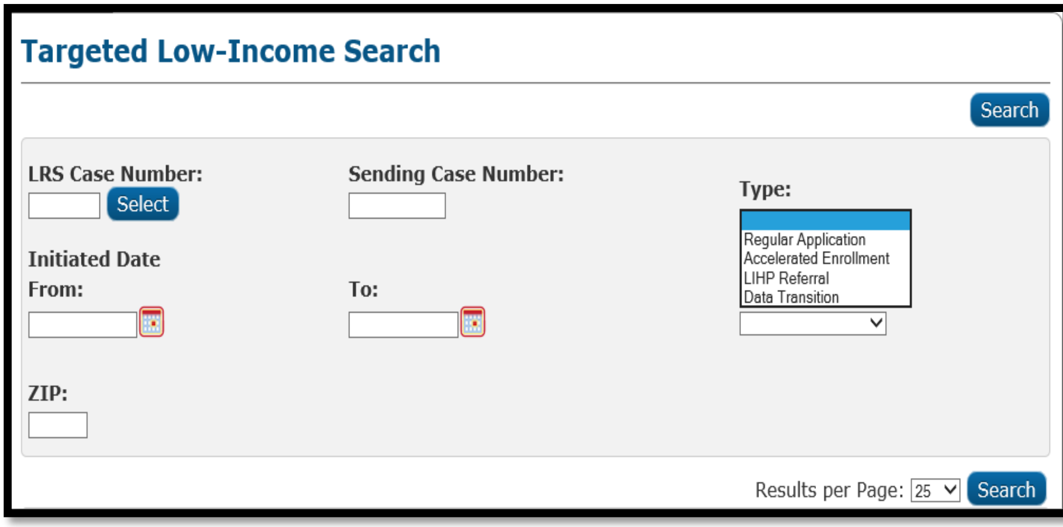

Figure 2.1.2.2 – Removed "All" from 'Type' drop down

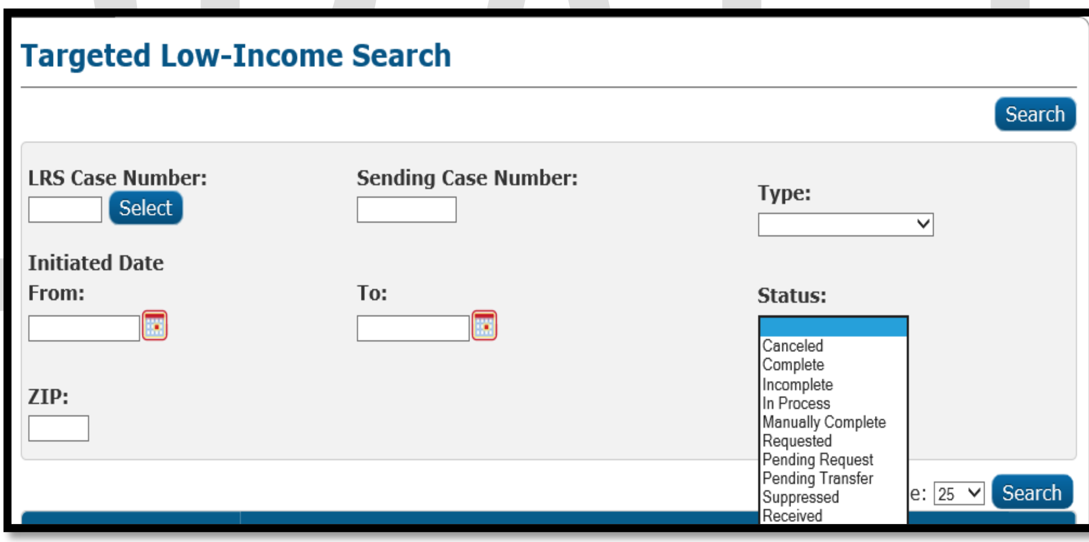

Figure 2.1.2.3 – Removed "All" from 'Status' drop down

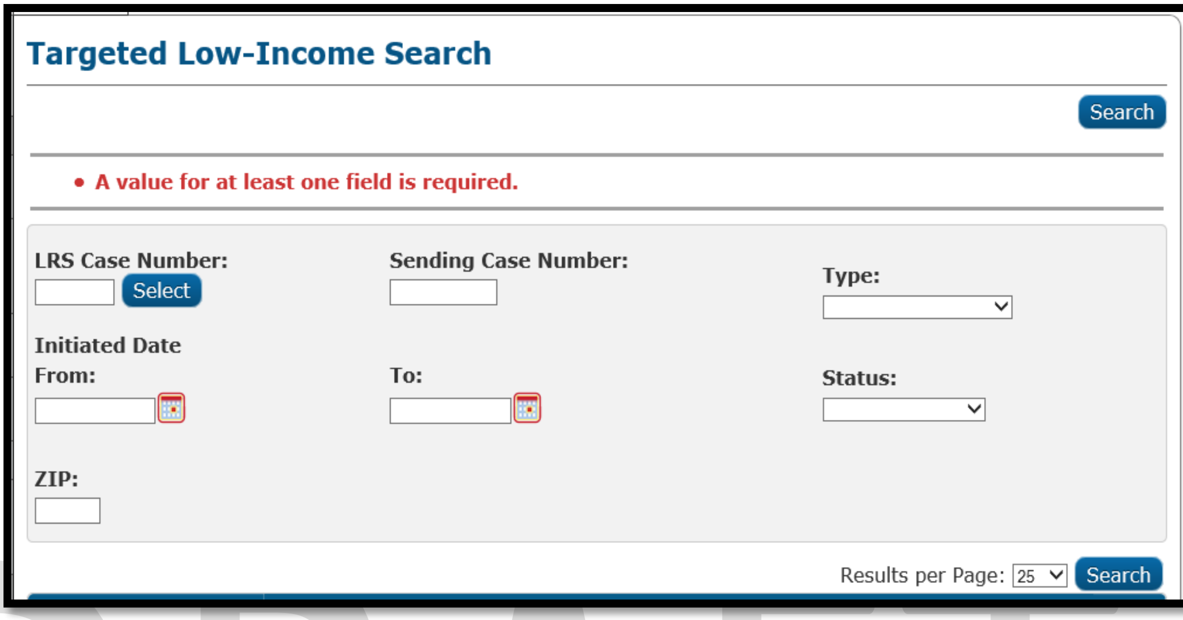

Figure 2.1.2.4 – Adding hard stop page validation

2.1.3 Description of Changes

1. Update the page to default the 'Type' field to "Blank" when the page loads as shown on Figure 2.1.2.1.
2. Update the page to default the 'Status' field to "Blank" when the page loads as shown on Figure 2.1.2.1.
3. Update the page to remove option "All" from both the 'Type' and 'Status' drop-down lists as shown on Figure 2.1.2.2 and 2.1.2.3.
4. Prevent Search from taking place when no search criteria is entered by the Worker and Search button is clicked.

   Add the following validation to the Targeted Low-Income Search page to prevent Search from taking place as shown on Figure 2.1.2.4.

   a. Validation Message: "A value for at least one field is required."
   b. Validation Trigger: "Search" button is clicked.
   c. Validation Condition:
      1. All fields are "Blank".

   Note: The page validation is a hard stop validation.

2.1.4 Page Location

Global: Case Info

Local: e-Tools

Task: External Agencies > Targeted Low-Income

2.1.5 Security Updates

N/A

2.1.6 Page Mapping

N/A

2.1.7 Page Usage/Data Volume

N/A

3 REQUIREMENTS

3.1 Project Requirements

REQ #	REQUIREMENT TEXT	How Requirement Met
3.5.2.12	The LRS shall allow COUNTY-specified Users to view files and LRS Data received through interfaces in a user-friendly and easily understood format.	Targeted Low-Income Search page will be updated in LRS to: 1. Have a default Type and Status fields of "Blank" on page load. Page will load successfully when C-IV migrates. 2. Not to have option "All" in both Type and Status drop-down lists. Search volume will decrease resulting in improved search speed.

