Readiness Packet



Development / System Test

Deploy Release 22.09

September 26, 2022

Project

	Deploy Release 22.09 Test Execution Results*								
Test Execution Results	Total Scripts	Not Executed	In Progress	Pass	Fail	Blocked	Completed	Remaining	Progress
9/1/2022	791	.13% (1)	1.14% (9)	84.2% (666)	12.9% (102)	1.64% (13)	781	10	98.74%

^{*} Test Script counts are subject to change as test scripts are added or removed throughout the execution phase

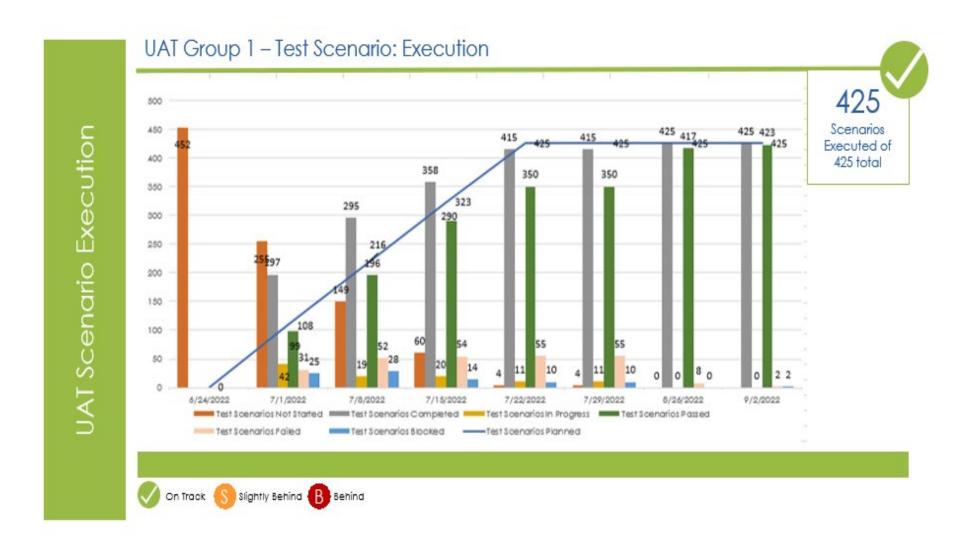
User Acceptance Test

CalSAWS UAT Plan Approved	Completed	Project
Select and Confirm CalSAWS UAT Participants for UAT	Completed	Both
UAT Users Loaded to ForgeRock/UAT Environments & Applications	Completed	Project
Complete UAT Test Script Development	Completed	Project
Complete UAT Environment setup	Completed	Project
Complete UAT Application Training	May 2 – June 21, 2022 – Group 1 June 13 – July 21, 2022– Group 2	County
Conduct UAT Execution Kick-Off	June 21 and 22, 2022 – Group 1 July 20 and 21, 2022– Group 2	Both
Complete UAT Test Execution	June 27- July 22, 2022 – Group 1 July 25 – August 19, 2022– Group 2	Both
Complete UAT Retest	August 22 – Sept 2,2022	Both
Evaluate and confirm Exit criteria	Metric/Completion to Plan September 8, 2022	Project

Not Started	On Schedule	<14 Days Late	>=14 Days Late	Complete
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Group 1 UAT Execution Status

