

CalSAWS

California Statewide Automated Welfare System

Design Document

CA-219849 | CIV-107959

ACL 21-76 FC, KG CNI Rate Increase for Year
2021 - Batch EDBC

CalSAWS	DOCUMENT APPROVAL HISTORY	
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1 OVERVIEW

Per ACL 21-76, the new FC/KG CNI rate increase for the FY 2021-22 will be implemented effective July 1, 2021.

1.1 Current Design

Currently CalSAWS and C-IV use the FC/KG CNI rates of FY 2020-21 that are effective from July 1, 2020.

1.2 Requests

As per the ACL 21-76, the new FC/KG CNI rate increase for the FY 2021-22 will be implemented in C-IV and CalSAWS effective July 1, 2021.

1.3 Overview of Recommendations

1. Run Batch EDBC for FC/KG to apply the new CNI rate increase.
2. A DCR will create journals for C-IV.
3. A DCR will suppress the Age Increase NOAs for Kin-GAP and put the FC/KG COLA NOAs on hold in CalSAWS.

1.4 Assumptions

1. During the batch EDBC COLA run, the CCR Rate Change NOA will not be generated for EDBCs that have a rate change and are run for COLA month.
2. Existing CNI Increase change NOA will generate during the CNI increase Batch run with the CNI effective month of July 2021 for FC/KG in C-IV and KG in CalSAWS.
3. CalSAWS: Existing FC CNI Increase change NOA will generate during the CNI rate increase Batch run with the effective months from July 2021 through Batch run months based on the EDBC run month.
4. In CalSAWS, Batch EDBC will not discontinue FC/KG Cases and will skip cases and identify user action, but in C-IV, the Batch EDBC may discontinue FC/KG Cases.
5. In CalSAWS, Batch EDBC will have a run reason of COLA run for every month from 07/2021 through the come-up month.
6. In CalSAWS, under this SCR, there will be no impact / changes to Fiscal such as creating the issuances and then sending those issuances to the Auditor Controller in a separate COLA file.
7. In CalSAWS, each eCAPS file has the limit of 16K unique cases to send it to Auditor Controller.
8. A regular change NOA will be generated for the impacted cases if a NOA exists for the benefit change reason.

2 RECOMMENDATIONS

Batch EDBC will run for FC/KG to apply the new CNI rate increase.

2.1 Run Batch EDBC for FC/KG

2.1.1 Overview

FC/KG Batch EDBC will run for the benefit month of 07/2021 to high date.

2.1.2 Description of Changes

1. Batch Operations:

Run batch EDBC for active Foster Care (FC) and Kin-GAP (KG) programs starting with the month of 07/2021 through come-up month including past RE due date cases in both the systems.

- a. In CalSAWS, Batch EDBC will run with the run reason "CWS Annual COLA" and C-IV will not use any run reason
- b. Batch EDBC records will have a run type code of 'Single Program' in both the systems
- c. Batch EDBC will not be triggered on programs with a Non-standard rate in effect in the benefit month in both the systems
- d. Batch EDBC will not run if there is an overridden aid code where a sub type code exists
- e. In C-IV, Foster Care Programs will not run for the online pre-EDBC validation criteria. For these cases, a placement authority and at least one child placement must exist for the benefit month. Exclude any cases that meet the exception criteria below:
 - i. There is a Non-Related Legal Guardianship placement authority month with a Probate court established guardianship and the rate level is set to a value other than Schedule A, Schedule B, Age-Based or Basic
 - ii. There is a Non-Related Legal Guardianship placement authority with a Juvenile court established guardianship and the rate level is set to a value other than Schedule A, Schedule B, Age-Based, Basic, LOC 2, LOC 3, or LOC4
 - iii. There is an ISFC rate with no Approved or Licensed ISFC License for the duration of the rate within the placement and benefit month.
 - iv. There is a STRTP rate with no Approved or Licensed STRTP License for the duration of the rate within the placement and benefit month.

- v. There is an FFA, FFA Treatment, FFA Intensive Programs, Multidimensional Treatment, or FFA Non-Treatment placement with a 'County or FFA ISFC' Standard State Rate and no ISFC State Program Number

Note: See Section 4.1 List 6 for details on exception reporting for online pre-EDBC validation.

