CalSAWS

California Statewide Automated Welfare System

Design Document

CA-214131

Fair Credit Reporting Act

	DOCUMENT APPROVAL HISTORY	
CalSAWS	Prepared By	Maria Jensen, Justin Bourbonniere
	Reviewed By	Tiffany Huckaby, Priya Shridharan, Nina Butler, Laura Alba, Himanshu Jain, Chitra Barsagade, Riannon Chin, Melissa Mendoza, William Baretsky

DATE	DOCUMENT VERSION	REVISION DESCRIPTION	AUTHOR
09/13/2022	0.1	Initial Draft	Maria Jensen
11/02/2022	0.2	Removed NOAs Removed forms Central Print options	Maria Jensen
04/12/2024	0.3	Update design	Justin Bourbonniere
06/18/2024	1.0	Update Design with new CSF form numbers. CSF 188 & CSF 189	Justin Bourbonniere

Table of Contents

1 OVERVIEW

This effort will add the Fair Credit Reporting Act (FCRA) compliant verbiage as forms to the Template Repository, in the CalSAWS system in English and Spanish for all 58 Counties.

In Phase 2, CA-250784 will implement the NOA fragments that these forms will be attached to.

1.1 Current Design

The Department of Health Care Services (DHCS) acquires commercially available consumer information from consumer reporting agencies for use in the verification of Medi-Cal eligibility. DHCS has determined that some of this consumer data used in Medi-Cal eligibility determinations is subject to the FCRA.

FCRA compliant verbiage does not exist in the CalSAWS system.

1.2 Requests

1. Add forms CSF 188 (11/2022) and CSF 189 (11/2022) to the Template Repository in English and Spanish for all 58 Counties.

1.3 Overview of Recommendations

1. Add forms CSF 188 (11/2022) and CSF 189 (11/2022) to the Template Repository in English and Spanish for all 58 Counties.

1.4 Assumptions

1. Per existing functionality, a GEN 1365 is included in the same envelope with the English version of the form/NOA when the participant's primary language is a language other than the currently supported languages for the form/NOA.
2. CA-250784 will implement NOA fragments in a Phase 2. The Forms will be attached to a NOA either via the worker printing locally from Template Repository, or automated in the future with SCR CA-250784. Hence the forms will not be generated separately and do not require an address header, coversheet, or NA Back 9.
3. CA-250473 will introduce threshold languages for the new NOA fragments.
4. CA-245427 will introduce threshold languages for the new forms.

2   RECOMMENDATIONS

2.1   Add form CSF 188 to Template Repository

2.1.1   Overview

The State form CSF 188 – FCRA LNRS Notification (revision 11/2022) is not available in the CalSAWS system.

This effort will add State form CSF 188 (11/2022) to Template Repository in English and Spanish for all 58 Counties.

State Form: ACWDL 21-23
Programs: Medi-Cal
Attached Forms: N/A
Forms Category: Form
Template Repository Visibility: All Counties
Languages: English, Spanish

2.1.2   Form/NOA Verbiage

Create CSF 188 Form XDP

The form will have 1 impression and will consist of State verbiage plus 1 Date input field and 1 variable (see Supporting Documents #1).

Form Header: CalSAWS Standard Header #3 (Header_3_4)
Form Title (Document List Page Displayed Name): FCRA LNRS Notification
Form Number: CSF 188
Include NA Back 9: No, this will be attached to a NOA which already includes an NA Back 9, per Assumption 2
- **Includes standard NA Back 9 variable population:** N/A
Imaging Form Name: FCRA LNRS Notification
Imaging Document Type: Notification/NOA
Imaging Case/Person: Case
Form Mockups/Examples: See Supporting Documents #1

2.1.3   Form/NOA Variable Population

The form body will have 2 prepopulated variables:

Form Body Variables:

Variable Name	Population	Formatting	Editable*/ Field Type	Template Repository Population
<CurrentDate>	System Date. Format: MM/DD/YYYY	Arial Font Size 10	Y/ Date	Y
<CountyDeptName>	County Name	Arial Font Size 10	Y/ Text Field	Y

* Note: All Form Body Variables will be editable by default. When generating a Blank Form from Template Repository the field will be editable unless otherwise indicated.

Variables Requiring Translations: None

2.1.4 Form/NOA Generation Conditions

1. **Add Form to Template Repository**

Add the State form CSF 188 to the Template Repository in English and Spanish for all 58 Counties, in the CalSAWS system.

Required Document Parameters: Case Number, Customer Name, Program, and Language

2. **Add Form Control**

Add the following barcode options to the CSF 188 form:

Tracking Barcode	BRM Barcode	Imaging Barcode
N	N	Y

Note: Customers with electronic communications will still receive a paper copy of this correspondence in the mail so that they are also provided a return envelope.

3. **Add Form Print Options and Mailing Requirements**

Add the following print options to the CSF 188 form:

Blank Template	Print Local without Save	Print Local and Save	Print Central and Save	Reprint Local	Reprint Central
Y	Y	Y	N	Y	N

Mailing Requirements:

Mail-To (Recipient): Case Person selected on the Document parameter page

Mailed From (Return): Worker's Office Address

Mail-back-to Address: N/A

Outgoing Envelope Type: Standard

Return Envelope Type: Same Day Priority

Additional Requirements:

Special Paper Stock: N/A

Enclosures: N/A

Electronic Signature: N/A

       Electronic Signature (IVR/Text): N/A

       Check to Sign: N/A

Post to Self Service Portal (SSP): Y

2.2 Add form CSF 189 to Template Repository

2.2.1 Overview

The State form CSF 189 – FCRA EWS Notification (revision 11/2022) is not available in the CalSAWS system.

This effort will add State form CSF 189 (11/2022) to Template Repository in English and Spanish for all 58 Counties.

State Form: ACWDL 21-23

Programs: Medi-Cal

Attached Forms: N/A

Forms Category: Form

Template Repository Visibility: All Counties

Languages: English, Spanish

2.2.2 Form/NOA Verbiage

<u>Create CSF 189 Form XDP</u>

The form will have 1 impression and will consist of State verbiage plus 1 Date input field and 1 variable (see Supporting Documents #2).

Form Header: CalSAWS Standard Header #3 (Header_3_4)

Form Title (Document List Page Displayed Name): FCRA EWS Notification

Form Number: CSF 189

Include NA Back 9: No, this will be attached to a NOA which already includes an NA Back 9, per Assumption 2

- o **Includes standard NA Back 9 variable population:** N/A

Imaging Form Name: FCRA EWS Notification

Imaging Document Type: Notification/NOA

Imaging Case/Person: Case

Form Mockups/Examples: See Supporting Documents #2

2.2.3 Form/NOA Variable Population

The form body will have 2 prepopulated variables:

Form Body Variables:

Variable Name	Population	Formatting	Editable*/ Field Type	Template Repository Population
<CurrentDate>	Date of the form generation. Format: MM/DD/YYYY	Arial Font Size 10	Y/ Date	Y
<CountyDeptName>	County Name	Arial Font Size 10	Y/ Text Field	Y

* Note: All Form Body Variables will be editable by default. When generating a Blank Form from Template Repository the field will be editable unless otherwise indicated.

Variables Requiring Translations: None

2.2.4 Form/NOA Generation Conditions

1. Add Form to Template Repository

Add the State form CSF 189 to the Template Repository in English and Spanish for all 58 Counties, in the CalSAWS system.

Required Document Parameters: Case Number, Customer Name, Program, and Language

2. Add Form Control

Add the following barcode options to the CSF 189 form:

Tracking Barcode	BRM Barcode	Imaging Barcode
N	N	Y

Note: Customers with electronic communications will still receive a paper copy of this correspondence in the mail so that they are also provided a return envelope.

3. **Add Form Print Options and Mailing Requirements**

Add the following print options to the CSF 189 form:

Blank Template	Print Local without Save	Print Local and Save	Print Central and Save	Reprint Local	Reprint Central
Y	Y	Y	N	Y	N

Mailing Requirements:

Mail-To (Recipient): Case Person selected on the Document parameter page

Mailed From (Return): Worker's Office Address

Mail-back-to Address: N/A

Outgoing Envelope Type: Standard

Return Envelope Type: Same Day Priority

Additional Requirements:

Special Paper Stock: N/A

Enclosures: N/A

Electronic Signature: N/A

     Electronic Signature (IVR/Text): N/A

     Check to Sign: N/A

Post to Self Service Portal (SSP): Y

2.3   Automated Regression Test

2.3.1   Overview

Create automated regression test scripts to verify that the CF 185 and CF 186 forms can be generated through Template Repository in English and Spanish.

Technical Note: The content and layout of the form is outside the scope of this test. Only the language options and form generation are in scope.

2.3.2 Description of Changes

1. Create a regression script to generate the CF 188 form in English language through the Template Repository.
2. Create a regression script to generate the CF 188 form in Spanish language through the Template Repository.
3. Create a regression script to generate the CF 189 form in English language through the Template Repository.
4. Create a regression script to generate the CF 189 form in Spanish language through the Template Repository.

3 SUPPORTING DOCUMENTS

Number	Functional Area	Description	Attachment
1.	Forms	CSF 188 (English)	CSF188_EN.pdf
2.	Forms	CSF 189 (English)	CSF189_EN.pdf

4 REQUIREMENTS

4.1 Project Requirements

REQ #	REQUIREMENT TEXT	How Requirement Met
2.18.1.4 CAR-1208	The LRS shall accommodate and generate State-mandated forms, notices, and NOAs that cannot be changed.	SCR CA-214131 will add the FRCA compliant verbiage as forms to the Template Repository, in the CalSAWS system for all 58 Counties, to match the enclosures in ACWDL 21-23.

CalSAWS

California Statewide Automated Welfare System

Design Document

CA-240334

ACL 22-55 WTW Pregnancy Exemption

CalSAWS	DOCUMENT APPROVAL HISTORY	
	Prepared By	Andrea Rodriguez
	Reviewed By	Michael Wu, Matthew Lower, Himanshu Jain, Chitra Barsagade

DATE	DOCUMENT VERSION	REVISION DESCRIPTION	AUTHOR
5/24/2024	1.0	Initial	Andrea Rodriguez
6/18/2024	1.1	Updates made to Sections 1 and 2	Andrea Rodriguez
6/20/2024	1.2	Updates made to Sections 1 and 2	Andrea Rodriguez
6/25/2024	1.3	Updates made to Sections 2.1, 2.2, and 2.3	Andrea Rodriguez

Table of Contents

1 OVERVIEW

Per ACL 22-55 and SB 65, any pregnant recipient shall be granted a 30-day pregnancy exemption with a written statement or verbal attestation and the exemption may be extended if medical verification is provided after within those 30 days.

1.1 Current Design

User determines WTW exempt status reason as "Pregnant and doctor states unable to work".

1.2 Requests

ACL 22-55 and SB 65 state that participants no longer need to provide proof that they are medically impaired from participating in WTW activities or obtain a county determination that the WTW activities are not appropriate or will not readily lead to employment. The participant must only provide a medical verification of the pregnancy to be eligible for the WTW exemption.

1.3 Overview of Recommendations

1. End date "Pregnant and doctor states unable to work", retain historical records, and add exemption reason "Pregnant" on the WTW/REP Work Registration, WTW Status Detail, and REP Status Detail pages.
2. End date "Difficult Pregnancy", retain historical records, and add good cause status reason "Pregnant" on the WTW Status Detail and REP Status Detail pages.

1.4 Assumptions

1. All other functionalities remain unchanged unless specifically stated by this document.
2. With SCR CA-235922, a new 'Generate CW 2186B Form' button will be added to generate and populate the CW 2186B form, replacing the existing functionality that triggers the CW 2186B form on the Work Registration page upon saving.
3. The existing exemption reason WDTIP code 306 will remain for WTW/REP.
4. This SCR will not impact existing eligibility functionality. Updates to eligibility functionality and pregnancy verification will be addressed in SCR CA-237040.
5. Updates to CW 2186A and CW 2184 will be addressed in SCR CA-271130.
6. Updates to NOA messages regarding pregnancy and the pregnancy special needs (PSN) payment will be addressed with SCR CA-268498.
7. Users are responsible for determining the length of the pregnancy exemption.

2 RECOMMENDATIONS

Per ACL 22-55 and SB 65, participants no longer need to provide proof that they are medically impaired from participating in WTW activities or obtain a county

determination that the WTW activities are not appropriate or will not readily lead to employment. Any pregnant recipient shall be granted a 30-day pregnancy exemption with a written statement or verbal attestation and the exemption may be extended if medical verification is provided after within those 30 days. This SCR will end date the existing exemption reason, "Pregnant and doctor states unable to work," and existing good cause reason "Difficult Pregnancy", and create a new reason, "Pregnant". The reason will appear on the Work Registration, WTW Status Detail, and REP Status Detail pages.

2.1 Work Registration

2.1.1 Overview

The Work Registration Detail page allows the user to add, edit, or view work registration information. This SCR will end date the existing exemption reason, "Pregnant and doctor states unable to work," and replace it with a new reason, "Pregnant" on the page. Historical records will remain with the prior reason. This change applies to the Work Registration Detail page for program types WTW and REP.

2.1.2 Work Registration Mockup

Work Registration Detail

✱- Indicates required fields   Save and Return   Cancel

Name: ✱   **Type: ✱**
DOE, JANE 38F   WTW
Status: ✱   **Status Reason: ✱**
Exempt ⌄   Pregnant ⌄
Volunteer: ✱   **Excluded: ✱**
No ⌄   No ⌄
Begin Date: ✱   **End Date:**   **Expected End Date:**
01/01/2024   [   ]   10/01/2024

                                                     Save and Return   Cancel

Figure 2.1.1 – Work Registration Detail with program type WTW (Create Mode)

Work Registration Detail

* - Indicates required fields   [Save and Return] [Cancel]

Name: *
DOE, JANE 34F

Type: *
REP

Status: *
Exempt

Status Reason: *
Pregnant ▾

Volunteer: *
No ▾

Excluded: *
No ▾

Begin Date: *
01/01/2024

End Date:
[____] 📅

Expected End Date:
[____] 📅

Last Updated On 01/01/2024 8:00:00 AM By: <u>1234567</u>   [Save and Return] [Cancel]

Figure 2.1.2 – Work Registration Detail with program type REP (Edit Mode)

2.1.3 Description of Changes

1. Update the Status Reason drop-down on the Work Registration page when the Status is Exempt for WTW and REP.
   a. End date the existing Exempt Status Reason: "Pregnant and doctor states unable to work".
      Note: Historical records that have the existing "Pregnant and doctor states unable to work" reason saved will retain this reason. However, if this exemption reason is changed to a new reason and the record is saved, it will not be possible to revert the exemption reason back.
   b. Create a new Exempt Status Reason: "Pregnant".
      i. This Exempt Status Reason will only appear for WTW and REP program types.

      Technical Note: The Long Description for this new status reason will be "The person is pregnant."

      Note: When giving a WTW participant the 30-day pregnancy exemption pending medical verification, the worker should end the 30-day deadline in the "Expected End Date" field.

2.1.4 Page Location

- **Global:** Empl. Services
- **Local:** Case Summary
- **Task:** WTW

2.1.5 Security Updates

N/A

7

2.1.6 Page Mapping

N/A

2.1.7 Accessibility

This page has been assessed for Accessibility requirements and meets the Accessibility standards.

2.1.8 Page Usage/Data Volume Impacts

N/A

2.2 WTW Status Detail

2.2.1 Overview

This page allows the user to add or edit the WTW program status of a participant status in the program. This SCR will end date the existing exemption reason, "Pregnant and doctor states unable to work," and replace it with a new reason, "Pregnant" on the page. Historical records will remain with the prior reason.

2.2.2 WTW Status Detail Mockup

Figure 2.2.1 – WTW Status Detail Mockup with Exempt Status (Create Mode)

Figure 2.2.2 – WTW Status Detail Mockup with Good Cause Status (Create Mode)

2.2.3 Description of Changes

1. Update the status reasons on the WTW Status Detail page when the Status is Exempt.
    a. End date the existing exempt status reason "Pregnant and doctor states unable to work".
        Note: Historical records that have the existing "Pregnant and doctor states unable to work" reason saved will retain this reason.
    b. Create a new Exempt Status Reason: "Pregnant".

        Technical Note: The Long Description for this new status reason will be "The person is pregnant."

        Note: When giving a WTW participant the 30-day pregnancy exemption pending medical verification, the worker should end the 30-day deadline in the "Expected End Date" field.
2. Update the status reasons on the WTW Status Detail page when the Status is Good Cause.
    a. End date the existing good cause status reason "Difficult Pregnancy."
        Note: Historical records that have the existing "Difficult Pregnancy" reason saved will retain this reason.
    b. Create a new Good Cause status reason: "Pregnant" that will appear in alphabetical order on the status reason drop-down.

2.2.4 Page Location

- **Global:** Empl. Services
- **Local:** Case Summary
- **Task:** WTW

2.2.5 Security Updates

N/A

2.2.6 Page Mapping

N/A

2.2.7 Accessibility

This page has been assessed for Accessibility requirements and meets the Accessibility standards.

2.2.8 Page Usage/Data Volume Impacts

N/A

2.3 REP Status Detail

2.3.1 Overview

This page allows the user to add or edit the REP program status of a participant status in the program. This SCR will end date the existing exemption reason, "Pregnant and doctor states unable to work," and replace it with a new reason, "Pregnant" on the page. Historical records will remain with the prior reason.

2.3.2 REP Status Detail Mockup

REP Status Detail

✱- Indicates required fields

| | Save and Return | Cancel |

Status: ✱
Exempt

Status Reason: ✱
Pregnant

Begin Date: ✱
01/01/2024

Expected End Date: ✱
10/01/2024

End Date:

Designated Program:
Family Stabilization

Save and Return   Cancel

Figure 2.3.1 – REP Status Detail Mockup with Exempt Status (Create Mode)

REP Status Detail

Figure 2.3.2 – REP Status Detail Mockup with Good Cause Status (Create Mode)

2.3.3 Description of Changes

1. Update the status reasons on the REP Status Detail page when the Status is Exempt.
   a. End date the existing exempt status reason "Pregnant and doctor states unable to work".
      Note: Historical records that have the existing "Pregnant and doctor states unable to work" reason saved will retain this reason.
   b. Create a new Exempt Status Reason: "Pregnant".

      Note: When giving a WTW participant the 30-day pregnancy exemption pending medical verification, the worker should end the 30-day deadline in the "Expected End Date" field.
2. Update the status reasons on the REP Status Detail page when the Status is Good Cause.
   a. End date the existing good cause status reason "Difficult Pregnancy."
      Note: Historical records that have the existing "Difficult Pregnancy" reason saved will retain this reason.
   b. Create a new Good Cause status reason, "Pregnant" that will appear in alphabetical order on the status reason drop-down.

2.3.4 Page Location

- **Global:** Empl. Services
- **Local:** Case Summary
- **Task:** REP

2.3.5 Security Updates

N/A

2.3.6 Page Mapping

N/A

2.3.7 Accessibility

This page has been assessed for Accessibility requirements and meets the Accessibility standards.

2.3.8 Page Usage/Data Volume Impacts

N/A

3   REQUIREMENTS

3.1   Project Requirements

REQ #	REQUIREMENT TEXT	How Requirement Met
2.16.4.4	The LRS shall include the ability to process a mass update that involves the development of new policy in response to changes in federal, State, and local laws, rules, regulations, ordinances, guidelines, directives, policies, and procedures.	This SCR is making updates in accordance with policy SB 65.

California Statewide Automated Welfare System

Design Document

CA-246401

Update Postpartum Approval NOA Generation

	DOCUMENT APPROVAL HISTORY	
CalSAWS	Prepared By	Justin Bourbonniere
	Reviewed By	Noel Acosta, Suneeta Minnekanti, William Baretsky, Tiffany Huckaby

DATE	DOCUMENT VERSION	REVISION DESCRIPTION	AUTHOR
04/03/24	1.0	Initial Design	Justin Bourbonniere
04/12/2024	1.1	Build Approval feedback – Add Assumption #2	Justin Bourbonniere
04/18/2024	1.2	BA feedback - Add mockup to supporting documents	Justin Bourbonniere

Table of Contents

1 Overview

The postpartum approval NOA currently generates via EDBC when someone on a Medi-Cal case is granted the postpartum aid code. The NOA will generate at the time the aid code is granted via EDBC, and not when the postpartum coverage starts. This SCR will update the postpartum approval NOA generation logic to send out the NOA via EDBC when postpartum coverage starts instead of when aid code for postpartum is granted. This change applies to both Batch and Online EDBC.