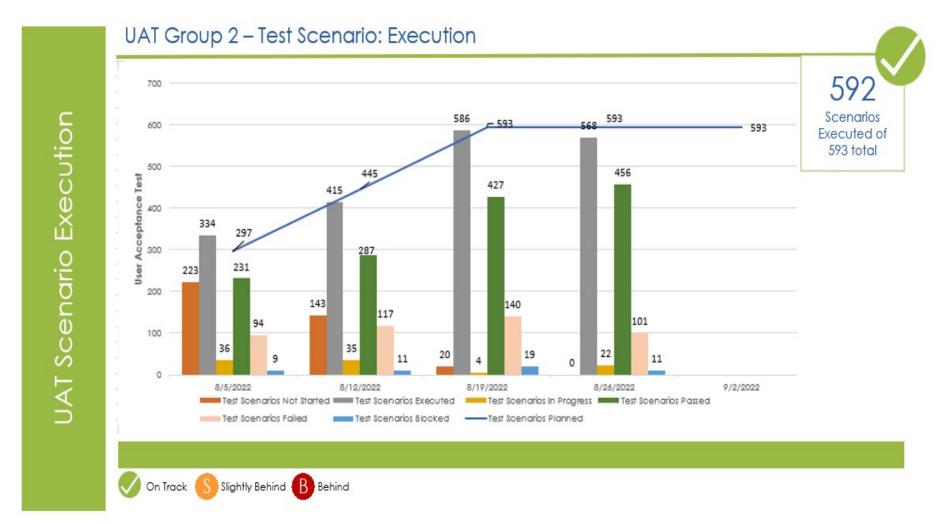
- As of Aug 26, 2022
 - 100% Scripts Executed
 - 99% Scripts Passed
- Program/Functional Areas:
 - CAPI, Lobby Management
 - CW/CF, WTW, Child Care
- Remaining defects associated to defect fix in regular release 22.09 or future



CalSAWS UAT Execution (Group 1)	Total Test Scripts	Not Started	In Progress	Passed	Failed	Blocked
CalSAWS CalWIN UAT	425	0% (0)	0% (0)	99% (423)	2% (2)	0% (0)

Group 2 UAT Execution Status

- As of Aug 26, 2022
 - 99% Scripts Executed
 - 933% Scripts Passed
- Program/Functional Areas:
 - GAGR, MC/CMSP
 - CWS FC, AAP, KG, RDB
 - Fiscal BI, Fiscal OP/OI
 - Special Units: Hearings, SIU, IEVS, QA, Error Prone
 - State Reports
 - Participants continue close remaining open findings through September 2,2022 and the QA and Consortium UAT will finalize any retest of any remaining defects through September 9, 2022.



CalSAWS UAT Execution (Group 2)	Total Test Scripts	Not Started	In Progress	Passed	Failed	Blocked
CalSAWS CalWIN UAT	593	0% (0)	1% (6)	93% (554)	5% (30)	1% (3)



Functionality

Severity 1 & 2 Defects Resolved from UAT	UAT Complete	No Sev 1 or 2 defects reported	Project
Performance Testing			
Hyland Platform Performance Test	Not Started	Metric/Completion to Plan	Project
Images Migration			
County Export of Legacy Images	In Progress		County
Hyland Receive and Upload Images to Production Environment	In Progress		Project
Distribute CIT for Counties to install Webscan Kit and Virtual Printer	Completed	CIT #0110-22 distributed on April 19, 2022	Project

Imaging UAT

7/11/22-7/22/22 (retest 8/8/22-8/12/22, 8/29/22) In Progress 61 of 61 scripts completed (60 passed, 1 failed retest week of 8/29/2022)



Contact Center

Technical Connectivity	50%	
Update IVR Contact Flows for each County	3 of 3 approved	Both
Approval of County Contact Center SCRs*	0 of 3	County
Regression Test of entire Contact Center solution	0%	Project
Model Office Testing – tentative start 2/6/2023	0%	Both

*Contact Center SCRs:

- Tulare CA-240158
- Santa Clara CA-240159
- Contra Costa CA-240155



Application Readiness: Central Print

Kickoff

Kickoff Meeting Completed	Complete	1 Completed of 1 Planned	Both
Configuration/Validation			
Configuration Meetings Complete	Complete	3 Completed of 3 Planned	Both
Validation Meetings Complete	In Progress	1 Completed of 3 Planned	Both
Configuration Load	Not Started	0 Completed of 3 Planned	Project
Setup and Training			
Portal Users Loaded	Not Started	0 Completed of 3 Planned	Project
Inventory Confirmed	Not Started	0 Completed of 1 Planned	Project
Training Materials Completed	Complete	1 Completed of 1 Planned	Project
Training Delivery	Not Started	0 Completed of 2 Planned	Both