CALIFORNIA AUTOMATED CONSORTIUM ELIGIBILITY SYSTEM

Design Document

CA-209109/CIV-104415

Add Full/Restricted Scope verbiage for Young Adult Expansion MAGI NOAs (CH-139211)

Version 1.2

	DOCUMENT APPROVAL HISTORY	
	Prepared By	Nithya Chereddy
	Reviewed By	

DATE	DOCUMENT VERSION	REVISION DESCRIPTION	AUTHOR
06/24/2019	1.0	Initial version	Nithya Chereddy
08/22/2019	1.1	Updated Spanish verbiage for CIV	Nithya Chereddy
09/12/2019	1.2	Cosmetic update to overview of recommendations	Nithya Chereddy

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1 OVERVIEW

SCRs CA-206941/ CIV-103662 implemented the CalHEERS eHIT: Young Adult Expansion 19 to 25 Years of Age (CH-139211) effort. These SCRs updated the system to grant full scope Medi-Cal to eligible young adults 19-25 years of age, inclusive, regardless of citizenship or immigration status.

1.1 Current Design

When the system grants full scope/ restricted scope to MAGI participants, a NOA is generated which explains their eligibility for the approval or change of the scope.

The NOA generated for the Young Adult Expansion scenarios currently does not have the updated verbiage.

1.2 Requests

Effective date the existing full scope or restricted scope fragments to populate if the NOA is generated prior to Young Adult Expansion Start date or benefit month begin date is prior to the Young Adult Expansion effective date.

Populate the new State provided fragments on the NOA if the NOA is generated on or after the Young Adult Expansion Start Date and the benefit month is on or after the Young Adult Expansion effective date.

1.3 Assumptions

1) These SCRs will be implemented with the ability to modify the start date and benefit month effective date based on DHCS guidance. As of the design of this SCR, DHCS provided the start date of 11/20/2019, and the effective date of the policy change as 01/01/2020.
2) There will be no changes to Non-MAGI full scope and/or restricted scope fragments with this SCR. SCRs CA-209232 CIV-104457 will introduce the new Non-MAGI fragments for YAE.
3) The newly added MAGI fragments will be available only in English and Spanish with this effort. Threshold will be added with the SCRs CA-209956 CIV-104826.
4) The existing NOAs will continue to generate in the threshold languages if all fragments on a NOA are available in that language (existing framework in LRS and C-IV).
5) **CIV -** The state provided verbiage had been updated to match CIV's current functionality.

   Example update: We counted ~~your~~ <Person>'s household size and income to make our decision.
6) **CIV-** MAGI regulations verbiage has been removed from the State Provided verbiage as CIV can use the existing MAGI regulations fragment.
7) **LRS –** The following update has been made to the 2nd sentence in MAGI regulations section of the State provided verbiage as Hearing rights are on the back of the first page of the Notice. The updated verbiage matches the existing verbiage.

   a. If you think we made a mistake, you can appeal. See "Your Hearing Rights" ~~on the last page~~ on the back of the first page of this notice to learn how to appeal.

8) **CIV -** The following CIV MAGI full scope/restricted scope fragments which populate on the NOAs if the Benefit Month Begin Date is prior to SB 75 effective date, which contain no reference to age, will continue to generate as per existing functionality.
   a. MSG_MAGI_RETRO_RESTRICTED_APPROVAL
   b. MSG_MAGI_RESTRICTED_APPROVAL
   c. MSG_MAGI_FULL_TO_RESTRICTED_BENEFIT_CHANGE
   d. MSG_MAGI_RESTRICTED_TO_FULL_BENEFIT_CHANGE
      i. Note: LRS does not have the corresponding fragments without age reference.
9) **CIV -** MAGI messages on the NOA are ordered to have the following fragments added at the end of the individual action messages.
   a. MSG_MAGI_INFORMATION_MESSAGE
   b. MSG_MAGI_APPROVAL_OR_CHANGE_ REGULATION

   Note: The existing rule _325MAGIMessages has the ordering logic for MAGI messages.

10) One-time batches to reevaluate Medi-Cal for young adults age 19-25, inclusive, from restricted scope Medi-Cal to full scope Medi-Cal will be addressed in SCRs CA-208241/CIV-104022 in a future release based on DHCS guidance. The SCRs will provide lists of any processing exceptions to the County for follow-up.
11) Medi-Cal age batches will be modified due to Young Adult Expansion. The age 19 and age 21 Medi-Cal batches will be updated and a new age 26 batch to re-evaluate young adults turning age 26 will be addressed in SCRs CA-207950/CIV-103874 in a future release. Existing reports regarding age batch processing will be updated according to the changes implemented with these age batches.
12) **LRS:** Per existing system functionality, GEN 1365 will be added to all the envelopes mailed to the customer.
13) **CIV:** Per existing system functionality, GEN 1365 will be attached to the NOA if the Primary language is other than English or Spanish and "Correspondence in English" is set to Yes.

