

# CalSAWS

California Statewide Automated Welfare System

## **Design Document**

CA-219844 CIV-107958 ACL 21-76 FC, KG CNI  
Rate Increase

<b>CalSAWS</b>	<b>DOCUMENT APPROVAL HISTORY</b>	
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# 1 OVERVIEW

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This document details the changes necessary in CalSAWS and C-IV to implement the adjusted schedules of rates that reflect the California Necessities Index (CNI) increase of 2.11% for Fiscal Year (FY) 2021-22 for Foster Care (FC) and Kin-GAP (KG) programs.

In CalSAWS, ARC is a subset of the Foster Care program, so the rates for ARC are implicitly updated by updating the Foster Care rates to the new levels for the FY 2021-22.

The CNI increase is applicable to out-of-home placements and the Aid to Families with Dependent Children-Foster Care (AFDC-FC) program.

This document also reflects the CNI increase to be applied to Dual Agency and other additional rates applicable to FC programs effective July 1, 2021.

The one-time batch run details related to the CNI Rate increase for FC/KG programs can be found under the SCR's CA-219849 for CalSAWS and CIV-107959 for C-IV Systems.

## 1.1 Current Design

Currently CalSAWS and C-IV use the CNI rates of FY 2020-21 that are effective from July 1, 2020.

C-IV and CalSAWS generate Rate Change NOAs to notify FC/KG vendors when the FC/KG Monthly rate changes due to CNI or any other reason.

## 1.2 Requests

- As per the ACL 21-76, the new CNI rate increase for the FY 2021-22 will be implemented in CalSAWS and C-IV effective July 1, 2021.
- Update the logic in both C-IV and CalSAWS to generate FC/KG CNI Increase NOAs for this Batch run.
- Update the regulation reference of ACL 20-78 to ACL 21-76 for FC/KG CNI NOA.

## 1.3 Overview of Recommendations

- The Code detail tables that reflect the CNI rates will be updated for all the applicable placement types.
- C-IV - Add an entry of CNI Increase Month to the COLA Program Months CT table for Benefit Change and Supplement FC/KG CNI NOAs to be generated.
- CalSAWS – Update the hard-coded CNI increase begin and end dates to the new dates for FC/KG CNI Increase NOAs to be generated with correct month.
- Update the FC/KG CNI regulations to remove the ACL reference of 20-78 and add the ACL NO. 21-76.

## 1.4 Assumptions

- Under this SCR, Eligibility is only updating the amounts and effective dates of CNI rates in the Code Tables.
- COLA Rate in rate/code table updates are only the scope of this SCR.

- No change will be made to Rate determination logic in this SCR.
- Home Based Family Care (HBFC) Providers list referred in this SCR can be found at the end of design document.
- Foster Family Agency (FFA) facility types list referred in this SCR can be found at the end of design document.
- Existing CNI Increase change NOA will generate during the CNI increase Batch run with the CNI effective month of July 2021 for FC/KG in C-IV and KG in CalSAWS.
- CalSAWS: Existing FC CNI Increase change NOA will generate during the CNI rate increase Batch run with the effective months from July 2021 through Batch run months based on the EDBC run month.
- During the batch EDBC CNI rate increase run, the CCR Rate Change NOA will not be generated for EDBCs that have a rate change and are run for CNI Rate Increase month.
- FC/KG Monthly rates that are changed manually by worker may result into generation of FC/KG CNI increase NOA if the Rate change effective month is same as CNI rate increase effective month for the program and EDBC is run for that month. Workers may suppress the generated CNI Increase NOA in such cases and generate a manual NOA for FC/KG Rate change.
- Threshold FC/KG CNI NOAs will be added to the systems by a future SCR CA-209638 (21.11 Release)
- Under this SCR, there will be no impact to Fiscal process such as creating the issuances and then sending those issuances to the Auditor Controller in a separate COLA file.
- No CNI Rate increases will be made to the Infant Supplement.
- Batch EDBC will continue to use the run reason "DCFS Annual COLA" for the Fiscal COLA payment file (CalSAWS Only).
- Batch EDBC will have a run reason for every month of CNI Increase run (i.e. July, Aug, Sept) (CalSAWS Only).

