



CalSAWS | JPA Member Representatives Meeting June 2021

Destination



June 24, 2021

1. Call Meeting to Order

Destination



2. Confirmation of Quorum, Agenda Review, and Protocols

Destination



Agenda

- ① Call Joint Meeting of Member Representatives and Board of Directors of the CalSAWS Consortium to Order
- ② Confirmation of Quorum, Agenda Review, Protocols
- ③ Public Comment
- ④ Guest Speakers
- ⑤ CalSAWS Member Representatives Action Items
- ⑥ CalSAWS Member Representatives Information Items
- ⑦ CalSAWS JPA Board Action Item – Nominations & Election for Board of Directors Chair and Vice-Chair
- ⑧ CalSAWS JPA Board Action Item - Approval of 2021/22 CalSAWS Project Budget
- ⑨ CalSAWS SOC 2 and NIST Auditing Service
- ⑩ CalSAWS JPA Board Action Item – Consent Calendar
- ⑪ Prepping for the CalSAWS Journey (Items 11 – 28)

3. Public Comment

Public opportunity to speak on any Item NOT on the Agenda. Public comments are limited to no more than three minutes per speaker, except that a speaker using a translator shall be allowed up to six minutes.

Note: The public may also speak on any Item ON the Agenda by waiting until that item is read, then requesting recognition from the Chair to speak.



4. Guest Speakers

- **Mark Ghaly**
CA Health & Human Services Agency Secretary MD, MPH
- **Cathy Senderling-McDonald**
CWDA, Executive Director
- **Julie Sweet**
Accenture, Chief Executive Officer
- **Janet Foutty**
Deloitte US, Executive Chair of the Board
- **Paul Saleh**
Gainwell Technologies, President and Chief Executive Officer





Dr. Mark Ghaly

**Secretary of California
Health & Human
Services Agency**



Cathy Senderling- McDonald

CWDA
Executive Director



Julie Sweet

Accenture
Chief Executive Officer



Janet Foutty

Deloitte US
Executive Chair of the Board



Paul Saleh

Gainwell Technologies
President and CEO

5. CalSAWS Member Representative Action Items

Destination



CalSAWS Member Representatives Action Items

JPA Board Nominations, Elections, and Appointments

6. Nominations, Elections, and Appointments of the JPA Board and Project Steering Committee Members for Fiscal Year 2021/2022.
 - a. Pursuant to the JPA Agreement Article II, section 2.04, subsections (c) and (d), the Directors from Regions 1 through 5 shall be selected from the Member Representatives from their respective Regions. Regions 1 and 4 shall each select two (2) Directors, Regions 2 and 3 shall each select one (1) Director, and Region 5 shall select three (3) Directors. Region 6, represented by Los Angeles County, shall have three (3) Directors, who shall be the three (3) Member Representatives from Region 6.
 - i. Present the nomination slate from the Regional Nomination conference calls, gather any further nominations from the floor, and proceed to elect the JPA Board of Directors for those Regions for the period of July 1, 2021, through June 30, 2022.
 - ii. Introduce the Directors appointed by Region 6 for the period of July 1, 2021, through June 30, 2022.

Region 1 JPA Board Nominees

Kathy Gallagher

Contra Costa
Employment & Human
Services Department
February 2013 – Current

Total Years of Service: 51



Director

Tracey Belton

San Benito County
Health & Human Services
Agency
2016 - Current

Total Years of Service: 25



Director

Region 2 JPA Board Nominee

Amanda Sharp

Placer County Health &
Human Services
2014 - Current

Previous County Served:
Tehama

Total Years of Service: 11



Human Services
Director

Region 3 JPA Board Nominee

Bekkie Emery

Mendocino County
Health and Human
Services Agency

November 2017 - Current

Total Years of Service: 23



Director

Region 4 JPA Board Nominees

Delfino Neira

Fresno County
Department of Social
Services
2014 – Current

Previous Counties Served:
Kern
Santa Barbara
Total Years of Service: 32



Director

Dena Murphy

Kern County Department
of Human Services
1995 – Current

Total Years of Service: 27



Director

Region 5 JPA Board Nominees

Debra Baetz

Orange County
Social Services Agency
July 2018 - Current

Total Years of Service: 32

Gilbert Ramos

San Bernardino County
Human Services Agency
September 2016 – Current

Total Years of Service: 29

Melissa Livingston

Ventura County
Human Services Agency
2020– Current

Total Years of Service: 41



Director



Director



Director

Region 6 JPA Board Appointments

Roxana Molina

Los Angeles County
Department of Public
Social Services
1991 - Current

Total Years of Service: 29



Assistant
Director

Michael Sylvester

Los Angeles County
Department of Public
Social Services
March 2006 - Current
Previous Counties Served:
Riverside

Total Years of Service: 19



Assistant
Director

Cynthia McCoy-Miller

Los Angeles County
Department of Children
and Family Services
1990 - Current

Total Years of Service: 41



Senior Deputy
Director

CalSAWS Member Representatives Action Items

PSC Nominations, Elections, and Appointments

6. Nominations, Elections, and Appointments of the JPA Board and Project Steering Committee Members for Fiscal Year 2021/2022.
 - b. Pursuant to the JPA Bylaws Article VIII, Paragraph A., each Region will nominate one or more candidates from among its Deputy Directors, or small county equivalents, to serve on the Project Steering Committee. Regions 1 and 4 will each appoint two (2) Project Steering Committee members, Regions 2 and 3 will each appoint one (1) Project Steering Committee member, and Regions 5 and 6 will each appoint three (3) Project Steering Committee members.
 - i. Present the nomination slate from the Regional Nomination conference calls, gather any further nominations from the floor, and proceed to elect the Project Steering Committee for the period of July 1, 2021, through June 30, 2022.
 - ii. Introduce the Project Steering Committee Members appointed by Region 6 for the period of July 1, 2021, through June 30, 2022.

Region 1 PSC Nominees

Jessica Paran

Marin County
Health & Human Services
Department

Previous Counties Served:
San Bernardino
Sonoma

Total Years of Service: 14



Division Director

Clarisa Simon

San Mateo County
Human Services Agency

Total Years of Service: 21



Director – Staff
Development,
Training and
Information Services

Region 2 PSC Nominee

Ethan Dye

Sacramento County
Department of Human
Assistance
May 2005 - Current

Total Years of Service: 22



Acting Director

Region 3 PSC Nominee

Rachel Ebel-Elliott

Mendocino County
Health and Human
Services Agency
2003 - Current

Total Years of Service: 18



Deputy Director

Region 4 PSC Nominees

Cindy Uetz

Kern County
Department of Human
Services

Total Years of Service: 35



Chief Deputy Director

Vienna Barnes

Tulare County
Health and Human
Services Agency

Total Years of Service: 27



Deputy Director

Region 5 PSC Nominees

James Locurto

San Bernardino County
Human Services Agency

Total Years of Service: 24



Assistant Director

Alberto Banuelos

San Diego County
Health and Human
Services Agency

Previous Counties Served:
Tulare

Total Years of Service: 22



Assistant Director

Rocio Aguiniga

Riverside County
Department of Public
Social Services

Total Years of Service: 27



Deputy Director

Region 6 PSC Nominees

Luther Evans, Jr.

Los Angeles County
Department of Public
Social Services

Total Years of Service: 30+



Division Chief

Winna Crichlow

Los Angeles County
Department of Public
Social Services

Total Years of Service: 22



Division Chief

Vicki Moore

Los Angeles County
Department of Children
and Family Services

Total Years of Service: 40



Division Chief

JPA Board

Nominations

Region 1	Kathy Gallagher, Director Tracey Belton, Director	Contra Costa San Benito
Region 2	Amanda Sharp, Deputy Director	Placer
Region 3	Bekkie Emery, Branch Director	Mendocino
Region 4	Delfino Neira, Director Dena Murphy, Director	Fresno Kern
Region 5	Debra Baetz, Director Gilbert Ramos, Director Melissa Livingston, Agency Director	Orange San Bernardino Ventura

Appointments

Region 6	Michael Sylvester, Assistant Director Roxana Molina, Assistant Director Cynthia McCoy-Miller, Senior Deputy Director	Los Angeles
----------	--	-------------

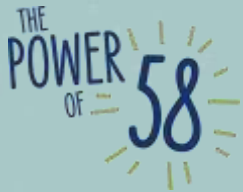
Project Steering Committee (PSC)

Nomination Slate

Region 1	Jessica Paran, Division Director Clarisa Simon, Director – Staff Development, Training and Information Services	Marin San Mateo
Region 2	Ethan Dye, Acting Director	Sacramento
Region 3	Rachel Ebel-Elliott, Deputy Director	Mendocino
Region 4	Cindy Uetz, Chief Deputy Director Vienna Barnes, Deputy Director	Kern Tulare
Region 5	James Locurto, Assistant Director Alberto Banuelos, Assistant Director Rocio Aguiniga, Deputy Director	San Bernardino San Diego Riverside

