CalSAWS

California Statewide Automated Welfare System

Design Document

CA-270826

REQ_CAPP and COM_ADAY - OCAT Appraisal Completion Timeliness Rate programming change per SIRFRA 3922

CalSAWS	DOCUMENT APPROVAL HISTORY	
	Prepared By	Howard Suksanti, Shining Liu
	Reviewed By	Edgars Reinholds

DATE	DOCUMENT VERSION	REVISION DESCRIPTION	AUTHOR
12/19/2023	1.0	Initial draft	Howard Suksanti, Shining Liu
3/1/2024	1.1	Design clarification – Partner integration testing will use masked data	Shining Liu
4/12/2024	1.2	Design clarification – COM_ADAY activities should be within 30 days after EDBC approval	Shining Liu

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1 OVERVIEW

Per ACL 19-40, REQ_CAPP (variable 35) identifies individuals who were or were not granted aid in the measurement month who are required to complete an OCAT/Appraisal. REQ_CAPP is the denominator of the OCAT/Appraisal Completion Timeliness Rate. COM_ADAY (variable 36) identifies individuals who did or did not complete an OCAT/Appraisal within 30 days after aid is granted. COM_ADAY is the numerator of the OCAT/Appraisal Completion Timeliness Rate. WTW_PRO (variable 19) is the WTW program status of the individual and is used to calculate rates such as the OCAT/Appraisal Completion Timeliness Rate and the Sanction Resolution Rate.

This SCR will update the logic of REQ_CAPP, COM_ADAY, and WTW_PRO in the Cal-OAR 19A report.

1.1 Current Design

The current CalSAWS programing for variable REQ_CAPP (the denominator for OCAT/Appraisal Completion Timeliness Rate) and COM_ADAY (the numerator for OCAT/Appraisal Completion Timeliness Rate) includes criteria that checks for program status and requires that the EDBC approval date and WTW program status update to Active or Exempt Volunteer must take place in the same measurement month.

1.2 Requests

1. Update the logic for REQ_CAPP and COM_ADAY per SIRFRA 3922.
2. Update WTW_PRO variable logic.

1.3 Overview of Recommendations

1. Modify the criteria of REQ_CAPP variable.
2. Modify the criteria of COM_ADAY variable.
3. Modify the criteria for WTW_PRO variable.

1.4 Assumptions

N/A.

2 RECOMMENDATIONS

2.1 Update criteria of REQ_CAPP

2.1.1 Overview

Modify the criteria of REQ_CAPP (variable 35), the denominator of the OCAT/Appraisal Completion Timeliness Rate, based on SIRFRA 3922.

2.1.2 Description of Change

1. The updated criteria for REQ_CAPP variable is as follows. Please refer to the supporting document CA-270826 Cal-OAR Data Element Specifications Tracker for additional information. Examples have been included in a new Notes column.

    1. EDBC action date for most recent application that moved CW program to 'Approved' status within the measurement month (previous status was not 'Active'/Ineligible for at least 6 months or greater; or has never received CW benefits before)

        Example 1:
        CalWORKs program Denied for 4/2018 - 03/2019 and 4/2019 - 05/2021. On 06/02/2021, the worker ran EDBC to approve CalWORKs person to 'Active'. The EDBC action date is 06/02/2021.

    2. WTW program status relevant to this measure - (WTW Active (01, 1a, 1b), Exempt Volunteer (3a)) exists after the application date.

        Example 2:

        A person is added in CalWORKs for the first time on October 2023, the logic will only send 02 for the measurement month that the person is added into CalWORKs. The logic will not pick up this case again as a duplicate on the next measurement month.

        Example 3:

        September 2023 – 2 participants are active.

        October 2023 – run EDBC. The 2 participants are included in the current measurement month only.

        January 2024 – run EDBC for a person newly added to case. It is the first time they are on the case, and they are approved. The third person only will be included on the next reporting period.

Response options:
  • Response 01 – Meets only criteria 1 or meets only criteria 2
      Note: Criteria 1 is specified in section 2.1.2.1.1 above. Criteria 2 is specified in section 2.1.2.1.2.
  • Response 02 – Meets all criteria
  • Response 99 – Did not meet criteria 1 and 2

Example 4:
Cash aid is granted to a CalWORKs person on 2/28/24 and the OCAT/Appraisal is completed on 3/15/24. This person would be a 02 in the measurement month of February 2024, since they meet the 30-day timeliness limit.