## 2 RECOMMENDATIONS

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The CNI rates will be updated in CalSAWS and C-IV code tables for all the applicable placement types for Foster Care (FC) and Kin-GAP (KG) Programs.

### 2.1 Schedule A Basic rates for HBFC Homes (CalSAWS and C-IV)

- Schedule A Basic rates are applicable to both CalSAWS and C-IV systems.
- Schedule A rates apply to Kin-GAP cases in which dependency was dismissed and NRLG cases including probate whose guardianship was established, prior to May 1, 2011.
- For Foster Care programs these rates are paid when the child is in a Facility that receives the Home-Based Family Care (HBFC) rates and the selected Basic Rate Code in the rate detail page is Schedule A (CalSAWS Only; automatically set in C-IV).

- For Kin-GAP programs these rates are paid when the “Date of Legal Guardianship” is prior to May 1, 2011 in CalSAWS or Case Dismissal Date is prior to May 1, 2011 in C-IV.

### 2.1.1 Description of Changes

- Update HBFC Homes age-based standard state rates in CalSAWS and C-IV for Schedule A by end-dating the rate/code table records that began 07/01/2020 with an end date of 06/30/2021. Insert new rate/code table records effective 07/01/2021 with the following rates:

Age	0-4	5-8	9-11	12-14	15 and Over
<b>Basic</b>	\$616	\$670	\$717	\$790	\$867

### 2.2 Schedule A specialized Rates for HBFC Homes (CalSAWS Only)

- Schedule A CalSAWS only specialized rates.
- The D, F1 thru F4 rates for Schedule A are only applicable to CalSAWS and these rates need to be updated in CalSAWS only.

Age	0-4	5-8	9-11	12-14	15 and Over
<b>D Rate</b>	\$1,392	\$1,384	\$1,380	\$1,405	\$1,429
<b>F1 Rate</b>	\$985	\$973	\$971	\$996	\$1,017
<b>F2 Rate</b>	\$1,131	\$1,122	\$1,118	\$1,144	\$1,164
<b>F3 Rate</b>	\$1,392	\$1,384	\$1,380	\$1,405	\$1,429
<b>F4 Rate</b>	\$1,614	\$1,602	\$1,600	\$1,627	\$1,644

### 2.3 Schedule B Basic Rates for HBFC Homes (CalSAWS and C-IV)

- Schedule B Basic rates are applicable to both CalSAWS and C-IV systems.
- Schedule B rates applies to Kin-GAP cases where dependency was dismissed and NRLG cases including probate guardianship and guardianships established by the juvenile court, between May 1, 2011 and December 31, 2016.
- For Foster Care programs these rates are paid when the child is in a Facility that receives the Home Based Family Care (HBFC) rates and the selected Basic Rate Code in the rate detail page is Schedule B (CalSAWS Only; automatically set in C-IV).
- For Kin-GAP programs these rates are paid when the “Date of Legal Guardianship” is on / after May 1, 2011 and KG Summary begin date is on / before December 31, 2016 in CalSAWS or Case Dismissal Date is on / after May 1, 2011 and on / before December 31, 2016 in C-IV.

### 2.3.1 Description of Changes

- Update HBFC Homes age-based standard state rates in CalSAWS and C-IV for Schedule B by end-dating the rate/code table records that began 07/01/2020 with an end date of 06/30/2021. Insert new rate/code table records effective 07/01/2021 with the following rates:

Age	0-4	5-8	9-11	12-14	15 and Over
<b>Basic</b>	\$842	\$910	\$959	\$1,004	\$1,052

### 2.4 Schedule B specialized Rates for HBFC Homes (CalSAWS Only)

- Schedule B CalSAWS only specialized rates.
- The D, F1 thru F4 rates for Schedule B are only applicable to CalSAWS and these rates need to be updated in CalSAWS only.