1.1 Current Design

The postpartum approval NOA currently generates when someone on a Medi-Cal case is granted aid code 76 and EDBC is run. After EDBC is ran on the case the NOA will generate at the time the aid code is granted, and not when the postpartum coverage starts.

Example scenario: Pregnancy End Date/Due Date is March 2023. EDBC is run April 2022 and aid code 76 is granted. NOA for April 2022 has postpartum coverage informing the customer of their future benefits for postpartum from 04/2023 through 05/2024.

1.2 Requests

Update the postpartum approval NOA generation logic to send out the NOA when running EDBC when aid code 76 is granted, and postpartum coverage starts (based on end of pregnancy date). Currently the NOA is being sent out early due to aide code 76 being assigned during pregnancy.

1. The NOA will remain on EDBC and will generate based on the aide code 76 plus the pregnancy end date.
2. The NOA should generate for the same month as the pregnancy end date.
3. The NOA should generate retroactively if the county user runs EDBC in the future after the pregnancy end date and the NOA was not previously generated.

1.3 Overview of Recommendations

Update the logic for the postpartum NOA to send out the NOA when postpartum coverage starts instead of when aid code for postpartum is granted.

1. The NOA will remain on EDBC and will generate based on the aid code 76 plus the Pregnancy End Date.
2. The NOA should generate for the same month as the Pregnancy End Date.

3. The NOA should generate retroactively if the county user runs EDBC in the future after the Pregnancy End Date and the NOA was not previously generated.

1.4 Assumptions

1. This NOAs is not generated by any other conditions outside the ones outlined in the design.
2. The changes to the NOA generation condition applies to both Online and Batch EDBC.

2 Recommendations

2.1 Update generation conditions for Postpartum Approval NOA

2.1.1 Overview

This effort will update generation conditions of the existing Postpartum Approval NOA that generates via EDBC (both Online and Batch EDBC).

Reason Fragment Name and ID: MC_AP_POST_PARTUM_APPROVED_M141 (ID: 6566)
State Form/NOA: MC 239
Current NOA Template: H_NOA_TEMPLATE
Current Program(s): Medi-Cal (MAGI/non-MAGI/mixed HH's.)
Current Action Type: Approval
Current Fragment Level: Person
Currently Repeatable: Yes, per applicable person
Include NA Back 9: Yes
Current Forms/NOAs Generated with this NOA: N/A
Existing Languages: English and Spanish

2.1.2 NOA Verbiage

There will be no updates to the existing verbiage of the Postpartum Approval NOA.

2.1.3 NOA Variable Population

There will be no updates to the existing variable population of the Postpartum Approval NOA.

2.1.4 NOA Generation Conditions

Update the generation of the Postpartum NOA (MC_AP_POST_PARTUM_APPROVED_M141) to send out the NOA when postpartum coverage starts instead of when aid code 76 is granted.

The start of the postpartum coverage will be determined using the Pregnancy End Date and aid code 76.

Update the generation of the Postpartum NOA reason when there is at least one program person in the newly run EDBC with the following:

- Generation Condition 1:
    - The program person has an aid code of 76
    - The program person has a Pregnancy End Date on the Pregnancy Detail Page that is within the EDBC Benefit Month and the Postpartum NOA (NOA reason: MC_AP_POST_PARTUM_APPROVED_M141) has not been generated for a future EDBC Benefit Month.

    Or

- Generation Condition 2:
    - The Pregnancy End Date is prior to the EDBC Benefit Month and the Postpartum NOA (NOA reason: MC_AP_POST_PARTUM_APPROVED_M141) has not generated for any month on or after the Pregnancy End Date.

New Program Generation: No
New Reason Type: No
Update to Fragment Level: No
Updates to Attached Form(s): No

3 SUPPORTING DOCUMENTS

Number	Functional Area	Description	Attachment
1	Client Correspondence	NOA - MC - AP - APRVD POSTPARTUM MEDICAL Mockup.pdf	NOA - MC - AP - APRVD POSTPARTUM MEDICAL Mockup.pdf

Technical note: This mockup is provided for reference only. It is not intended for build or test purposes, as there are no changes to the verbiage of this NOA.

4 Requirements

Project Requirements

REQ #	REQUIREMENT TEXT	How Requirement Met
2.18.3.3	The LRS/CalSAWS shall produce various notices, NOAs, forms, letters, stuffers, and flyers, including: … b. Redetermination, Recertification, and/or Annual Agreement notices and forms; … n. COLA notices;	Update Form Generation via EDBC rules for NOA MC_AP_POST_PARTUM_APPROVED_M141

CalSAWS

California Statewide Automated Welfare System

Design Document

CA-257181

ACL 24-45 AAP CNI Rate Increase for Year 2024-2025 - Batch EDBC

CalSAWS	DOCUMENT APPROVAL HISTORY	
	Prepared By	Tom Lazio
	Reviewed By	

DATE	DOCUMENT VERSION	REVISION DESCRIPTION	AUTHOR
06/24/2024	1.0	Initial Draft	T. Lazio

Table of Contents

1 OVERVIEW

Per ACL 24-45, the new AAP California Necessities Index (CNI) rate increase for the FY 2024-25 will be implemented effective July 1, 2024. This SCR will provide details for the one-time batch run that will apply the CNI Rate increase to the AAP programs for the benefit month of July 2024 through come-up month.

1.1 Current Design

Currently CalSAWS uses the AAP CNI rates of FY 2023-24 that are effective from July 1, 2023.

1.2 Requests

As per the ACL 24-45, the new AAP CNI rate increase for the FY 2024-25 will be effective as of July 1, 2024. The CTCR portion of the AAP CNI rate increase will be implemented with SCR CA-257185 and requires a one-time batch run that will apply the CNI Rate increase to the AAP programs for the benefit month of July 2024 through come-up month. The batch run will also include CF programs that have a participant in common with the AAP program.

1.3 Overview of Recommendations

1. Run Batch EDBC for AAP programs to apply the new CNI rate increase along with CF programs that have an AAP participant in common.
2. Generate CNI Stat Report and informational/exception listings for Counties to review.
3. For LA County - Put AAP Rate Increase NOAs on hold from the Batch EDBC run and release the NOAs after the eCAPS/EFT financial processes are completed.

1.4 Assumptions

1. Existing batch logic skips programs with an overridden EDBC, Manual EDBC, a pending program, or a pending person for AAP.
2. A regular change NOA will be generated for the impacted cases if a NOA exists for the benefit change reason.
3. CA-248369 updated the AAP 2 generation logic to not generate the form during 'CNI Increase' Batch EDBC runs with run reason: 'CWS Annual COLA'.
4. For the AAP 'Rate Increase' NOAs put on hold (for LA County) per this effort, SCR CA-280212 will release these NOAs.
5. Counties can check 'yellow banner' programs daily with the latest Qlik report.

2   RECOMMENDATIONS

Batch EDBC will run for AAP to apply the new CNI rate increase and CF for the same AAP participants to apply benefit updates.

2.1   Run Batch EDBC for AAP/CF

2.1.1   Overview

AAP/CF Batch EDBC will run for the benefit month of 07/2024 through come-up month.

2.1.2   Description of Changes

1. Run batch EDBC for active AAP programs starting with the month of 07/2024 through come-up month (09/2024) including past RE due date cases.
   a. Batch EDBC will run with the run reason "CWS Annual COLA."
   b. Batch EDBC records will have a run type code of 'Single Program.'
   c. Batch EDBC will not be triggered on programs with a Non-standard rate in effect in the benefit month.
   d. Batch EDBC will not run if a regular EDBC has already been processed for the benefit month(s) since SCR CA-257185 went into production.
   e. Batch EDBC will not run EDBC for 'Yellow Banner' programs.
   f. Batch EDBC will insert the below Journal entry for AAP programs:
      Short Description: Batch EDBC ran for [month, year]
      Long Description: Batch EDBC Ran for <Effective Month>. Batch EDBC processed for the <Program Name> program for following reasons: AAP COLA.

2. Run batch EDBC for active CF and NB programs having an active CalFresh participant in common with AAP program for the month of 09/2024.
   a. Batch EDBC will run with the run reason "CWS Annual COLA" for CF program only.
   b. Batch EDBC records will have a run type code of 'Partial Program' for both CF and NB.
   c. Batch EDBC will not run on the active CF program cases if SAR7 report status is 'Generated', 'Sent' or 'Incomplete'.
   d. Batch EDBC will not run CF Program if the benefit month is past the latest RE due date.
   e. Batch EDBC will not run EDBC for 'Yellow Banner' programs.
   f. Batch EDBC will insert the below Journal entry for associated CF and NB programs:

Short Description: Batch EDBC ran for [month, year]
Long Description: Batch EDBC Ran for <Effective Month>. Batch EDBC processed for the <Program Name> program for following reasons: AAP COLA.

2.1.3 Programs Impacted

AAP

CF

NB

2.2 Correspondence – Hold AAP Rate Increase NOAs for LA County

2.2.1 Overview

The AAP 'Rate Increase' NOAs will be put on hold for LA County until released by the CA-280212.

2.2.2 Description of Change

1. LA County Only - Create and run DCR operationally to hold the AAP 'Rate Increase' NOAs by updating the NOA status (GENERATE_DOC.STAT_CODE) to 'On Hold (Batch)' (CT220_BH) until they are released by CA-280212:
   NOA Reason Fragment

   AA_CH_RATE_INCREASE_P009 (SNIPPET.ID = 6032)

   Note: This NOA exists in English and Spanish.
2. For all Counties – Regression test AAP 2 forms not generating during the CNI Batch EDBC run.

3   OUTREACH

3.1 CNI Stats by County Report

Generate a statistical report called 'CNI Stats by County Report' that summarizes the EDBC count for each program included in the CNI by County and contains the following fields:

- <program> EDBC Counts
- <program> EDBC Processed
- <program> EDBC Skipped (Exception)
- <program> EDBC Read Only
- <program> EDBC Stack Trace

- <program> Success %
- **Total EDBC Count**
- **Total EDBC Processed**
- **Total EDBC Skipped (Exception)**
- **Total EDBC Read Only**
- **Total EDBC Stack Trace**
- **Overall Success Rate %**
- **Total NOAs Generated**

NOTE: The columns in **bold** will include all programs in the CNI. The counts above will be separated by each County (see example below). Also, CF and NB counts will be consolidated under one set of <program> counts designated as 'CF'.

The report will be posted to the following location: CalSAWS Web Portal>System Changes>SCR and SIR Lists>2024>CA-257181.

3.2 Lists

All lists will have the standard list columns to display on the listings.

Standard Columns:
- Case Name
- Case Number
- County
- Unit
- Unit Name
- Office Name
- Worker ID

<u>Exception List</u>: Below are the case listing details for case exceptions (skipped, unprocessed, discontinued, etc.) with the standard columns after the batch.

1. **List Name**: List of AAP cases with Non-Standard Rate
   List Criteria: List of AAP programs with a Non-Standard Rate.
   Additional Column(s): Rate column

2. **List Name**: List of AAP/CF/NB cases Discontinued by the Batch EDBC
   List Criteria: List of AAP/CF/NB programs discontinued by the batch EDBC.
   Additional Column(s): Program, EDBC Month, Discontinued Reason

3. **List Name**: List of AAP/CF/NB with Read-Only EDBCs
   List Criteria: List of AAP/CF/NB programs which resulted in Read-Only EDBC.
   Additional Column(s): Reason, EDBC Month

4. **List Name**: List of unprocessed AAP/CF/NB programs skipped in the COLA batch run with skip reasons.

List Criteria: List of AAP/CF/NB unprocessed programs skipped in the COLA batch run with the skip reasons like Override, Manual EDBC, Read Only EDBC, No Worker Assigned etc.
Additional Column(s): Program, EDBC Month, Not Processed Reason

<u>Informational List</u>: Below are the case listing details for informational purpose only and can be reviewed if required but batch EDBC will run on these cases as part of the CNI batch.

1. **List Name**: List of AAP cases with RE Date in the past
   List Criteria: List of AAP programs where the incomplete RE has a due date in the past.
    Additional Column(s): RE Due Date

2. **List Name:** List of AAP Cases where NOA did not generate due to overridden EDBC from prior benefit month
   List Criteria:
   - There is at least one overridden EDBC effective in the benefit month prior to CNI months
   - Batch EDBC is run for the CNI month(s), 07/2024 to 09/2024.
   - Program is AAP
   Note: The case information will display only once on the list even if more than one month may be impacted.

3. **List Name**: List of cases where the AAP EDBC resulted in a benefit reduction.
   List Criteria: List of AAP programs in which the Batch EDBC resulted in a benefit reduction.
   Additional Column(s): Include additional columns to indicate each of the benefit reduction type reasons in separate columns (Income Change, Proration Change, Over Payment Adjustment Change).

4. **List Name**: List of cases where the AAP EDBC resulted in an Overpayment.
   List Criteria: List of AAP programs in which the Batch EDBC resulted in an overpayment.
   Additional Column(s): Program, EDBC Benefit Month, EDBC Overpayment Amount

The lists will be posted to the following location: CalSAWS Web Portal>System Changes>SCR and SIR Lists>2024>CA-257181

4   APPENDIX

4.1   Batch Operations:

a) Run the new COLA batch sweep jobs for FC, KG and AAP CNI Rate Increase driving queries per SCRs CA-257182 (FC, KG, CF, NB) and CA-257181 (AAP, CF, NB) to insert into SYS_TRANSACT_COLA in 'Single Program' mode (FC, KG, AAP) for all the months starting from 07/2024 to 09/2024 and in 'Partial Program' mode for benefit month 09/2024 for the associated CF/NB programs.

b) Run COLA Batch EDBC framework for cases with FC, KG, and AAP per SCRs CA-257182 and CA-257181 identified in (a) above.

c) After EDBC for item (b) above is completed, run COLA Batch EDBC framework for cases with CF and NB per SCRs CA-257182 and CA-257181 identified in (a) above.

d) Run DCRs to suppress KG 'Age Changed' NOAs (all counties).

e) Run the DCRs operationally to hold the FC/KG/AAP 'CNI Increase' NOAs for LA County until they are released by CA-280212.

CalSAWS

California Statewide Automated Welfare System

Design Document

CA-257182

ACL 24-46 FC, KG CNI Rate Increase for Year 2024-2025 - Batch EDBC

CalSAWS	DOCUMENT APPROVAL HISTORY	
	Prepared By	Tom Lazio
	Reviewed By	

DATE	DOCUMENT VERSION	REVISION DESCRIPTION	AUTHOR
06/24/2024	1.0	Initial Draft	Tom Lazio

Table of Contents

1   OVERVIEW

Per ACL 24-46, the new FC/KG California Necessities Index (CNI) rate increase for the FY 2024-25 will be implemented effective July 1, 2024. This SCR will provide details for the one-time batch run that will apply the CNI Rate increase to the FC/KG programs for the benefit month of July 2024 through come-up month.

1.1   Current Design

Currently CalSAWS uses the FC/KG CNI rates of FY 2023-24 that are effective from July 1, 2023.

1.2   Requests

As per the ACL 24-46, the new FC/KG CNI rate increase for the FY 2024-25 will be effective as of July 1, 2024. The CTCR portion of the FC/KG CNI rate increase will be implemented with SCR CA-257184 and requires a one-time batch run that will apply the CNI Rate increase to the FC/KG programs for the benefit month of July 2024 through come-up month. The batch run will also include CF programs that have a participant in common with the FC or KG program.

1.3   Overview of Recommendations

1. Run Batch EDBC for FC/KG programs to apply the new CNI rate increase along with CF programs that have an FC or KG participant in common.
2. Generate CNI Stat Report and informational/exception listings for Counties to review.
3. For all Counties - Suppress any KG 'Age Changed' NOAs if generated from the Batch EDBC run.
4. For LA County - Put FC/KG 'CNI Increase' NOAs on hold from the Batch EDBC run and release the NOAs after the eCAPS/EFT financial processes are completed.

1.4   Assumptions

1. Batch EDBC will have a run reason for every month of CNI Increase run from 07/2024 through the come-up month.
2. During the Batch EDBC 'CNI Increase' run, the CCR Rate Change NOA will not be generated for EDBCs that already had a rate change completed and was run for a CNI month.
3. A regular change NOA will be generated for impacted cases if a NOA exists for the benefit change-reason.
4. For the FC/KG 'CNI Increase' NOAs put on hold (for LA County) per this effort, SCR CA-280212 will release these NOAs.
5. A list of FC and KG cases where the child has an effective income record that is not FC/KG 'Exempt' will be provided prior to the CNI Batch Run with SCR CA-265380.
6. Counties can check 'yellow banner' programs daily with the latest Qlik report.

7. There will be a decrease in FFA Age Based and LOC rates for FY 24-25 due to the one-time 8.8% increase that was implemented in FY 23-24.

2 RECOMMENDATIONS

Batch EDBC will run for FC/KG to apply the new CNI rate increase and CF for the same FC or KG participants to apply benefit updates.

2.1 Run Batch EDBC for FC/KG

2.1.1 Overview

FC/KG/CF Batch EDBC will run for the benefit month of 07/2024 through come-up month.

2.1.2 Description of Changes

1. Run batch EDBC for active Foster Care (FC) and Kin-GAP (KG) programs starting with the month of 07/2024 through come-up month (09/2024) including past RE due date cases.
   a. Batch EDBC will run with the run reason "CWS Annual COLA".
   b. Batch EDBC records will have a run type code of 'Single Program'.
   c. Batch EDBC will not be triggered on programs with a Non-standard rate in effect in the benefit month.
   d. Batch EDBC will not run if there is an overridden aid code where a sub type code exists.
   e. Batch EDBC will not run if a regular EDBC has already been processed for the benefit month(s) since SCR CA-257184 went into production.
   f. Batch EDBC will not run EDBC for 'Yellow Banner' programs.
   g. Batch EDBC will insert the below Journal entry for FC programs:

      Short Description: Batch EDBC ran for [month, year]
      Long Description: Batch EDBC Ran for <Effective Month>. Batch EDBC processed for the <Program Name> program for following reasons: Foster Care COLA

   h. Batch EDBC will insert the below Journal entry for KG programs:

      Short Description: Batch EDBC ran for [month, year]
      Long Description: Batch EDBC Ran for <Effective Month>. Batch EDBC processed for the <Program Name> program for following reasons: Kin-GAP COLA.

2. Run batch EDBC for active CF and NB programs having an active CalFresh participant in common with FC or KG programs for the month of 09/2024.
    a. Batch EDBC will run with the run reason "CWS Annual COLA" for CF program only.
    b. Batch EDBC records will have a run type code of 'Partial Program' for both CF and NB.
    c. Batch EDBC will not run on the active CF program cases if SAR7 report status is 'Generated', 'Sent' or 'Incomplete'.
    d. Batch EDBC will not run CF Program if the benefit month is past the latest RE due date.
    e. Batch EDBC will not run EDBC for 'Yellow Banner' programs.
    f. Batch EDBC will insert the below Journal entry for CF and NB programs where there is a common FC program participant:

    > Short Description: Batch EDBC ran for [month, year]
    > Long Description: Batch EDBC Ran for <Effective Month>.
    > Batch EDBC processed for the <Program Name>
    > program for following reasons: Foster Care COLA

    g. Batch EDBC will insert the below Journal entry for CF and NB programs where there is a common KG program participant:

    > Short Description: Batch EDBC ran for [month, year]
    > Long Description: Batch EDBC Ran for <Effective Month>.
    > Batch EDBC processed for the <Program Name>
    > program for following reasons: Kin-GAP COLA

2.1.3 Programs Impacted

FC

KG

CF

NB

2.2 Correspondence – DCRs suppressing KG Age Changed, FC/KG CNI Increase NOAs

2.2.1 Overview

This effort is to suppress, the KG 'Age Changed' NOAs for all Counties. And separately, the FC/KG 'CNI Increase' NOAs will be put on hold for LA County only until released by CA-280212.

2.2.2 Description of Change

1. All Counties - Create a DCR to suppress KG 'Age Changed' NOAs by updating the NOA status (GENERATE_DOC.STAT_CODE) to 'Rejected' (CT220_RJ):

   Reason Fragment

   KG_CH_AGE_CHANGED_K007 (Fragment ID: 6270)

   Note: This NOA exists in English only.