2 RECOMMENDATIONS

2.1 Correspondence

2.1.1 Overview

1. Effective date the existing full scope or restricted scope fragments to populate if the NOA is generated prior to Young Adult Expansion Start date or benefit month begin date is prior to the Young Adult Expansion effective date.

Example: Following are the scenarios where the existing fragments are populated on the NOA

- NOA is generated before the Young Adult Expansion Start date
- Benefit Month begin date is prior to 01/01/2020

Note: The trigger condition to check for NOA generation date will be removed if the trigger becomes invalid after the DHCS provides the final YAE start date.

2. Add the new State provided fragments to the system to populate on the NOA if the NOA is generated on or after the Young Adult Expansion Start Date and the benefit month is on or after the Young Adult Expansion effective date.

Example: Following are the scenarios where the new fragments are populated on the NOA.

- NOA is generated on 11/20/2019 or later for the benefit month begin date of 01/01/2020 or later

3. Populate the updated MAGI information message verbiage when the new Retro restricted scope approval message or Restricted scope approval message are populated on the NOA.
4. CIV - Order the messages on the MAGI NOA to populate the new MAGI fragments before the MAGI regulations fragment.

2.1.2 Description of Changes

2.1.2.1 Effective date Existing Fragments

Effective date the following fragments/NOAs to populate on the NOA if the NOA is generated prior to Young Adult Expansion Start date or benefit month begin date is prior to the Young Adult Expansion effective date.

C-IV Fragments	Rules to Update
MSG_MAGI_RETRO_RESTRICTED_APPROVAL_DATED	_700RetroMAGIRestrictedScopeApprovalMessage
MSG_MAGI_RESTRICTED_APPROVAL_DATED	_700MAGIRestrictedScopeApprovalMessage
MSG_MAGI_FULL_TO_RESTRICTED_BENEFIT_CHANGE_DATED	_700MAGIFullToRestrictedScopeMessage
MSG_MAGI_RESTRICTED_TO_FULL_BENEFIT_CHANGE_DATED	_700MAGIRestrictedToFullScopeMessage

LRS Fragments	Rules to Update
H_AP_RESTRICTED_SCOPE_RETRO_H906	_700MagiRetroApprovals (Fragment Id 7008)
H_AP_RESTRICTED_SCOPE_APP_H907	_700MagiAprovals (Fragment ID 7009)
H_CH_FULL_SCOPE_TO_RESTRICTED_SCOPE_H801	_700MagiScopeChanges (Fragment ID 7027)

H_CH_RESTRICTED_SCOPE_TO_FULL_SCOPE_H802	_700MagiScopeChanges (Fragment ID 7028)

- Supporting Documents #1 through #4 have the updated FDDs for LRS. The updates are highlighted.
- Supporting Document #9 has the existing fragments verbiage.

2.1.2.2 Add New MAGI Fragments

Generate the new fragments on the NOA if the NOA is generated on or after the Young Adult Expansion Start Date and the benefit month is on or after the Young Adult Expansion Effective Date.

Note: The existing trigger conditions to generate the NOA/fragment for Retro restricted scope approval, Restricted scope approval, Full scope to restricted scope change or Restricted scope to full scope change will remain the same for the new fragments. The update is to only effective date the existing fragments and populate the new fragments if the NOA is generated after the Young Adult Expansion Start Date and the benefit month is on or after the Young Adult Expansion Effective Date.

- Supporting Documents #5 through #8 are the FDDs for newly added fragments.

2.1.2.3 Add new Fragment Text and Variable Population

Following is the verbiage and variable population for the newly added fragments.

Note: LRS - The variables HouseholdSize, MagiIncome, MagiIncomeLimit can be populated from MAGI Determination using the getMAGIDetermination method similar to existing fragment data population.