Not Started On Schedule <14 Days Late >=14 Days Late Complete



Conversion Readiness

Not Started	On Schedule	<14 Days Late	>=14 Days Late	Complete
Begin Execution of Ber Communications Plan	nefitsCal	Not Started	Execution will begin late January.	Both
Confirm Communications Plan for BenefitsCal		Not Started	Communication plan will be initially drafted by 9/30.	Project
Communications				
Deliver training for CBC Organizations	Os - Community Based	Not Started	Target - 01/24/2023 & 01/26/2023	Project
Deliver training for Cor	nsortium Train the Trainer	Not Started	Target - 01/17/2023 & 01/19/2023	Project
Deliver training for pub	lic facing support	Not Started	NA	Project
Training Delivery				
Validate CBO user loa Run 2	d into ForgeRock – Mock	Not Started	Target 12/08/2022	Project
Converted Data Test				
Obtain CBO conversio counties (Gainwell) - P		Not Started	Target 1/24/2023	Project
Obtain CBO conversion file from CalWIN counties (Gainwell) - Mock Run 2		Not Started	Target 11/02	
Provide extract of the CBO to the counties		Not Started	Target 11/03	Project
Provide sample CBO U ForgeRock Team for W	Iser information extract to ave 2 Mock Run	Not Started	Target October 2022	Project
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BenefitsCal Implementation Readiness



System Operations

Confirm Command Center schedule and communication schedule	Not Started	02/13/2023 - 02/17/2023	Project
Contingency Planning	Not Started	02/13/2023 - 02/17/2023	Project
Prod Deployment Plans			
Develop Production Cutover Checklist (Target → MM/DD/YY)	Not Started	12/19/2022 - 01/27/2023	Project
Confirm Consortium reviewed/approved the BenefitsCal cutover and BenefitsCal rollback plans	Not Started	Target – 02/10/2023	Project
Decide to proceed with BenefitsCal Production Cutover (Go-No Go Decision) (Target → MM/DD/YY)	Not Started	02/13/2023 - 02/17/2023	Project
Confirm Wave Cutover to BenefitsCal (Target → MM/DD/YY)	Not Started	02/13/2023 - 02/17/2023	Project

Not Started	On Schedule	<14 Days Late	>=14 Days Late	Complete
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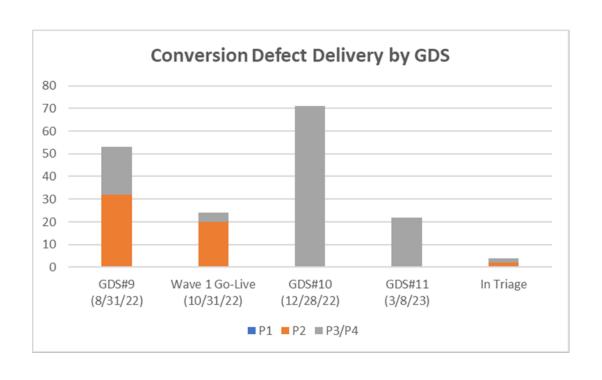
Conversion Readiness - Wave 2

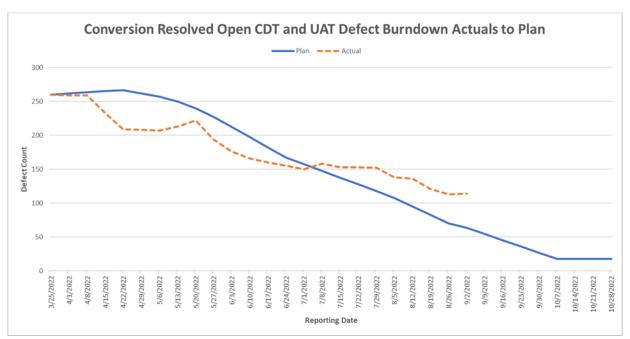
Unresolved High Priority Conversion defects not resolved prior to Wave Go-Live	As of 9/2/22 there are 114 unresolved Defects.	Project
Deliver Wave 2 Golden Data Sets (GDS)	Completed GDS#9 (Wave 2) On-Schedule. GDS is now in the process of being Masked for UAT2	Project
Auto Case Review Match Rate	9% of Converted Active Programs will Need Worker Review (metric are from Wave 1) Wave 2 Analysis is In Progress, and metrics will become available after October 2022.	Project
Complete Wave 2 Mock Cutovers (Risk 258)	 Mock Cutover – On-Schedule to begin End of November. Mock is dependent on the Cutover Plan targeted to be drafted in September 2022 Business Impact Mitigation Strategies for the W2- 6 Cutover Window and Communications with Stakeholders are In-Progress 	Project
Deliver Wave 2 Golden Data Set (GDS) #9 for County Data Validation (CDV)	Wave 2 GDS#9 Remains On-Schedule for early September 2022 (for 10/3/22 W2 CDV Start). As noted, GDS is now in the process of being Masked for UAT2	Project
Support Wave 2 County Data Validation - Defect Resolution	On-Schedule as planned 10/3/22 – 10/14/22	Project
Complete Wave 2A (County Preparation) Cutover	On-Schedule as planned January 16, 2023	Project
Complete Wave 2B (Go-Live) Cutover	On-Schedule as planned February 27, 2023	Project

