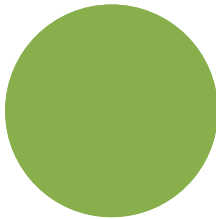


CalSAWS | Vendor Breach and Security Incident  
Notification Process



# CalSAWS PSA Contractor Amendment, Exhibit 3

- ① Background
- ② Vendor Responsibilities
- ③ CalSAWS Responsibilities
- ④ Vendor Breach and Security Violation Notification Process
- ⑤ Notification Distribution List

# BACKGROUND

Notification and Investigation of Breaches and Security Incident procedures must be followed by CalSAWS vendors.

The following slides represent the process as required by flow-down regulations.

# VENDOR RESPONSIBILITIES

## Safeguarding And Reporting Responsibilities For PII And Security Violations

This notification process supports agreements between the Department of Health Care Services (DHCS) and the California Department of Social Services (CDSS) and the California Statewide Automated Welfare System Joint Powers Authority (CalSAWS Consortium).

When a vendor has breached the CalSAWS system, the vendor must follow the processes outlined in the CalSAWS User Security and Acceptable Use Policy.

# CalSAWS RESPONSIBILITIES

## Oversight And Reporting Responsibilities

### Vendor Reported Breach

- CalSAWS is required to notify CDSS and/or DHCS when there is a reported breach or security incident.

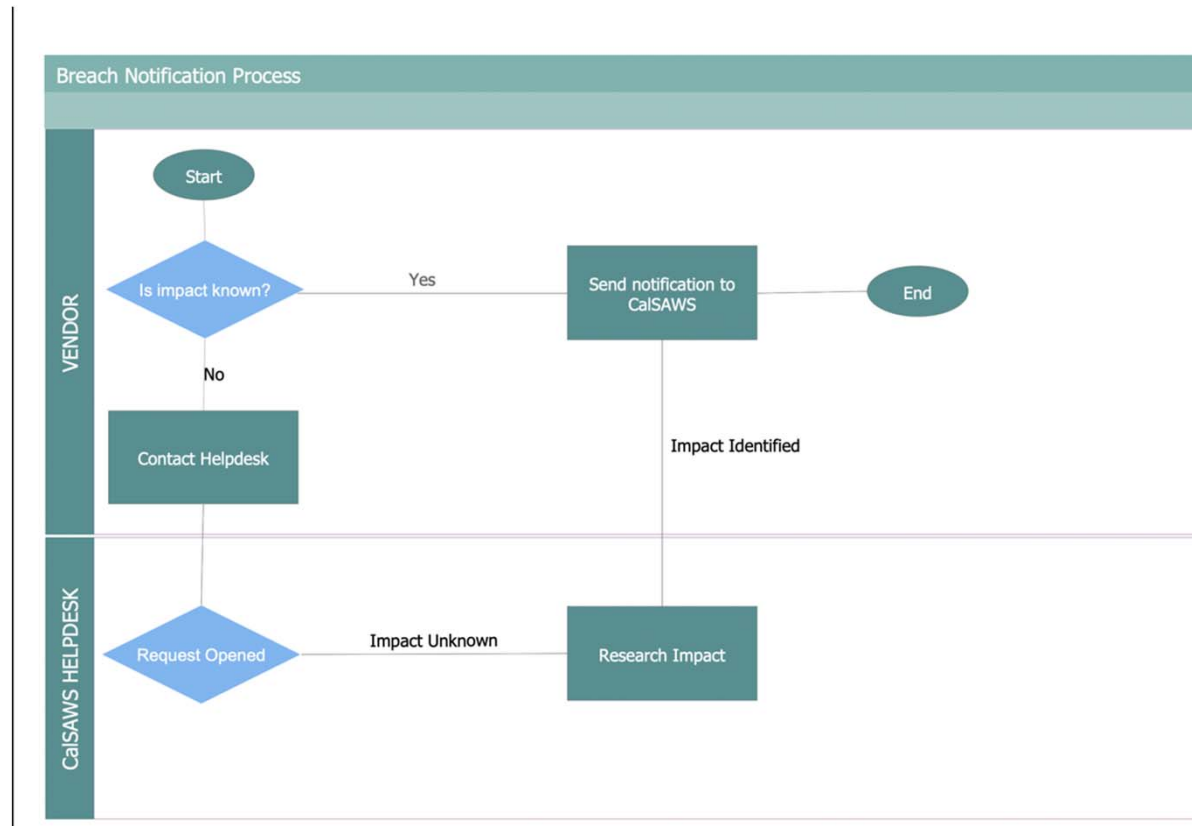
### Infrastructure and Technology to Support Notification

- CalSAWS Information and Privacy Security Office will send notification to CDSS and DHCS via the established CalSAWS distribution list.

# PROCESS FOR BREACH OR SECURITY INCIDENT

## Notification From Vendor To CalSAWS Consortium Security

- Notification process is initiated by Vendor.
- Notifications will be sent from Vendor CalSAWS domain account.



- If impact is not known, a research request can be initiated with the CalSAWS Help Desk
- Vendor will send notification to CalSAWS or request assistance

# APPENDIX A

## Notification Distribution List

Security Teams	Distribution List
CalSAWS Security Team	<a href="mailto:IncidentNotification.CalSAWS@CalSAWS.org">IncidentNotification.CalSAWS@CalSAWS.org</a>
Accenture Security Team	<a href="mailto:IncidentNotification.Accenture@CalSAWS.org">IncidentNotification.Accenture@CalSAWS.org</a>
Cambria Security Team	<a href="mailto:IncidentNotification.Cambria@CalSAWS.org">IncidentNotification.Cambria@CalSAWS.org</a>
DXC Security Team	<a href="mailto:IncidentNotification.DXC@CalSAWS.org">IncidentNotification.DXC@CalSAWS.org</a>
ClearBest Security Team	<a href="mailto:IncidentNotification.ClearBest@CalSAWS.org">IncidentNotification.ClearBest@CalSAWS.org</a>