2.1.2.3.1 Restricted-Scope Retro Approval

CIV	LRS
You asked us to check if <Person> could get Medi-Cal to cover <Person>'s bills for any of the three months before <Person> applied. <Person> qualified for restricted scope Medi-Cal in <BenefitMonth> because <Person> is 26 or older and you did not send us proof of U.S. citizenship or satisfactory immigration status for Medi-Cal purposes. Restricted scope Medi-Cal only covers emergency services, pregnancy related services such as prenatal care, labor, delivery, and postpartum care, and long-term care service. If you are not sure if a service is covered by restricted scope Medi-Cal, ask <Person>'s medical provider. You may get or may have already received other notices about <Person>'s eligibility for other time periods. This notice is only telling you that <Person> got restricted scope Medi-Cal coverage for <BenefitMonth>. If you have proof of <Person>'s citizenship and immigration status that you can give us now, or want to let us know you are having problems getting <Person>'s document, please call your county worker at the number listed on this notice. <Person>'s benefits may change from restricted scope to full scope when you provide us with <Person>'s documents. Full scope benefits allow you to see a doctor for all of your medical needs. We counted <Person>'s household size and income to make our decision.	You asked us to check if you could get Medi-Cal to cover your bills for any of the three months before you applied. You qualified for restricted scope Medi-Cal in {BenefitMonth} because you are 26 or older and you did not send us proof of U.S. citizenship or satisfactory immigration status for Medi-Cal purposes. Restricted scope Medi-Cal only covers emergency services, pregnancy related services such as prenatal care, labor, delivery, and postpartum care, and long-term care service. If you are not sure if a service is covered by restricted scope Medi-Cal, ask your medical provider. You may get or may have already received other notices about your eligibility for other time periods. This notice is only telling you that you got restricted scope Medi-Cal coverage for {BenefitMonth}. If you have proof of your citizenship and immigration status that you can give us now, or want to let us know you are having problems getting your document, please call your county worker at the number listed on this notice. Your benefits may change from restricted scope to full scope when you provide us with your documents. Full scope benefits allow you to see a doctor for all of your medical needs. We counted your household size and income to make our decision. For Medi-Cal, your household size is {HouseholdSize} and your monthly household income is {MagiIncome}. The monthly Medi-Cal income limit for your household size is {MagiIncomeLimit}. Your income is below this limit, so you qualify for Medi-Cal. You received restricted scope Medi-Cal because you did not provide proof of your U.S. citizenship or satisfactory immigration status. {MagiRegulation} is the Regulation or law we relied on for this decision. If you think we made a mistake, you can appeal. See "Your Hearing Rights" on the back of the first page of this notice to learn how to appeal. You have only

	90 days to ask for a hearing. The 90 days started the day after the date on this notice.

2.1.2.3.1.1 Variable Population

CIV/LRS	Variable Name	Data population
CIV Specific	Person	Name of the person associated to the action
CIV & LRS	BenefitMonth	The approved retro month in the format of MM/YYYY
LRS Specific	HouseholdSize	Number of family members used in determining MAGI Medi-Cal eligibility
LRS Specific	MagiIncome	Modified Adjusted Gross Income for the Customer's household used in determining MAGI Medi-Cal eligibility in the format of "$500.00"
LRS Specific	MagiIncomeLimit	Maximum Modified Adjusted Gross Income limit for the household in the format of "$4,000.00"
LRS Specific	MagiRegulation	Rules defined by Federal/State by AID code