Age	0-4	5-8	9-11	12-14	15 and Over
<b>D Rate</b>	\$1,618	\$1,624	\$1,622	\$1,619	\$1,614
<b>F1 Rate</b>	\$1,211	\$1,213	\$1,213	\$1,210	\$1,202
<b>F2 Rate</b>	\$1,357	\$1,362	\$1,360	\$1,358	\$1,349
<b>F3 Rate</b>	\$1,618	\$1,624	\$1,622	\$1,619	\$1,614
<b>F4 Rate</b>	\$1,840	\$1,842	\$1,842	\$1,841	\$1,829

### 2.5 Level of Care Basic Rates for HBFC Homes (CalSAWS and C-IV)

- Level of Care Basic rates are applicable to both CalSAWS and C-IV systems.
- For Foster Care programs these rates are paid when the child is in a Facility that receives the Level of Care rate and the Benefit Month is on or after January 2017.
- For Kin-GAP programs these rates are paid when the Case Dismissal Date is on or after January 1, 2017 in C-IV or Kin-GAP Summary Begin Date is on or after January 1, 2017 in CalSAWS.

#### 2.5.1 Description of Changes

- Update the HBFC Homes LOC1 (Basic Level) Rate by end-dating the rate/code table records that began 07/01/2020 with an end date of 06/30/2021. Insert new rate/code table records effective 07/01/2021 with the following LOC1 rate:



Level of Care	Basic Level Rate	LOC 2	LOC 3	LOC4
Basic	\$1,059	\$1,177	\$1,298	\$1,416

## 2.6 Dual Agency / Regional Center Rates (C-IV Only)

- In C-IV system, Birth up to 3 years is California Early Start Intervention Rate (CT 335 - MY), and 3 years and older is Lanterman Developmental Disability Rate (CT 335 - MZ).

### 2.6.1 Description of Changes

- Update Dual Agency rates in C-IV by end-dating the rate/code table records that began 07/01/2020 with an end date of 06/30/2021. Insert new rate/code table records effective 07/01/2021 with the following rates:

Age	FY 2020-21
Birth up to 3 years	\$1,241
*3 years and older	\$2,771

## 2.7 Dual Agency / Regional Center Rates (CalSAWS Only)

- In CalSAWS system, these rates are paid when the rate selected on a case is "Dual Agency RC-California Early Start Intervention (P1)" or "Dual Agency RC-Lanterman Developmental Disability (P2)".

### 2.7.1 Description of Changes

- Update "Dual Agency/Regional Center" rates in CalSAWS by end-dating the rate/code table records that began 07/01/2020 with an end date of 06/30/2021. Insert new rate/code table records effective 07/01/2021 with the following rates:

Description	Rate
Dual Agency RC-California Early Start Intervention (P1)	\$1,241
Dual Agency RC-Lanterman Developmental Disability (P2)	\$2,771

## 2.8 Foster Family Agencies (FFAs) age based Rates (CalSAWS and C-IV)

- These rates are paid on age based Foster Care programs with a facility type of “Foster Family Agency (FFA)” placements made prior to 12/01/2017.

### 2.8.1 Description of Changes

- Update “Foster Family Agencies (FFAs)” age based rates in CalSAWS and C-IV by end-dating the rate/code table records that began 07/01/2020 with an end date of 06/30/2021. Insert new rate/code table records effective 07/01/2021 with the following rates:

Age	0-4	5-8	9-11	12-14	15 & Over
Basic	\$2,347	\$2,415	\$2,464	\$2,509	\$2,557

## 2.9 Foster Family Agencies (FFAs) Level of Care Rates (CalSAWS and C-IV)

- These rates are paid on LOC Rate Foster Care programs with a facility type of FFA placements made after 12/01/2017.

### 2.9.1 Description of Changes

- Update “Foster Family Agencies (FFAs)” LOC rates in CalSAWS and C-IV by end-dating the rate/code table records that began 07/01/2020 with an end date of 06/30/2021. Insert new rate/code table records effective 07/01/2021 with the following rates:

Level of Care	Basic Level Rate	LOC2	LOC3	LOC4
Basic	\$2,375	\$2,539	\$2,706	\$2,906

## 2.10 Intensive Services Foster Care (ISFC) Rates (CalSAWS and C-IV)

- These rates are paid on Foster Care programs when an ISFC rate is selected.