Appointments

Region 6	Luther Evans, Jr., Division Chief Winna Crichlow, Division Chief Vicki Moore, Division Chief	Los Angeles
----------	--	-------------



Member and Public Comment

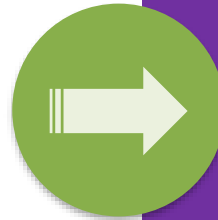


CalSAWS Region 1

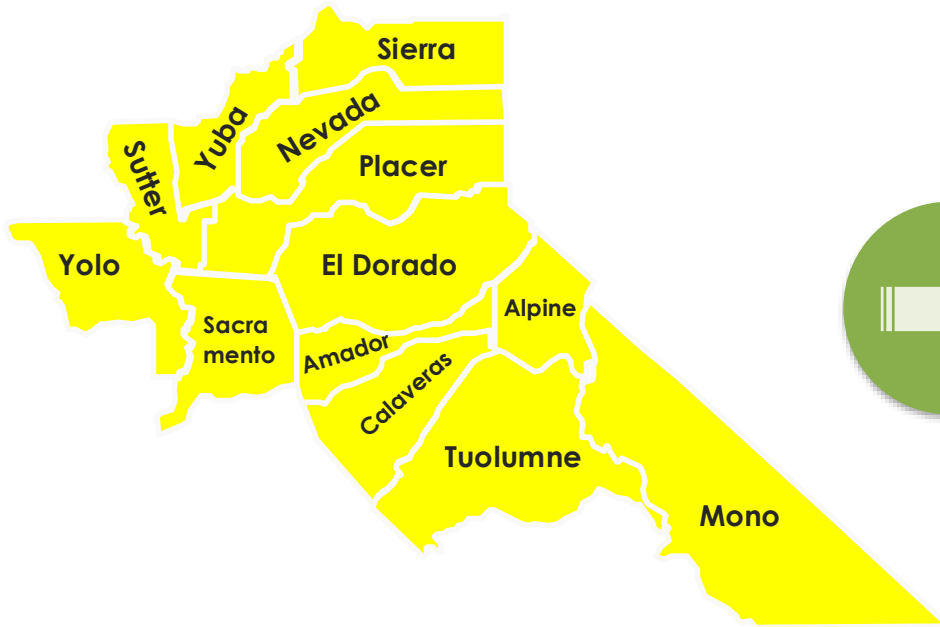


JPA & PSC Election Vote

County/Director	Yay	Nay
Alameda Lori Cox		
Contra Costa Kathy Gallagher		
Marin Kari Beuerman		
Monterey Lori Medina		
Napa Jennifer Yasumoto		
San Benito Tracey Belton		
San Francisco Trent Rhorer		
San Mateo Ken Cole		
Santa Clara Angela Shing		
Santa Cruz Randy Morris		
Solano Marla Stuart		
Sonoma Angela Struckmann		



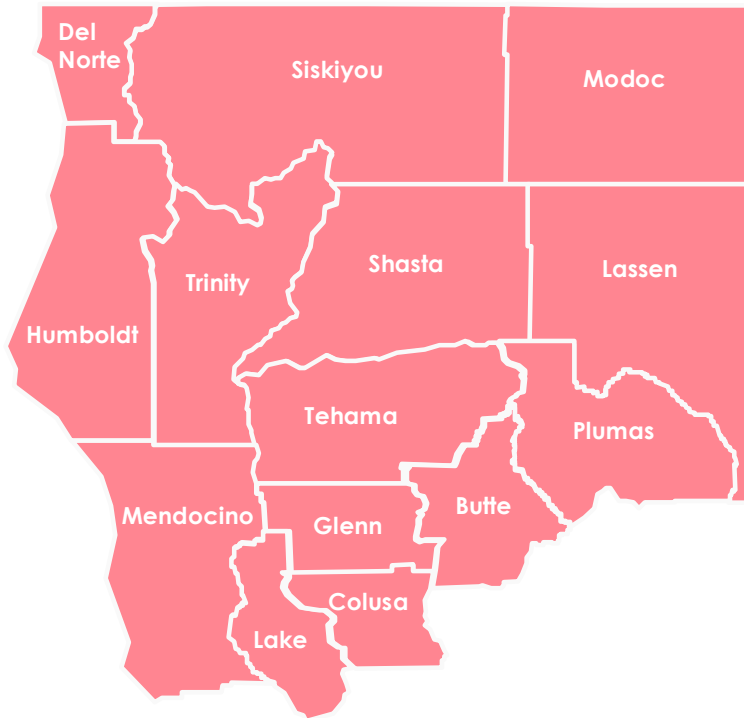
CalSAWS Region 2



JPA & PSC Election Vote

County/Director	Yay	Nay
Alpine Nichole Williamson		
Amador Anne Watts		
Calaveras Cori Allen		
El Dorado Don Semon		
Mono Kathy Peterson		
Nevada Rachel Roos		
Placer Amanda Sharp		
Sacramento Ethan Dye		
Sierra Vickie Clark		
Sutter David Nagra		
Tuolumne Rebecca Espino		
Yolo Nolan Sullivan		
Yuba Jennifer Vasquez		

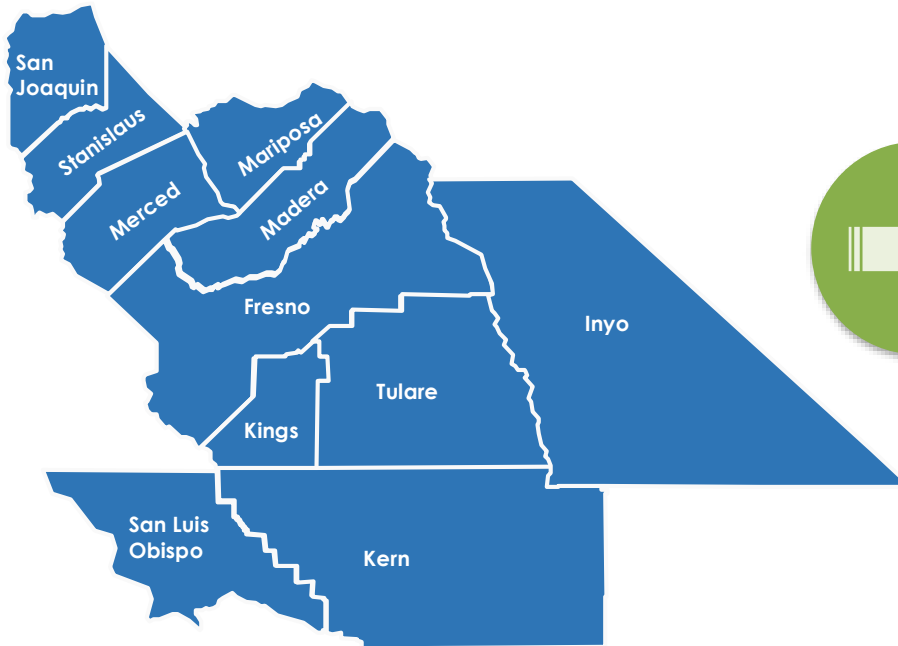
CalSAWS Region 3



JPA & PSC Election Vote

County/Director	Yay	Nay
Butte Shelby Boston		
Colusa Elizabeth Kelly		
Del Norte Heather Snow		
Glenn Bill Wathen		
Humboldt Connie Beck		
Lake Crystal Markytan		
Lassen Jenna Aguilera		
Mendocino Bekkie Emery		
Modoc Tom Sandage		
Plumas Neal Caiazzo		
Shasta Melissa Janulewicz		
Siskiyou Patricia Barbieri		
Tehama Laura Hawkins		
Trinity Liz Hamilton		