2.1.2.3.2 Restricted Scope Approval

CIV	LRS
<Person> has been approved for only restricted scope Medi-Cal because <Person> is 26 or older and you did not send us proof of U.S. citizenship or satisfactory immigration status for Medi-Cal purposes. California law covers full scope Medi-Cal only for individuals who are under age 26 and who do not have or cannot provide proof of citizenship or satisfactory immigration status. Because <Person> is above the age limit, <Person> only qualifies for restricted scope Medi-Cal. Restricted scope Medi-Cal only covers emergency services, pregnancy related services such as prenatal care, labor, delivery, and postpartum care, and long-term care service. If you are not sure if a service is covered by restricted scope Medi-Cal, ask <Person>'s medical provider. <Person>'s eligibility for restricted scope Medi-Cal begins <BeginDate>. <Person>'s Medi-Cal coverage will continue unless <Person> is found no longer eligible. This could happen at the time <Person>'s eligibility is renewed or when <Person>'s situation changes. If you have proof of <Person>'s citizenship or immigration status that you can give us now, or want to let us know you	You have been approved for only restricted scope Medi-Cal because you are 26 or older and you did not send us proof of U.S. citizenship or satisfactory immigration status for Medi-Cal purposes. California law covers full scope Medi-Cal only for individuals who are under age 26 and who do not have or cannot provide proof of citizenship or satisfactory immigration status. Because you are above the age limit, you only qualify for restricted scope Medi-Cal. Restricted scope Medi-Cal only covers emergency services, pregnancy related services such as prenatal care, labor, delivery, and postpartum care, and long-term care service. If you are not sure if a service is covered by restricted scope Medi-Cal, ask your medical provider. Your eligibility for restricted scope Medi-Cal begins <BeginDate>. Your Medi-Cal coverage will continue unless you are found no longer eligible. This could happen at the time your eligibility is renewed or when your situation changes. If you have proof of your citizenship or immigration status that you can give us now, or want to let us know you are having problems getting your document, please call your

are having problems getting <Person>'s document, please call your county worker at the number listed on this notice. <Person>'s benefits may change from restricted scope to full scope when you provide us with <Person>'s documents. Full scope benefits allow you to see a doctor for all of your medical needs. We counted <Person>'s household size and income to make our decision.	county worker at the number listed on this notice. Your benefits may change from restricted scope to full scope when you provide us with your documents. Full scope benefits allow you to see a doctor for all of your medical needs. We counted your household size and income to make our decision. For Medi-Cal, your household size is <HouseholdSize> and your monthly household income is <MagiIncome>. The monthly Medi-Cal income limit for your household size is <MagiIncomeLimit>. Your income is below this limit, so you qualify for Medi-Cal. You received restricted scope Medi-Cal because you did not provide proof of your citizenship or satisfactory immigration status. <MagiRegulation> is the Regulation or law we relied on for this decision. If you think we made a mistake, you can appeal. See "Your Hearing Rights" on the back of the first page of this notice to learn how to appeal. You have only 90 days to ask for a hearing. The 90 days started the day after the date on this notice.

2.1.2.3.2.1 Variable Population

CIV/LRS	Variable Name	Data population
CIV Specific	Person	Name of the person associated to the action
CIV & LRS	BeginDate	Benefit month begin date in the format of Month DD, YYYY example: July 01, 2019
LRS Specific	HouseholdSize	Size of the MAGI Household
LRS Specific	MagiIncome	Modified Adjusted Gross Income for the Customer's household used in determining MAGI Medi-Cal eligibility in the format of "$500.00"
LRS Specific	MagiIncomeLimit	Maximum Modified Adjusted Gross Income limit for the household in the format of "$4,000.00"
LRS Specific	MagiRegulation	Rules defined by Federal/State by AID code

