

CalSAWS Risk & Issues Executive Summary

		Impact				
		1 Minimal	2 Minor	3 Significant	4 Major	5 Unacceptable
Probability	90% Near Certainty			224		
	70% Highly Likely			223	202, 203, 211	201
	50% Possible			206, 219, 208, 222	P, 104	204, 221, 225
	30% Unlikely				209, 214	
	10% Highly Unlikely					102

Legend

- Risk 102:** Lack of Annual Project Funding may cause schedule delay or reduction in scope for CalSAWS M&O, and all existing projects
 - Risk 104:** Functionality gaps between the 3 systems may result in a loss of functionality for some counties during migration to CalSAWS
 - Risk 201:** Pace of Policy Changes may exceed capacity of App-Dev team, resulting in less automation
 - Risk 202:** Not all of the estimates related to Functional Design Sessions have been completed yet. Until the FDS estimates have been completed, cost and schedule impacts to CalSAWS are not known.
 - Risk 203:** Project communications must be enhanced, otherwise stakeholder / audience needs will not be met
 - Risk 204:** Volume of changes to baseline code may cause degradation in quality & increase in defects
 - Risk 206:** Delays in staffing the Consortium and/or Accenture teams may delay the project schedule
 - Risk 208:** CalHEERS release readiness delays may negatively impact CalSAWS delivery timelines, slowing critical updates to counties
 - Risk 209:** CalWIN Counties may not be adequately supported for change readiness prior to August 2020 until the CalWIN Change Management Procurement vendor starts
 - Risk 211:** Delay in consolidated portal procurement and implementation may impact C-IV and CalWIN go-live dates
 - Risk 214:** If counties are not able to adapt to the regional governance structure, the project schedule is at risk regarding decision making
 - Risk 219:** Facilitation across Committees is inconsistent, which may impact the project schedule and quality of decisions
 - Risk 221:** The instability of two 3rd party software products from Oracle causes LRS production performance degradation.
 - Risk 222:** The alignment in FCED approach and FCED governance approval must be completed by March 27th, otherwise the project schedule and costs may be impacted.
 - Risk 223:** CalSAWS DD&I / LRS Technology Operations ability to manage, maintain, monitor, and deliver a scalable and sustainable 58 county CalSAWS.
 - Risk 224:** The implementation of ForgeRock may delay the OCAT Schedule
 - Risk 225:** The ForgeRock solution may not perform as expected, causing LRS production performance degradation.
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- Issue 118:** Some non-production environments using Delphix software do not have the desired responsiveness

P Overall Project

High = 8 **DD&I = 15**
Med = 8 **M&O = 2**
Low = 1

Risk Total: 17

Issue Total: 1

Avg Project Exposure: 2.17

CalSAWS Project Issue and High Risks Update

Risk/Issue #	Risk/Issue Name	Risk Status	Risk Trend
Issue 118	Some non-production environments using Delphix software do not have the desired responsiveness	Issue will remain opened until release 20.03 environment refresh and deployment is complete. Action item created to perform quantitative analysis on non-production environments.	N/A
201	Pace of Policy Changes may exceed capacity of the project teams, resulting in less automation	Closely monitor specific policy changes, such as ABAWD, and escalate into separate issues as necessary.	
202	Not all of the estimates related to Functional Design Sessions have been completed yet. Until the FDS estimates have been completed, cost and schedule impacts to CalSAWS are not known	The Analytics Exhibit was submitted to the State for review on February 3, 2020, along with the As-Needed IAPDU. Project is targeting approval in early March and JPA Board approval at the March 27, 2020 Board Meeting, upon which risk will be retired.	
203	Project communications must be enhanced, otherwise stakeholder / audience needs will not be met	On March 2, 2020, subscription services have been implemented on the CalSAWS.org website. Continue to reassess the Quarterly Communications Plan and gather key communication milestones from the Project teams.	
204	Volume of changes to baseline code may cause degradation in quality & increase in defects	Mitigation measures include: 1. Increased Automated Regression Testing (ART) 2. Future ART enhancements 3. Enforcing SCR Freeze Dates 4. Improving Design Documentation, reducing late content revisions 5. Code quality checks, impact analysis	
211	Delay in consolidated portal procurement and implementation may impact C-IV and CalWIN go-live dates	The CalWIN OCM RFP was released on December 17, 2019; vendor responses were due on February 19, 2020. The C-IV and CalWIN go-live dates remain at risk until the vendor commences work.	
221	The instability of two 3rd party software products from Oracle causes LRS production performance degradation	Work to deploy Oracle patch has been suspended and the project direction is to focus on the Oracle OAM replacement work with ForgeRock. Refer to the new ForgeRock Risk #225	
224	The implementation of ForgeRock may delay the OCAT Schedule	ForgeRock change order approved on February 27, 2020 with a no-cost, eight-week schedule adjustment. The OCAT Work Plan is being updated and reviewed to reflect additional tasks and change in implementation timeline and was submitted for approval with the February Monthly Status Report.	
225	The ForgeRock solution may not perform as expected, causing LRS production performance degradation	Continue planning activities for patching and security component upgrades, and ForgeRock replacement activities. The ForgeRock implementation is scheduled to deploy on June 6, 2020.	

Risk Trend Legend

	Remains same		Trending to Issue		Trending to Medium		Trending to Low		Realized into an Issue		Retired Risk
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