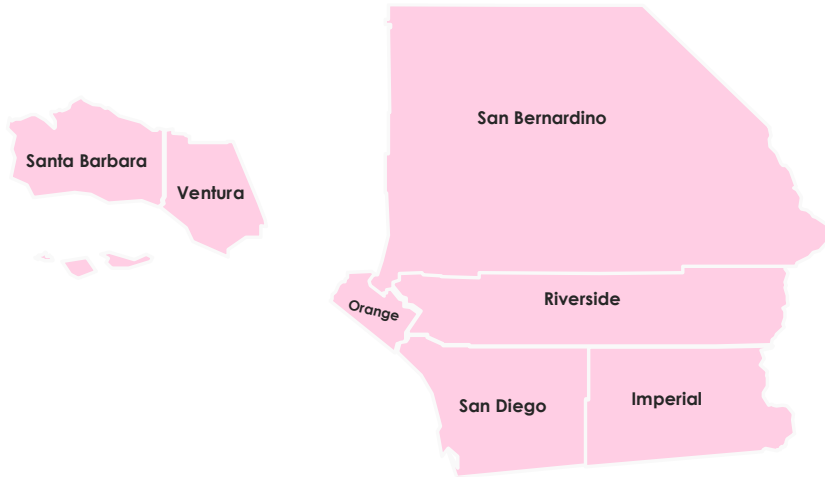
CalSAWS Region 4



JPA & PSC Election Vote

County/Director	Yay	Nay
Fresno Delfino Neira		
Inyo Marilyn Mann		
Kern Dena Murphy		
Kings Sanja Bugay		
Madera Deborah Martinez		
Mariposa Shannon Gadd		
Merced Yvonnia Brown		
San Joaquin Chris Woods		
San Luis Obispo Devin Drake		
Stanislaus Kathy Harwell		
Tulare Anita Ortiz		

CalSAWS Region 5



JPA & PSC Election Vote

County/Director	Yay	Nay
Imperial Veronica Rodriguez		
Orange Debra Baetz		
Riverside Sayori Baldwin		
San Bernardino CaSonya Thomas		
San Diego Richard Wanne		
Santa Barbara Daniel Nielson		
Ventura Melissa Livingston		

CalSAWS Region 6



JPA & PSC Election Vote

County/Director	Yay	Nay
Los Angeles Michael Sylvester		
Los Angeles Roxana Molina		
Los Angeles Cynthia McCoy-Miller		

6. CalSAWS Member Representative Informational Items

Destination



CalSAWS Member Representatives Informational item

JPA Board State Ex Officio Representative

7. Pursuant to the JPA Agreement Article II, Section 2.04 (b) the State shall have the right to select a representative to serve on the Board as the Ex Officio representative of the State.
 - a. Introduce Director of OSI, Dan Kalamaras, as the Ex-Officio representative of the State.

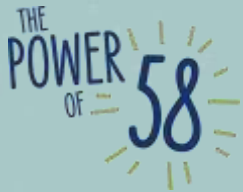
State Ex Officio JPA Board Representative

Dan Kalamaras

California Office of
Systems Integration
April 2018 – Current



Director



Member and Public Comment



7., 8., 9., and 10. CalSAWS JPA Board Action Items

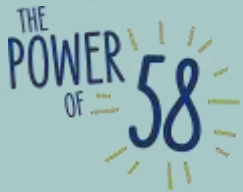
Destination



CalSAWS JPA Board Action Items

Action Items

7. Pursuant to the JPA Agreement, Article III, Section 3.01, the Board of Directors shall elect from among its Directors, a Chair and Vice-Chair.
 - a. Seek nominations and creation of slate for Board of Directors Chair and Vice-Chair for the period of July 1, 2021, through June 30, 2022.
 - b. Proceed to elect the Chair and Vice-Chair for the period of July 1, 2021, through June 30, 2022.



Member and Public Comment



CalSAWS JPA Regions



Election of Board Officers Vote

Region	Board Member	Yay	Nay
State	Dan Kalamaras		
1	Kathy Gallagher		
1	Tracey Belton		
2	Amanda Sharp		
3	Bekkie Emery		
4	Delfino Neira		
4	Kathy Harwell		
5	Gilbert Ramos		
5	Debra Baetz		
5	Melissa Livingston		
6	Michael Sylvester		
6	Roxana Molina		
6	Cynthia McCoy-Miller		

CalSAWS JPA Board Action Items

Action Items

In accordance with the JPA Agreement, Bylaws, and MOU,
the Board must Adopt an Annual Budget

CalSAWS JPA Agreement - Section 2.09. Annual Budget

The Board shall adopt an annual budget for each Fiscal Year. The Bylaws shall further provide for the presentation and content of the budget.

CalSAWS Bylaws, Section IX BUDGET AND FISCAL REPORT, A. Annual Budget

The Board shall adopt an annual budget for each Fiscal Year, which shall first be provided to each Member Representative. The Consortium Executive Director shall oversee the preparation of the Budget.

CalSAWS MOU, Section IV MUTUAL RESPONSIBILITIES 4.1 Fiscal Responsibilities and Claiming

4.1.4. Administrative Costs (also known as unfunded costs) are costs properly incurred by the Consortium but designated by the State of California as ineligible for reimbursement... On or before April 1 of each year, the Consortium, with the concurrence of the Consortium's Member representatives as defined in Section 2.07 (Quorum; Required Votes; Approvals) of the JPA Agreement, will approve a schedule of Administrative Costs for the upcoming fiscal year (July 1 through June 30).

CalSAWS JPA Board Action Items

Action Items

The CalSAWS Annual Project Budget includes all Funding Categories



Baseline Project Funding

CalSAWS, CalACES and CalWIN APDUs



County Purchases and Separate Services



Policy Enhancements

Premise



Administrative (Unfunded)

Insurance, Audits, Fiscal Agent Services, Accounting System Access, Conference Rooms/Fees (Approved by the General Membership January 24, 2021)

CalSAWS JPA Board Action Items

CalSAWS Project Budget

CalSAWS Project Budget - FY 2021-22	TOTAL
CalACES M&O	\$54,156,815
CalSAWS DD&I / M&O	\$277,075,458
CalSAWS - County Purchases	\$6,000,000
CalSAWS - Administrative	\$721,260
CalSAWS - ABAWD Automation	\$3,761,987
CalSAWS - CalFresh Overissuances (Brown Tapia)	\$248,924
CalSAWS - CalFresh Discontinuance of Gambling Wins	\$1,404,426
CalSAWS - CalFresh Notice of Denial or Pending Status	\$1,300,000
CalSAWS - CalFresh Periodic Report (SAR 7 Reminder)	\$869,000
CalSAWS - CalFresh Public Assistance Definition Alignment	\$1,662,000
CalSAWS - CalFresh Safe Drinking Water Pilot (SB 89)	\$398,228
CalSAWS - CalFresh Simplifications	\$2,751,000
CalSAWS - Cal-OAR Modifications incl. Customer Satisfaction Survey	\$1,704,831
CalSAWS - CalWORKs Child Support Pass-Through to Families (SB 337)	\$328,384
CalSAWS - CalWORKs Earned Income Disregard (SB 80)	\$327,516
CalSAWS - CalWORKs NOA at Redetermination	\$275,000
CalSAWS - CalWORKs Overpayments Revised CA 812 Report	\$215,000
CalSAWS - CalWORKs Time Clock	\$5,950,000
CalSAWS - Continuum of Care Automation	\$500,000
CalSAWS - Prepopulated Medi-Cal Redetermination Forms	\$12,954,826
CalSAWS - Resume Pre-Pandemic Medi-Cal Operations	\$4,500,000

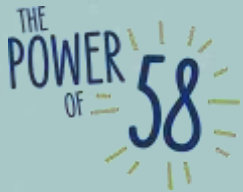
CalSAWS Project Budget - FY 2021-22	TOTAL
CalSAWS - Elderly Simplified Application Project (ESAP)	\$1,216,500
CalSAWS - Foster Care Eligibility Determination (FCED)	\$734,627
CalSAWS - Medi-Cal Automation (SB 1341)	\$6,472,523
CalSAWS - Online CalWORKs Assessment Tool (OCAT)	\$2,610,379
CalSAWS - Pandemic Emergency Assistance Fund	\$1,000,000
CalSAWS - Remove Homeless Assistance \$100 Asset Limit	\$552,076
CalSAWS - SAWS Shared Application Forms Revision	\$8,079,000
CalSAWS - Stage One Continuous Eligibility	\$274,000
CalSAWS - Supplemental & Transitional Nutrition Benefits Program	\$88,000
CalSAWS - Transitional Housing Supplement	\$522,500
CalWIN - M&O	\$109,784,532
CalWIN - Separate Services	\$1,500,000
Total	\$509,938,792

Revenues	
Intergovernmental	\$509,938,792
Expenditures	\$509,938,792
Service and supplies	\$392,860,936
Capital outlay	\$117,077,856
Debt service, principal and interest	\$0

CalSAWS JPA Board Action Items

Action Items

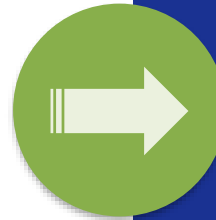
8. Approval of SFY 2021/22 CalSAWS Project Budget including the CalSAWS Implementation Advance Planning Document (IAPD) Update, CalACES M&O Operational APD Update, CalWIN M&O Operational Update, County Purchases/Separate Services, Premise Item Funding, and the Administrative Budget for Unfunded costs.