2.1.2.3.3 Full scope to restricted scope

CIV	LRS
Important change to <Person>'s benefits. <Person>'s Medi-Cal will change to restricted scope on <BeginDate>. <Person>'s Medi-Cal is changing from full scope to restricted scope because <Person> is 26 or older and you did not send us proof that <Person> is a U.S. citizen or has satisfactory immigration status for Medi-Cal purposes. You have not contacted us to let us know that you are trying to provide this proof. California law covers full scope Medi-Cal only for individuals who are under age 26 and who do not have or cannot provide proof of citizenship or satisfactory immigration status. Now that <Person> is above that age limit, <Person>'s Medi-Cal changed to restricted scope. Restricted scope Medi-Cal only covers emergency services, pregnancy related services such as prenatal care, labor, delivery, and postpartum care, and long-term care services. If you are not sure if a service is covered by restricted scope, call <Person>'s medical provider. If you have proof of <Person>'s citizenship or immigration status that you can give us now, or want to let us know you are having problems getting <Person>'s document, please call your county worker at the number listed on this notice. <Person>'s benefits may change from restricted scope to full scope when you send us <Person>'s documents. Full scope benefits allow you to see a doctor for all of your medical needs. If you give us acceptable proof within one year, <Person>'s Medi-Cal may change back to full scope Medi-Cal starting the month <Person>'s restricted benefits began. In the meantime, <Person>'s restricted scope Medi-Cal coverage will continue unless <Person> is found no longer eligible. This could happen at the time <Person>'s eligibility is renewed or when <Person>'s situation changes.	Important change to your benefits. Your Medi-Cal will change to restricted scope on <BeginDate>. Your Medi-Cal is changing from full scope to restricted scope because you are 26 or older and you did not send us proof that you are a U.S. citizen or have satisfactory immigration status for Medi-Cal purposes. You have not contacted us to let us know that you are trying to provide this proof. California law covers full scope Medi-Cal only for individuals who are under age 26 and who do not have or cannot provide proof of citizenship or satisfactory immigration status. Now that you are above that age limit, your Medi-Cal changed to restricted scope. Restricted scope Medi-Cal only covers emergency services, pregnancy related services such as prenatal care, labor, delivery, and postpartum care, and long-term care services. If you are not sure if a service is covered by restricted scope, call your medical provider. If you have proof of your citizenship or immigration status that you can give us now, or want to let us know you are having problems getting your document, please call your county worker at the number listed on this notice. Your benefits may change from restricted scope to full scope when you send us your documents. Full scope benefits allow you to see a doctor for all of your medical needs. If you give us acceptable proof within one year, your Medi-Cal may change back to full scope Medi-Cal starting the month your restricted benefits began. In the meantime, your restricted scope Medi-Cal coverage will continue unless you are found no longer eligible. This could happen at the time your eligibility is renewed or when your situation changes. <MagiRegulation> is the Regulation or law we relied on for this decision. If you think we made a mistake, you can appeal. See "Your Hearing Rights" on the back of the first page of this notice to learn how to appeal. You have only 90 days to ask for a hearing. The 90 days started the day after the date on this notice.

2.1.2.3.3.1 Variable Population

CIV/LRS	Variable Name	Data population
CIV Specific	Person	Name of the person associated to the action
CIV and LRS	BeginDate	Benefit month begin date in the format of Month DD, YYYY example: July 01, 2019
LRS Specific	MagiRegulation	Rules defined by Federal/State by AID code

2.1.2.3.4 Restricted scope to full scope

CIV	LRS
Good news! <Person>’s Medi-Cal changed to full scope on <BeginDate>. <Person>’s Medi-Cal is changing from restricted scope to full scope because you were able to prove <Person>’s U.S. citizenship or satisfactory immigration status or <Person> is under 26 years old. <Person>’s Medi-Cal coverage will continue unless <Person> is found to be no longer eligible. This could happen at the time <Person>’s eligibility is renewed or when <Person>’s situation changes. <Person>’s eligibility for full scope Medi-Cal benefits may cover past months. If you paid for medical care that was not an emergency, pregnancy related, or long-term care service while <Person> had restricted Medi-Cal benefits, you may be able to get your money back. Call Beneficiary Services at the Department of Health Care Services for answers to your reimbursement questions at 1-916-403-2007.	Good news! Your Medi-Cal changed to full scope on <BeginDate>. Your Medi-Cal is changing from restricted scope to full scope because you were able to prove your U.S. citizenship or satisfactory immigration status or you are under 26 years old. Your Medi-Cal coverage will continue unless you are found to be no longer eligible. This could happen at the time your eligibility is renewed or when your situation changes. Your eligibility for full scope Medi-Cal benefits may cover past months. If you paid for medical care that was not an emergency, pregnancy related, or long-term care service while you had restricted Medi-Cal benefits, you may be able to get your money back. Call Beneficiary Services at the Department of Health Care Services for answers to your reimbursement questions at 1-916-403-2007. <MagiRegulation> is the Regulation or law we relied on for this decision. If you think we made a mistake, you can appeal. See "Your Hearing Rights" on the back of the first page of this notice to learn how to appeal. You have only 90 days to ask for a hearing. The 90 days started the day after the date on this notice.

2.1.2.3.4.1 Variable Population

CIV/LRS	Variable Name	Data population
CIV Specific	Person	Name of the person associated to the action
CIV and LRS	BeginDate	Benefit month begin date in the format of Month DD, YYYY example: July 01, 2019
LRS Specific	MagiRegulation	Rules defined by Federal/State by AID code

2.1.2.4 Regulations

The regulations of the existing fragments will be used for the corresponding new fragments.