2. LA County Only - Create and run DCR operationally to hold the FC/KG 'CNI Increase' NOAs by updating the NOA status (GENERATE_DOC.STAT_CODE) to 'On Hold (Batch)' (CT220_BH) until they are released by CA-280212.

   Reason Fragments
   a. FC_CH_COLA_RSN (Fragment ID: 7619 for CCR, 7475 for Non-CCR)
   b. KG_CH_COLA_RSN (Fragment ID: 7620 for CCR, 7476 for Non-CCR)

   Note: These NOAs continue to exist in English and Spanish.

3   OUTREACH

3.1   CNI Stats by County Report

Generate a statistical report called 'CNI Stats by County Report' that summarizes the EDBC count for each program included in the CNI by County and contains the following fields:

- \<program\> EDBC Counts
- \<program\> EDBC Processed
- \<program\> EDBC Skipped (Exception)
- \<program\> EDBC Read Only
- \<program\> EDBC Stack Trace
- \<program\> Success %
- **Total EDBC Count**
- **Total EDBC Processed**
- **Total EDBC Skipped (Exception)**
- **Total EDBC Read Only**
- **Total EDBC Stack Trace**
- **Overall Success Rate %**
- **Total NOAs Generated**

 NOTE: The columns in **bold** will include all programs in the CNI. The counts above will be separated by each County (see example below). Also, CF and NB counts will be consolidated under one set of \<program\> counts designated as 'CF'.

The report will be posted to the following location: CalSAWS Web Portal>System Changes>SCR and SIR Lists>2024>CA-257182.

3.2 Lists

All lists will have the standard list columns to display on the listings.

Standard Columns:

- Case Name
- Case Number
- County
- Unit
- Unit Name
- Office Name
- Worker ID

Exception List: Below are the case listing details for case exceptions (skipped, unprocessed, discontinued, etc.) with the standard columns after the batch.

1. **List Name**: List of cases with Non-Standard Rate - FosterCare or KinGAP.
   List Criteria: List of FC/KG programs with a Non-Standard Rate.
   Additional Column(s): Rate column

2. **List Name**: List of FC/KG programs with Overridden Aid Code
   List Criteria: List of FC/KG programs with overridden aid code where a sub type code exists.
   Additional Column(s): Sub Type Code

3. **List Name**: List of FC/KG/CF/NB cases Discontinued by the Batch EDBC
   List Criteria: List of FC/KG/CF/NB programs discontinued by the batch EDBC.
   Additional Column(s): Program, EDBC Month, Discontinued Reason

4. **List Name**: List of FC/KG/CF/NB programs with Read-Only EDBC
   List Criteria: List of FC/KG/CF/NB programs which resulted in Read-Only EDBC.
   Additional Column(s): Program, Reason, EDBC Month

5. **List Name**: List of unprocessed FC/KG/CF/NB programs skipped in the CNI batch run with skip reasons.
   List Criteria: List of FC/KG/CF/NB unprocessed programs skipped in the CNI batch run with the skip reasons like Override, Manual EDBC, Read Only EDBC, No Worker Assigned etc.
   Additional Column(s): Program, EDBC Month, Not Processed Reason

Informational List: Below are the case listing details for informational purpose only and can be reviewed if required but batch EDBC will run on these cases as part of the CNI batch.

1. **List Name:** List of FC or KG cases with RE Date in the past.
    List Criteria: List of FC/KG programs where the incomplete RE has a due date in the past.
    Additional Column(s): Program, RE Due Date

2. **List Name**: List of FC programs with THPP or THP+FC Facilities

    List Criteria: List of FC programs with THPP or THP+FC Facilities with an additional column to show CalFresh (CF) cases having a CalFresh participant in common with FC program.

    Additional Column(s): CF Case #

3. **List Name:** List of FC/KG Cases where NOA did not generate due to overridden EDBC from prior benefit month.
    List Criteria:
    i.   There is at least one overridden EDBC effective in the benefit month prior to CNI months.
    ii.   Batch EDBC is run for the CNI month(s), 07/2024 to 09/2024.
    iii.   Program is Foster Care or Kin-GAP
    Additional Columns: Program
    Note: The case information will display only once on the list even if more than one month may be impacted.

4. **List Name**: List of cases where the FC/KG EDBC resulted in a benefit reduction.
    List Criteria: List of FC/KG programs in which the Batch EDBC resulted in a benefit reduction.
    Additional Column(s): Include additional columns to indicate each of the benefit reduction type reasons in separate columns (Income Change, Proration Change, Over Payment Adjustment Change).

5. **List Name**: List of cases where the FC/KG EDBC resulted in an Overpayment.

    List Criteria: List of FC/KG programs in which the Batch EDBC resulted in an overpayment.

    Additional Column(s): Program, EDBC Benefit Month, EDBC Overpayment Amount

    Note: Due to the decrease in FFA rates, there may be a higher volume of cases with overpayments for FY 24-25 CNI Batch run.

The lists will be posted to the following location:
CalSAWS Web Portal>System Changes>SCR and SIR Lists>2024>CA-257182.

4   APPENDIX

4.1   Batch Operations:

a) Run the new COLA batch sweep jobs for FC, KG and AAP CNI Rate Increase driving queries per SCRs CA-257182 (FC, KG, CF, NB) and CA-257181 (AAP, CF, NB) to insert into SYS_TRANSACT_COLA in 'Single Program' mode (FC, KG, AAP) for all the months starting from 07/2024 to 09/2024 and in 'Partial Program' mode for benefit month 09/2024 for the associated CF/NB programs.

b) Run COLA Batch EDBC framework for cases with FC, KG, and AAP per SCRs CA-257182 and CA-257181 identified in (a) above.

c) After EDBC for item (b) above is completed, run COLA Batch EDBC framework for cases with CF and NB per SCRs CA-257182 and CA-257181 identified in (a) above.

d) Run DCRs to suppress KG 'Age Changed' NOAs (all counties).

e) Run the DCRs operationally to hold the FC/KG/AAP 'CNI Increase' NOAs for LA County until they are released by CA-280212.

CalSAWS

California Statewide Automated Welfare System

Design Document

CA-257184

ACL 24-46 FC, KG CNI Rate Increase for Year 2024-2025

CalSAWS	DOCUMENT APPROVAL HISTORY	
	Prepared By	Tom Lazio
	Reviewed By	

DATE	DOCUMENT VERSION	REVISION DESCRIPTION	AUTHOR
06/20/2024	1.0	Initial Draft	T. Lazio

Table of Contents

1 OVERVIEW

This document details the changes necessary in CalSAWS to implement the adjusted schedules of rates that reflect the California Necessities Index (CNI) increase of 4.32% for Fiscal Year (FY) 2024-25 for Foster Care (FC) and Kin-GAP (KG) programs.

In CalSAWS, ARC is a subset of the Foster Care program, so the rates for ARC are implicitly updated by updating the Foster Care rates to the new levels for the FY 2024-25.

The CNI increase is applicable to out-of-home placements and the Aid to Families with Dependent Children-Foster Care (AFDC-FC) program.

This document also reflects the CNI increase to be applied to Dual Agency and other additional rates applicable to FC programs effective July 1, 2024.

The one-time batch run details related to the CNI Rate increase for FC/KG programs can be found under the SCR CA-257182.

1.1 Current Design

Currently CalSAWS uses the CNI rates of FY 2023-24 that are effective from July 1, 2023 that were implemented with SCR CA-243006.

CalSAWS generates 'CNI Increase' NOAs to notify FC/KG vendors when the FC/KG Monthly rate changes due to CNI.

1.2 Requests

As per the ACL 24-46, the new CNI rate increase for the FY 2024-25 will be implemented in CalSAWS effective July 1, 2024.

1.3 Overview of Recommendations

1. The Code detail tables that reflect the CNI rates will be updated for all the applicable placement types.
2. Suppress FC, KG rate decrease NOAs during COLA month.
3. Update the FC/KG CNI Increase reason's regulations to match latest ACL.
4. Update the hard-coded CNI increase begin and end dates to the new 2024-2025 dates for the FC/KG CNI Increase NOAs to be generated.

1.4 Assumptions

1. Under this SCR, Eligibility is only updating the amounts and effective dates of CNI rates in the Code Tables.
2. CNI Rate in rate/code table updates are only the scope of this SCR.
3. No change will be made to Rate determination logic in this SCR.
4. Home Based Family Care (HBFC) Providers list referred in this SCR can be found in the Appendix section of this design document.
5. Foster Family Agency (FFA) facility types list referred in this SCR can be found in the Appendix section of this design document.

6. During the Batch EDBC 'CNI Increase' run, the CCR Rate Change NOA will not be generated for EDBCs that already had a rate change completed and was run for a CNI month.
7. FC/KG Monthly rates that are updated on the FC or KG Rate Detail pages by the worker may result in generation of the FC/KG CNI Increase NOA if the rate update-effective month is the same as CNI rate increase effective month for the program and EDBC is run for that month. Workers may suppress the generated CNI Increase NOA in such cases and generate a manual NOA for FC/KG Rate update.
8. Per ACL 24-46, Page 2, Counties are not required to issue a Notice of Action as the decrease is not a result of a county action.
9. No CNI Rate increases will be made to the Infant Supplement.
10. There will be a decrease in FFA Age Based and LOC rates for FY 24-25 due to the one-time 8.8% increase that was implemented in FY 23-24.
11. Functionality for Dual Agency Rate Eligibility for Dual Agency Children & NMD's in FFA Resource Homes will be added with future SCR CA-277036.

2   RECOMMENDATIONS

The CNI rates will be updated in CalSAWS code tables for all the applicable placement types for Foster Care (FC) and Kin-GAP (KG) Programs.

2.1   Schedule A Basic rates for HBFC Homes

2.1.1   Overview

Schedule A rates apply to Kin-GAP cases in which dependency was dismissed and NRLG cases including probate whose guardianship was established, prior to May 1, 2011.

For Foster Care programs these rates are paid when the child is in a Facility that receives the Home-Based Family Care (HBFC) rates and the selected Basic Rate Code in the rate detail page is Schedule A.

For Kin-GAP programs these rates are paid when the "Date of Legal Guardianship" is prior to May 1, 2011.

2.1.2   Description of Changes

1. Update HBFC Homes age-based standard state rates for Schedule A by end-dating the rate/code table records that began 07/01/2023 with an end date of 06/30/2024. Insert new rate/code table records effective 07/01/2024 with the following rates:

Age	0-4	5-8	9-11	12-14	15 and Over
Basic	$732	$796	$852	$939	$1,030

2.2   Schedule A specialized Rates for HBFC Homes

2.2.1   Description of Changes

1. Update the following Schedule A specialized rates:

Age	0-4	5-8	9-11	12-14	15 and Over
D Rate	$1,508	$1,510	$1,515	$1,554	$1,592
F1 Rate	$1,101	$1,099	$1,106	$1,145	$1,180
F2 Rate	$1,247	$1,248	$1,253	$1,293	$1,327
F3 Rate	$1,508	$1,510	$1,515	$1,554	$1,592

Age	0-4	5-8	9-11	12-14	15 and Over
F4 Rate	$1,730	$1,728	$1,735	$1,776	$1,807

2.3 Schedule B Basic Rates for HBFC Homes

2.3.1 Overview

Schedule B rates applies to Kin-GAP cases where dependency was dismissed and NRLG cases including probate guardianship and guardianships established by the juvenile court, between May 1, 2011 and December 31, 2016.

For Foster Care programs these rates are paid when the child is in a Facility that receives the Home Based Family Care (HBFC) rates and the selected Basic Rate Code in the rate detail page is Schedule B.

For Kin-GAP programs these rates are paid when the "Date of Legal Guardianship" is on / after May 1, 2011 and KG Summary begin date is on / before December 31, 2016.

2.3.2 Description of Change

1. Update HBFC Homes age-based standard state rates for Schedule B by end-dating the rate/code table records that began 07/01/2023 with an end date of 06/30/2024. Insert new rate/code table records effective 07/01/2024 with the following rates:

Age	0-4	5-8	9-11	12-14	15 and Over
Basic	$1,001	$1,081	$1,140	$1,193	$1,251

2.4 Schedule B specialized Rates for HBFC Homes

2.4.1 Description of Change

1. Update the following Schedule B specialized rates:

Age	0-4	5-8	9-11	12-14	15 and Over
D Rate	$1,777	$1,795	$1,803	$1,808	$1,813
F1 Rate	$1,370	$1,384	$1,394	$1,399	$1,401
F2 Rate	$1,516	$1,533	$1,541	$1,547	$1,548

Age	0-4	5-8	9-11	12-14	15 and Over
F3 Rate	$1,777	$1,795	$1,803	$1,808	$1,813
F4 Rate	$1,999	$2,013	$2,023	$2,030	$2,028

2.5 Level of Care Basic Rates for HBFC Homes

2.5.1 Overview

For Foster Care programs these rates are paid when the child is in a Facility that receives the Level of Care rate and the Benefit Month is on or after January 2017. For Kin-GAP programs these rates are paid when the Kin-GAP Summary Begin Date is on or after January 1, 2017 in CalSAWS.

2.5.2 Description of Change

1. Update the HBFC Homes LOC1 (Basic Level) Rate by end-dating the rate/code table records that began 07/01/2023 with an end date of 06/30/2024. Insert new rate/code table records effective 07/01/2024 with the following LOC1 rate:

Level of Care	Basic Level Rate	LOC 2	LOC 3	LOC4
Basic	$1,258	$1,399	$1,543	$1,683

Technical Note: LOC CCR Rates in CT536 are common for all FC/KG and AAP programs.

2.6 Level of Care Specialized Rates for HBFC Homes

1. Update the CCR LOC1 (Basic Level), LOC2, LOC3 and LOC4 specialized rates (D, F1 thru F4) by end-dating the previous rate/code table records with an end date of 06/30/2024. Insert new rate/code table records effective 07/01/2024 with the following rates:

Level of Care	Basic Level Rate	LOC2	LOC3	LOC4
D Rate	$1,859	$1,859	$1,859	$1,859
F1 Rate	$1,449	$1,449	$1,449	$1,449
F2 Rate	$1,599	$1,599	$1,599	$1,599

Level of Care	Basic Level Rate	LOC2	LOC3	LOC4
F3 Rate	$1,859	$1,859	$1,859	$1,859
F4 Rate	$2,079	$2,079	$2,079	$2,079

Technical Note: LOC CCR Rates in CT536 are common for all FC/KG and AAP programs.

2.7 Dual Agency / Regional Center Rates

2.7.1 Overview

These rates are paid when the rate selected on a case is "Dual Agency RC-California Early Start Intervention (P1)" or "Dual Agency RC-Lanterman Developmental Disability (P2)".

2.7.2 Description of Change

1. Update "Dual Agency/Regional Center" rates in CalSAWS by end-dating the rate/code table records that began 07/01/2023 with an end date of 06/30/2024. Insert new rate/code table records effective 07/01/2024 with the following rates:

Description	Rate
Dual Agency RC-California Early Start Intervention (P1)	$1,475
Dual Agency RC-Lanterman Developmental Disability (P2)	$3,293

2.8 Foster Family Agencies (FFAs) age-based Rates

2.8.1 Overview

These rates are paid on age-based Foster Care programs with a facility type of "Foster Family Agency (FFA)" placements made prior to 12/01/2017.

2.8.2 Description of Change

1. Update "Foster Family Agencies (FFAs)" age-based rates by end-dating the rate/code table records that began 07/01/2023 with an

end date of 06/30/2024. Insert new rate/code table records effective 07/01/2024 with the following rates:

Age	0-4	5-8	9-11	12-14	15 & Over
Basic	$2,506	$2,586	$2,645	$2,698	$2,756

2.9 Foster Family Agencies (FFAs) Level of Care Rates

2.9.1 Overview

These rates are paid on LOC Rate Foster Care programs with a facility type of FFA placements made after 12/01/2017.

2.9.2 Description of Change

1. Update "Foster Family Agencies (FFAs)" LOC rates by end-dating the rate/code table records that began 07/01/2023 with an end date of 06/30/2024. Insert new rate/code table records effective 07/01/2024 with the following rates:

Level of Care	Basic Level Rate	LOC2	LOC3	LOC4
Basic	$2,574	$2,761	$2,951	$3,173

2.10 Intensive Services Foster Care (ISFC) Rates

2.10.1 Overview

These rates are paid on Foster Care programs when an ISFC rate is selected.

2.10.2 Description of Change

1. Update "Intensive Services Foster Care (ISFC)" rates by end-dating the rate/code table records that began 07/01/2023 with an end date of 06/30/2024. Insert new rate/code table records effective 07/01/2024 with the following rates:

ISFC Options	Rate
ISCO - ISFC – County	$6,966
ISFA - ISFC – FFA	$6,966
ISFO - ISFC - Family-Only	$3,284
ISTF - ISFC – TFC	$6,966

2.11 Short-Term Residential Therapeutic Program (STRTP) Rate Type

2.11.1 Overview

These rates are paid on Foster Care programs when the rate level is "Short Term Residential Therapeutic Program (STRTP)".

2.11.2 Description of Change

1. Update "Short-Term Residential Therapeutic Program (STRTP)" rates by end-dating the rate/code table records that began 07/01/2023 with an end date of 06/30/2024. Insert new rate/code table records effective 07/01/2024 with the following rate:

	Rate
STRTP	$17,033

2.12 Transitional Housing Placement – Plus – Foster Care (THP+FC)

2.12.1 Overview

These rates are paid on Foster Care programs when the placement is a facility type of "Transitional Housing Placement + FC (THP+FC)" and the corresponding rate from the list below is selected.

2.12.2 Description of Change

1. Update all "Transitional Housing Placement – Plus – Foster Care (THP+FC)" rates by end-dating the rate/code table records that began 07/01/2023 with an end date of 06/30/2024. Insert new rate/code table records effective 07/01/2024 with the following rates:

	Rate
THP+FC SINGLE SITE	$4,373
THP+FC REMOTE SITE	$4,373
THP+FC HOST SITE	$3,479

2.13 THP-NMD Housing Supplement rates

2.13.1 Overview

SCR CA-227842 added a new rate table in CalSAWS to store the "Transitional Housing Placement for Non-Minor Dependent (THP-NMD)" Housing Supplement Rates.

2.13.2 Description of Change

1. Update all "Transitional Housing Placement for Non-Minor Dependent (THP-NMD)" Housing Supplement rates by end-dating the rate/code table records that began 07/01/2023 with an end date of 06/30/2024. Insert new rate/code table records effective 07/01/2024 with the following rates:

COUNTY	NON-CUSTODIAL RATE	CUSTODIAL RATE
Alameda	$4,730	$5,566
Alpine	$4,373	$4,440
Amador	$4,373	$4,570
Butte	$4,373	$4,526
Calaveras	$4,373	$4,490
Colusa	$4,373	$4,373
Contra Costa	$4,730	$5,566
Del Norte	$4,373	$4,405
El Dorado	$4,471	$5,101
Fresno	$4,373	$4,592
Glenn	$4,373	$4,373
Humboldt	$4,373	$4,467
Imperial	$4,373	$4,436
Inyo	$4,373	$4,514

COUNTY	NON-CUSTODIAL RATE	CUSTODIAL RATE
Kern	$4,373	$4,402
Kings	$4,373	$4,522
Lake	$4,373	$4,399
Lassen	$4,373	$4,373
Los Angeles	$4,707	$5,441
Madera	$4,373	$4,525
Marin	$5,115	$6,253
Mariposa	$4,373	$4,445
Mendocino	$4,373	$4,567
Merced	$4,373	$4,594
Modoc	$4,373	$4,373
Mono	$4,373	$4,727
Monterey	$4,875	$5,802
Napa	$4,777	$5,478
Nevada	$4,373	$4,652
Orange	$4,827	$5,779
Placer	$4,471	$5,101
Plumas	$4,373	$4,373
Riverside	$4,440	$5,046
Sacramento	$4,471	$5,101
San Benito	$4,695	$5,352
San Bernardino	$4,440	$5,046
San Diego	$4,852	$5,683
San Francisco	$5,115	$6,253
San Joaquin	$4,373	$4,680
San Luis Obispo	$4,572	$5,166
San Mateo	$5,115	$6,253
Santa Barbara	$4,932	$6,086
Santa Clara	$5,001	$6,129
Santa Cruz	$5,462	$6,520
Shasta	$4,373	$4,567
Sierra	$4,373	$4,440
Siskiyou	$4,373	$4,373
Solano	$4,589	$5,288

COUNTY	NON-CUSTODIAL RATE	CUSTODIAL RATE
Sonoma	$4,624	$5,244
Stanislaus	$4,373	$4,623
Sutter	$4,373	$4,567
Tehama	$4,373	$4,383
Trinity	$4,373	$4,373
Tulare	$4,373	$4,424
Tuolumne	$4,373	$4,467
Ventura	$4,642	$5,446
Yolo	$4,425	$4,942
Yuba	$4,373	$4,567

2.14 Suppress FC, KG rate decrease NOAs during COLA month

2.14.1 Overview

The generation logic for these FC / KG 'Rate Decrease' Change NOA reasons will be updated to accommodate the 2024–2025 year details.