2.1.3 Partner Integration Testing

Yes. After programming changes are made, CalSAWS will generate one outbound test file with March 2023 report month data and send to CDSS. CDSS will review the Cal-OAR 19A file and send to a small cohort of counties to review and validate that the data is satisfactory. The test file will be generated with ~~un~~masked data. There will only be one Cal-OAR 19A file generated in this entire SCR, since all recommendations affect Cal-OAR 19A only.

2.1.4 Execution Frequency

No change.

2.1.5 Key Scheduling Dependencies

No change. Before Cal-OAR 19A outbound writer job (PO00C103).

2.1.6 Counties Impacted

All counties.

2.1.7 Category

Core.

2.1.8 Data Volume/Performance

N/A

2.1.9 Interface Partner

California Department of Social Services (CDSS).

2.1.10 Failure Procedure/Operational Instructions

Batch Support Operations staff will evaluate transmission errors and failures and determine the appropriate resolution (i.e., manually retrieving the file from the directory and contacting the external partner if there is an account or password issue, etc.).

2.2 Update criteria of COM_ADAY

2.2.1 Overview

Modify the criteria of COM_ADAY (variable 36), the numerator of the OCAT/Appraisal Completion Timeliness Rate, based on SIRFRA 3922.

2.2.2 Description of Change

1. The updated criteria for COM_ADAY variable is as follows. Please refer to the supporting document CA-270826 Cal-OAR Data Element Specifications Tracker for additional information. Examples have been included in a new Notes column.
    1. Variable 35 (REQ_CAPP) = 02
    2. Appraisal Activity scheduled start date less than or equal to 30 days ~~from~~ after EDBC approval date and has activity status 'Completed'. Note: Activity Type = 'Appraisal' or 'Orientation/Appraisal'. If both activity types are present, check if one of them has met the 30-day limit and has status 'Completed'.

        Example 1:
        EDBC run on 09/20/23 to approve CalWORKs.
        'Appraisal' scheduled start date 10/24/23 with status 'Completed'.
        'Orientation/Appraisal' scheduled start date 10/19/23 with status 'Completed'.
        The logic will pick the 'Orientation/Appraisal' activity with scheduled start date 10/19/23 since it met the 30-day limit and has status 'Completed'.

        The logic will look for appointment start date (the scheduled start date of the customer activity), not status begin date.

        Example 2:

EDBC run on 09/20/23 to approve CalWORKs.
'Appraisal' scheduled start date 10/24/23 with status 'Completed'.
'Orientation/Appraisal' scheduled start date 10/19/23 with status 'No Show'.
The logic will not pick either activity.

Example 3:
EDBC run on 09/20/23 to approve CalWORKs.
'Appraisal' scheduled start date 10/19/23 with status 'No Show'.
'Orientation/Appraisal' scheduled start date 10/19/23 with status 'Completed'.
The logic will pick the 'Orientation/Appraisal' activity with scheduled start date 10/19/23 since it met the 30-day limit and has status 'Completed'.

Example 4:
EDBC run on 09/20/23 to approve CalWORKs.
'Appraisal' scheduled start date 10/19/23 with status 'Completed'.
'Orientation/Appraisal' scheduled start date 10/19/23 with status 'Completed'.
The logic will pick either activity since both the 'Appraisal' and the 'Orientation/Appraisal' activity meet the 30-day limit and have status 'Completed'. The logic should not double count the activities for the individual for COM_ADAY variable.

Response options:

- Response 01 - Individual meets criteria 1 but does not meet criteria 2
  - Note: Criteria 1 is specified in section 2.2.2.1.1 above. Criteria 2 is specified in section 2.2.2.1.2 above.
- Response 02 - Meets all criteria
- Response 99 - Individual does not meet any criteria (Variable 35 (REQ_CAPP) = '01' or '99' and/or does not meet criteria 2)

2.2.3 Partner Integration Testing

Yes. After programming changes are made, CalSAWS will generate one outbound test file with March 2023 report month data and send to CDSS. CDSS will review the Cal-OAR 19A file and send to a small cohort of counties to review and validate that the data is satisfactory. The test file will be generated with unmasked data. There will only be one Cal-OAR 19A file generated in this entire SCR, since all recommendations affect Cal-OAR 19A only.