Member and Public Comment



CalSAWS JPA Regions



Adoption of Project Budget Vote

Region	Board Member	Yay	Nay
State	Dan Kalamaras		
1	Kathy Gallagher		
1	Tracey Belton		
2	Amanda Sharp		
3	Bekkie Emery		
4	Delfino Neira		
4	Kathy Harwell		
5	Gilbert Ramos		
5	Debra Baetz		
5	Melissa Livingston		
6	Michael Sylvester		
6	Roxana Molina		
6	Cynthia McCoy-Miller		

CalSAWS JPA Board Action Items

Action Items

On March 26, 2021, San Bernardino County Auditor-Controller Tax Collector (ATC) released a Request for Proposal (RFP) on behalf of the Consortium to procure SOC 2 and Technical Audit Services.

SOC 2

Evaluation of internal controls, policies, and procedures focusing on controls that directly relate to the security, availability, processing integrity, confidentiality, and privacy of the service organization.

NIST 800-53 Technical Audit

Tests and validates the implementation of the NIST 800-53 security control baseline requirements for the CalSAWS systems and supporting processes; focuses on the technical vulnerabilities for the overall CalSAWS program and interconnected systems.

The audits align to the CMS' Framework for Independent Third-Party Security and Privacy Assessment Guidelines, which includes the requirements necessary for Outcome Based Certification (OBC).

OBC must be met for approval of ongoing enhanced funding.

CalSAWS JPA Board Action Items

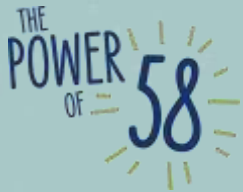
Action Items

Services	For FY 20/21	For FY 21/22	For FY 22/23	For FY 23/24	For FY 24/25	Total
Report on Service Organization Controls for C-IV System	\$53,600	\$0	\$0	\$0	\$0	\$53,600
Report on Service Organization Controls for LRS/CalSAWS System	\$53,600	\$0	\$0	\$0	\$0	\$53,600
Report on Service Organization Controls for CalSAWS System	\$0	\$81,370	\$82,997	\$84,658	\$86,350	\$335,375
Report on Service Organizational Controls for CalWIN System	\$53,600	\$81,370	\$82,997	\$84,658	\$0	\$302,625
Report on Technical Audit for CalSAWS and CalWIN Systems	\$92,400	\$93,786	\$94,724	\$95,671	\$62,700	\$439,281
Total	\$253,200	\$256,526	\$260,718	\$264,987	\$149,050	\$1,184,481
Option: SOC 2 Readiness for C-IV, CalWIN, and LRS/CalSAWS	\$87,000	\$0	\$0	\$0	\$0	\$87,000
Total with Option	\$340,200	\$256,526	\$260,718	\$264,987	\$149,050	\$1,271,481

CalSAWS JPA Board Action Items

Action Items

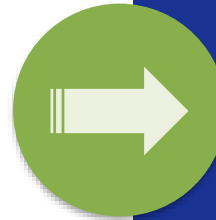
9. Approval of the SOC 2 AND NIST 800-53 Auditing Services Agreement between the CalSAWS Consortium and Mayer Hoffman McCann P.C which includes requests for:
 - a. SOC 2 Type 1 report services for the C-IV, CalWIN, and LRS/CalSAWS systems for a point in time following the fiscal year ending June 30, 2021, in accordance with Statement on Standards for Attestation Engagements (SSAE) No.18
 - b. SOC 2 Type 2 report services for the CalWIN and CalSAWS systems for fiscal years ending June 30, 2022, June 30, 2023, and June 30, 2024
 - c. SOC 2 Type 2 report services for the CalSAWS system for fiscal year ending June 30, 2025
 - d. Technical audit services for the CalWIN and LRS/CalSAWS systems to be conducted annually in 2021, 2022, 2023, and 2024 based on the NIST Special Publication (SP) 800-53, revision 4
 - e. Technical audit services for the CalSAWS system to be conducted in 2025 based on the NIST Special Publication (SP) 800-53, revision 4
 - f. Option: SOC 2 readiness assessments for the C-IV, CalWIN, and LRS/CalSAWS systems



Member and Public Comment



CalSAWS JPA Regions



SOC 2 Agreement Vote

Region	Board Member	Yay	Nay
State	Dan Kalamaras		
1	Kathy Gallagher		
1	Tracey Belton		
2	Amanda Sharp		
3	Bekkie Emery		
4	Delfino Neira		
4	Kathy Harwell		
5	Gilbert Ramos		
5	Debra Baetz		
5	Melissa Livingston		
6	Michael Sylvester		
6	Roxana Molina		
6	Cynthia McCoy-Miller		

CalSAWS JPA Board Action Items

Consent Calendar

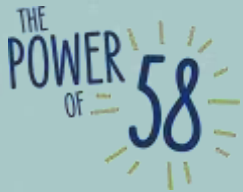
10. Consent Calendar

- a. Approval of the May 14, 2021, JPA Board Meeting Minutes
- b. Custodian Authorization Forms required by San Bernardino County Auditor-Controller/Treasurer/Tax Collector for State Fiscal Year 2021/22:
 - i. Approve the completion of the form in the name of the CalSAWS Chair, authorizing the Chair to approve payments to be made by the Controller for CalSAWS.
 - ii. Approve the completion of the form in the name of the CalSAWS Vice-Chair, authorizing the Vice-Chair to approve payments to be made by the Controller for CalSAWS.
 - iii. Approve completion of the form in the name of the CalSAWS JPA Secretary, authorizing the JPA Secretary to approve invoices to be paid by the Controller for CalSAWS.

CalSAWS JPA Board Action Items

Consent Calendar

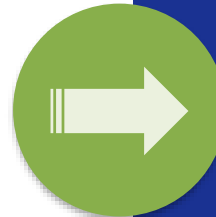
- iv. Approve completion of the form in the name of CalSAWS Section Director, Holly Murphy, authorizing Holly Murphy to approve invoices to be paid by the Controller for CalSAWS, in the absence of the CalSAWS JPA Secretary.
- v. Approve completion of the form in the name of CalSAWS Section Director, Laura Chavez, authorizing Laura Chavez to approve invoices to be paid by the Controller for CalSAWS, in the absence of the CalSAWS JPA Secretary.
- vi. Approve completion of the form in the name of CalSAWS Section Director, Thomas Hartman, authorizing Thomas Hartman to approve invoices to be paid by the Controller for CalSAWS, in the absence of the CalSAWS JPA Secretary.
- vii. Approve completion of the form in the name of CalWIN/WCDS Executive Director, Diane Alexander, authorizing Diane Alexander to approve invoices to be paid by the Controller for CalSAWS, in the absence of the CalSAWS JPA Secretary.
- viii. Approve completion of the form in the name of Director CaSonya Thomas, authorizing CaSonya Thomas to approve invoices to be paid by the Controller for CalSAWS.