Reason Fragment Name and ID:
    KG_CH_RATE_DECRESE_K018 (ID: 7489),
    FC_CH_RATE_DECRESE_T309 (ID: 7484 for CCR, ID: 7828 for Non CCR)
Current NOA Template:
    FC: FC_NOA_TEMPLATE (SNIPPET.ID = 3030)
    KG: KG_NOA_TEMPLATE (SNIPPET.ID = 3031)
Current Program(s): FOSTER CARE, KIN-GAP
Current Action Type: Change or Supplement
Current Fragment Level: Program
Currently Repeatable: No
Include NA Back 9: Yes
Current Forms/NOAs Generated with this NOA: N/A
Existing Languages: English, Spanish

2.14.2 Form/NOA Verbiage
There is no update to the verbiage.

2.14.3 Form/NOA Variable Population
There is no update to the variable population.

2.14.4 Form/NOA Generation Conditions

Suppress regular rate decrease NOAs during COLA month for Foster Care and Kin-GAP.

2.15 Update logic of 'CNI Increase' Change NOA Reasons

2.15.1 Overview

The generation logic for these FC / KG 'CNI Increase' Change NOA reasons will be updated to accommodate the 2024–2025 year details.

Reason Fragment Name and ID:

FC_CH_COLA_RSN (SNIPPET.ID: 7619 for CCR, 7475 for Non-CCR)

KG_CH_COLA_RSN (SNIPPET.ID: 7620 for CCR, 7476 for Non-CCR)

Current NOA Template:

FC: FC_NOA_TEMPLATE (SNIPPET.ID = 3030)

KG: KG_NOA_TEMPLATE (SNIPPET.ID = 3031)

Current Program(s): Foster Care, Kin-GAP

Current Action Type: Change

Current Fragment Level: Program

Currently Repeatable: No

Includes NA Back 9: Yes

Current Forms/NOAs Generated with this NOA: N/A

Existing Languages for all snippets:

English, Spanish

2.15.2 NOA Verbiage

There are no changes to the verbiage.

2.15.3 NOA Variable Population

1.) <u>Variable Population</u>

There are no changes to the variable population.

2.) <u>Fragment Regulation</u>

Update the reason's regulations in English and Spanish for above reason fragments. CTCR CA-280097 will update the following regulations:

Existing English Regulations:

W&I Code Sections 11364, 11387, 11453, 11460, 11461, 11461(d)(2)(A), 11462, 11463, 11464, 18254, 18358.30; Senate Bill (SB) 1013, Chapter 35, Statutes Of 2012; Assembly Bill (AB) 403, Chapter 773, Statutes Of 2015; AB 1997, Chapter 612, Statutes Of 2016

Updated English Regulations:
WIC Sections
11364,11387,11405,11453,11460,11461,11461(d)(2)(A),11462,11463,11464,18254,18358.30; SB 1013, Chapter 35, Statutes Of 2012; SB 1811, Chapter 35, Statuses of 2018; AB 403, Chapter 773, Statutes Of 2015; AB 1997, Chapter 612, Statutes Of 2016; AB 2944, Chapter 104, Statutes of 2020

Existing Spanish Regulations:
WIC Secciones 11364, 11387, 11453, 11460, 11461 ,11461(d)(2)(A), 11462, 11463, 11464, 18254, 18358.30; SB 1013, Capitulo 35, Estatutos de 2012; AB 403, Capitulo 773, Estatutos de 2015; AB 1997, Capitulo 612, Estatutos de 2016

Updated Spanish Regulations:
WIC Secciones
11364,11387,11405,11453,11460,11461,11461(d)(2)(A),11462,11463,11464,18254,18358.30; SB 1013,Capitulo 35,Estatutos de 2012; SB 1811,Capitulo 35,Estatutos de 2018; AB 403,Capitulo 773,Estatutos de 2015; AB 1997,Capitulo 612,Estatutos de 2016; AB 2944,Capitulo 104,Estatutos de 2020

3.) <u>NOA Title / Footer Reference</u>

There are no changes to the NOA Title / Footer reference.

2.15.4 NOA Generation Conditions

<u>Updates to Fragment Generation</u>

1. Update the hard-coded, numeric constants of:
    a. CNI begin date to 07/01/2024
    b. CNI end date to CNI Batch run month end date ie. 09/30/2024

For the 'CNI Increase' NOA to generate accordingly to these batch months.

3   SUPPORTING DOCUMENTS

Number	Functional Area	Description	Attachment

4   APPENDIX

HBFC (Home-Based Family Care) Providers:

1) Foster Family Home
2) Foster Family Home – Shelter Care
3) Legal Guardian
4) Non-Relative Extended Family Member Home
5) Relative Home
6) Specialized Foster family Home
7) Supervised Independent Living
8) Tribal Specific Home
9) County Shelter / Receiving Home (Non – EA/AFDC)
10) Court Specified Home
11) Guardian Home
12) Resource family Home
13) Small Family Home
14) Temporary Shelter Home

FFA Providers:

1) Foster Family Agency
2) Foster Family Agency (Intensive Programs)
3) Foster Family Agency (Nontreatment)
4) Foster Family Agency (Treatment)
5) Foster Family Agency Certified Resource Family Home (FFACRFH) (Nontreatment)
6) Foster Family Agency Certified Resource Family Home (FFACRFH) (Treatment)

![CalSAWS](California Statewide Automated Welfare System)

Design Document

CA-257185

ACL 24-45 AAP CNI Rate Increase for Year 2024-2025

CalSAWS	DOCUMENT APPROVAL HISTORY	
	Prepared By	Tom Lazio
	Reviewed By	

DATE	DOCUMENT VERSION	REVISION DESCRIPTION	AUTHOR
06/19/2024	1.0	Initial Draft	Tom Lazio

Table of Contents

1   OVERVIEW

This document details the changes necessary in CalSAWS to implement the adjusted schedules of rates that reflect the California Necessities Index (CNI) increase of 4.32 % for Fiscal Year (FY) 2024-25 for Adoption Assistance Program (AAP) Cases.

This document reflects the CNI increase to be applied to Adoption Homes applicable to AAP cases effective July 1, 2024.

The one-time batch run details related to the CNI Rate increase for AAP program can be found under the SCR CA-257181.

1.0   Current Design

Currently CalSAWS uses the CNI rates of FY 2023-24 for AAP Program effective from July 1, 2023, implemented with SCR CA-243008.

1.1   Requests

As per the ACL 24-45, the new CNI rate increase for the FY 2024-25 will be implemented in CalSAWS for AAP Program effective July 1, 2024.

1.2   Overview of Recommendations

1. The Code detail tables that reflect the AAP CNI rates will be updated for all the applicable placement types.
2. Suppress AA_CH_RATE_DECREASE_P024 rate decrease NOA during COLA month for AAP.
3. Update the hard-coded CNI increase begin and end dates to the new 2024-2025 dates for the AAP Rate Increase NOAs to be generated.

1.3   Assumptions

1. Under this SCR, Eligibility is only updating the amounts and effective dates of CNI rates in the Code Tables.
2. No changes will be made to Rate determination logic in this SCR.
3. AAP Placement Provider lists referred in this SCR can be found at the end of design document.
4. The STRTP rate option will be added to the 'SCHEDULE LEVEL' field on the AAP AGREEMENT DETAIL page as part of SCR CA-234976.

2   RECOMMENDATIONS

The CNI rates will be updated in CalSAWS code tables for all the applicable placement types for AAP Program Cases.

2.1   Eligibility Rate Changes: AAP Rate Details

2.1.1   Rates for initial AAP agreements signed 10/1/1992 to 12/31/2007 and adoption finalized before 05/27/2011 – Table A

Update the AAP rates in CalSAWS for initial AAP agreements signed on or after October 1, 1992, through December 31, 2007 where the adoption was finalized before May 27, 2011 by end-dating the rate/code table records that began 07/01/2023 with an end date of 06/30/2024. Insert new rate/code table records effective 07/01/2024 with the following rates:

LA County Rates:

Age	0-4	5-8	9-11	12-14	15 & Over
Basic	$698	$758	$821	$895	$982
D Rate	$1,437	$1,438	$1,452	$1,481	$1,517
F1 Rate	$1,049	$1,047	$1,063	$1,091	$1,125
F2 Rate	$1,188	$1,188	$1,203	$1,232	$1,265
F3 Rate	$1,437	$1,438	$1,452	$1,481	$1,517
F4 Rate	$1,648	$1,646	$1,662	$1,692	$1,722

Non-LA County Rates:

Age	0-4	5-8	9-11	12-14	15 -21
Basic	$698	$758	$812	$895	$982
Orange	$698	$758	$812	$1,033	$1,033
Santa Clara	$698	$758	$821	$895	$982

Age/County	0-4	5-6	7-12	13-21
Marin	$698	$758	$873	$992

Note: The Basic rate row is applicable to all non-LA counties that are not listed above.

2.1.2 Rates for initial AAP agreements signed 1/1/2008 to 5/27/2011 and adoption finalized before 05/27/2011 – Table B

Update the AAP rates in CalSAWS for initial AAP agreements signed on or after January 1, 2008 through May 27, 2011 where the adoption was finalized on or before May 27, 2011 by end-dating the rate/code table records that began 07/01/2023 with an end date of 06/30/2024. Insert new rate/code table records effective 07/01/2024 with the following rates:

LA County Rates:

Age	0-4	5-8	9-11	12-14	15 & Over
Basic	$732	$796	$861	$939	$1,030
D Rate	$1,508	$1,510	$1,524	$1,554	$1,592
F1 Rate	$1,101	$1,099	$1,115	$1,145	$1,180
F2 Rate	$1,247	$1,248	$1,262	$1,293	$1,327
F3 Rate	$1,508	$1,510	$1,524	$1,554	$1,592
F4 Rate	$1,730	$1,728	$1,744	$1,776	$1,807

Non-LA County Rates:

Age	0-4	5-8	9-11	12-14	15 -21
Basic	$732	$796	$852	$939	$1,030
Orange	$732	$796	$852	$1,080	$1,080
Santa Clara	$732	$796	$861	$939	$1,030

Age/County	0-4	5-6	7-12	13-21
Marin	$751	$796	$917	$1,042

Note: The Basic rate row is applicable to all non-LA counties that are not listed above.

2.1.3 Rates for initial AAP agreements signed 7/1/2011 to 12/31/2016 and adoption finalized on or after 05/27/2011 – Table C

Update the AAP rates in CalSAWS for initial AAP agreements signed July 1, 2011, through December 31, 2016 where the adoption was finalized on or after May 27, 2011 by end-dating the rate/code table records that began 07/01/2023 with an end date of 06/30/2024. Insert new rate/code table records effective 07/01/2024 with the following rates:

All County Rates (Including LA County):

Age	0-4	5-8	9-11	12-14	15 & Over
Basic	$1,001	$1,081	$1,140	$1,193	$1,251

LA County D, F1 thru F4 Rates:

Age	0-4	5-8	9-11	12-14	15 & Over
D Rate	$1,777	$1,795	$1,803	$1,808	$1,813
F1 Rate	$1,370	$1,384	$1,394	$1,399	$1,401
F2 Rate	$1,516	$1,533	$1,541	$1,547	$1,548
F3 Rate	$1,777	$1,795	$1,803	$1,808	$1,813
F4 Rate	$1,999	$2,013	$2,023	$2,030	$2,028

2.1.4 Rates for initial AAP agreements signed on or after 01/01/2017 (Age Based Rate value selection)

Update the AAP rates in CalSAWS for initial AAP agreements signed on or after January 01, 2017, by end-dating the rate/code table records that began 07/01/2023 with an end date of 06/30/2024. Insert new rate/code table records effective 07/01/2024 with the following rates:

Note: These are for cases that have an agreement sign date on or after January 01, 2017 but the worker has selected age-based rates (CT 10439) in the AAP placement page. Even though the selected rate type is age-based by the user in CalSAWS, all the rate values are updated to the Basic Level LOC rate value in the database.

All County Rates (Including LA County):

Age	0-4, 5-8, 9-11, 12-14, 15 & Over
Basic	$1,258

LA County D, F1 thru F4 Rates:

Age	0-4, 5-8, 9-11, 12-14, 15 & Over
D Rate	$1,859
F1 Rate	$1,449
F2 Rate	$1,599
F3 Rate	$1,859
F4 Rate	$2,079

2.1.5 Rates for initial AAP agreements signed on or after 01/01/2017 (LOC Rates)

Update the AAP rates in CalSAWS for initial AAP agreements signed on or after January 01, 2017, by end-dating the rate/code table records that began 07/01/2023 with an end date of 06/30/2024. Insert new rate/code table records effective 07/01/2024 with the following rates:

All County Rates (Including LA County):

Level of Care	Basic Level Rate	LOC2	LOC3	LOC4
Basic	$1,258	$1,399	$1,543	$1,683

LA County D, F1 thru F4 Rates:

Level of Care	Basic Level Rate	LOC2	LOC3	LOC4
D Rate	$1,859	$1,859	$1,859	$1,859
F1 Rate	$1,449	$1,449	$1,449	$1,449
F2 Rate	$1,599	$1,599	$1,599	$1,599
F3 Rate	$1,859	$1,859	$1,859	$1,859
F4 Rate	$2,079	$2,079	$2,079	$2,079

Technical Note: LOC CCR Rates in CT536 are common for all FC/KG and AAP programs.

2.2 Suppress AA_CH_RATE_DECREASE_P024 rate decrease NOA during COLA month for AAP

2.2.1 Overview

The generation logic for this AAP 'Rate Decrease' Change NOA reason (AA_CH_RATE_DECREASE_P024) will be updated to accommodate the 2024–2025 year details.

Reason Fragment Name and ID: AA_CH_RATE_DECREASE_P024 (SNIPPET ID: 7510)
Current NOA Template: AA_NOA_TEMPLATE (SNIPPET.ID: 3032)
Current Program(s): AAP
Current Action Type: Change or Supplement
Current Fragment Level: Program
Currently Repeatable: No
Include NA Back 9: Yes
Current Forms/NOAs Generated with this NOA: N/A
Existing Languages: English, Spanish

2.2.2 Form/NOA Verbiage

There is no update to the verbiage.

2.2.3 Form/NOA Variable Population

There is no update to the variable population.

2.2.4 Form/NOA Generation Conditions

Suppress AAP rate decrease NOA(AA_CH_RATE_DECREASE_P024) during COLA month.

2.3 Update NOA Reason: AA_CH_RATE_INCREASE_P009

2.3.1 Overview

The generation logic for this AAP 'Rate Increase' Change NOA reason will be updated to accommodate the 2024–2025 year details.

Reason Fragment Name and ID:

      AA_CH_RATE_INCREASE_P009 (SNIPPET.ID: 6032)

Current NOA Template: AA_NOA_TEMPLATE (SNIPPET.ID: 3032)

Current Program(s): AAP

Current Action Type: Change or Supplement

Current Fragment Level: Program

Currently Repeatable: No

Includes NA Back 9: Yes

Current Forms/NOAs Generated with this NOA: N/A

Existing Languages (1): English, Spanish

2.3.2 NOA Verbiage

The CNI rate increase verbiage will be updated to have the updated rules.

Description	Existing Text	Updated Text	Formatting*
Dynamic section	Your monthly negotiated AAP rate has increased from {OldRate} to {NewRate}. The California Necessities Index (CNI) has increased for Fiscal Year {MonthYear1}. Rules: These rules apply: SENATE BILL (SB) 1013, CHAPTER 35, STATUTES OF 2012; ASSEMBLY BILL (AB) 403, CHAPTER 773, STATUTES OF 2015; AB 1997, CHAPTER 612, STATUTES OF 2016; WELFARE AND INSTITUTIONS CODE (WIC) SECTIONS 11364, 11387, 11453, 11460, 11461 ,11461(d)(2)(A), 11462, 11463, 11464, 18254, 18358.30.	Your monthly negotiated AAP rate has increased from {OldRate} to {NewRate}. The California Necessities Index (CNI) has increased for Fiscal Year {MonthYear1}. Rules: These rules apply: SENATE BILL (SB) 1013, CHAPTER 35, STATUTES OF 2012; ASSEMBLY BILL (AB) 403, CHAPTER 773, STATUTES OF 2015; AB 1997, CHAPTER 612, STATUTES OF 2016; WELFARE AND INSTITUTIONS CODE (WIC) SECTIONS 11364, 11387, 11453, 11460, 11461 ,11461(d)(2)(A), 11462, 11463, 11464, 16121(a) AND (g), 18254, 18358.30, ACL 24-45.	Arial Font Size 10

*English only, Spanish and threshold will generate based on project standards for that language.

2.3.3 NOA Variable Population

1.) <u>Variable Population</u>

There are no changes to the variable population.

2.) <u>Fragment Regulation</u>

There are no changes to the regulations tied to this reason.

Technical Note: The associated CT662 regulations tied to this reason (displayed near the bottom of the NOA) will remain the same, as these references are found and matches the State's NA 791 for AAP rate increase.

3.) <u>NOA Title / Footer Reference</u>

There are no changes to the NOA Title / Footer reference.

2.3.4 NOA Generation Conditions

1. Update the hard-coded, below mentioned numeric constants for the 'Rate Increase' NOA to generate accordingly to these CNI months.
   a. CNI begin date to 07/01/2024
   b. CNI end date to CNI Batch run month end date i.e. 09/30/2024

3 APPENDIX

<u>AAP Placement Providers:</u>
1. Adoptive Homes
2. Foster Family Agency
3. Foster Family Home
4. Group Home
5. Legal Guardian
6. Nonrelative Extended Family Member Home
7. Out of State Residential Treatment Facilities
8. Relative Home
9. Small Family Home
10. Specialized Foster Family Home
11. Supervised Independent Living

California Statewide Automated Welfare System

Design Document

CA-257779

BREfS Enhance eHIT to Transmit Verification Documents Uploaded in CalHEERS to SAWS

CalSAWS	DOCUMENT APPROVAL HISTORY	
	Prepared By	Cynthia Ridley
	Reviewed By	Geetha Ramalingam, William Baretsky, Noel Acosta, Maksim Volf, Christopher Vasquez, Ken Lerch, Renee Gustafson

DATE	DOCUMENT VERSION	REVISION DESCRIPTION	AUTHOR
03/13/2024	.01	Initial Draft	Cynthia Ridley
05/10/2024	.02	Reviewed with Build/Test	Cynthia Ridley
05/15/2024	.03	Reviewed with Analysts	Cynthia Ridley
05/16/2024	.04	Updated Section 2.3 with Imagining BA's	Cynthia Ridley
05/17/2024	.05	Added section 2.5	Howard Suksanti
05/20/2024	.06	Submitted for Final Review with BA's	Cynthia Ridley
05/20/2024	.07	Submitted for Final Review with Build/Test	Cynthia Ridley
05/28/2024	.08	Updated Design based on BA feedback. Re-submitted for Final Review	Cynthia Ridley
05/29/2024	1.0	Submitted for Medi-Cal Committee Review	Cynthia Ridley
06/06/2024	1.1	Assumption added for timeframe of when to expect to start receiving Documents/Images from CalHEERS Updated Recommendation 2.1.2.D Column from 'Form Type' to 'Document Type'	Cynthia Ridley
06/13/2024	1.2	Assumption # 3 was updated for scenarios	Cynthia Ridley
06/13/2024	2.0	Submitted for Medi-Cal Committee Review	Cynthia Ridley

Table of Contents

1 OVERVIEW

CalHEERS CR 173135 will begin sending Document Images uploaded into the CalHEERS portal to CalSAWS. This SCR is being implemented to support the new document image transfer. CalSAWS will be updated to receive Document images sent from CalHEERS and will have the ability to re-index a MAGI document and any other scanned images received from CalHEERS into the CalSAWS Imaging Solution (Hyland).