- f. In CalSAWS, batch EDBC will insert the below Journal entry for FC programs and C-IV will not specify any journal entry:

Short Description: Batch EDBC ran for [month, year]

Long Description: Batch EDBC Ran for <Effective Month>. Batch EDBC processed for the <Program Name> program for following reasons: Foster Care COLA

- g. In CalSAWS, batch EDBC will insert the below Journal entry for KG programs and C-IV will not specify any journal entry:

Short Description: Batch EDBC ran for [month, year]

Long Description: Batch EDBC Ran for <Effective Month>. Batch EDBC processed for the <Program Name> program for following reasons: Kin-GAP COLA

2.1.3 Programs Impacted

Foster Care, Kin-GAP

2.1.4 Performance Impacts

N/A

2.2 C-IV Only: Create DCR for Journal Entry

2.2.1 Overview

A DCR will insert a journal for each case processed through the one-time batch process.

2.2.2 Description of Change

Create a DCR to insert a journal with the following information for each case processed through the one-time batch process. There will be only one journal per case, per benefit month successfully processed.

Journal Category: Eligibility

Journal Type: Batch EDBC

Short Description: Batch EDBC ran for [MONTH/YEAR].

Long Description: Batch EDBC ran for [MONTH/YEAR]. Batch EDBC processed for the [Program Type] for the following reasons: 2021 FC CNI Increase

2.3 CalSAWS Only: Client Correspondence DCRs

2.3.1 Overview

This effort is to suppress the Age Increase NOAs for Kin-GAP Program and to put the FC/KG COLA NOAs on hold until released with the SCR CA-231819.

2.3.2 Description of Change

1. Create a DCR to suppress Age Increase NOAs for Kin-GAP program.
2. Run the DCR operationally to hold the FC/KG COLA NOAs (FC COLA fragment - FC_CH_COLA_RSN, KG COLA fragment - KG_CH_COLA_RSN). NOAs that are on hold will have the status 'Print Error' until they are released.

3 REQUIREMENTS

3.1 Project Requirements

REQ #	REQUIREMENT TEXT	How Requirement Met
2.16.1.2	The CalSAWS shall include an automated method for implementing mass updates triggered by policy changes or mass participant financial changes, including Social Security or Veterans benefits cost of living adjustments (COLAs).	The new CNI Rates released by the County will be updated in the system. These new COLA rates will be used to determine the eligibility benefits.
2.16.4.1	The CalSAWS shall include the ability to process a mass update that includes eligibility and benefits with an effective date of any prior month, the current month, or future month(s).	The Batch EDBC process will be run and determine eligibility using the new CNI Rates.

4 OUTREACH

4.1 Lists

Generate lists to aid the counties after batch EDBC completes.

All lists will have the standard list columns to display on the listings.

Standard Columns:

- Case Name
- Case Number
- County
- Unit
- Unit Name
- Office Name
- Worker ID

Exception List: Below are the case listing details for case exceptions (skipped, unprocessed, discontinued, etc.) in both systems with the standard columns after the batch.

1. **List Name:** List of cases with Non Standard Rate - [Program Name]
Note: For [Program Name] input FosterCare or KinGAP.
List Criteria: List of FC/KG programs with a Non Standard Rate.
Additional Column(s): Rate column

2. **List Name:** List of [Program Name] with Overridden Aid Code
Note: For [Program Name] input FosterCare.
List Criteria: List of FC programs with overridden aid code where a sub type code exists.
Additional Column(s): Sub Type Code
3. **List Name:** List of cases Discontinued by the Batch EDBC
List Criteria: List of FC/KG programs discontinued by the batch EDBC.
Additional Column(s): Program, EDBC Month, Discontinued Reason
4. **List Name:** List of [Program Name] with Read-Only
Note: For [Program Name] input FosterCare or KinGAP
List Criteria: List of FC/KG programs which resulted in Read-Only EDBC.
Additional Column(s): Reason, EDBC Month
5. **List Name:** List of unprocessed programs skipped in the COLA batch run with skip reasons
List Criteria: List of FC/KG unprocessed programs skipped in the COLA batch run with the skip reasons like Override, Manual EDBC, Read Only EDBC, No Worker Assigned etc.
Additional Column(s): Program, EDBC Month, Not Processed Reason
6. **List Name:** List of FC Programs skipped with pre-EDBC validations
List Criteria: List of FC programs that are excluded in batch due to pre-EDBC online validations. Please refer to item requirements 2.1.2 1e. for the list of all pre-EDBC online validation details (C-IV Only)
Additional Column(s): Reason
7. **List Name:** Active ARC/AAP cases
List Criteria: List of Active ARC/AAP Program Cases (C-IV Only).
Additional Column(s): Program

Informational List: Below are the case listing details for informational purpose only and can be reviewed if required but batch EDBC will run on these cases as part of the COLA batch.