Member and Public Comment

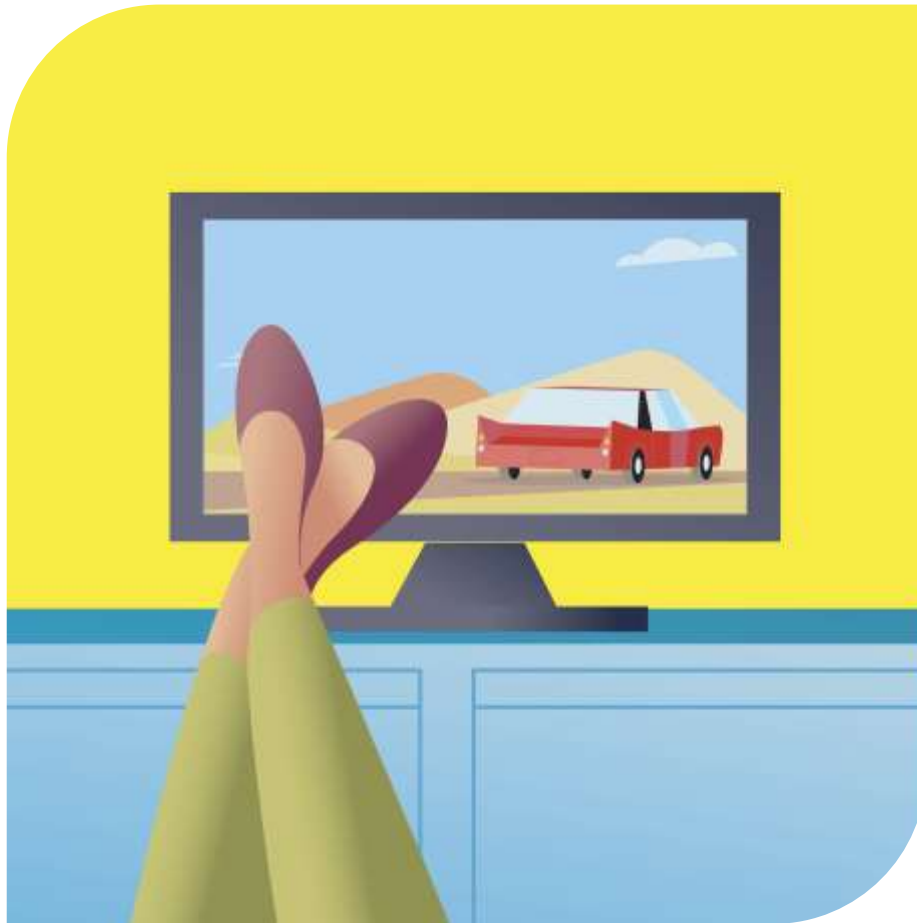


CalSAWS JPA Regions



Consent Calendar Vote

Region	Board Member	Yay	Nay
State	Dan Kalamaras		
1	Kathy Gallagher		
1	Tracey Belton		
2	Amanda Sharp		
3	Bekkie Emery		
4	Delfino Neira		
4	Kathy Harwell		
5	Gilbert Ramos		
5	Debra Baetz		
5	Melissa Livingston		
6	Michael Sylvester		
6	Roxana Molina		
6	Cynthia McCoy-Miller		



Let's take a break!

11 - 29 Member Representatives Informational Items

Destination



Upcoming Destination →

Time to Destination →

Availability →

Topics/Sites at Destination →



Caution Messages →



16 Points of Interest along our journey



Main Points of Interest



Demonstrations



Cultural Destinations



Caution Messages



Destination: Data Analytics

Improving efficiency through metrics, data, dashboards, and reports

14.3 mi

Directions

15 minutes

Hours

6:00 AM - 6:00 PM

Open Now

Show All

Related Guides



Analytics Demo

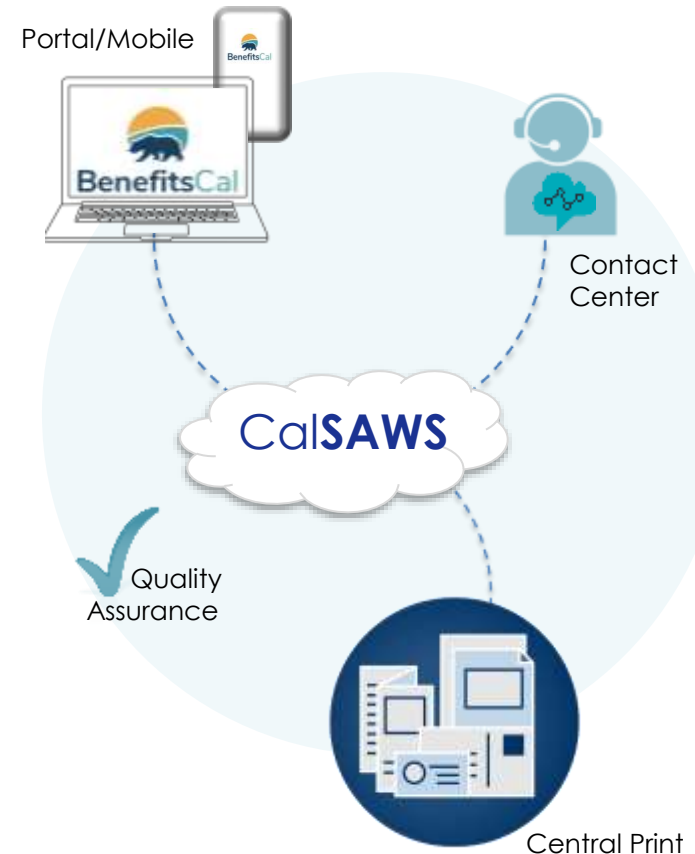
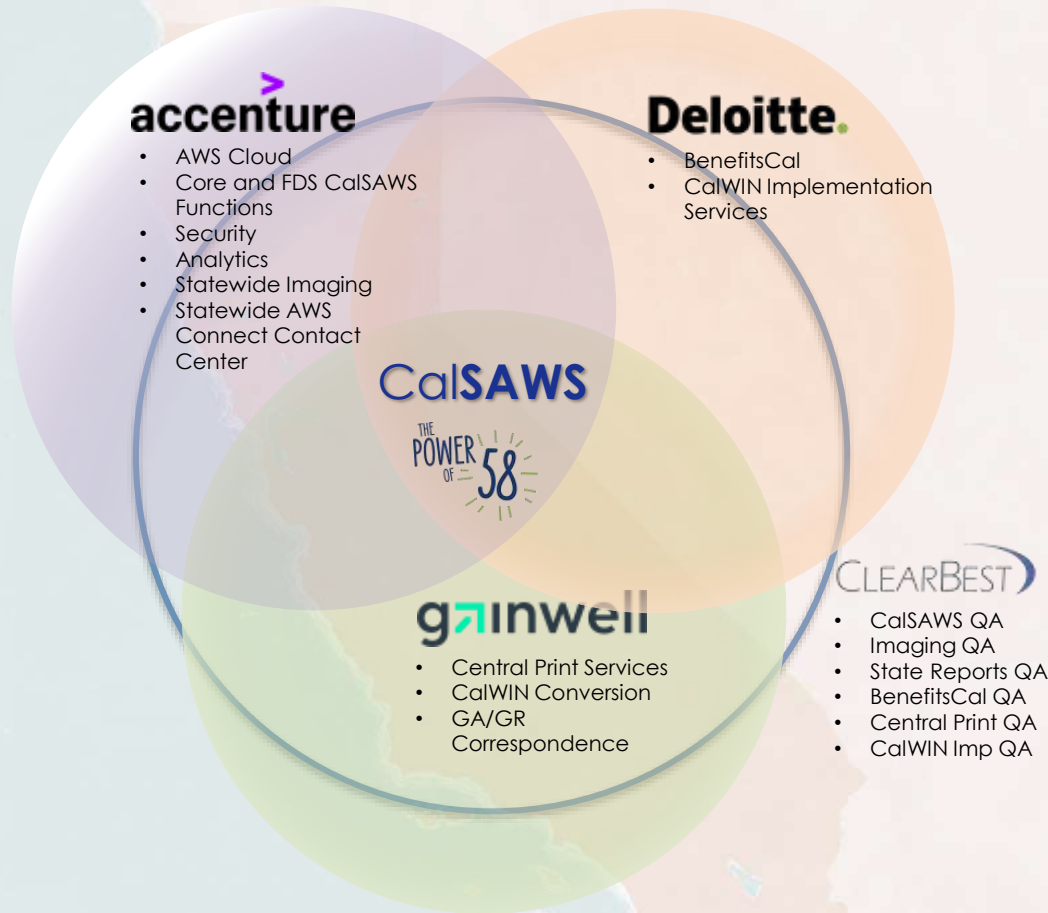


Analytics Update



Member and Public
Comment

We Are One in Service to California



ISAWS

C-IV

CalWIN

CalSAWS

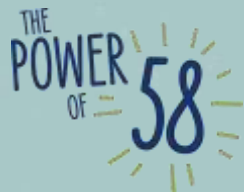
NAPAS

MAGIC

WCDS

LEADER

Annual Audit





Annual Audit

CalSAWS Annual Audit Findings

The annual financial audit is conducted in accordance with the JPA Agreement



Section 2.10. Annual Operational and Fiscal Reports. The Board shall cause an annual operational report and annual fiscal report to be prepared and provided to each Member Representative.

Independent auditors are selected through a procurement process.
The Independent Financial Auditor:



Reviews financial statements prepared by the Fiscal Agent



Conducts field work/site visits



Reviews sample assets and financial artifacts



Interviews Project Management and Project Staff



Submits two deliverables:
(1) Audit Report
(2) Single Audit Report



Annual Audit

CalSAWS Annual Audit Findings



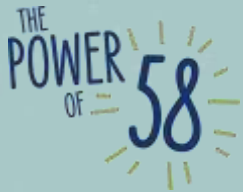
Audited CalSAWS
Year Ending
June 2020 Single
Audit Report and
Financial Statements

Results

There were **no audit findings**, and the auditors found **no instances of non-compliance** which could have had a direct and material effect on our financial statement amounts.