1.1 Current Design

CalSAWS does not have a way to receive document images sent from CalHEERS.

CalSAWS uses an Imaging Solution (Hyland) to store and display Documents/Images within CalSAWS from other interfaces but does not have the functionality to receive and display Document/Images from CalHEERS.

1.2 Requests

Update CalSAWS to have the ability to receive document images sent from CalHEERS to store and display within CalSAWS Imaging Solution (Hyland).

1.3 Overview of Recommendations

1. Update the MAGI Referral Detail page to retrieve document images received from CalHEERS for the MAGI Referral.
2. Update the Hyland Image Retrieval Utility to include the CalHEERS tag to retrieve documents received from CalHEERS.
3. Update the CalSAWS Imaging Service to route document images received from CalHEERS to the appropriate Hyland staging drawers.
4. Update the Hyland Imaging Routing for CalHEERS Documents/Images through the Optical Character Recognition (OCR).
5. Imaging Security Right is stored in Code category 10623 - Imaging Security Rights. This SCR will create 1 new Imaging Security Right.

1.4 Assumptions

1. Document/Images mapping logic within CalSAWS will not be changed with this SCR.
2. Linked/Routed documents will generate tasks using existing county configured document routing rules.
3. CalHEERS-related images already linked to a CalSAWS case will not be moved/re-indexed to a new CalSAWS case if the CalHEERS case moves to a different CalSAWS case. County users will follow current process to re-index images as needed.
4. Documents/Images will begin transmitting to CalSAWS at time of Go-Live of 24.09 (09/24/2024) forward.

2 RECOMMENDATIONS

2.1 MAGI Referral Detail Page

2.1.1 Overview

The MAGI Referral Detail page displays the MAGI Referral information and allows the worker to initiate the MAGI to case linkage process. This page will be updated to include an 'Images' button to retrieve images sent from CalHEERS associated to the MAGI Case number.

2.1.2 MAGI Referral Detail Mockup

Figure 2.1.1 – Images Button added to the MAGI Referral Detail Page
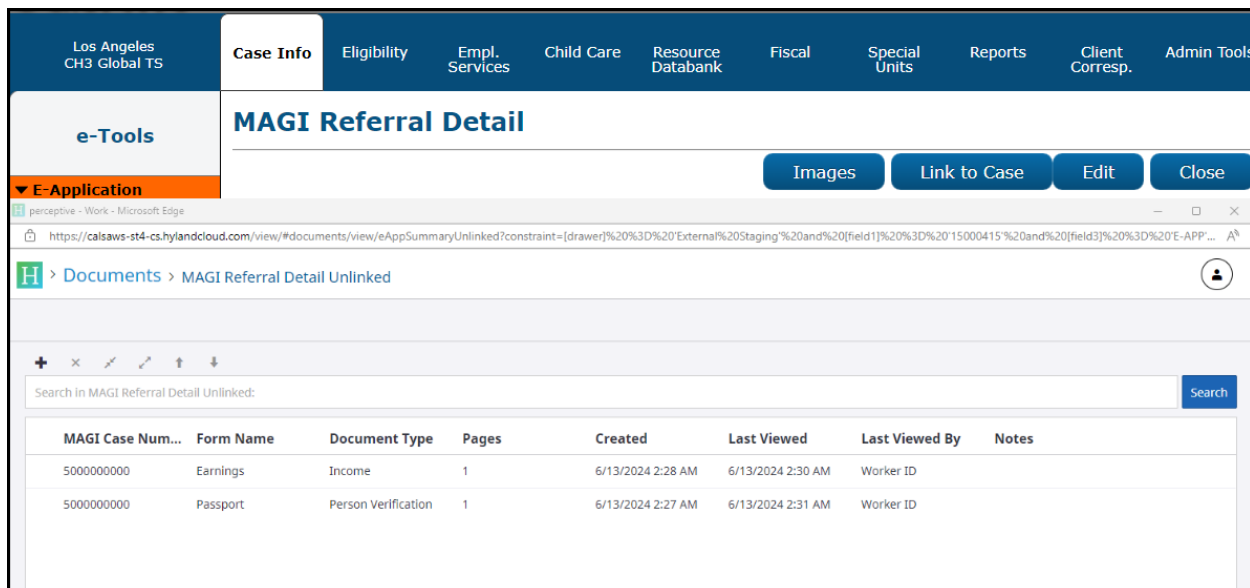
Figure 2.1.2 MAGI Referral Detail Page – Hyland Pop-Up window displayed after the 'Images' button is clicked.

2.1.3 Description of Changes

1. Add an 'Images' button to the left of the 'Link to Case' button on the MAGI Referral Detail Page.
   a. 'Images' button will display for users that have the 'ImagingMAGIReferralView' security right.
   b. Clicking the 'Images' button will open a new Hyland pop-up window and retrieve document images sent from CalHEERs as shown in figure 2.1.2.
      i. Hyland pop-up will retrieve and display all document images sent from CalHEERS for the MAGI Case for user viewing on the MAGI Referral Detail page.
   c. The parameters sent to Hyland will be the following:
      1. Field 1: CalHEERS Case ID
      2. Field 3: CH-CalHEERS Flag
      3. Custom Key: County Code

   Technical Note: Documents pulled into the Imaging Solution System (Hyland) will be placed in the External Staging Drawer

   d. Hyland pop-up will display the following columns starting from left to right as shown in figure 2.1.2:
      i. MAGI Case Number
      ii. Form Name
      iii. Document Type
      iv. Pages
      v. Created
      vi. Last Viewed
      vii. Last Viewed By

7

viii. Notes
2. Only display the 'Images' button on the MAGI Referral Detail page when:
a. The status of the DER is in 'Received' or 'In Process'

2.1.4 Page Location

- **Global:**
- **Local:**
- **Task:**

2.1.5 Security Updates

1. Security Rights

Security Right	Right Description	Right to Group Mapping
ImagingMAGIReferralView	User has rights to view Document Images attached to the MAGI Referral	MAGI View MAGI Edit

2.1.6 Page Mapping

Update based on Description of changes in Recommendation 2.1.3.

2.1.7 Accessibility

The following Accessibility enhancements have been identified and will be updated under a future SCR:

- Certain ARIA roles must contain particular children

2.1.8 Page Usage/Data Volume Impacts

N/A

2.2   Hyland Image Retrieval Utility

2.2.1   Overview

Update the Hyland Image Retrieval Utility to include the CalHEERS tag to retrieve documents received from CalHEERS.

2.2.2   Description of Change

1. Update the Hyland Image Retrieval Utility to include the CalHEERS flag sent from CalHEERS:
    a. Field 3: CH - Flag

2.2.3   Partner Integration Testing

Integration testing with CalHEERS

2.2.4   Execution Frequency

N/A

2.2.5   Key Scheduling Dependencies

N/A

2.2.6   Counties Impacted

All counties utilizing the Hyland Solution System.

2.2.7   Data Volume/Performance

N/A

2.3   Hyland Imaging

2.3.1   Overview

Update the Hyland Imaging Routing for CalHEERS Documents/Images through the Optical Character Recognition (OCR).

2.3.2   Description of Change

1. Documents will be routed to the Pre-OCR queue upon case linking. Once in Pre-OCR, documents will follow the existing OCR process outlined in CA-214048.
2. Documents with the Capture Information 'CalHEERS' will not route to any queues and will default to the 'Document type' sent in the transaction from CalHEERS.
3. Documents will be stored with Case information and will not route to the Person Selection queue.
4. Add a new Imaging Security right 'ImagingMAGIReferralView' to the management console.

2.3.3   Partner Integration Testing

Regression testing with CalHEERS

2.3.4   Execution Frequency

N/A

2.3.5   Key Scheduling Dependencies

N/A

2.3.6   Counties Impacted

All counties utilizing the Hyland Solution System.

2.3.7   Data Volume/Performance

N/A

2.4 CalSAWS Imaging Service

2.4.1 Overview

Update the CalSAWS Imaging Service to route document images received from CalHEERS to the appropriate Hyland staging drawers.

2.4.2 Description of Changes

MAGI Document Images Store - REQUEST			
CalSAWS API Field	CalHEERS Mapping	Required	Comments
Field 1	CalHEERS Case ID	Y	CalHEERS 10 Digit Case number
Field 3	CH-CalHEERS Flag	Y	
DocumentType	Imaging Document Type	Y	
CustomKeys	County Code	Y	County Code using two-digit county code from CT_15
CustomKeys	Capture Information		Unique Name: CalHEERS
CustomKeys	E-Application		CalHEERS 10 Digit Case Number. Ex: MAGI 5000000001

Table 1 – REQUEST - Store Parameters
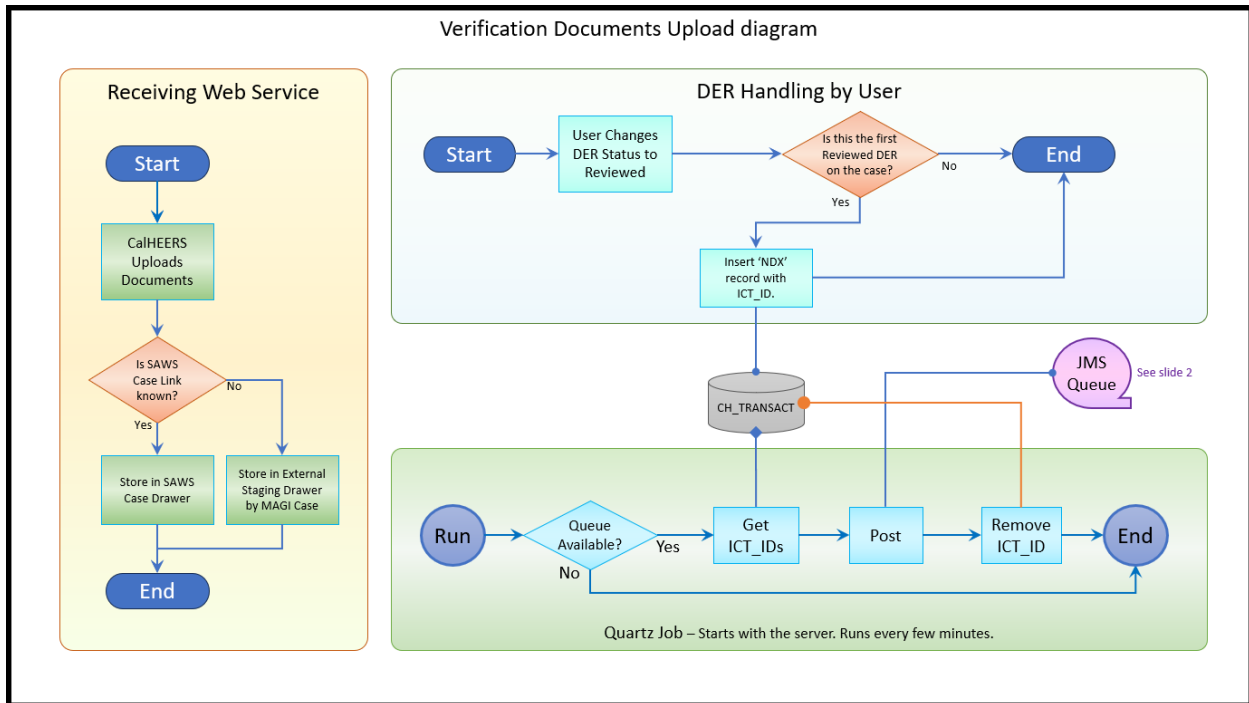
Diagram 1: Uploading Verification Documents to the CalHEERS Transaction Table
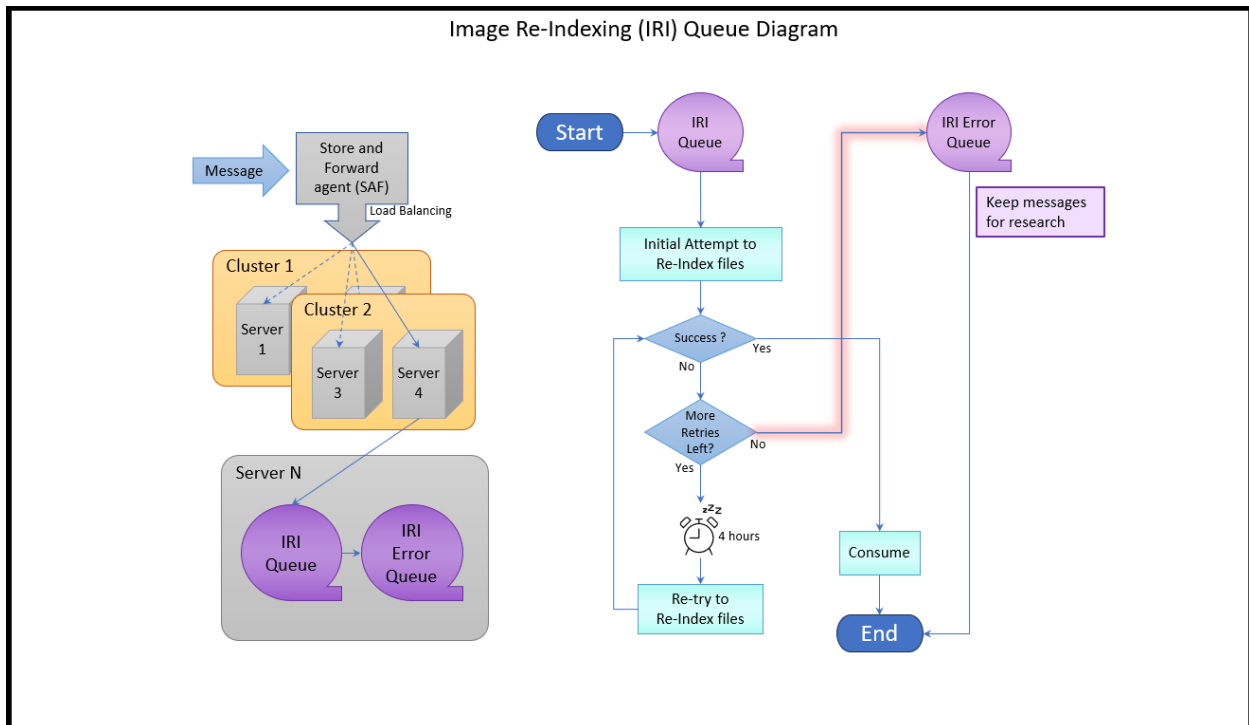
Diagram 2: Image Re-Indexing to the Image Re-Indexing queue (IRI)
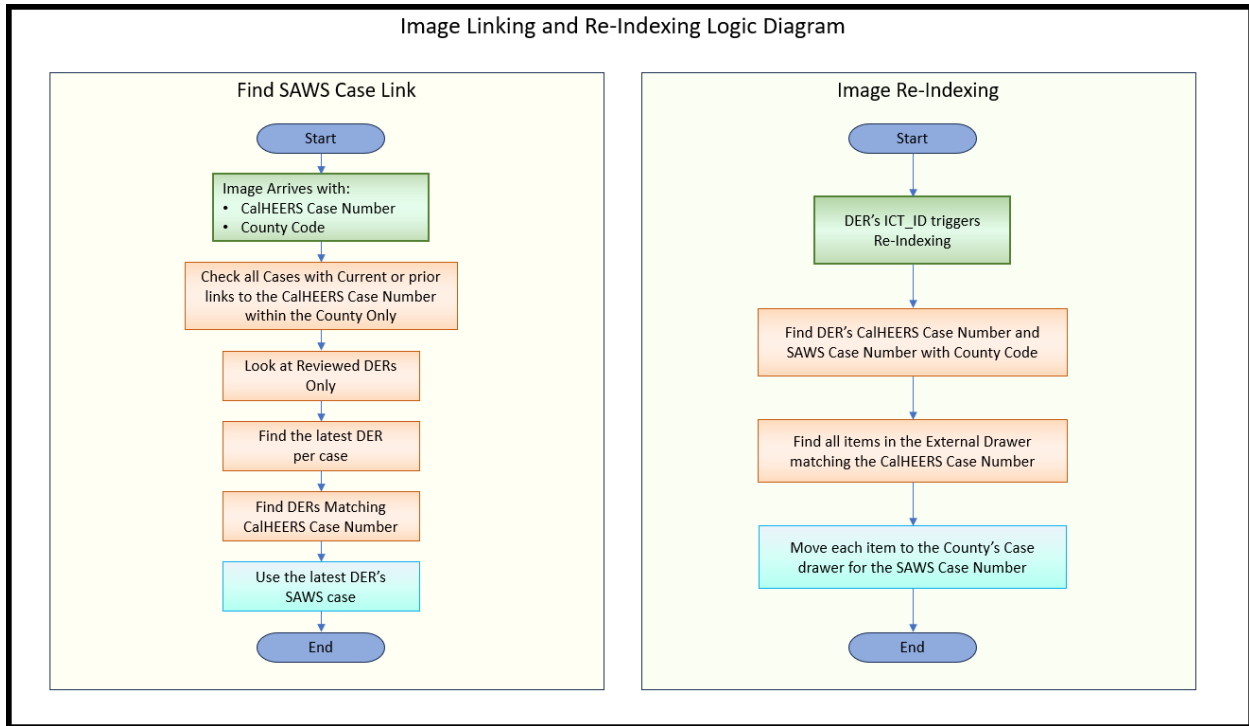
Diagram 3: Linking and Re-Indexing Image/Documents

1. Store Document images received from CalHEERS as follow:
   a. Store all documents/images associated to the MAGI Case number to the External Staging Drawer where a MAGI Case is not linked to a CalSAWS case.
   b. Store all documents/images received with the MAGI case in the appropriate County Case drawer when the MAGI Case number is linked to the CalSAWS case.
5. Create a transaction to move all documents/images to the CH_TRANSACT table to be Re-Indexed to the County Case drawer as follows:
   a. The MAGI Case Number being linked to the County Case Drawer has the DER moved into 'Reviewed' status.
   b. Search to find all documents/images in external staging drawer associated to the MAGI Case number being linked to the CalSAWS case.
      i. Insert the DERs ICT_ID into the CH_TRANSACT table with a new record type. This will request the re-indexing of the Documents/Images record from the CalHEERS case in the DER to the CalSAWS case.
      ii. A new Quartz task will run and move the record into the IRI queue.
         1. Post to the IRI Queue and remove from the CH_TRANSACT table.
      iii. Queue will deliver to a Listener which will invoke the re-indexing (See bullet C below).

c. Re-Index all documents/images from the MAGI Case number to the associated County Case drawer.

　　d. Make a call to the Route Client API to route appropriate documents/images to 'Pre-OCR'.

6. If Re-indexing fails after 5 attempts, move documents/images to an Imaging Re-Indexing error queue within CalSAWS. (*See diagram 2*)

Note: A MAGI Case number is provided from CalHEERS through eHIT. When the MAGI Case transaction comes into the CalSAWS system, the systems logic looks to see if there is an existing CalSAWS case associated with the MAGI Case number in the transaction. If the system finds one, the system will automatically link the latest CalSAWS Case to the MAGI Case number provided in the transaction.

2.4.3 Programs Impacted

Medi-Cal

2.4.4 Performance Impacts

N/A

2.5 Batch – Create a CTCR to add the new Imaging right.

2.5.1 Overview

Imaging Security Right is stored in Code category 10623 - Imaging Security Rights. This SCR will create 1 new Imaging Security Right.

2.5.2 Description of Changes

Create a CTCR to add the following Imaging Security Right.

CT 10623 Imaging Security Rights	
Short Decode Name	Imaging MAGI Referral View
Long Decode Name	Imaging MAGI Referral View
CalSAWS_Rights	ImagingMAGIReferralView
ImagingSystem_Rights	MAGIReferralView
Scope	Global
Prefix_CountyCode	N
Postfix_OfficeCode	N

2.6   Automated Regression Test

2.6.1   Overview

Create a new automated regression test script to verify that the "Images" button displays on the MAGI Referral Detail page.

2.6.2   Description of Change

1. Create a new regression script to verify that the "Images" button displays on the MAGI Referral Detail page.
    Technical Notes: The automated regression test scripts are executed as a user with all possible security rights. This scenario is dependent upon existing MAGI Referral data (but not associated imaged documents) in the test environment at the time of test execution.