Not Started On Schedule <14 Days Late >=14 Day	Late Complete
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Conversion Readiness Defect Burndown





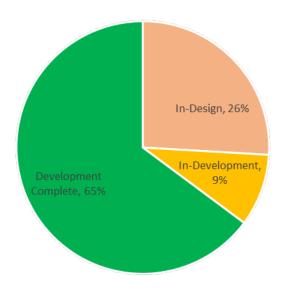
Open and Resolved CDT, CDV, and UAT Defects

CDT, CDV, and UAT Actuals as of 9/2/2022 EOD

Open Defects by Phase	P1	P2	P3/P4	Total
CDT	0	45	98	143
CDV	0	4	20	24
UAT	0	5	2	7
Total Open Defects	0	54	120	174

Defect Delivery by GDS	P1	P2	P3/P4	Total
GDS#9	0	32	21	53
Wave 1 Go-Live	0	20	4	24
GDS#10	0	0	71	71
GD\$#11	0	0	22	22
In Triage	0	2	2	4
Total Open Defects	0	54	120	174
Resolved	0	-35	-25	-60
Conversion Open Total	0	19	95	114

Open P2 CDT, CDV, and UAT Defects



Note: Teams focus will remain on the resolution of P2 Defects prior to or at W1 Go-Live. Approx. 75% are already Development Complete



Interface Partner Testing

Complete Interface Development – County

In Progress

County

Interface Names		Contra Costa	Ready for IPT?	Tulare	Ready for IPT?	Santa Clara	Ready for IPT?
1099 File Writer job		YES	YES	YES	YES	YES	YES
Paid Warrant Reader	job	YES	YES	YES	YES	YES	YES
Outbound Collection	ıs	YES	YES	NO	N/A	NO	N/A
Inbound Collections		YES	YES	NO	N/A	NO	N/A
Warrant Print Writer		YES	YES	YES	YES	YES	YES
Warrant Print Reader		NO	N/A	NO	N/A	NO	N/A
Auditor Controller Wi	riter	YES	YES	YES	YES	YES	YES
Positive Pay file		YES	YES	YES	YES	NO	N/A
Direct Deposit Writer		YES	YES	YES	YES	YES	YES
Direct Deposit Reade	er	YES	YES	YES	YES	YES	YES
School Lunch		YES	YES	YES	YES	YES	YES
Not Started	On Sche	edule	<14 Days	Late	>=14 Da	ys Late	Col



Interface Partner Testing – 10/17/2022 to 12/30/2022

Execute Interface Testing with CalWIN County Partners	Not Started	0%	Project
Execute Interface Testing with State and Consortium Partners	Not Started	0%	Project

CONSORTIUM PARTNERS INTERFACE TESTING					
CONSORTIUM PARTNER STATUS TARGET COMPLETION DA					
Electronic Inter County Transfer (EICT) - CalWIN	0/3	December 30, 2022			

STATE PARTNER INTERFACE TESTING					
STATE PARTNER	STATUS	TARGET COMPLETION DATE			
Case Management Information and Payrolling System (CMIPS) - OSI	0/2	December 30, 2022			
Electronic Benefits Transfer (EBT) - FIS	0/2	December 30, 2022			
Medi-Cal Eligibility Data System (MEDS) - DHCS	0/2	December 30, 2022			
Welfare Data Tracking Implementation Project (WDTIP) - OSI	0/2	December 30, 2022			