The auditors further found that the financial statements “**present fairly**, in all material respects, the respective financial position of the governmental activities and the general fund,” of the Consortium. **The auditors made no recommendations.**

These documents were distributed via CIT 0147-21 and are available on the CalSAWS website (www.calsaws.org / public information)



Member and Public Comment





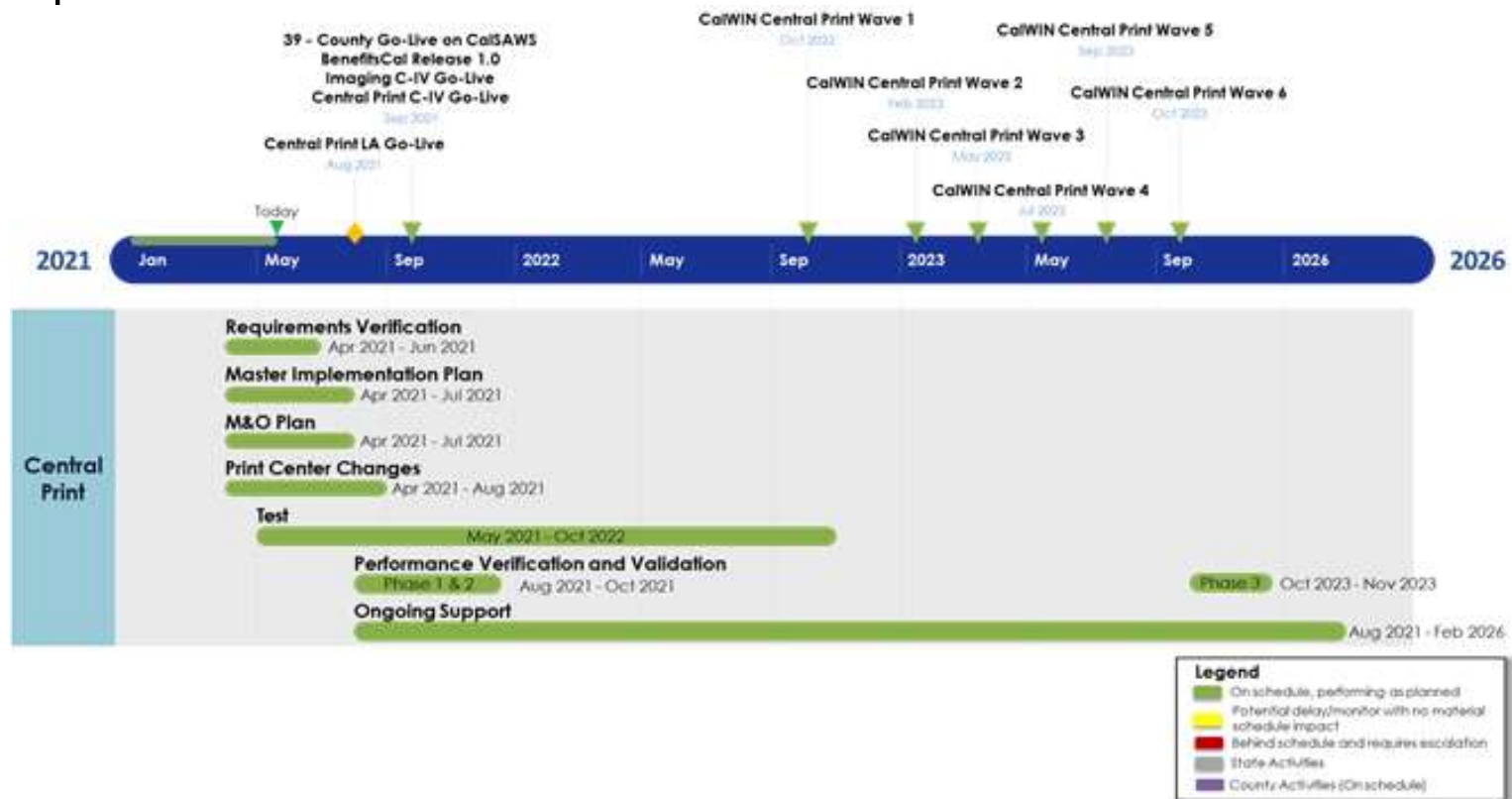




CalSAWS Central Print

Central Print Implementation Timeline

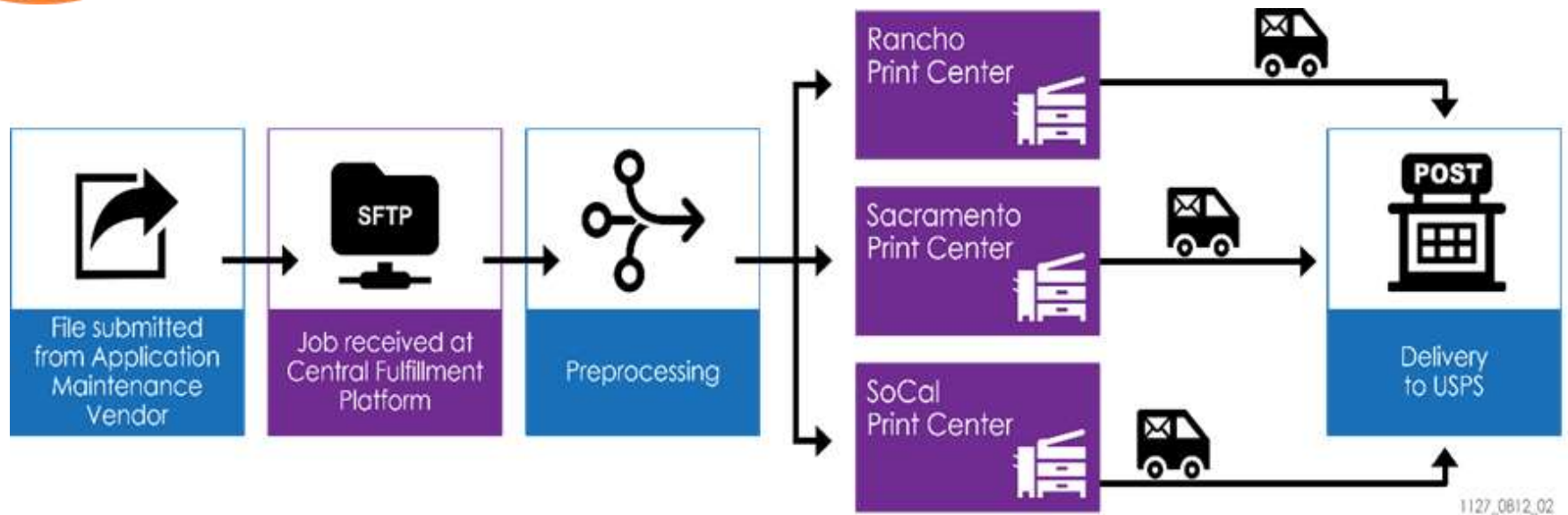
- Phase 1 (Los Angeles County) printing from the CalSAWS batch cycle August 28, 2021
- Remaining phases coordinate with CalSAWS implementation





CalSAWS Central Print

Central Print Solution



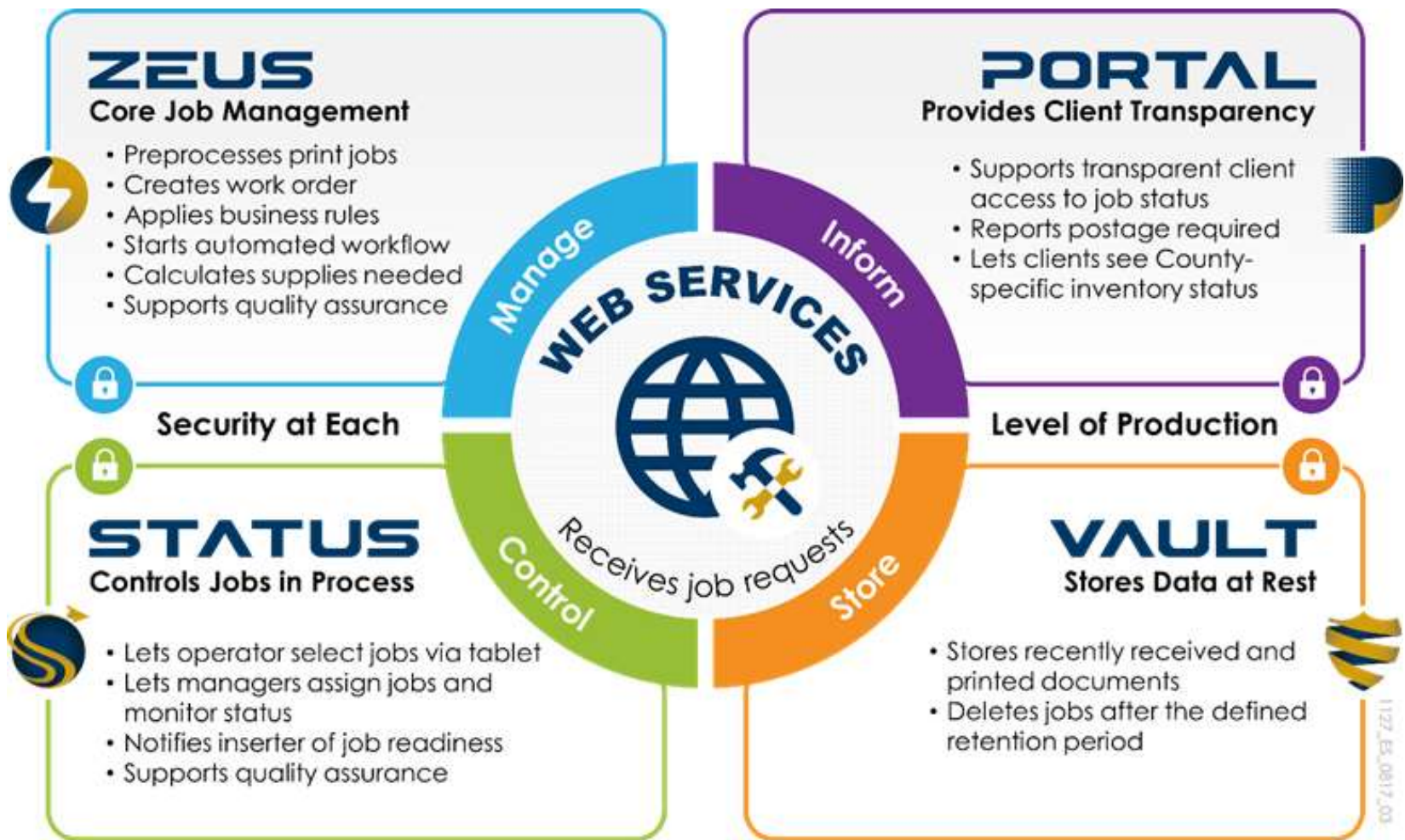
Three California Print Centers – Destination USPS