CalSAWS

California Statewide Automated Welfare System

Design Document

CA-264884

Update the CA 237 CW Line 8 Backup Report

CalSAWS	DOCUMENT APPROVAL HISTORY	
	Prepared By	Esequiel Herrera-Ortiz
	Reviewed By	Ravneet Bhatia; Gokul Suresh

DATE	DOCUMENT VERSION	REVISION DESCRIPTION	AUTHOR
05/20/2024	1.0	Initial Version	Esequiel Herrera-Ortiz

Table of Contents

1   OVERVIEW

The CA 237 CW Line 8 Backup report will be updated to allow users to identify when a Longer-Term Sanction, Safety Net or Fleeing Felon case is reported as Two-Parent Families or All Other Families for the Lines 13, 14 and 15.

1.1   Current Design

The CA 237 CW Line 8 Backup report uses aid codes to map Two-Parent Families or Zero Parents Families in Longer-Term Sanction (LTS), Safety Net (SN) or Fleeing Felon (FF) cases to Lines 13, 14, and 15. Users must know all applicable CW aid codes by family structure and set multiple filters to validate the case counts for each line item.

1.2   Requests

Update the CA 237 CW Line 8 Backup report to include information to more easily distinguish the counts for Two-Parent Families and Zero Parents Families for Lines 13, 14 and 15.

1.3   Overview of Recommendations

1. Update the existing LTS/SN/FF Type column in the CA 237 CW Line 8 Backup Report to make it easier to distinguish the Two-Parent Families count and the Zero Parents Families count for Lines 13, 14 and 15.

1.4   Assumptions

1. In the original request for this SCR, the user requested additional columns to be able to distinguish a record reported on Line 13, 14, or 15. The LTS/SN/FF Type can be updated instead to avoid adding additional columns.

2 RECOMMENDATIONS

2.1 CA 237 CW Line 8 Backup Report

2.1.1 Overview

The CA 237 CW California Work Opportunity and Responsibility to Kids (CalWORKs) Cash Grant Caseload Movement Report (CA 237 CW) provides data on CalWORKs caseload movement for Two-Parent Families, Zero Parent Families, All Other Families, TANF Timed-Out, Safety Net, Fleeing Felon and Long-Term Sanction cases. The details for Line 8 are generated in a separate report due to data size.

The displayed value in the LTS/SN/FF Type column on the CA 237 Line 8 Backup report will be updated to distinguish the Two-Parent Families count and the Zero Parents Families count for Lines 13, 14, and 15.

2.1.2 CA 237 CW Line 8 Backup Report Screenshot

CalSAWS	CA 237 CW Line 8 Backup Report											
Alpine												
Run Date: MAY-07-24 02:26 PM												
Date: 04/2024												
Program: CalWORKs												
												Summary
			Total Cases:	3					Total Persons Count:		7	2
Family Structure	**LTS/SN/FF Type**	**Grant**	**Worker ID**	**Office**	**Unit**	**Aid Code**	**Case Number**	**Case Name**	**Primary Applicant**		**Child Count**	**Adult Count**
C - All Other Families	-	Cash Grant ($10 or more)	02L0000005	01	02	30	2000003				1	2
E - LT Sanction/SN/FF	SN Two-Parent Families	Cash Grant ($10 or more)	02L0000005	01	02	3F	2000007				1	0
E - LT Sanction/SN/FF	SN All Other Families	Cash Grant ($10 or more)	02L0000005	01	02	K1	2000000				5	0

Figure 2.2.1 – CA 237 CW Line 8 Backup

2.1.3 Description of Change

1. Update the existing LTS/SN/FF Type column in the CA 237 CW Line 8 Backup Report to make it easier to distinguish the Two-Parent Families count and the Zero Parents Families count within Lines 13, 14 and 15.

Column Name	Description
LTS/SN/FF Type	Indicates whether the person has a Longer-Term Sanction, Safety Net, or Fleeing Felon aid code and if the aid code reason is Two-Parent Families or All Other Families. The column will be blank otherwise.

	Possible Values:
	LTS Two-Parent Families – When aid code is 3C or 3F, the aid code reason is Long-Term Sanction. **LTS All Other Families** - When aid code is 3A or K1, the aid code reason is Long-Term Sanction. **SN Two-Parent Families** - When the aid code is 3C or 3F, the aid code reason is Safety Net. **SN All Other Families** - When the aid code is 3A or K1, the aid code reason is Safety Net. **FF Two-Parent Families** - When the aid code is 3C or 3F, the aid code reason is Fleeing Felon. **FF All Other Families** - When the aid code is 3A or K1, ~~and~~ the aid code reason is Fleeing Felon. ***Blank** – The column will be blank if none of the above conditions are satisfied.

2.1.4 Report Location
- **Global: Reports**
- **Local: Scheduled**
- **Task: State**

2.1.5 Counties Impacted
All counties are impacted by the changes outlined in this section.

2.1.6 Security Updates
1. No updates will be made to the report's existing security.

2.1.7 Report Usage/Performance
The changes outlined in this section will not cause a notable change to the report's performance.

3 SUPPORTING DOCUMENTS

Number	Functional Area	Description	Attachment
None			

4   REQUIREMENTS

4.1   Project Requirements

REQ #	REQUIREMENT TEXT	How Requirement Met
2.24.2.2	The CalSAWS shall produce reports that provide the detail CalSAWS Data that will be used to complete the reports required by federal, state, and local laws, rules, regulations, ordinances, guidelines, directives, policies, and procedures.	This SCR will update the CA 237 CW Line 8 Backup to enhance the validation of the CA 237 CW report.

4.2   Migration Requirements

DDID #	REQUIREMENT TEXT	Contractor Assumptions	How Requirement Met

5 MIGRATION IMPACTS

SCR Number	Functional Area	Description	Impact	Priority	Address Prior to Migration?

6 OUTREACH

None

7 APPENDIX

None

CalSAWS

California Statewide Automated Welfare System

Design Document

CA-265030

Revision Updates for the MC 221 LA and MC 222 LA in the Template Repository

CalSAWS	DOCUMENT APPROVAL HISTORY	
	Prepared By	Ramon Villarreal-Leal
	Reviewed By	[individual(s) from Build and Test teams that reviewed document]

DATE	DOCUMENT VERSION	REVISION DESCRIPTION	AUTHOR
5/9/2024	1.0	Initial Draft	Ramon Villarreal-Leal

Table of Contents

1   OVERVIEW

This SCR is to update the two forms MC 221 LA (06/07) and MC 222 (08/07) to the latest state versions (02/14) for both forms.

1.1   Current Design

Currently the forms MC 221 LA (06/07) and MC 222 LA (08/07) are in the template repository.

1.2   Requests

Update MC 221 LA and MC 222 LA to the latest state version (02/14). Due to jurisdictional changes, these forms are now used by all counties.

1.3   Overview of Recommendations

1. Update the MC 221 LA (06/07) to the latest state version (02/14)
2. Update the MC 222 LA (08/07) to the latest state version (02/14)

1.4   Assumptions

1. All existing functionality of these forms are not updated unless specified by the recommendation section of this design.
2. The MC 221 LA (02/14), and MC 222 LA (02/14), are only implemented in English.

2   RECOMMENDATIONS

2.1   Updates to the new MC 221 LA Form Recommendation

2.1.1   Overview

The MC 221 LA form is updated to the latest state version with this recommendation.

State Form: MC 221 (02/14)

Current Programs: Medi-Cal

Current Attached Form(s): N/A

Current Forms Category: Referrals

Current Template Repository Visibility: All Counties

Existing Languages: English

2.1.1   Form Verbiage

The MC 221 will have the following verbiage updates.

Updated Form XDP

Updated Languages: English

Updated Form Number and Version: MC 221 and (02/14)

Form Mockups/Examples: Please see supporting document #1.

Location	Existing Verbiage	Updated Verbiage
On the top right of page 1	Blank	***Please Print*** Retain Copy 4 (Send copies 1, 2, and 3 to DDSD) **DO NOT MAIL TO APPLICANT**
On the bottom left of page 1	Blank	SEE BACK OF COPY 4
On the bottom right of page 1	Blank	[Checkbox] Oakland [Checkbox] Los Angeles

2.1.2   Form Variable Population Logic

Form Body Variables:

Variable Name	Population	Formatting	Editable*/ Field Type	Template Repository Population	Populates with Form Generation
Los Angeles Checkbox	This checkbox is always checked	Checkmark	Yes/Checkbox	Yes	No

* Note: The Editable column of the table above refers to if the variable will be editable when populated. When generating a Blank Form from Template Repository the field will be editable unless otherwise indicated.

Variables Requiring Translations: N/A

2.1.3 Form Generation Conditions

There are no changes to this section.

2.2 Updates to the new MC 222 (02/14) Form Recommendation

2.2.1 Overview

This section is to update the MC 222 to the latest state version (02/14).

State Form: MC 222 (02/14)
Current Programs: Medi-Cal
Current Attached Form(s): N/A
Current Forms Category: Forms
Current Template Repository Visibility: All Counties
Existing Languages: English

2.2.2 Form Verbiage

The MC 222 will have the following verbiage updates.

Updated Form XDP

Updated Languages: English

Updated Form Number and Version: MC 222 and (02/14)

Form Mockups/Examples: Please see supporting document #2.

The verbiage updates on this form are all cosmetic changes consisting of "—" and "(   )" for the Social Security and Phone Number.

2.2.3 Form Variable Population Logic

There are no changes to this section.

2.2.4 Form Generation Conditions

There are no changes to this section.

3   SUPPORTING DOCUMENTS

Number	Functional Area	Description	Attachment
1	CalSAWS	The new design mockup for form MC 221 LA (02/14)	MC221_EN.pdf
2	CalSAWS	The new design mock up for form MC 222 (02/14)	MC222_EN.pdf

4   REQUIREMENTS

4.1   Project Requirements

REQ #	REQUIREMENT TEXT	How Requirement Met
CAR - 1242	The LRS shall produce notices, NOAs, forms, letters, stuffers, and flyers, either generated by the LRS or initiated by COUNTY-specified Users, that may be sent to an applicant, participant, caregiver, sponsor, authorized representative, Vendor, landlord, and/or any other public or private individual or agency.	Updated both forms to latest state versions.

CalSAWS

California Statewide Automated Welfare System

Design Document

CA-265665

Add a Duplicate Person Indicator and Update Duplicate Person List page

CalSAWS	DOCUMENT APPROVAL HISTORY	
	Prepared By	Trevor Torres, Matthew Lower
	Reviewed By	Ignacio Lazaro, Dymas Pena

DATE	DOCUMENT VERSION	REVISION DESCRIPTION	AUTHOR
02/08/2024	1.0	Initial Design	Trevor Torres

Table of Contents

1 OVERVIEW

Currently, the duplication process does not visually change the duplicate record when they have been marked as a duplicate. On the Case Summary or Individual Demographics pages, you cannot tell that the record has been identified as a duplicate.

1.1 Current Design

When a person is marked as a Duplicate, the only place where that information is available is the Duplicate Person List page (accessible from the Individual Demographics Detail page in Edit Mode only).

A user looking at the Case Summary page has no idea which person is marked as a duplicate.

1.2 Requests

1. Add a visual indicator identifying that the record is marked as a Duplicate. This will allow workers to handle duplicate records more efficiently.
2. Update the Individual Demographics Detail page to allow the Duplicate List Button to be available in 'View' Mode.
3. Create an 'Edit' Mode for the Duplicate Person List page.

1.3 Overview of Recommendations

1. Add the text "(dup)" at end of a person's name, where the format is "Last Name, First Name, Age, Gender" (ex: Smith, John 40M).
2. Update the Duplicate Person List page to update the View mode to allow for more functionality and include a new Edit mode.
    a. Add a button to the "Edit" mode to allow users to determine the record they are working on is a "Golden Record" or a "Duplicate".
    b. Add a "Comments" section that will require users to provide more information as to the decision to adjust the record.
    c. Add a "History Details" section to the Duplicate Person List page that will display information about who made the changes and what was changed to the duplicate record.
    d. Update additional elements of the Duplicate List Person page, such as the wording on the "Golden Record" perspective and "Duplicate Person" perspective.
3. Add an Auto-Journal to the Duplicate Person List page.

1.4 Assumptions

1. Existing functionalities will remain unchanged unless called out as part of this SCR.
2. The "(dup)" indicator will not be sent in the transactions to MEDS.

3. The "(dup)" indicator at the end of a person's name, where the format is "Last Name, First Name, Age, Gender" will be applied to every instance to all pages in the system.
4. The Auto-Journals for the Duplicate Person List page will not go through the process as described in SCR CA-235880.
5. The Security Right 'DuplicatePersonListEdit' will be needed to use the functionality of the 'DuplicatePersonListByPassDupCINValidation' Security Right.

2 RECOMMENDATIONS

Currently, the duplication process does not mark the duplicate bad record as a record that should not be looked at or used.

When a person is marked as a Duplicate, the only place where that information is available is the Duplicate Person List page (accessible from the Individual Demographics Detail page in Edit Mode only).

2.1 Duplicate Person Name Display

2.1.1 Overview

Currently, the duplication process does not visually change the duplicate record when they have been marked as a duplicate. On the Case Summary or Individual Demographics pages, you cannot tell that the record has been identified as a duplicate.

2.1.2 Duplicate Person Name Display Mockup

Figure 2.1.2.1 – Duplicate Name Indicator Example Program Block

Individual Demographics List

Figure 2.1.2.2– Duplicate Name Indicator Example Individual Demographics

2.1.3 Description of Changes

1. Add the text "(dup)" at end of a person's name, where the format is "Last Name, First Name, Age, Gender" (ex: Smith, John 40M) if they are marked as a duplicate, including existing duplicate records.
    a. The "(dup)" indicator at the end of a person's name will be applied to every instance to all pages in the system.

2.1.4 Page Location

- **Global:** Eligibility
- **Local:** Customer Information
- **Task:** Individual Demographics

2.1.5 Page Mapping

N/A

2.1.6 Page Usage/Data Volume Impacts

N/A

2.1.7 Accessibility

N/A

2.2   Case Summary

2.2.1   Overview

Currently, the duplication process does not visually change the duplicate record when they have been marked as a duplicate. On the Case Summary or Individual Demographics pages, you cannot tell that the record has been identified as a duplicate.

2.2.2   Case Summary Mockup

All People Associated with the Case							
Name	**DOB**	**Age**	**Gender**	**SSN**	**CIN**	**Person #**	**Household Status**
Luke Skywalker(dup)	06/14/1987	47	M	111-11-1111	11111111A	50	In the Home

Images

This Type 1 page took 25.15 seconds to load.

Figure 2.1.2.1 – Duplicate Name Indicator Example Case Summary

2.2.3   Description of Changes

1. Add the text "(dup)" at end of a person's name in the "All People Associated with the Case" section if they are marked as a duplicate.
   a. This update to the name string will function similarly to "Deemed/(de)" and "Pregnant/(pg)" functionality.
      i. If a person also has "Deemed/(de)" or "Pregnant/(pg)" within the Case Summary and is marked as a duplicate, it will display both the "(pg)/(de)" and "(dup)" and indicators; with the "(pg)/(de)" indicators to display first.

2.2.4   Page Location

- **Global:** Case Info
- **Local:** Case Summary
- **Task:** N/A

2.2.5   Page Mapping

N/A

2.2.6   Page Usage/Data Volume Impacts

N/A

2.2.7 Accessibility

This page has been assessed for Accessibility requirements and meets the Accessibility standards.

2.3 Duplicate Person List

2.3.1 Overview

This page allows you to indicate when a person's record is a duplicate of another person. This SCR will update the View Mode and add an Edit mode.

2.3.2 Duplicate Person List Mockup

Figure 2.3.2.1 – Duplicate Person List View Mode Before Selecting a Record Mockup

----

Figure 2.3.2.2 – Duplicate Person List Edit Mode Before Selecting Record Mockup

Duplicate Person List

Close

Person Details

Name:	SSN:	Date of Birth:
Luke Skywalker	111-11-1111	01/01/1980

Search for a Golden Record   Search for a Duplicate Record

▼ History Details

Staff Name	Staff ID	Staff County	Date Time Stamped	Person Modified	Modified To
Bruce Wayne	1234567	19	01/08/2024 08:34:23 PM	Luke Skywalker	Non-Duplicate

Comments:

Modified back to Non-Duplicate, fixing the previous mistake.

Staff Name	Staff ID	Staff County	Date Time Stamped	Person Modified	Modified To
Kent Clark	7654321	18	01/08/2024 06:30:10 PM	Luke Skywalker	Duplicate

Comments:

Modified to Duplicate because it would be funny.
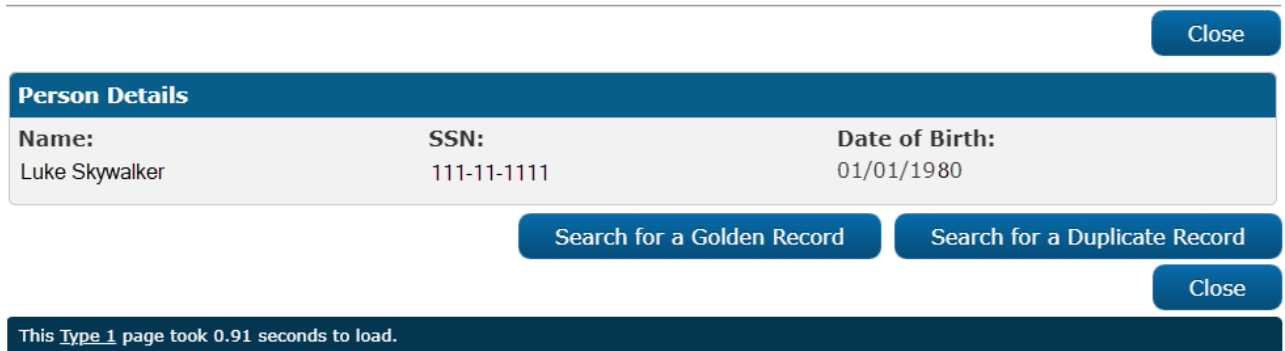
Close

This Type 1 page took 0.91 seconds to load.

Figure 2.3.2.2.1 – Duplicate Person List Edit Mode Before Selecting Record w/ History Mockup

Duplicate Person List

[Save] [Cancel]

Person Details - Marked as a Duplicate

Name:	SSN:	Date of Birth:
Luke Skywalker	111-11-1111	01/01/1980

The following has been identified as the Golden Record

	Name	SSN	DOB	CIN	Case Number	County
☐	Luke Groundrunner	555-55-5555	02/02/1985	55555555E	A11BB22	19
					C33DD44	18

[Remove]

Comments ✳

[   ]

[Save] [Cancel]

This Type 1 page took 0.42 seconds to load.

Figure 2.3.2.3 – Duplicate Person List 'Create' Mode After Selecting the "Golden Record" Mockup

13

Duplicate Person List

Save   Cancel

Person Details - Marked as a Duplicate

Name:	SSN:	Date of Birth:
Luke Skywalker	111-11-1111	01/01/1980

The following has been identified as the Golden Record

	Name	SSN	DOB	CIN	Case Number	County
☐	Luke Groundrunner	555-55-5555	02/02/1985	55555555E	A11BB22	19
					C33DD44	18

Remove

▼ History Details

Staff Name	Staff ID	Staff County	Date Time Stamped	Person Modified	Modified To
Bruce Wayne	1234567	19	01/08/2024 08:34:23 PM	Luke Skywalker	Duplicate

Comments:

Modified back to Duplicate, fixing the previous mistake.

Staff Name	Staff ID	Staff County	Date Time Stamped	Person Modified	Modified To
Kent Clark	7654321	18	01/08/2024 06:30:10 PM	Luke Skywalker	Non-Duplicate

Comments:

Modified to Non-Duplicate because it would be funny.

Comments ✳

Save   Cancel

This Type 1 page took 0.42 seconds to load.

Figure 2.3.2.4 – Duplicate Person List 'Create' Mode with History Mockup

14

Duplicate Person List

[Edit] [Close]

Person Details - Marked as a Duplicate

Name:	SSN:	Date of Birth:
Luke Skywalker	111-11-1111	01/01/1980

The following has been identified as the Golden Record

Name	SSN	DOB	CIN	Case Number	County
Luke Groundrunner	555-55-5555	02/02/1985	55555555E	A11BB22	19
				C33DD44	18

▼ History Details

Staff Name	Staff ID	Staff County	Date Time Stamped	Person Modified	Modified To
Bruce Wayne	1234567	19	01/08/2024 08:34:23 PM	Luke Skywalker	Duplicate

Comments:

Modified back to Duplicate, fixing the previous mistake.