2.2.4 Execution Frequency

No change.

2.2.5 Key Scheduling Dependencies

Cal-OAR 19D sweep (PB00C108) must complete before Cal-OAR 19D outbound writer (PO00C109) and Cal-OAR 19D FTP (PO00C149).

2.2.6 Counties Impacted

All counties.

2.2.7 Category

No change.

2.2.8 Data Volume/Performance

N/A

2.2.9 Interface Partner

California Department of Social Services (CDSS).

2.2.10 Failure Procedure/Operational Instructions

Batch Support Operations staff will evaluate transmission errors and failures and determine the appropriate resolution (i.e., manually retrieving the file from the directory and contacting the external partner if there is an account or password issue, etc.).

2.3 Update criteria of WTW_PRO

2.3.1 Overview

Update the population logic of WTW_PRO (variable 19) when REQ_CAPP (variable 35) = 02, in Cal-OAR 19A file only. The change does not affect any other variables, including the calculation of the Sanction Resolution Rate.

2.3.2 Description of Change

1. Modify the logic of WTW_PRO to populate the WTW program status within 30 days from the EDBC action date to approve CalWORKs instead of using the WTW_PRO for the report month, only if REQ_CAPP = 02 (Please refer to section 2.1.2 for more details on the EDBC action date.).

   <u>Response options</u>:

   Response 01=WTW Active

   Response 1a=Good Cause

   Response 1b=Non-Compliance

   Response 02=Sanction

   Response 03=Exempt

   Response 3a=Exempt Volunteer

   Response 99=Not Applicable

   Note: This change applies only to WTW_PRO in Cal-OAR 19A file and does not affect any other variables.

   Example 1:

   Assume a report month of October 2023. CalWORKS is approved 10/15/2023. WTW program status is 'Pending' from 10/15/2023 to 11/13/2024 and is 'WTW Active' starting 11/14/2023.

   If REQ_CAPP is determined to be '02', WTW_PRO would be '01' for October 2023 report month, based on the response options.

   If REQ_CAPP is determined to not be '02', WTW_PRO would be '99' for October 2023 report month, based on the response options.

2.3.3 Partner Integration Testing

Yes. After programming changes are made, CalSAWS will generate one outbound test file with March 2023 report month data and send to CDSS. CDSS will review the Cal-OAR 19A file and send to a small cohort of counties to review and validate that the data is satisfactory. The test file will be generated with ~~un~~masked data. There will only be one Cal-OAR 19A file generated in this entire SCR, since all recommendations affect Cal-OAR 19A only.

2.3.4 Execution Frequency

No change.

2.3.5   Key Scheduling Dependencies

No change. Before Cal-OAR 19A outbound writer job (PO00C103).

2.3.6   Counties Impacted

All counties.

2.3.7   Category

No change.

2.3.8   Data Volume/Performance

N/A

2.3.9   Interface Partner

California Department of Social Services (CDSS).

2.3.10 Failure Procedure/Operational Instructions

Batch Support Operations staff will evaluate transmission errors and failures and determine the appropriate resolution (i.e., manually retrieving the file from the directory and contacting the external partner if there is an account or password issue, etc.)

3   SUPPORTING DOCUMENTS

Number	Functional Area	Description	Attachment
1	Cal-OAR	Updated Cal-OAR Data Element Specifications Tracker for REQ_CAPP, COM_ADAY, and WTW_PRO	CA-270826 Cal-OAR Data Element Specifications Tracker.xlsx CA-270826 Cal-OAR Data Element Specific

4   REQUIREMENTS

4.1   Project Requirements

REQ #	REQUIREMENT TEXT	How Requirement Met
2.20.1.1	The LRS shall provide for the support of system interfaces and integration necessary for the coordination of services with other federal, State, and COUNTY agencies and other external agencies, for the purposes of reducing paperwork, verification of LRS Data, and preventing the duplication of LRS Data entry.	Update REQ_CAPP, COM_ADAY, and WTW_PRO from Cal-OAR 19A file.