### 2.10.1 Description of Changes

- Update “Intensive Services Foster Care (ISFC)” rates in CalSAWS and C-IV by end-dating the rate/code table records that began 07/01/2020 with an end date of 06/30/2021. Insert new rate/code table records effective 07/01/2021 with the following rates:

**CalSAWS Only:**

ISFC Options	Rate
ISCO - ISFC – County	\$6,445
ISFA - ISFC – FFA	\$6,445
ISFO - ISFC - Family-Only	\$2,763
ISTF - ISFC – TFC	\$6,445

**C-IV Only:**

ISFC Options	Rate
ISFC-RF	\$2,763
County or FFA ISCF	\$6,445

**2.11 Short-Term Residential Therapeutic Program (STRTP) Rate Type (CalSAWS and C-IV)**

- These rates are paid on Foster Care programs when the rate level is “Short Term Residential Therapeutic Program (STRTP)”.

**2.11.1 Description of Changes**

- Update “Short-Term Residential Therapeutic Program (STRTP)” rates in CalSAWS and C-IV by end-dating the rate/code table records that began 07/01/2020 with an end date of 06/30/2021. Insert new rate/code table records effective 07/01/2021 with the following rate:

	Rate
<b>STRTP</b>	\$14,331

**2.12 Transitional Housing Placement – Plus – Foster Care (THP+FC) (CalSAWS and C-IV)**

- These rates are paid on Foster Care programs when the placement is a facility type of “Transitional Housing Placement + FC (THP+FC)” and the corresponding rate from the list below is selected.

**2.12.1 Description of Changes**

- Update all “Transitional Housing Placement – Plus – Foster Care (THP+FC)” rates in CalSAWS and C-IV by end-dating the rate/code

table records that began 07/01/2020 with an end date of 06/30/2021. Insert new rate/code table records effective 07/01/2021 with the following rates:

	Rate
<b>THP+FC SINGLE SITE</b>	\$3,679
<b>THP+FC REMOTE SITE</b>	\$3,679
<b>THP+FC HOST SITE</b>	\$2,927

## 2.13 NOA Changes

As part of the SCRs CA-206310 CIV-100485, functionality was added to C-IV and CalSAWS to generate a FC and/or KG CNI NOA when the FC/KG EDBC is run for CNI rate increase period and there is an increase in FC/KG monthly rate amount compared to the monthly rate amount of previous Accepted and Saved EDBC.

C-IV Reason Fragment Name and ID: RSN\_FC\_KG\_COLA\_RATE\_CHANGE (Fragment ID: 1371)

CalSAWS FC Reason Fragment Name and ID: FC\_CH\_COLA\_RSN (Fragment ID: 7619, 7475)

CalSAWS KG Reason Fragment Name and ID: KG\_CH\_COLA\_RSN (Fragment ID: 7476, 7620)

### 2.13.1 Updates to generate a CNI Increase NOA

1. C-IV - Add an entry to COLA Program Months CT table with the new CNI effective month of July 2021 (CT 10545).  
C-IV Note: The CNI rate increase EDBC run can trigger either Supplement or Benefit change CNI NOAs as per the existing logic.
2. CalSAWS – Update the hard-coded CNI begin date from July 1<sup>st</sup>, 2020 to July 1<sup>st</sup>, 2021 and CNI end date from October 31<sup>st</sup>, 2020 to CNI Batch run month end date i.e. October 31<sup>st</sup> 2021.

See supporting Documents #1 and #2 for FC and KG CNI NOA language.

### 2.13.2 Regulation Updates

1. Update the regulations for FC/KG CNI reason fragments to replace ACL NO. 20-78 with ACL NO. 21-76. Following are the updated regulations.

**CalSAWS:**

W&I Code Sections 11364, 11387, 11453, 11460, 11461 ,11461(d)(2)(A), 11462, 11463, 11464, 18254, 18358.30; ACL NO. 21-76; Senate Bill (SB)



1013, Chapter 35, Statutes Of 2012; Assembly Bill (AB) 403, Chapter 773, Statutes Of 2015; AB 1997, Chapter 612, Statutes Of 2016.