Staff Name	Staff ID	Staff County	Date Time Stamped	Person Modified	Modified To
Kent Clark	7654321	18	01/08/2024 06:30:10 PM	Luke Skywalker	Non-Duplicate

Comments:

Modified to Non-Duplicate because it would be funny.

[Edit] [Close]

This Type 1 page took 1.30 seconds to load.

Figure 2.3.2.5 – Duplicate Person List View Mode After Selecting a Record Mockup

Duplicate Person List

Save   Cancel

Person Details - Marked as a Golden Record

Name:	SSN:	Date of Birth:
Peter Parker	555-55-5555	01/01/1987

The following person(s) have been marked as Duplicate

	Name	SSN	DOB	CIN	Case Number	County
☐	Peter Parkker	777-77-7777	01/01/1987	12345678A	9999999	19
					8888888	18
☐	Peeter Parker	666-66-6666	01/01/1987	12345678A	2222222	12

Remove   Search for a Duplicate Record

▼ History Details

Staff Name	Staff ID	Staff County	Date Time Stamped	Person Modified	Modified To
Bruce Wayne	8901234	19	01/08/2024 06:30:10 PM	Peeter Parker	Duplicate

Comments:
Added Peeter Parker as a Duplicate

Staff Name	Staff ID	Staff County	Date Time Stamped	Person Modified	Modified To
Bruce Wayne	8901234	19	01/07/2024 06:00:00 PM	Peter Parkker	Duplicate

Comments:
Added Peter Parkker as a Duplicate

Comments ✳

[ ]

Save   Cancel

This Type 1 page took 0.46 seconds to load.

Figure 2.3.2.6 – Duplicate Person List Edit Mode "Golden Record Perspective" Mockup

16

Duplicate Person List

[Edit] [Close]

Person Details - Marked as a Golden Record

Name:	SSN:	Date of Birth:
Peter Parker	555-55-5555	01/01/1987

The following person(s) have been marked as Duplicate

Name	SSN	DOB	CIN	Case Number	County
Peter Parkker	777-77-7777	01/01/1987	12345678A	9999999	19
				8888888	18
Peeter Parker	666-66-6666	01/01/1987	12345678A	2222222	12

▼ History Details

Staff Name	Staff ID	Staff County	Date Time Stamped	Person Modified	Modified To
Bruce Wayne	8901234	19	01/08/2024 06:30:10 PM	Peeter Parker	Duplicate

Comments:

Added Peeter Parker as a Duplicate

Staff Name	Staff ID	Staff County	Date Time Stamped	Person Modified	Modified To
Bruce Wayne	8901234	19	01/07/2024 06:00:00 PM	Peter Parkker	Duplicate

Comments:

Added Peter Parkker as a Duplicate

[Edit] [Close]

This Type 1 page took 0.46 seconds to load.

Figure 2.3.2.7 – Duplicate Person List View Mode "Golden Record Perspective" Mockup

Date Time Stamped	Person Modified	Modified To
01/07/2024 06:00:00 PM	Peter Parkker	Duplicate

Case Number	County
9999999	19
8888888	18

Figure 2.3.2.8 – Duplicate Person List "Person Modified" Tooltip Mockup

Duplicate Person List

Save   Cancel

- **Case Number** - Person cannot be selected as a duplicate. Marked as Golden Record.

Person Details - Marked as a Golden Record

Name:	SSN:	Date of Birth:
Peter Parker	555-55-5555	01/01/1987

The following person(s) have been marked as Duplicate

	Name	SSN	DOB	CIN	Case Number	County
☐	Peter Parkker	777-77-7777	01/01/1987	12345678A	9999999	19
					8888888	18
☐	Peeter Parker	666-66-6666	01/01/1987	12345678A	2222222	12

Remove   Search for a Duplicate Record

▾ History Details

Staff Name	Staff ID	Staff County	Date Time Stamped	Person Modified	Modified To
Bruce Wayne	8901234	19	01/08/2024 06:30:10 PM	Peeter Parker	Duplicate

Comments:
Added Peeter Parker as a Duplicate

Staff Name	Staff ID	Staff County	Date Time Stamped	Person Modified	Modified To
Bruce Wayne	8901234	19	01/07/2024 06:00:00 PM	Peter Parkker	Duplicate

Comments:
Added Peter Parkker as a Duplicate

Comments ✳

[text area]

Save   Cancel

This Type 1 page took 0.46 seconds to load.

Figure 2.3.2.9 – Duplicate Person List "Golden Record" Validation Message Mockup

2.3.3 Description of Changes

1. Update the Duplicate Person List page to update the 'View' mode and add an 'Edit' and 'Create' mode.

18

a. Update Security Rights and Groups related to 'Edit' mode for the Duplicate Person List page (more information in Section 2.3.5).

   i. Update the 'DuplicatePersonListEdit' Security Right from only allowing access to the "Select" and "Remove" buttons into accessing the new 'Edit' mode to the Duplicate Person List page.

      1. 'DuplicatePersonListEdit' is an existing Security Right.

   ii. Update the Security Group 'Duplicate Person' to be labeled into 'Duplicate Person View' and add a new Security Group labeled 'Duplicate Person Edit'.

      1. Update the 'Right to Group Mapping' for these new Security Groups (more information in Section 2.3.5).

      2. Roles that currently have the 'Duplicate Person' Security Group will automatically be assigned to the 'Duplicate Person View' Security Group; the 'Duplicate Person Edit' Security Group will be assigned to whichever Roles manually.

b. Update the button on the 'Edit' mode from "Select" to "Search for a Golden Record", as shown in Figure 2.3.2.2.

   i. Update the wording of "Person Details" when the record being viewed on has been marked as a duplicate to "Person Details – Marked as a Duplicate", as shown in Figure 2.3.2.3 and Figure 2.3.2.5.

      1. An example of updating this section would be when a record is selected using the "Search for a Golden Record" button.

   ii. Update the wording of "This person is a duplicate of the following person record:" to "The following has been identified as the Golden Record" when a record that is being viewed on has selected a 'Golden Record', as shown in Figure 2.3.2.3 and Figure 2.3.2.5.

      1. An example of updating this wording would be when a record is selected using this "Search for a Golden Record".

      2. This section will dynamically hide if there are no records for it to display and will dynamically appear when there are records to display in 'View' mode.

   iii. Add a "County" field to "The following has been identified as the Golden Record" section, that will display the record's county information, as shown in Figure 2.3.2.3 and Figure 2.3.2.5.

c. Add an additional button on the 'Edit' mode that will be labeled as "Search for a Duplicate Record", as shown in Figure 2.3.2.2.

  i. This button will have similar functionality of the existing "Search for a Golden Record" button of taking the user to the "Select Person" page for searching and selecting a record (as documented in Section 2.4), with the difference of this button will be that the record selected will be identified as a "Duplicate" of the record that is being edited/viewed, rather than being identified as the "Golden Record" of the record that is being edited/viewed.

  ii. Update the wording of "Person Details" when the record being viewed on has been identified as a Golden Record to "Person Details –Marked as a Golden Record", as shown in Figure 2.3.2.6 and Figure 2.3.2.7.

    1. An example of updating this section would be when a record is selected using this "Search for a Duplicate Record".

  iii. Update the wording of "This person is a duplicate of the following person record:" to "The following person(s) have been marked as Duplicate" when a record that is being viewed on has selected a 'Duplicate', as shown in Figure 2.3.2.6 and Figure 2.3.2.7.

    1. An example of updating this section would be when a record is selected using this "Search for a Duplicate Record".

    2. This section will dynamically hide if there are no records for it to display and will dynamically appear when there are records to display.

    3. When in 'Create' and 'Edit' mode for a record that is being viewed on is identified as a "Golden Record", include a "Search for a Duplicate Record" button under the "The following person(s) have been marked as Duplicate" section that will allow the user to select another record to add to this section, as shown in Figure 2.3.2.6

      a. This specific button will dynamically hide on the 'Create' and 'Edit' if the record being viewed on is no longer identified as a "Golden Record".

  iv. Add a "County" field to "The following person(s) have been marked as Duplicate" section, that will display the records county information, as shown in Figure 2.3.2.6 and Figure 2.3.2.7.

d. Add a "History Details" section, that provides information on the user who made the change to duplicate records, as shown in Figures 2.3.2.4, Figure 2.3.2.5, Figure 2.3.2.6, and Figure 2.3.2.7.

  i. The "History Details" record will only be generated when the user has "marked" or "unmarked" a person record as a "duplicate".

1. Instances can be generated from both the "Duplicate" and "Golden Record" perspectives.
     ii. The "History Details" section will display in every mode of the page if the record has an instance in that section, as shown in Figure 2.3.2.4.
         1. Otherwise, the "History Details" section will dynamically hide.
         2. When viewing a record that has been identified as a Golden Record, the "History Details" section will display every instance of all the 'duplicates' in the "The following person(s) have been marked as Duplicate" section, as shown in Figure 2.3.2.6
     iii. The "Staff Name", "Staff ID", and "Staff County" fields will pull the name, the staff ID, and the County number of the worker from the staff that made the change.
         1. The Staff ID will link to the worker's Worker Detail page.
     iv. The "Date Time Stamped" field will generate the date and time the user made a change when saving the Duplicate Person List page.
         1. These instances of "History Details" will be sorted descending based off the most recent change.
     v. The "Person Modified" field will display the name of the person record who was added or removed from the page, as shown in Figure 2.3.2.6 and Figure 2.3.2.7.
         1. The name displayed in the field will be underlined and will have a tooltip that will display every "Case Number" the person record is associated to and the "County" number that the Case Number is connected to, as shown in Figure 2.3.2.8.
     vi. The "Modified To:" field will display the options "Duplicate" and "Non-Duplicate".
         1. The "Modified To:" field will be updated to "Duplicate" when the user marks a selected record as a duplicate.
         2. The "Modified To:" field will be updated to "Non-Duplicate" when the user unmarks a selected record as a duplicate.
     vii. The "Comments:" field in the View mode will display what was written in the "Comments" section from the 'Create' and 'Edit' mode of the Duplicate Person List page.
     viii. Make the "History Details" section collapsible, as shown in Figure 2.3.2.5.
         1. The section will be collapsed by default.
  e. Add "Comments" section to the 'Create' and 'Edit' mode that will require users to provide more information as to the decision to adjust the record.
     i. This field will always be required.

       ii. This field will dynamically hide in 'Create' or 'Edit' mode when there are no changes to the old values in the "The following has been identified as the Golden Record:" or the "The following person(s) have been identified as Duplicate" section.

          1. When the "Comments" section is dynamically hidden, delete any characters inputted in the text-area.

          2. Ex: A record named "John" is marked as a duplicate and saved with a comment. Later, a user goes to edit and removes "John" but adds this same exact "John" again before saving. In this example, the "Comments" section will still be hiding dynamically, preventing an instance to the 'History Details' section being made.

      iii. Display a validation message when the user clicks on the "Save" button when the text-area field has more than 2000 characters.

          1. The validation will display the following message: "Comments – [Text2000] Value is too long [Max. length: 2000.0]".

      iv. Display a validation message when the user clicks on the "Save" button when the text-area field has 0 characters.

          1. The validation will display the following message: "Comments – Field is required. Please enter a value".

      v. If there are multiple records added or removed in 'Create' or 'Edit' mode and are saved when viewing a record that has been identified as a Golden Record, the comment made will apply to each of the changes made in the "History Details' section in 'View' mode.

          a. Ex: If record #1 is removed and record #2 is added, there will be two instances in the "History Details" with the same comment.

f. If the user selects the "Cancel" button in 'Edit' mode, the button will take the user to where they were on previously.

    i. If the user was previously on the "Duplicate Person List" page from the 'View' mode, the button will take them back to there.

g. If the user selects the "Cancel" button in 'Create' mode, the button will take the user to where they were on previously.

    i. If the user was previously on the "Person Search" page, the button will take them back to there.

       1. The "Person Search" page will default to "Select Person" when returning to "Person Search" page.

h. The "Remove" button in 'Create' and 'Edit' mode will remove the person record in the "The following has been identified as the Golden Record" or will remove the records with the

selected checkboxes in the "The following person(s) have been marked as Duplicate" section.
- i. This button will dynamically hide if there are no records being displayed in the "The following person(s) have been marked as Duplicate" section.
- i. Update the current functionality to save the selected record when the user selects the "Save" button on the 'Create' and 'Edit' mode of this page.
  - i. When viewing a person record that has been identified as Active or Pending for a program, display a validation message when the user clicks on the "Save" button when a record is in the "The following person(s) have been marked as Duplicate" section and has a status of either "Active" or "Pending" for a program.
    - 1. The validation will display the following message: "Case Number – Person cannot be selected as a duplicate. Active or Pending on a program.".
  - ii. When viewing a person record that has been identified as a Golden Record, display a validation message when the user clicks on the "Save" button and a record is in the "The following person(s) have been marked as Duplicate" section that is already marked as a "Golden Record".
    - 1. The validation will display the following message: "Case Number – Person cannot be selected as a duplicate. Marked as Golden Record.", as shown in Figure 2.3.2.9.
2. Add an Auto-Journal to the Duplicate Person List page that generates when a change has been made to any case within the page with the following fields populated:
   a. Journal Category: All
   b. Journal Type: Document
   c. Initiated By:
      i. Based off the user that made the change.
   d. Method of Contact: Written
   e. Short Description: Duplicate Person
   f. Long Description:
      i. Based off the "Comments" field of the "Duplicate Person List" page.

2.3.4 Page Location
- **Global:** Eligibility
- **Local:** Customer Information
- **Task:** Individual Demographics

2.3.5 Security Updates

'DuplicatePersonListView', 'DuplicatePersonListEdit', and 'DuplicatePersonListByPassDupCINValidation' are existing security rights.

Updating the 'DuplicatePersonListEdit' Security Right from only allowing access to the "Select" and "Remove" buttons into accessing the new 'Edit' mode to the Duplicate Person List page.

Updating the Security Group 'Duplicate Person' to be labeled into 'Duplicate Person View' and add a new Security Group labeled 'Duplicate Person Edit'. Updating the 'Right to Group Mapping' for these new Security Groups.

Roles that currently have the 'Duplicate Person' Security Group will automatically be assigned to the 'Duplicate Person View' Security Group; 'Duplicate Person Edit' Security Group will be assigned to whichever Roles manually.

Full functionality descriptions of the other Security Rights and Groups will be provided below.

The 'DuplicatePersonListByPassDupCINValidation' Security Right and the 'Duplicate CIN Remove' Security Group will not be altered but will still need the Security Right 'DuplicatePersonListEdit' to use the functionality of the 'DuplicatePersonListByPassDupCINValidation' Security Right.

1. Security Rights

Security Right	Right Description	Right to Group Mapping
DuplicatePersonListView	View duplicate person(s) list page for a person record.	Duplicate Person View, Duplicate Person Edit
DuplicatePersonListEdit	Identify, add, or remove duplicate person records from the list page for a person record.	Duplicate Person Edit
DuplicatePersonListByPassDupCINValidation	Grants end user the ability to bypass validation for duplicate CINs on	Duplicate CIN Remove (No Change)

Security Right	Right Description	Right to Group Mapping
	the Duplicate Person List page.	

2. Security Groups

Security Group	Group Description	Group to Role Mapping
Duplicate Person View	View duplicate person(s) list.	No Change
Duplicate Person Edit	Edit duplicate person(s) list by selecting and removing the duplicate person(s) from the list.	(County Determines Role Mapping)
Duplicate CIN Remove	Grants end user the ability to bypass validation for duplicate CINs on the Duplicate Person List page.	No Change

2.3.6 Page Mapping

Update the Page Mapping to the changes made in the Description of Changes.

2.3.7 Page Usage/Data Volume Impacts

N/A

2.3.8 Accessibility

This page has been assessed for Accessibility requirements and meets the Accessibility standards.

2.4 Person Search

2.4.1 Overview

This page allows you to search for and select a person or case. The page starts in "Select Person" and changes to "Person Search" after making a search for a person record. This SCR will update the page to make it not automatically save the record to the Duplicate Person List page when selected from this page.

2.4.2 Person Search Mockup

Person Search

▸ Refine Your Search   Cancel

▸ Advanced Search

☑ Include Phonetic Search Results

Results per Page: 25 ▾   Search

Search Results Summary				Results 1 - 25 of 54

1 2 3 Next

Select

Name	SSN	DOB	Address	CIN
Phonetic Search Results				
○ JOHNSEN, JOE 62F	333-33-3333	09/13/1978	184 STREET ROAD Springfield,CA 55555	11111111A
○ JOHNSTONE, JOHN 63F	222-22-2222	02/06/1955	120 AVENUE AVE Philadelphia,CA 33333	22222222B
◉ Skywalker, Luke 47M	111-11-1111	01/01/1980	250 NOT REAL STREET Space,CA 77777	33333333C

Select

1 2 3 Next

Cancel

Figure 2.4.2.1 – Duplicate Person Search Example

2.4.3 Description of Changes

1. When using the "Search for Golden Record" or "Search for Duplicate Record" buttons, documented in Section 2.3, update the "Person Search" page with the following:

a. Update to make the page not automatically save the selected record to the Duplicate Person List page.

b. After selecting a record, the page will take the user to the 'Create' mode of the Duplicate Person List page.

2.4.4 Page Location

- **Global:** Eligibility
- **Local:** Customer Information
- **Task:** Individual Demographics

2.4.5 Page Mapping

Update the Page Mapping to the changes made in the Description of Changes.

2.4.6 Page Usage/Data Volume Impacts

N/A

2.4.7 Accessibility

The following Accessibility enhancements have been identified:

- Table header text should not be empty.

3 SUPPORTING DOCUMENTS

Number	Functional Area	Description	Attachment
1	Security	Security Matrix for the new Security Right and Security Group	CA-265665 Security Matrix.xls

4 REQUIREMENTS

4.1 Project Requirements

REQ #	REQUIREMENT TEXT	How Requirement Met
3.4.1.1.9 CAR-2067	The LRS shall include an online means for authorized security personnel to assign, update, or remove User access rights at the individual User level.	Updating the Duplicate Person List page for updating who is marked as a Duplicate.

CalSAWS

California Statewide Automated Welfare System

Design Document

CA-271089

CalSAWS Lobby Check-in: Add Print
Functionality and Support for iPad Gen 6

	DOCUMENT APPROVAL HISTORY	
CalSAWS	Prepared By	Erika Kusnadi, Shining Liu
	Reviewed By	Matthew Lower, Yudhi Widjaya, Chitra Barsagade, Himanshu Jain, Edgars Reinholds

DATE	DOCUMENT VERSION	REVISION DESCRIPTION	AUTHOR
5/30/2024	1.0	Initial draft	Erika Kusnadi, Shining Liu

Table of Contents

1   OVERVIEW

1.1   Current Design

Currently, the Lobby-Check in application does not provide users with the ability to print a receipt at the end of the check-in process. Secondly, the Lobby Check-In application is not able to connect to a Zebra printer via Bluetooth.

1.2   Requests

Update the Lobby Check-In application with the ability to print a receipt at the end of the check-in process and to connect to a Zebra printer via Bluetooth.

1.3   Overview of Recommendations

1. Update the Lobby Check-In application with the ability to print a receipt (receipt will include Case# and Check-In number) at the end of the check-in process.
2. Update the Lobby Check-In application to connect to a Zebra printer via Bluetooth.
3. Update the existing lobby check-in webservice to send back check-in number as part of the response.

1.4   Assumptions

1. All existing functionalities will remain unchanged unless called out as part of this SCR.
2. No updates/changes will be done to the 011-East Valley office version of the Lobby Check-In application that's maintained by ISD team (including the check-in webservice that's being used specifically for the 011-East Valley office Lobby applications).
3. No changes are being done to the Self-Service Application.

2 RECOMMENDATIONS

2.1 Lobby Check-In Application

2.1.1 Overview

The Lobby Check-In application allow users to check-in participants that are visiting the county offices. Currently, the Lobby Check-In application does not provide users with the ability to print a receipt at the end of the check-in process. Secondly, the Lobby Check-In application is not able to connect to a Zebra printer via Bluetooth. This SCR will make updates to the Lobby Check-In application so that users are able to print a receipt that includes the check-in number and have the Lobby Check-In application to connect to a Zebra printer via Bluetooth.

