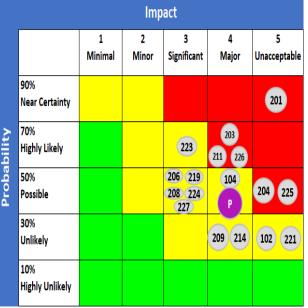
CalSAWS | Project Risks and Issues



CalSAWS Risk & Issue Executive Summary



P Overall Project

High = 6 Med = 11

DD&I = 15 M&O= 2

Risk Total: 17

Issue Total: 1

New Risk Total: 1

Retired Total: 1

Avg Project Exposure: 2.1

Legend

Risk 102: Lack of Annual Project Funding may cause schedule delay or reduction in scope for CalSAWS M&O, and all existing projects

Risk 104: Functionality gaps between the 3 systems may result in a loss of functionality for some counties during migration to CalSAWS

Risk 201: Pace of Policy Changes may exceed capacity of App-Dev team, resulting in less automation

Risk 202 (Retired): Not all of the estimates related to Functional Design Sessions have been completed yet. Until the FDS estimates have been completed, cost and schedule impacts to CalSAWS are not known. This risk has been retired as the approval letter for the Analytics Exhibit and As-Needed IAPDU was received from CMS.

Risk 203: Project communications must be enhanced, otherwise stakeholder / audience needs will not be met

Risk 204: Volume of changes to baseline code may cause degradation in quality & increase in defects

Risk 206: Delays in staffing the Consortium and/or Accenture teams may delay the project schedule

Risk 208: CalHEERS release readiness delays may negatively impact CalSAWS delivery timelines, slowing critical updates to counties

Risk 209: CalWIN Counties may not be adequately supported for change readiness prior to August 2020 until the CalWIN Change Management Procurement vendor starts

Risk 211: Delay in consolidated portal procurement and implementation may impact C-IV and CalWIN go-live dates

Risk 214: If counties are not able to adapt to the regional governance structure, the project schedule is at risk regarding decision making

Risk 219: Facilitation across Committees is inconsistent, which may impact the project schedule and quality of decisions

Risk 221: The instability of two 3rd party software products from Oracle causes LRS production performance degradation.

Risk 223: CalSAWS DD&I / LRS Technology Operations ability to manage, maintain, monitor, and deliver a scalable and sustainable 58 county CalSAWS.

Risk 224: The implementation of ForgeRock may delay the OCAT Schedule

Risk 225: The ForgeRock solution may not perform as expected, causing LRS production performance degradation.

Risk 226: COVID-19 relief efforts may impact CalSAWS DD&I schedule.

Risk 227 (New): County ancillary funding availability may impact counties' availability to align with the Ancillary System Conversion project plan.

Issue 118: Some non-production environments using Delphix software do not have the desired responsiveness

CalSAWS Project Issue and High/New Risk Update

CalsAws Project issue and High/New Risk Update				
Risk/ Issue #	Risk/Issue Name	Risk Status	Risk Trend	
Issue 118	Some non-production environments using Delphix software do not have the desired responsiveness	All the non-production environments have Delphix, except the three environments (\$Y\$1/\$Y\$6/\$TG2). There is a new Delphix version that will be available in a couple of months which would potentially further improve performance.	N/A	
201	Pace of Policy Changes may exceed capacity of the project teams, resulting in less automation	Per the update given to JPA/PSC in April, the ABAWD injunction is in place. Geographical waivers have been reinstated in the systems. The priority for the CalSAWS Project is responding to emergency and policy updates related to COVID-19. Once the crisis has passed, the project will work with State partners to revisit policy changes and priorities.	\Leftrightarrow	
203	Project communications must be enhanced, otherwise stakeholder / audience needs will not be met	A new page, "Public Information" is being added to the CalSAWS.org site to provide project-related communications, targeted for release in May 2020. The project conducted a communication effectiveness assessment that included focus group discussions with 7 counties, Project Leadership and Regional Managers. Next steps include conducting quantitative research through end user pulse and PPOC surveys, which were delayed due to COVID-19.	()	
204	Volume of changes to baseline code may cause degradation in quality & increase in defects	Mitigation measures include: Future scheduled Automated Regression Test (ART) enhancement including OCAT Automation R20.05 and transaction coverage by business area (R20.05.x priority). Implement SonarQube for automated code quality assessment with each build.	\Leftrightarrow	
211	Delay in consolidated portal procurement and implementation may impact C-IV and CalWIN golive dates	On April 22, 2020, the Consortium announced they have selected Deloitte as the vendor for the Portal/Mobile procurement. The next activity is contract negotiation from April 27, 2020 to May 5, 2020.	4	
225	The ForgeRock solution may not perform as expected, causing LRS production performance degradation	The integration between ForgeRock and the Los Angeles County ISD Active Directory was completed on April 9, 2020. The ForgeRock User Login Impact was hosted on April 13, 2020. The CalSAWS Project team is working towards finalizing ForgeRock business and technical flows for security stack replacement. The CalSAWS validations are currently in progress. The ForgeRock implementation is scheduled to deploy on June 6, 2020.	⇔	
226	COVID-19 relief efforts may impact CalSAWS DD&I schedule	The priority for the CalSAWS Project is responding to emergency and policy updates related to COVID-19. Mitigation strategies for consideration include: prioritization of SCRs, existing staff working overtime, slow ramp to add new staff and providing orientation remotely.	\Leftrightarrow	
227 (New)	County ancillary funding availability may impact counties' availability to align with the Ancillary System Conversion project plan.	County ancillary funding is not available until 12 months prior to each County's Wave Roll-Out/Go-Live date. The Team is working with the Consortium PMO to assess county allocation timelines and identify mitigation strategies.	\Leftrightarrow	
Risk Trend Legend Remains same Trending to High Trending to Medium Trending to Low Realized into an Issue Retired Risk				

CalSAWS Project Previous High Risk Update

Risk #	Risk Name	Risk Status	Risk Trend
221	The instability of two 3rd party software products from Oracle causes LRS production performance degradation	The project tested the Oracle upgrades and did not see performance improvement. The work to deploy Oracle patch has been suspended and the project direction is to focus on the Oracle OAM replacement work with ForgeRock. Refer to the ForgeRock high Risk #225: The ForgeRock solution may not perform as expected, causing LRS production performance degradation. The ForgeRock implementation is on track; this risk on Oracle instability has been downgraded to medium, and will be closed once ForgeRock has been implemented.	*
224	The implementation of ForgeRock may delay the OCAT Schedule	Cambria ForgeRock development is currently on track and not impacting the revised OCAT schedule, downgrading this risk to medium. ForgeRock integration with OCAT interface partners and OCAT integration is in progress. The project will continue cross-team feature integration with the Cambria Team.	\Leftrightarrow