CalWIN WAVE 2 COUNTIES INTERFACE TESTING					
CalWIN Wave 2 COUNTY PARTNER	STATUS	TARGET COMPLETION DATE			
Contra Costa County	0/7	December 30, 2022			
Santa Clara County	0/10	December 30, 2022			
Tulare County	0/8	December 30, 2022			

Not Started	On Schedule	<14 Days Late	>=14 Days Late	Complete
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Technical Readiness: Networking

Networking

County Networking Connectivity Connectivity: 40 of 64 Actual, 6 behind target (46 of 64)

Both

CalWIN WAVE 2 COUNTIES						
NETWORKING READINESS	STATUS	ACTUAL	PLANNED	Δ		
Overall Network Interconnectivity	On Track	85.0%	65.3%	19.7%		
Critical Path	On Track	89.6%	68.9%	20.7%		

NETWORKING READINESS	STATUS	ACTUAL	PLANNED	Δ
04 Santa Clara	Behind			
	Schedule	36.8%	43.9%	-7.0%
05 Tulare	On Track			
		88.7%	60.4%	28.3%
01 Contra Costa	On Track			
		90.0%	90.0%	0.0%

Milestone	Status
Wave 2 County Networking Connectivity Readiness	 Risks for Santa Clara County continue to be monitored AT&T circuit deployed and activated Comcast statement of work pending from carrier for construction dependencies Level 3 circuit order in progress (Contingency circuit in case of further delays or blockers with AT&T or Comcast) Network changes required for connectivity dependent on County Changes – ETC – Sept 19, 2022 Completed IPT pre-readiness for Tulare County Completed IPT pre-readiness for Contra Costa County



Contingency Restoration Planning

Migration Rollback Planning Completed	In Progress	95%	Project		
Disaster Recovery Exercise					
Disaster Recovery Test Completed	In Progress	50%	Project		
Batch Operations					
CalWIN Batch Schedules	In Progress	95%	Project		

Disaster Recovery exercise planned for October 2, 2022



Performance Testing – Wave 2

CalSAWS Batch Performance (45 County)

Not Started

0%

Project

CalWIN Wave 2 Batch Performance Schedule and Results

Performance Cycle	Start Date	End Date	Run Time
End of Month	October 3, 2022	October 14, 2022	TBD
First day of the Month	October 17, 2022	October 28, 2022	TBD
Main Payroll	October 31, 2022	November 11, 2022	TBD
High volume forms process	November 14, 2022	December 2, 2022	TBD
Interface Outbound files	November 14, 2022	December 2, 2022	TBD
10-day cutoff	December 5, 2022	December 15, 2022	TBD
Contingency for re-runs	December 19, 2022	December 30, 2022	TBD

Technical Readiness: Performance Testing

CalSAWS 45 County Online Performance Test – Schedule

Workload Mix (WL)	Start Date	End Date	Status
#1 - CalSAWS Online	17 th Oct 2022	18 th Nov 2022	Not Started
#2 - CalSAWS Online + APIs	28 th Nov 2022	10th Feb 2023	Not Started
#3 - CalSAWS/CalHEERS Integration test	12 th Dec 2022	17 th Jan 2023	Not Started

CalSAWS 45 County Online Performance Test

Category	SLA	SLA Met % & Avg. Server Response Time
	Peak - 98% [<=2s]	
Screen to Screen	Prime - 99.9% [<= 10s]	
	Peak - 95% [<= 3s]	
EDBC	Prime - 99.9% [<= 20s]	
Search	Peak - 95% [<=6s]	

Online Performance Test Findings –

Exit Criteria -

- Simulate Online transaction load of CalSAWS volume at peak hours meets SLA requirements
- Performance results are successful and meet contractual SLAs Online (Load and Endurance tests)



Application Security

CalSAWS Scans/Remediation Completed	Completed	100%
Penetration Testing		
Signed with 3 rd party to conduct Testing	Completed	100%
Penetration Testing Preparation	Completed	100%
Penetration Testing Execution & Agreed-upon remediations (Completed for the CMS Verification in April 2022)	Completed	100%