**C-IV:**

KG - W&I Code Sections 11364, 11387, 11453, 11460, 11461 ,11461(d)(2)(A), 11462, 11463, 11464, 18254, 18358.30; ACL 21-76; SB 1013, Chapter 35, Statutes Of 2012; AB 403, Chapter 773, Statutes Of 2015; AB 1997, Chapter 612, Statutes Of 2016

FC - W&I Code Section 18254; W&I Code Section 18358.30; ACL 21-76; SB 1013, Chapter 35, Statutes Of 2012; AB 403, Chapter 773, Statutes Of 2015; AB 1997, Chapter 612, Statutes Of 2016

### 3 SUPPORTING DOCUMENTS

Number	Functional Area	Description	Attachment
1.	Client Correspondence	FC CNI Increase NOA Language Mockup	 FC CNI Increase NOA Language Mockup.pd
2.	Client Correspondence	KG CNI Increase NOA Language Mockup	 KG CNI Increase NOA Language Mockup.pd

### 4 REQUIREMENTS

#### 4.1 Project Requirements

REQ #	REQUIREMENT TEXT	How Requirement Met
2.16.1.2	The CalSAWS shall include an automated method for implementing mass updates triggered by policy changes or mass participant financial changes, including Social Security or Veterans benefits cost of living adjustments (COLAs).	The new CNI Rates released by the County will be updated in the system. These new rates will be used to determine the eligibility benefits.
2.16.4.1	The CalSAWS shall include the ability to process a mass update that includes eligibility and benefits with an effective	The Batch EDBC process will be run and determine eligibility using the new CNI Rates.

	date of any prior month, the current month, or future month(s).	
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## 5 MIGRATION IMPACTS

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None

## 6 OUTREACH

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### 6.1 Lists

**List Name:** List of cases with high dated Group Home record

**List Criteria:** List of active cases in C-IV and CalSAWS that have a Group Home placement and have a high dated rate detail record.

**Standard Columns:**

- Case Name
- Case Number
- County
- Unit
- Unit Name
- Office Name
- Worker

**Additional Column(s):** None

**Frequency:** One time

The list will be posted to the following locations:

System	Path
CalSAWS	CalSAWS Web Portal>System Changes>SCR and SIR Lists>2021>CA-219844
C-IV	CalSAWS Web Portal>System Changes>SCR and SIR Lists>2021>CIV-107958

## 7 APPENDIX

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**HBFC (Home-Based Family Care) Providers:**

- 1) Foster Family Home
- 2) Foster Family Home – Shelter Care
- 3) Legal Guardian
- 4) Non-Relative Extended Family Member Home
- 5) Relative Home
- 6) Specialized Foster family Home
- 7) Supervised Independent Living
- 8) Tribal Specific Home
- 9) County Shelter / Receiving Home (Non – EA/AFDC) – CalSAWS Only

- 10) Court Specified Home – CalSAWS Only
- 11) Guardian Home – CalSAWS Only
- 12) Resource family Home – CalSAWS Only
- 13) Small Family Home – CalSAWS Only
- 14) Temporary Shelter Home – CalSAWS Only

**FFA Providers:**

- 1) Foster Family Agency
- 2) Foster Family Agency (Intensive Programs)
- 3) Foster Family Agency (Nontreatment)
- 4) Foster Family Agency (Treatment)
- 5) Foster Family Agency Certified Resource Family Home (FFACRFH) (Nontreatment) -- CalSAWS Only
- 6) Foster Family Agency Certified Resource Family Home (FFACRFH) (Treatment) -- CalSAWS Only

# CalSAWS

California Statewide Automated Welfare System

## **Design Document**

CA-219849 | CIV-107959

ACL 21-76 FC, KG CNI Rate Increase for Year  
2021 - Batch EDBC



<b>CalSAWS</b>	<b>DOCUMENT APPROVAL HISTORY</b>	
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# 1 OVERVIEW

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Per ACL 21-76, the new FC/KG CNI rate increase for the FY 2021-22 will be implemented effective July 1, 2021.