- Rancho Print Center currently supports C-IV Counties
- Sacramento Print Center currently supports CalWIN Counties
- SoCal Print Center coming online in June 2021
- Each can handle overflow from the other
- Each serves as the disaster recovery site for the others



CalSAWS Central Print

Central Print Workflow Solution



Security: Complies with California HITECH Act, NIST, HIPAA, and encrypts data



CalSAWS Central Print

Central Print Status

ON TRACK

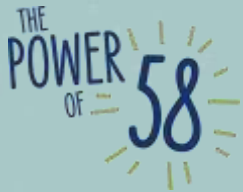
- Requirements Sessions Nearing Completion
- Equipment Installation and Supply Ordering in Progress
- SoCal Print Center on track to be online at the end of June 2021
- Testing CalSAWS print files
- Meeting with Los Angeles County on redetermination packet, ordering and postage processes



CONTINGENCY PLANNING

- Created plan for Los Angeles County





Member and Public Comment







CalSAWS Security Scaling

Scaling Security to Meet the Challenges of CalSAWS





CalSAWS Security Scaling

Introduction

- Recent Cybersecurity Attacks
- Security Architecture Challenges
- Security Everyone's Responsibility – One Team



CalSAWS Security Scaling

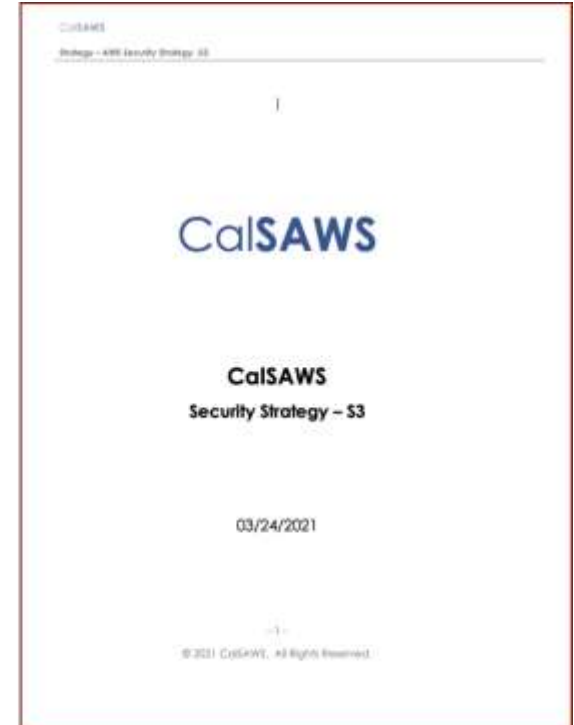
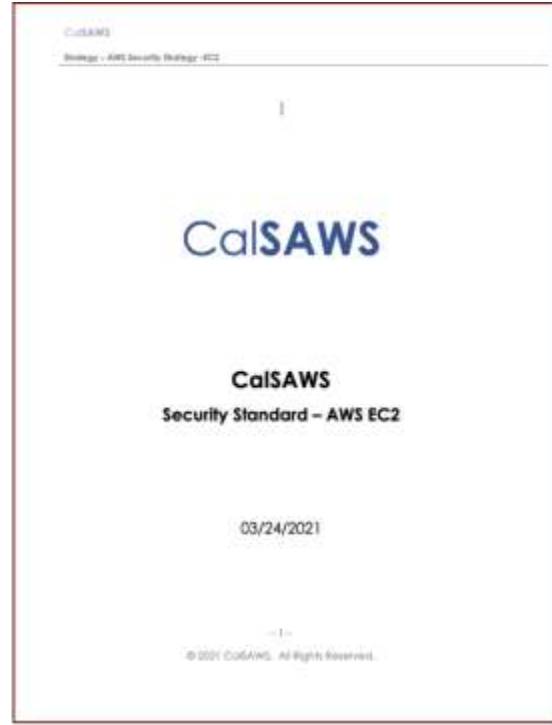
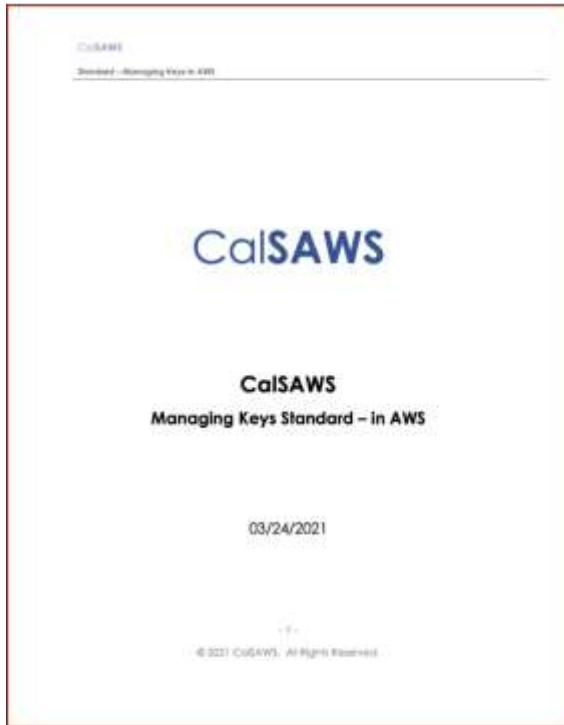
Accomplishments to Date

- CalSAWS Architectural Standards
- CalSAWS Baseline System Security Plan



CalSAWS Security Scaling

Architectural Standards Adopted and In Review





CalSAWS Security Scaling

System Security Plan (SSP)

Standardized Instructions and Templates

CalSAWS

Instructions for System Security Plan

CalSAWS

Instructions for System Security Plan

00/00/2021

© 2021 CalSAWS. All Rights Reserved.

CalSAWS

Instructions for System Security Plan

PURPOSE

The purpose of this document is to provide CalSAWS vendors instructions for System Security Plan (SSP) template to develop a System Security Plan for system.

INSTRUCTION

Vendors should NOT modify the following:

- Sections marked with N/A.
- Control requirement text, including the bracketed parameter assignment tables.

Example for AC-2:

(a) Identify and select the following types of information system organizational mission/business functions: [information system, emergency, emergency, and service accounts].

Vendor responses are documented in the following tables:

- Control Summary Information.
- What is the solution and how is it implemented?