- CIV - CODE_DETL with CATGRY_ID = 662
    - Fragment ID 494 for Restricted to full scope change
    - Fragment ID 493 for full to restricted scope
    - Fragment ID 492 for restricted approval
    - Fragment ID 491 for retro restricted approval
- LRS - CODE_DETL with CATGRY_ID = 662
    - Fragment ID 7028 for Restricted to full scope change
    - Fragment ID 7027 for full to restricted scope
    - Fragment ID 7009 for restricted approval
    - Fragment ID 7008 for retro restricted approval

Supporting Document #10 has the regulations for the existing fragments.

Note: LRS - Due to current technical difficulties the newly added Spanish NOA will have regulations listed in English.

2.1.2.5 Update MAGI Information Message – CIV only

1) Update the MSG_MAGI_INFORMATION_MESSAGE fragment to populate the text **"<Person> received restricted scope Medi-Cal because you did not provide proof of <Person>'s U.S. citizenship or satisfactory immigration status."** along with the existing message when the following new fragments are populated on the NOA.
    - Restricted-Scope Retro Approval
    - Restricted-Scope Approval

Existing Verbiage	Updated verbiage to populate on Restricted-Scope Retro Approval and Restricted-Scope Approval NOAs
For Medi-Cal, <Person>'s household size is <HouseholdSize> and ,<Person>'s monthly household income is <MagiIncome>. The monthly Medi-Cal income limit for <Person>'s household size is <MagiIncomeLimit>. <Person>'s income is below this limit, so <Person> qualifies for Medi-Cal.	For Medi-Cal, <Person>'s household size is <HouseholdSize> and ,<Person>'s monthly household income is <MagiIncome>. The monthly Medi-Cal income limit for <Person>'s household size is <MagiIncomeLimit>. <Person>'s income is below this limit, so <Person> qualifies for Medi-Cal. <Person> received restricted scope Medi-Cal because you did not provide proof of <Person>'s U.S. citizenship or satisfactory immigration status.

Note: The above update is for both English and Spanish fragments. Spanish translations for the added verbiage will be attached to Supporting document #11.

3 SUPPORTING DOCUMENTS

Number	Functional Area	Description	Attachment
1	Correspondence	Existing MAGI - Restricted Scope - Retro NOA	NOA_H906A_7008_FDD.docx
2	Correspondence	Existing MAGI - Restricted Scope – Approval NOA	NOA_H907A_7009_FDD.docx
3	Correspondence	Existing MAGI - Full Scope to Restricted Scope NOA	NOA_H801C_7027_FDD.docx
4	Correspondence	Existing MAGI - Restricted Scope to Full Scope NOA	NOA_H802C_7028_FDD.docx
5	Correspondence	New MAGI - Restricted Scope - Retro NOA	Restricted Scope Retro.docx
6	Correspondence	New MAGI - Restricted Scope – Approval NOA	Restricted Scope.docx
7	Correspondence	New MAGI - Full Scope to Restricted Scope NOA	Full Scope to Restricted Scope.do
8	Correspondence	New MAGI - Restricted Scope to Full Scope NOA	Restricted Scope to Full Scope.docx
9	Correspondence	Existing Fragments Verbiage	Existing Fragment Verbiage SCR CIV-1(

Number	Functional Area	Description	Attachment
10	Correspondence	Existing Fragment's regulations	Existing fragment's Regulations.xls
11	Correspondence	Spanish Translations	Spanish Translations for SCR

4 REQUIREMENTS

4.1 Project Requirements

REQ #	REQUIREMENT TEXT	How Requirement Met
2.18.3.7	The LRS shall identify case actions that require a notice, NOA, form, letter, stuffer, or flyer, and shall generate that appropriate notice, NOA, form, letter, stuffer, or flyer, using variable case-specific information.	LRS will generate the NOAs with the updated verbiage with respect to Young Adult Expansion scenarios.

5 APPENDIX

5.1 'The System' Definition

'The System' refers to both LRS and C-IV systems.

For instance, if the document mentions the below:

- Update The System to...

That implies:

- Both LRS and C-IV Systems will be updated with the same changes.