## 1.1 Current Design

Currently CalSAWS and C-IV use the FC/KG CNI rates of FY 2020-21 that are effective from July 1, 2020.

## 1.2 Requests

As per the ACL 21-76, the new FC/KG CNI rate increase for the FY 2021-22 will be implemented in C-IV and CalSAWS effective July 1, 2021.

## 1.3 Overview of Recommendations

1. Run Batch EDBC for FC/KG to apply the new CNI rate increase.
2. A DCR will create journals for C-IV.
3. A DCR will suppress the Age Increase NOAs for Kin-GAP and put the FC/KG COLA NOAs on hold in CalSAWS.

## 1.4 Assumptions

1. During the batch EDBC COLA run, the CCR Rate Change NOA will not be generated for EDBCs that have a rate change and are run for COLA month.
2. Existing CNI Increase change NOA will generate during the CNI increase Batch run with the CNI effective month of July 2021 for FC/KG in C-IV and KG in CalSAWS.
3. CalSAWS: Existing FC CNI Increase change NOA will generate during the CNI rate increase Batch run with the effective months from July 2021 through Batch run months based on the EDBC run month.
4. In CalSAWS, Batch EDBC will not discontinue FC/KG Cases and will skip cases and identify user action, but in C-IV, the Batch EDBC may discontinue FC/KG Cases.
5. In CalSAWS, Batch EDBC will have a run reason of COLA run for every month from 07/2021 through the come-up month.
6. In CalSAWS, under this SCR, there will be no impact / changes to Fiscal such as creating the issuances and then sending those issuances to the Auditor Controller in a separate COLA file.
7. In CalSAWS, each eCAPS file has the limit of 16K unique cases to send it to Auditor Controller.
8. A regular change NOA will be generated for the impacted cases if a NOA exists for the benefit change reason.

## 2 RECOMMENDATIONS

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Batch EDBC will run for FC/KG to apply the new CNI rate increase.

### 2.1 Run Batch EDBC for FC/KG

#### 2.1.1 Overview

FC/KG Batch EDBC will run for the benefit month of 07/2021 to high date.

#### 2.1.2 Description of Changes

1. Batch Operations:

Run batch EDBC for active Foster Care (FC) and Kin-GAP (KG) programs starting with the month of 07/2021 through come-up month including past RE due date cases in both the systems.

- a. In CalSAWS, Batch EDBC will run with the run reason "CWS Annual COLA" and C-IV will not use any run reason
- b. Batch EDBC records will have a run type code of 'Single Program' in both the systems
- c. Batch EDBC will not be triggered on programs with a Non-standard rate in effect in the benefit month in both the systems
- d. Batch EDBC will not run if there is an overridden aid code where a sub type code exists
- e. In C-IV, Foster Care Programs will not run for the online pre-EDBC validation criteria. For these cases, a placement authority and at least one child placement must exist for the benefit month. Exclude any cases that meet the exception criteria below:
  - i. There is a Non-Related Legal Guardianship placement authority month with a Probate court established guardianship and the rate level is set to a value other than Schedule A, Schedule B, Age-Based or Basic
  - ii. There is a Non-Related Legal Guardianship placement authority with a Juvenile court established guardianship and the rate level is set to a value other than Schedule A, Schedule B, Age-Based, Basic, LOC 2, LOC 3, or LOC4
  - iii. There is an ISFC rate with no Approved or Licensed ISFC License for the duration of the rate within the placement and benefit month.
  - iv. There is a STRTP rate with no Approved or Licensed STRTP License for the duration of the rate within the placement and benefit month.

- v. There is an FFA, FFA Treatment, FFA Intensive Programs, Multidimensional Treatment, or FFA Non-Treatment placement with a 'County or FFA ISFC' Standard State Rate and no ISFC State Program Number

Note: See Section 4.1 List 6 for details on exception reporting for online pre-EDBC validation.