8. **List Name:** List of [Program Name] cases with RE Date in the past
Note: For [Program Name] input FosterCare or KinGAP.
List Criteria: List of FC/KG programs where the incomplete RE has a due date in the past.
Additional Column(s): RE Due Date
9. **List Name:** List of FC programs with THPP or THP+FC
List Criteria: List of FC programs with THPP or THP+FC Facilities.
Additional Column(s): CF Case #

10. List Name: List of Cases with at least one overridden EDBC for COLA Months

List Criteria:

- i. There is at least one overridden EDBC effective for the current or the Prior Benefit month
- ii. Batch EDBC is run for the COLA month(s) (07/2021 to 10/2021)
- iii. Program is Foster Care, ARC (CalSAWS only) or Kin-GAP

Additional Columns: Program

Note: The case information will display only once on the list even if more than one month may be impacted.

The list will be posted to the following locations:

System	Path
CalSAWS	CalSAWS Web Portal>System Changes>SCR and SIR Lists>2021>CA-219849
C-IV	CalSAWS Web Portal>System Changes>SCR and SIR Lists>2021>CIV-107959

5 APPENDIX

Batch Operations:

- a) Run FC, KG and AAP CNI Rate Increase driving query per SCRs CA-219849/CIV-107959 (FC, KG) and CA-219851 (AAP -CalSAWS Only) from Recommendation 2.1.2.1 to insert into SYS_TRANSACT in 'Single Program' mode for all the months starting from 07/2021 to high date.
- b) Run Batch EDBC for cases with FC, KG and AAP programs (population from Recommendation 2.1.2.1 per SCRs CA-219849/CIV-107959 (FC, KG) and CA-219851 (AAP -CalSAWS Only)) identified in (a) above.
- c) C-IV Only: Run Journal Entry DCR for cases with FC/KG programs from Recommendation 2.3 per SCRs CA-219849/CIV-107959.
- d) Run the driving query for CW/RCA programs with associated CF programs (population from recommendation 1a in SCR CA-220109/CIV-108001) to insert into SYS_TRANSACT in 'Targeted Program' mode to run for the month of 10/2021.
- e) Run Batch EDBC for cases with CW/RCA programs with associated CF programs (population from recommendation 1a in SCR CA-220109/CIV-108001) identified in (c).
- f) C-IV Only: Run Journal Entry DCR for cases with CW/RCA programs with associated CF programs (population from recommendation 1a in SCR CA-220109/CIV-108001).
- g) Run the driving query for CF programs (no CW/RCA) (population from recommendation 2a in SCR CA-220109/CIV-108001) to insert into SYS_TRANSACT in 'Single Program' mode to run for the month of 10/2021.
- h) Run Batch EDBC for cases with CF programs (no CW/RCA -population from recommendation 2a in SCR CA-220109/CIV-108001) identified in (f).
- i) C-IV Only: Run Journal Entry DCR for cases with CF programs (no CW/RCA - population from recommendation 2a in SCR CA-220109/CIV-108001).
- j) Run the driving query for NB programs (population from recommendation 3a in SCR CA-220109/CIV-108001) to insert into SYS_TRANSACT in 'Single Program' mode to run for the month of 10/2021.
- k) Run Batch EDBC for cases with NB programs (population from recommendation 3a in SCR CA-220109/CIV-108001) identified in (i).
- l) C-IV Only: Run Journal Entry DCR for cases with NB programs (population from recommendation 3a in SCR CA-220109/CIV-108001).

- m) CalSAWS Only: Run the DCR to update the SAR 2 Effective month as high dated EDBC month (i.e. October 2021 – recommendation 7 in SCR CA-220109/CIV-108001).
- n) CalSAWS Only: Run Client Correspondence DCR for FC/KG from Recommendation 2.4 per SCRs CA-219849/CIV-107959.