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Training Plan

naming rian							
•	v-Specific Training Plan mitted for County revie		In Progress		1 of 3 Approved 2 of 3 DDELs in re		Both
Training Environment	/Build Training Data		Complete		100%		Project
Create Training Acco	ounts in Training		Complete		100%		Project
Training Materia	ls						
	cific ILT training materic ed – walkthroughs beg		In Progress		75%		Both
Web-based Trainings (Accenture/Consorti			Complete Update are ongoin	ing	100%		Project
Training Delivery	•						
Wave 2 Early Training assigned curriculum	g & TTT Trainees loaded in the CalSAWS LMS	l and	Not Started		0%		Project
Wave 2 staff course of for Early Training & TT	assignments / registrati T	on	Not Started		0%		Project
Wave 2 Early Training Read (Training environments Enrolled)	•	aining	Not Started		0%		Project
Wave 2 Early Training start 9/26/22 (WBT)	g & TTT Started (WBT & I	LT) –	Not Started		0%		Both
Wave 2 End User Trai	ning (EUT) - start 01/03,	/2023	Not Started		# Completed of Planned	f #	Both
Not Started	On Schedule	<1	4 Days Late		>=14 Days Late	С	omplete

Communications & Change Discussion Guides

OCM Plan approved and finalized	Complete	1 Completed of 1 Planned	Project
Communications Plan approved and finalized	Complete	1 Completed of 1 Planned	Project
Tulare CDG county and Consortium comments to get to FDEL signoff by 8/26/22	Complete	1 Completed of 1 Planned	Both
Santa Clara county and Consortium comments addressed to get to county FWP signoff	Complete	1 Completed of 1 Planned	Both
Santa Clara FWP signoff by 9/9/22	On Schedule	0 Completed of 1 Planned	County
Contra Costa county and Consortium comments addressed to get to county FWP signoff	On Schedule	0 Completed of 1 Planned	Both
Working sessions the week of 8/29 with Contra Costa to review comment resolution	Complete	4 Completed of 4 Planned	Both
Contra Costa FWP signoff by 9/9/22	On Schedule	0 Completed of 1 Planned	County
Training for Supervisors, Managers, and CNCs on CDG discussion	Not Started	0 Completed of 3 Planned	Both

Readiness Surveys

Wave 2 Readiness Surveys T-12	Complete	3 Completed of 3 Planned	Both
Wave 2 Readiness Surveys T-9	Complete	3 Completed of 3 Planned	Both
Wave 2 Readiness Surveys T-6	On Schedule	0 Completed of 3 Planned	Both
Wave 2 Readiness Surveys T-3	Not Started	0 Completed of 3 Planned	Both

Infographics/Newsletters

Newsletter for April	Completed	1 Completed of 1 Planned	Project
Newsletter for July	Completed	1 Completed of 1 Planned	Project
Infographic for April (Application Registration and EDBC)	Completed	2 Completed of 2 Planned	Project
Infographic for May (Reception Log, Message Center, and eICT)	Completed	3 Completed of 3 Planned	Project
Infographic for June (e- Applications and Imaging #1)	Completed	2 Completed of 2 Planned	Project
Infographic for July (Supervisor Authorization and Resource Databank)	Completed	2 Completed of 2 Planned	Project
Infographic for August (Money Management and Imaging #2)	Completed	2 Completed of 2 Planned for August 28, 2022	Project
Infographic for September (NOAS in CalSAWS and Caseloads)	On Schedule	0 Completed of 2 Planned for September 28, 2022	Project

Change Network Champion Meetings

CNC Meeting for July	Completed	1 Completed of 1 Planned	Both
CNC Meeting for August	Completed	1 Completed of 1 Planned	Both
CNC Meeting for September	On Schedule	0 Completed of 1 Planned	Both

Business Process Reengineering

BPR Plans reviewed and signed-off	Complete	2 Completed of 2 Planned	Both
To Be BPR Flows reviewed and signed- off	Complete	2 Completed of 2 Planned	Both
Close-out of pending decisions as documented in the Process Change Inventory	Complete	2 Completed of 2 Planned	Project
Automated Actions enablement decisions finalized	Complete	Initial Decisions to be finalized in advance of Process Simulation, loaded for Process Sim in the UAT Environment, validated during Process Sim and updated in advance of County Prep, ready for PROD/Go-Live.	Both