Task Name

1	1	Consortium SSP Vendor Template
2	1.1	1. Introduction
3	1.2	2. Information System Owner
4	1.3	3. Other Designated Contacts
5	1.4	4. Assignment of Security Responsibility
6	1.5	5. Information System Operational Status
7	1.6	6. Information System Type
8	1.7	7. General System Description
9	2	8. System Environment
10	3	9. System Interconnections
11	4	10. Laws, Regulations, Standards and Guidance
12	5	11. Security and Privacy Controls
13	5.1	12. Access Control (AC)
14	5.2	13. Awareness and Training (AT)
15	5.3	14. Audit and Accountability (AU)
16	5.4	15. Security Assessment and Authorization (CA)
17	5.5	16. Configuration Management (CM)
18	5.6	17. Contingency Planning (CP)
19	5.7	18. Identification and Authentication (IA)
20	5.8	19. Incident Response (IR)
21	5.9	20. Maintenance (MA)
22	5.10	21. Media Protection (MP)
23	5.11	22. Physical and Environmental Protection (PE)
24	5.12	23. Planning (PL)
25	5.13	24. Personnel Security (PS)
26	5.14	25. Risk Assessment (RA)
27	5.15	26. System and Services Acquisition (SA)
28	5.16	27. System and Communications Protection (SC)
29	5.17	28. System and Information Integrity (SI)

CalSAWS

Instructions for System Security Plan

Complete the tables for each security control and respond to the solution and how the control is implemented. All responses must satisfy applicable Consortium policies and standards. Do not modify the control language.

References to policies and procedures must contain the title and a link to the document so that it is clear which document is being referred to.

Do not modify control language or selections. State updates only in the tables provided. The following is an example of control text that should not be modified:

(a) Identify and select the following types of information system accounts to support organizational mission/business functions: [information system, emergency, emergency, and service accounts].

13. APPENDIX A – SECURITY RESPONSIBILITY MATRIX

Complete each row of Appendix A – Security Responsibility Matrix with the selections made for control responsibility in each corresponding control response in the SSP. Provide a summary list of the artifacts or deliverables used in the control response.

Example:

ISST Control	OSP	Consortium	Vendor	Reference Artifacts and Deliverables
AC-2	✓	✓	✓	CalSAWS Access Control Policy v1.1, Vendor Operations and Maintenance Manual v1.1



CalSAWS Security Scaling

Security in the Works

- Security Vulnerability Assessment and Penetration Testing
- CalSAWS CMS Certification
- CalSAWS Technical Audit



CalSAWS Security Scaling

System Vulnerability Assessment and Penetration Test

Areas of Concentration – Third Party Vendor: Siemba

Reconnaissance and
Enumeration

Network Penetration Testing

Manual Application Testing

Network Surveying and
Services Identification

Password Cracking

Root Cause Analysis and Dread
Reporting



CalSAWS Security Scaling

CalSAWS Technical Audit

For CalWIN and LRS/CalSAWS Systems

- Beginning October 2021
- Conducted Annually 2022 – 2025
- Based on National Institute of Standards (NIST) Special Publication 800-53, Center for Internet Security Controls (CIS) benchmark standard and CalSAWS security control baselines (SSPs)



CalSAWS Security Scaling

Collaboration = Results





CalSAWS Security Scaling

CMS Certification – Planned Outcomes and Artifacts

To Date

- Artifact Tracking Workbook mapped to OBC required artifacts and conditions of enhanced funding – in progress

Next Steps

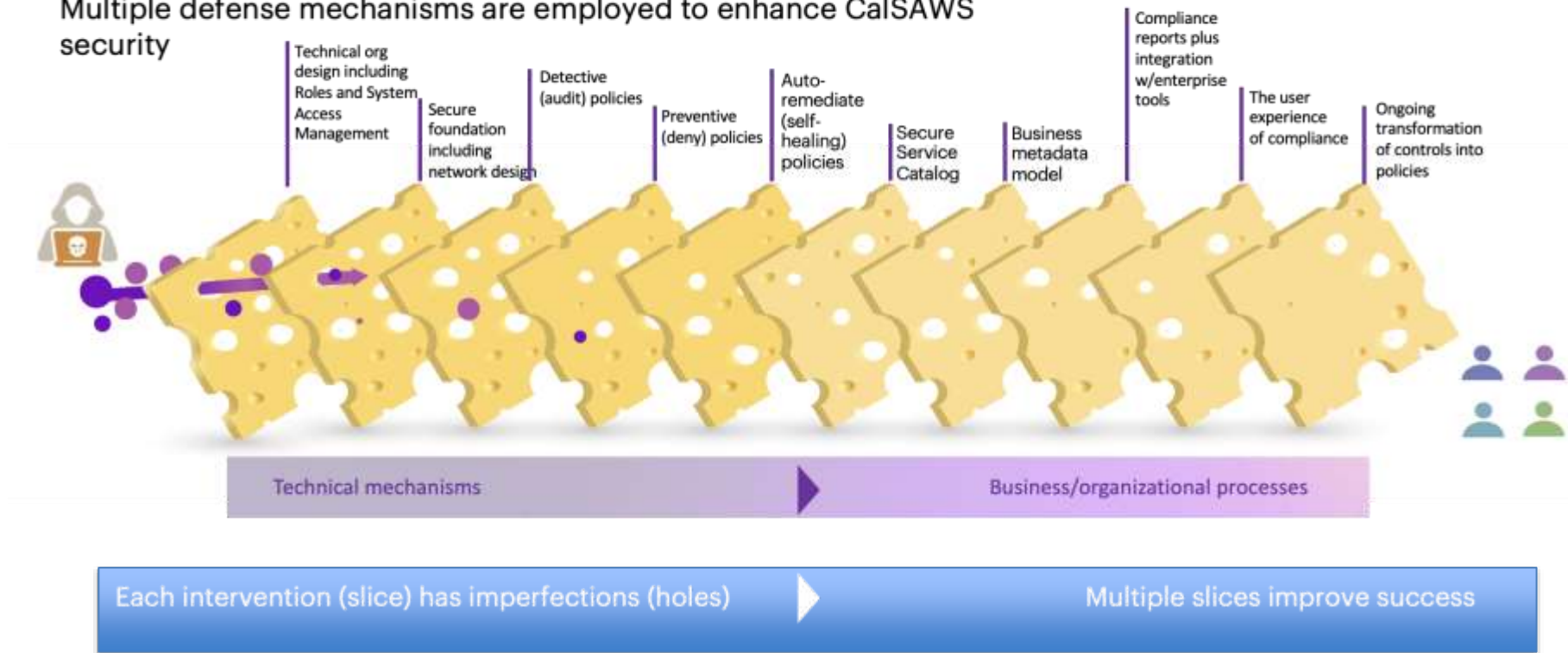
- Work with DHCS to identify specific metrics to be used
- CalSAWS to complete procurement of 3rd party technical audit firm and determine schedule for completion
- CalSAWS to refine list of artifacts

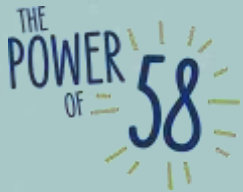
CalSAWS Security Scaling

Scaling Security to Meet the Challenges of CalSAWS

The Swiss Cheese Defense Metaphor for CalSAWS

Multiple defense mechanisms are employed to enhance CalSAWS security





Member and Public Comment



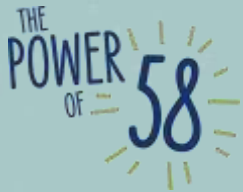




CalSAWS Cultural Transformation

Video





Member and Public Comment







User Acceptance Testing (UAT)

Video





User Acceptance Testing (UAT)

First Week of UAT Execution

CalSAWS

(including imaging and State Reports)



BenefitsCal



61 Testers and 11 SMEs
23 Counties



43 Testers and 9 SMEs
20 Counties

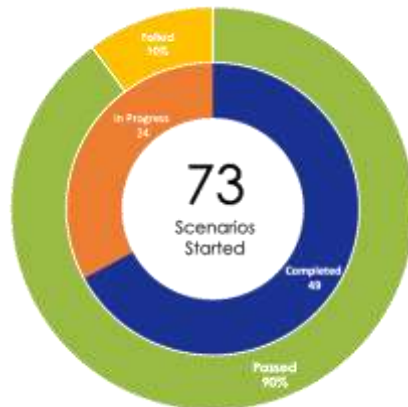


49 Scenarios Completed
24 In Progress

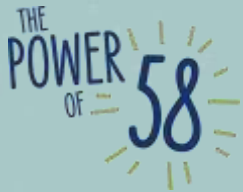
99 Scenarios Completed
39 In Progress



90% Pass Rate
of 49 Scenarios
Completed!



88% Pass Rate
of 99 Scenarios
Completed!



Member and Public Comment







CalSAWS IDEA

Video

CalSAWS IDEA

Advancing Diversity, Equity & Inclusion Through Innovation

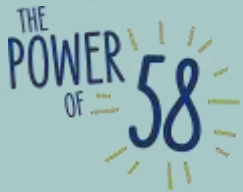
CalSAWS I.D.E.A (Inclusion,
Diversity & Equity
Advancement)

Cof Leads: Casey Morris & Chazny Nunes

0:00

9:01





Member and Public Comment



Lunch Break!

Reconvene at 1:00 p.m.