- f. In CalSAWS, batch EDBC will insert the below Journal entry for FC programs and C-IV will not specify any journal entry:

Short Description: Batch EDBC ran for [month, year]

Long Description: Batch EDBC Ran for <Effective Month>. Batch EDBC processed for the <Program Name> program for following reasons: Foster Care COLA

- g. In CalSAWS, batch EDBC will insert the below Journal entry for KG programs and C-IV will not specify any journal entry:

Short Description: Batch EDBC ran for [month, year]

Long Description: Batch EDBC Ran for <Effective Month>. Batch EDBC processed for the <Program Name> program for following reasons: Kin-GAP COLA

### **2.1.3 Programs Impacted**

Foster Care, Kin-GAP

### **2.1.4 Performance Impacts**

N/A

## 2.2 C-IV Only: Create DCR for Journal Entry

### 2.2.1 Overview

A DCR will insert a journal for each case processed through the one-time batch process.

### 2.2.2 Description of Change

Create a DCR to insert a journal with the following information for each case processed through the one-time batch process. There will be only one journal per case, per benefit month successfully processed.

Journal Category: Eligibility

Journal Type: Batch EDBC

Short Description: Batch EDBC ran for [MONTH/YEAR].

Long Description: Batch EDBC ran for [MONTH/YEAR]. Batch EDBC processed for the [Program Type] for the following reasons: 2021 FC CNI Increase

## 2.3 CalSAWS Only: Client Correspondence DCRs

### 2.3.1 Overview

This effort is to suppress the Age Increase NOAs for Kin-GAP Program and to put the FC/KG COLA NOAs on hold until released with the SCR CA-231819.

### 2.3.2 Description of Change

1. Create a DCR to suppress Age Increase NOAs for Kin-GAP program.
2. Run the DCR operationally to hold the FC/KG COLA NOAs (FC COLA fragment - FC\_CH\_COLA\_RSN, KG COLA fragment - KG\_CH\_COLA\_RSN). NOAs that are on hold will have the status 'Print Error' until they are released.

### 3 REQUIREMENTS

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#### 3.1 Project Requirements

REQ #	REQUIREMENT TEXT	How Requirement Met
2.16.1.2	The CalSAWS shall include an automated method for implementing mass updates triggered by policy changes or mass participant financial changes, including Social Security or Veterans benefits cost of living adjustments (COLAs).	The new CNI Rates released by the County will be updated in the system. These new COLA rates will be used to determine the eligibility benefits.
2.16.4.1	The CalSAWS shall include the ability to process a mass update that includes eligibility and benefits with an effective date of any prior month, the current month, or future month(s).	The Batch EDBC process will be run and determine eligibility using the new CNI Rates.

### 4 OUTREACH

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#### 4.1 Lists

Generate lists to aid the counties after batch EDBC completes.

All lists will have the standard list columns to display on the listings.

**Standard Columns:**

- Case Name
- Case Number
- County
- Unit
- Unit Name
- Office Name
- Worker ID

Exception List: Below are the case listing details for case exceptions (skipped, unprocessed, discontinued, etc.) in both systems with the standard columns after the batch.

1. **List Name:** List of cases with Non Standard Rate - [Program Name]  
**Note:** For [Program Name] input FosterCare or KinGAP.  
**List Criteria:** List of FC/KG programs with a Non Standard Rate.  
**Additional Column(s):** Rate column

2. **List Name:** List of [Program Name] with Overridden Aid Code  
**Note:** For [Program Name] input FosterCare.  
**List Criteria:** List of FC programs with overridden aid code where a sub type code exists.  
**Additional Column(s):** Sub Type Code
3. **List Name:** List of cases Discontinued by the Batch EDBC  
**List Criteria:** List of FC/KG programs discontinued by the batch EDBC.  
**Additional Column(s):** Program, EDBC Month, Discontinued Reason
4. **List Name:** List of [Program Name] with Read-Only  
**Note:** For [Program Name] input FosterCare or KinGAP  
**List Criteria:** List of FC/KG programs which resulted in Read-Only EDBC.  
**Additional Column(s):** Reason, EDBC Month
5. **List Name:** List of unprocessed programs skipped in the COLA batch run with skip reasons  
**List Criteria:** List of FC/KG unprocessed programs skipped in the COLA batch run with the skip reasons like Override, Manual EDBC, Read Only EDBC, No Worker Assigned etc.  
**Additional Column(s):** Program, EDBC Month, Not Processed Reason
6. **List Name:** List of FC Programs skipped with pre-EDBC validations  
**List Criteria:** List of FC programs that are excluded in batch due to pre-EDBC online validations. Please refer to item requirements 2.1.2 1e. for the list of all pre-EDBC online validation details (C-IV Only)  
**Additional Column(s):** Reason
7. **List Name:** Active ARC/AAP cases  
**List Criteria:** List of Active ARC/AAP Program Cases (C-IV Only).  
**Additional Column(s):** Program