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Process Simulation

Wave 2 Participants Confirmed	In-Progress	CRFI-22-058 due 8/1, gathering responses.	Both
Users Loaded to ForgeRock/UAT Environments & Applications	Not Started		Project
Complete W2 Process Simulation Scenario Development	Not Started		Project
Complete data staging and JIRA prep	Not Started		Project
Complete configuration setup	Not Started		Both
Complete Process Simulation Orientation	Not Started		Project
Complete Process Simulation Execution	Not Started		Both
Evaluate and confirm Exit criteria	Not Started		Project
Process Simulation Complete	Not Started		Project

Not Started	On Schedule	<14 Days Late	>=14 Days Late	Complete
itoi oidiled		17 Days Laic	7 14 Days Laic	Complete

Configuration

Document Configuration Guides and Worksheets	Complete	Guide and Worksheets Documented	Project
Distribute Configuration Documentation to Wave-2 Counties	Not Started		Project
Conduct Working Sessions w/Counties to document base CalSAWS Configuration	Not Started		Both
Review, Refine and Confirm Configuration is ready for load into UAT for Process Simulation	Not Started		Both
Review Findings out of Process Simulation and Update "Must Have" Configuration items for PROD/County Prep	Not Started		Both
Update Configuration Worksheets to with Findings from Process Simulation in advance of County Prep	Not Started		Both
Load Configuration into PROD environment during County Prep	Not Started		Project
Configuration Complete	Not Started		Project

Not Started	On Schedule	<14 Days Late	>=14 Days Late	Complete
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Implementation Readiness

Planning

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Wave 2 Workplans, Readiness Checklists and ongoing TOSS Meetings	In Progress	Ongoing	Both
Path to Greenlight Communicated	Complete	Socialized/completed with PSC, JPA, and OPAC (May 2022)	Project
Go Live Packet Finalized/Updated	Not Started	Will revise, if applicable, from November – December 2022	Project
Distribute Monthly Implementation Packets	In Progress	Distribution for August 2022 on 08/29/22 Development of September 2022 Packet in progress	Project
County Prep			
County Prep Materials Finalized	Not Started	Will revise, if applicable, November 2022	Project
County Prep Kick Off	Not Started	Not scheduled, targeted for T-2	Both
Post-Implementation Support			
Pre- and Post- Go Live Support Structure Established	Not Started	Planning begins at T-4	Project
Command Action Center Established	In Progress	Completed/Approved	Proiect

Not Started	On Schedule	<14 Days Late	>=14 Days Late	Complete
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Implementation Readiness: Help Desk

Help Desk

Helpdesk: Setup Tool - Submit CRFI Requesting list of Wave 2 Delegated Admins	Complete	Completed	
Command Center User Enablement	In Progress	5%	Project
County Delegated Admin Training	Not Started	Scheduled for 9/14	Both
Helpdesk: Setup Tool - Submit CRFI Requesting confirmation of Wave 2 Fulfillers and View Only users	Complete	CRFI 22-072 distributed on 8/29	



CalSAWS | September 2, 2022 Wave-2 Implementation Readiness Packet & Dashboard



Implementation Readiness: System Operations

Systems Operations

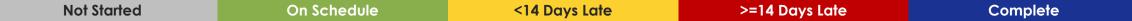
CalWIN Counties Access to the Sandbox Environment	Completed	Generic user accounts provided	Both
Engage the Counties on Staff Profile/Security Administration updates	Completed	Pre-UAT exercise	Both

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Ad-Hoc Reporting Functionality

CalWIN County Education Sessions (i.e., Planned Curriculum)	P	Wave 2: CalSAWS has facilitated 100% planned Ad Hoc Support Curriculum to the Wave 2 Counties.	Both
CalWIN County Ad Hoc Reports Refactoring	1	Wave 2: Reviewed 1,087 reports for refactoring. Approximately 293 need to be refactored for Wave 2 Counties	County



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Contact Information



CalWIN Migration and BenefitsCal Implementation Contact: Implementation@CalSAWS.org RANCHO CORDOVA OFFICE

11290 Pyrites Way, Suite 150 Gold River, CA 95670 (916) 851-3201 **ROSEVILLE OFFICE**

620 Roseville Parkway Roseville, CA 95747 (916) 846-7331 **NORWALK OFFICE**

12440 Imperial Highway, Suite 300 Norwalk, CA 90650 (562) 484-7914