Informational List: Below are the case listing details for informational purpose only and can be reviewed if required but batch EDBC will run on these cases as part of the COLA batch.

8. **List Name:** List of [Program Name] cases with RE Date in the past  
**Note:** For [Program Name] input FosterCare or KinGAP.  
**List Criteria:** List of FC/KG programs where the incomplete RE has a due date in the past.  
**Additional Column(s):** RE Due Date
  
9. **List Name:** List of FC programs with THPP or THP+FC  
**List Criteria:** List of FC programs with THPP or THP+FC Facilities.  
**Additional Column(s):** CF Case #

The list will be posted to the following locations:

System	Path
CalSAWS	CalSAWS Web Portal>System Changes>SCR and SIR Lists>2021>CA-219849
C-IV	CalSAWS Web Portal>System Changes>SCR and SIR Lists>2021>CIV-107959

## 5 APPENDIX

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### Batch Operations:

- a) Run FC, KG and AAP CNI Rate Increase driving query per SCRs CA-219849/CIV-107959 (FC, KG) and CA-219851 (AAP -CalSAWS Only) from Recommendation 2.1.2.1 to insert into SYS\_TRANSACT in 'Single Program' mode for all the months starting from 07/2021 to high date.
- b) Run Batch EDBC for cases with FC, KG and AAP programs (population from Recommendation 2.1.2.1 per SCRs CA-219849/CIV-107959 (FC, KG) and CA-219851 (AAP -CalSAWS Only) ) identified in (a) above.
- c) C-IV Only: Run Journal Entry DCR for cases with FC/KG programs from Recommendation 2.3 per SCRs CA-219849/CIV-107959.
- d) Run the driving query for CW/RCA programs with associated CF programs (population from recommendation 1a in SCR CA-220109/CIV-108001) to insert into SYS\_TRANSACT in 'Targeted Program' mode to run for the month of 10/2021.
- e) Run Batch EDBC for cases with CW/RCA programs with associated CF programs (population from recommendation 1a in SCR CA-220109/CIV-108001) identified in (c).
- f) C-IV Only: Run Journal Entry DCR for cases with CW/RCA programs with associated CF programs (population from recommendation 1a in SCR CA-220109/CIV-108001).
- g) Run the driving query for CF programs (no CW/RCA) (population from recommendation 2a in SCR CA-220109/CIV-108001) to insert into SYS\_TRANSACT in 'Single Program' mode to run for the month of 10/2021.
- h) Run Batch EDBC for cases with CF programs (no CW/RCA -population from recommendation 2a in SCR CA-220109/CIV-108001) identified in (f).
- i) C-IV Only: Run Journal Entry DCR for cases with CF programs (no CW/RCA - population from recommendation 2a in SCR CA-220109/CIV-108001).
- j) Run the driving query for NB programs (population from recommendation 3a in SCR CA-220109/CIV-108001) to insert into SYS\_TRANSACT in 'Single Program' mode to run for the month of 10/2021.
- k) Run Batch EDBC for cases with NB programs (population from recommendation 3a in SCR CA-220109/CIV-108001) identified in (i).
- l) C-IV Only: Run Journal Entry DCR for cases with NB programs (population from recommendation 3a in SCR CA-220109/CIV-108001).

- m) CalSAWS Only: Run the DCR to update the SAR 2 Effective month as high dated EDBC month (i.e. October 2021 – recommendation 7 in SCR CA-220109/CIV-108001).
- n) CalSAWS Only: Run Client Correspondence DCR for FC/KG from Recommendation 2.4 per SCRs CA-219849/CIV-107959.