2.1.2 Lobby Check-In Application Mockup

Figure 2.1.1 – Pop-up box to be displayed at the end of the check-in process
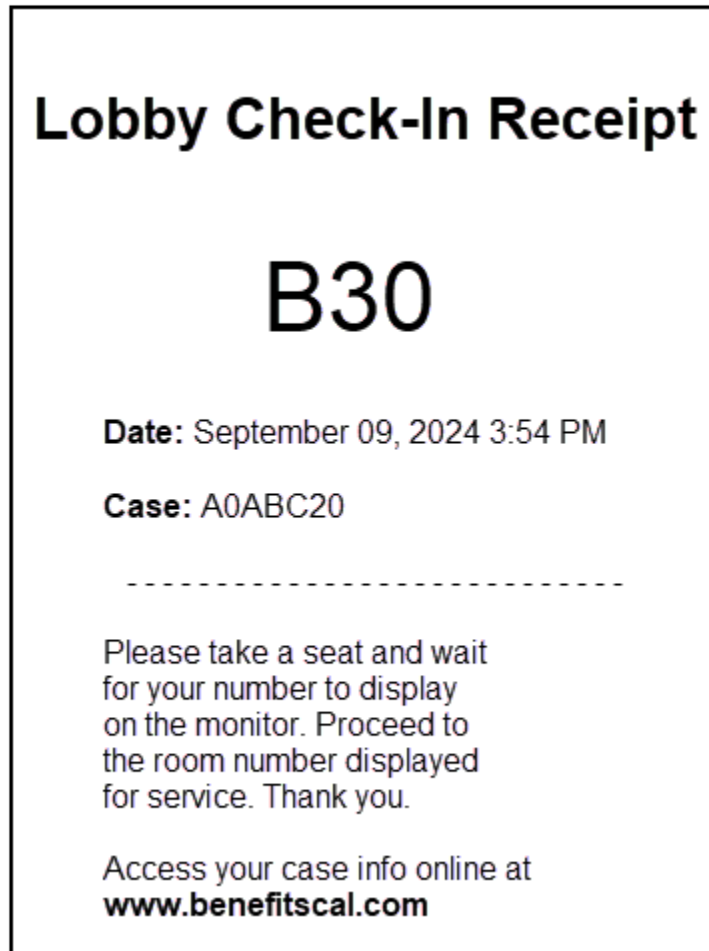
Figure 2.1.2 – Receipt for Check-In number printed from Lobby Check-In

2.1.3 Description of Changes

1. Update the Lobby Check-In Application to print a receipt at the end of the Check-in process.
   a. Add a pop-up box at the end of the check-in process that will prompt users to print a receipt.
      i. Pop-up box will display a text with the following message 'Check-In complete. Print the Unique Number'
      ii. Pop-up box will display the check-in number that's assigned to that specific check-in.
         1. Check-In number will display in red.
      iii. Pop-up box will display 'Print receipt'.
         1. Clicking the 'Print receipt' will print a receipt and will remove the Pop-up box from the screen.
   b. Continue to display the existing pop-up error message when the Lobby Check-In webservice return an error response.
      i. Receipt will not be printed when the Lobby Check-In webservice return an error response.

6

c. Receipt to be printed from the Lobby Check-In Application when the Check-in process is complete will have the following information:
    i. The header of the receipt will have a text 'Lobby Check-In Receipt'
        1. Header will be bolded.
    ii. Check-in number
        1. This will display the Check-In number that is assigned to that specific check-in.
        2. Check-In number will be bolded.
    iii. **Date:** Month Date, Year Hour:Minute [AM/PM]
        1. Example: September 09, 2024 3:54 PM
        2. This will be the Date (including the time) that the check-in was completed.
            a. Date and Time will be the date and time that is associated to the Reception Log record created for the check-in.
    iv. **Case:** XXXXX
        1. XXXX will display the Case number for that specific check-in.
    v. Text at the footer of the receipt will display as:

        'Please take a seat and wait for your number to display on the monitor. Proceed to the room number displayed for service. Thank you.

        Access your case info online at **www.benefitscal.com**'

    Note: This new pop-up box will replace the existing pop-up box that gets displayed at the end of the check-in screen that state 'Success Check-in Complete.'
2. Update the Lobby Check-In application to connect to a Zebra printer via Bluetooth.

2.1.4 Page Location
Lobby Check-In Application

2.1.5 Security Updates
N/A

2.1.6 Page Mapping

N/A

2.1.7 Accessibility

N/A

2.1.8 Page Usage/Data Volume Impacts

N/A

2.2 Update CalSAWS Lobby Check-In Webservice

2.2.1 Overview

The CalSAWS lobby check-in webservice does not currently return the check-in number. Update the webservice to return the check-in number when the request is successfully processed.

2.2.2 Description of Change

1. Update the lobby check-in webservice to return the following when the request is successfully processed:
   a.

Name	Description	Type
checkInNumber	Check-in number.	String
logCreatedDate	Date the reception log record was created. MM/DD/YYYY.	String
logCreatedTime	Time the reception log record was created. HH:MM.	String

2. Update the lobby check-in webservice to return the following when the request is not successfully processed:
   a.

Name	Description	Type
errorMessage	Short description of error.	String

2.2.3 Partner Integration Testing

N

2.2.4 Execution Frequency

Real-time

2.2.5 Key Scheduling Dependencies

N/A

2.2.6 Counties Impacted

CalSAWS Counties.

2.2.7 Category

N/A

2.2.8 Data Volume/Performance

N/A

2.2.9 Interface Partner

CalSAWS Lobby Check-In App.

2.2.10 Failure Procedure/Operational Instructions

Batch Support Operations staff will evaluate transmission errors and failures and determine the appropriate resolution (i.e., manually retrieving the file from the directory and contacting the external partner if there is an account or password issue, etc....)

3 REQUIREMENTS

3.1 Project Requirements

REQ #	REQUIREMENT TEXT	How Requirement Met
2.2.1.6	The LRS shall provide a method for generating and recording a document receipt for all individuals that are providing documents or information to their workers,	Adding an ability for a receipt to be printed from the Lobby Check-In application.

including the individual's name, case name, Client Index Number, case number, date, time, items for which the receipt is being issued, and a free form text area for entering any comments.	

CalSAWS

California Statewide Automated Welfare System

Design Document

CA-272460

MEDS Alerts – Add Program Prioritization to MEDS Alert Task Configurability

CalSAWS	DOCUMENT APPROVAL HISTORY	
	Prepared By	Vallari Bathala, Justin Dobbs
	Reviewed By	Justin Dobbs, Sarah Rich, Carlos Albances, Dymas Pena

DATE	DOCUMENT VERSION	REVISION DESCRIPTION	AUTHOR
01/29/2024	1.0	Initial Revision	Vallari Bathala, Justin Dobbs

Table of Contents

1 OVERVIEW

This design outlines modifications to Task Management functionality for MEDS Alerts within the CalSAWS System.

1.1 Current Design

The Medi-Cal Eligibility Data System (MEDS) outbound interface and online transactions sent to MEDS often generate MEDS Alert records in response. These MEDS Alert records are sent from MEDS to CalSAWS using the MEDS Alert inbound interface. The MEDS Alert Admin Detail page displays configuration information of MEDS Alerts including a Task Information section which allows configurability (introduced by CA-223832) to automate the Tasks generated by MEDS Alerts.

MEDS Alert Task processing determines a Task Program based on a predefined program hierarchy. Resulting Tasks may be associated to a program defined by the hierarchy that is not appropriate for the MEDS Alert. The ability to prioritize the assignment based on a specific Program for the Tasks generated by MEDS Alerts does not currently exist.

1.2 Requests

1. Add the ability to define a program for prioritization during MEDS Alert Task processing.

1.3 Overview of Recommendations

1. Update the MEDS Alert Admin Detail page to include a dropdown allowing program prioritization for Task processing.

1.4 Assumptions

1. This enhancement does not impact historic Tasks, it will only be available for Tasks processed after the enhancement.
2. This enhancement will only modify the Task Information section of the MEDS Alert Admin Detail page, it does not modify/impact the upstream processing of the MEDS Alert itself.

2   RECOMMENDATIONS

This section will outline recommendations to introduce the ability to prioritize a program for MEDS Alert Tasks.

2.1   MEDS Alert Admin Detail

2.1.1   Overview

This section describes recommendations to include a dropdown to prioritize the resulting Task based on the selected Program.

2.1.2   Task Pop-Up: Task Search Page Mockup

Figure 2.1.2-1 – MEDS Alert Admin Detail – View Mode

Figure 2.1.2-2 – MEDS Alert Admin Detail – Edit Mode

2.1.3 Description of Changes

1. Task Information
   a. Program Priority – Add a dropdown field to configure the MEDS Alert Task based on Program. Options included are:
      i. BLANK (Default)
      ii. CalFresh
      iii. CalWORKs
      iv. Foster Care
      v. Medi-Cal

   This field will default to BLANK for all MEDS Alerts at the time of release. Counties may utilize this configuration if necessary.

2.1.4 Page Location

- **Global:** Admin Tools
- **Local:** Admin
- **Task:** MEDS Alert Admin > Search for an Alert > Click on the desired results from the List page to navigate to the MEDS Alert Admin Detail page

2.1.5 Security Updates

N/A

2.1.6 Page Mapping

Implement page mapping for the 'Program Priority' dropdown.

2.1.7 Accessibility

The following accessibility enhancements have been identified:

- iFrames must have an accessible name.

2.1.8 Page Usage/Data Volume Impacts

N/A

2.2 Processing

2.2.1 Processing Flow

When processing a MEDS Alert Task, current logic evaluates assignments based on two options: "Default Assignment" and "Case Carrying Worker/Bank".

With the introduction of 'Program Priority', additional assignment processing configurations, if applied, will execute in a specific order as follows:

Figure 2.1.2-1 – Assignment Processing Order

Program Priority and Sibling Assignment

Processing a MEDS Alert Task that has both 'Sibling Assignment' set to "Yes" as well as a 'Program Priority' configured will determine Task assignment as follows:

1. Sibling Assignment Processing

    STEP 1: 'sibling' assignment processing will execute first to determine if there is a 'sibling' Worker available who is already holding a Task on the Case.

    STEP 2: If a 'sibling' Worker is found, the Task will be assigned to the Sibling Worker. The 'Program Priority' evaluation is not reached due to successful sibling worker determination.

    STEP 3: If a 'sibling' Worker is not found, the MEDS Alert processing will continue with 'Program Priority' Evaluation.

2. Program Priority Evaluation

    STEP 1: 'Program Priority' Processing will first evaluate whether there is a Program set in the 'Program Priority' attribute.

    STEP 2: If there is a Program set in the 'Program Priority' attribute, the processing logic will then evaluate the Case to determine if the Case has a program that matches the program configured for Program Priority.

    STEP 3:

    If a priority Program is found as part of STEP 2, determine if the priority program will be associated to the resulting Task:

    a. When:

    Initial Assignment is set to "Case Carrying Worker/Bank" and the Case Carrying Worker field is one of the following:

    - Currently Assigned Worker
    - Most Recent Worker Within 30 Days
    - Most Recent Worker Within 60 Days
    - Most Recent Worker Within 90 Days
    - Most Recent Worker Within 120 Days
    - Most Recent Worker

    Then:

    Evaluate the priority program for the Worker Assignment configuration set in the Case Carrying Worker field.

    b. When:

Initial Assignment is set to "Case Carrying Worker/Bank" and the Case Carrying Worker field **IS** set to "No Case Carrying Worker". (This is a scenario where only a Bank ID is configured for assignment).

Then:

Evaluate the priority program for a currently assigned worker.

c. When:

Initial Assignment is set to "Default Assignment".

Then:

Evaluate the priority program for a currently assigned worker.

If a worker is determined via one of the 3 scenarios listed above, then the priority program is the program that will be associated to the resulting Task. (i.e., the Program(s) field as seen on the Task Detail page)

Note: For Cases with multiple program blocks that match the configured program priority and determine a worker via one of the 3 scenarios listed above, the Task will be associated to the first matching program block on the Case as displayed on the Case Summary page (for example "Medi-Cal #1) (See Example #6).

If a priority program is NOT found as part of STEP 2 OR if a worker is NOT determined via one of the 3 scenarios listed above, the standard program hierarchy and assignment logic will determine the appropriate program and assignment to associate to the resulting Task.

STEP 4: Determine assignment of the resulting Task:

If STEP 3a determines a valid Worker for the priority program then assign the resulting Task to the priority program Worker determined in STEP 3a, otherwise determine Task assignment via the standard assignment processing.

2.2.2 Example Scenarios

Example 1: Sibling Assignment and Program Priority are not set. Initial Assignment is set to evaluate for the 'Case Carrying/Worker Bank'.

Initial Assignment: Case Carrying Worker/Bank

Case Carrying Worker: Currently Assigned Worker

Sibling Assignment: No

Program Priority: BLANK

The associated Case has three Programs and three Program Workers:

Case	Program	Worker	Sibling Worker
1234567	CalWORKs	John Doe	No
1234567	CalFresh	Jack Doe	No
1234567	Medi-Cal	Jane Doe	No

Result: Assign to John Doe.

Sibling Assignment processing and Program Priority do not apply. The standard program hierarchy is then applied and identifies CalWORKs as the highest priority program, which has a current worker. The resulting Task is associated to the CalWORKs program and assigned to "John Doe".

Example 2a: Sibling Assignment is not set; Program Priority is set, and Initial Assignment is set to only route into a Task Bank.

Initial Assignment: Case Carrying Worker/Bank

Case Carrying Worker: No Case Carrying Worker

Default Bank ID: 00AB000001BK

Sibling Assignment: No

Program Priority: CalFresh

The associated Case has three Programs and three Program Workers:

Case	Program	Worker	Sibling Worker
1234567	CalWORKs	John Doe	No
1234567	CalFresh	NONE	NONE
1234567	Medi-Cal	Jane Doe	No

Result: Assign to Task Bank 00AB000001BK.

Sibling Assignment processing does not apply. Program Priority processing identifies the CalFresh program on the case. As configured, there is no Worker ID to be determined for assignment; this scenario will assign directly to the Task Bank. Per STEPs 3 and 4 in the Program Priority Evaluation section above, the standard

program hierarchy will be applied, and the Task will be associated to the CalWORKs program and assigned to Task Bank `00AB000001BK`.

Example 2b: Sibling Assignment is not set; Program Priority is set, and Initial Assignment is set to only route into a Task Bank.

Initial Assignment: Case Carrying Worker/Bank

Case Carrying Worker: No Case Carrying Worker

Default Bank ID: `00AB000001BK`

Sibling Assignment: No

Program Priority: CalFresh

The associated Case has three Programs and three Program Workers:

Case	Program	Worker	Sibling Worker
1234567	CalWORKs	John Doe	No
1234567	CalFresh	Jane Doe	NONE
1234567	Medi-Cal	Jane Doe	No

Result: Assign to Task Bank `00AB000001BK`.

Sibling Assignment processing does not apply. Program Priority processing identifies the CalFresh program on the case. Per STEP 3 in the Program Priority Evaluation section above, the CalFresh program has a currently assigned worker, so the resulting Task will be associated to the CalFresh program. However, since the Case Carrying Worker field is set to "No Case Carrying Worker", the resulting Task will only be assigned to Task Bank `00AB000001BK`.

Example 3: Sibling Assignment is set to 'Yes' and priority Program is set in a MEDS Alert. A Sibling Worker is available who can accept the Task.

Initial Assignment: Default Assignment

Default Assignment: MEDS Alert Task Distribution

Sibling Assignment: Yes

Program Priority: CalFresh

The associated Case has three Programs and three Program Workers:

Case	Program	Worker	Sibling Worker
1234567	CalWORKs	John Doe	Yes
1234567	CalFresh	Jack Doe	No
1234567	Medi-Cal	Jane Doe	No

Result: Assign to John Doe.

Sibling Assignment will apply first and determine that John Doe is a Sibling Worker and assign the Task to the Sibling Worker. The Task will be associated to the highest priority program, which is CalWORKs. Program Priority is not evaluated.

Example 4: A priority Program is set in a MEDS Alert and a valid Sibling Program Worker is not available.

    Initial Assignment: Default Assignment

    Default Assignment: Current Program Worker

    Sibling Assignment: Yes

    Program Priority: CalFresh

The associated Case has three Programs and three Program Workers:

Case	Program	Worker	Sibling Worker
1234567	CalWORKs	John Doe	No
1234567	CalFresh	Jack Doe	No
1234567	Medi-Cal	Jane Doe	No

Result: Assign to Jack Doe.

Sibling Assignment is processed first and does not identify a sibling worker. The Program Priority of CalFresh is then evaluated. The Case includes a CalFresh program with worker "Jack Doe" assigned. Per STEPs 3 and 4 in the Program Priority Evaluation section above, the resulting Task will be associated to the CalFresh program and assigned to "Jack Doe".

Example 5: 'Program Priority' attribute is set in a MEDS Alert and a valid Program Worker is available.

    Initial Assignment: Default Assignment

Default Assignment: Current Program Worker

Sibling Assignment: No

Program Priority: CalFresh

The associating Case has three Programs and three Program Workers:

Case	Program	Worker
1234567	CalWORKs	John Doe
1234567	CalFresh	Jack Doe
1234567	Medi-Cal	Jane Doe

Result: Assign to Jack Doe.

Sibling Assignment processing does not apply. The Program Priority of CalFresh is evaluated. The Case includes a CalFresh program with worker "Jack Doe" assigned. Per STEPs 3 and 4 in the Program Priority Evaluation section above, the resulting Task will be associated to the CalFresh program and assigned to "Jack Doe".

Example 6: 'Program Priority' attribute is set in a MEDS Alert and a valid Program Worker is available.

Initial Assignment: Default Assignment

Default Assignment: Current Program Worker

Sibling Assignment: No

Program Priority: Medi-Cal

The associating Case has four Program blocks and four Program Workers:

Case	Program	Worker
1234567	CalWORKs	John Doe
1234567	CalFresh	Jack Doe
1234567	Medi-Cal #1	Jane Doe
1234567	Medi-Cal #2	Jim Doe

Result: Assign to Jane Doe.

Sibling Assignment processing does not apply. The Program Priority of Medi-Cal is evaluated. The Case includes two Medi-Cal

programs with workers "Jane Doe" and "Jim Doe" assigned. As noted in STEP 3 in the Program Priority Evaluation section above, the resulting Task will be associated to the first Medi-Cal program (as seen on the Case Summary page) Medi-Cal #1 and assigned to "Jane Doe".

Example 7a: 'Program Priority' attribute is set in a MEDS Alert and a valid Program Worker is not available.

Initial Assignment: Case Carrying Worker/Bank

Case Carrying Worker: Currently Assigned Worker

Sibling Assignment: No

Program Priority: CalFresh

The associated Case has three Programs and three Program Workers:

Case	Program	Worker
1234567	CalWORKs	John Doe
1234567	CalFresh	NONE
1234567	Medi-Cal	Jane Doe

Result: Assign to John Doe.

Sibling Assignment processing does not apply. The Program Priority of CalFresh identifies the CalFresh program on the Case, however because this priority program does not have a currently assigned worker, priority program processing ends. The standard program hierarchy is then applied and identifies the CalWORKs program, which has a current worker. The resulting Task is associated to the CalWORKs program and assigned to "John Doe".

Example 7b: 'Program Priority' attribute is set in a MEDS Alert and a valid Program Worker is not available.

Initial Assignment: Case Carrying Worker/Bank

Case Carrying Worker: Most Recent Worker Within 90 Days

Sibling Assignment: No

Program Priority: CalFresh

The associated Case has three Programs and three Program Workers:

Case	Program	Worker	Worker Within 90 Days
1234567	CalWORKs	John Doe	John Doe
1234567	CalFresh	NONE	Jim Doe
1234567	Medi-Cal	Jane Doe	Jane Doe

Result: Assign to Jim Doe.

Sibling Assignment processing does not apply. The Program Priority of CalFresh identifies the CalFresh program on the Case and evaluates the CalFresh program for a most recent worker within 90 days (as configured). Program priority processing identifies Jim Doe as valid worker for assignment. The resulting Task is associated to the CalFresh program and assigned to "Jim Doe".

Example 8: A priority Program is set in a MEDS Alert. Both the priority Program and valid Program Worker are not available.

Initial Assignment: Default Assignment

Default Assignment: Current Program Worker

Sibling Assignment: No

Program Priority: CalFresh

The associated Case has two Programs and two Program Workers:

Case	Program	Worker
1234567	CalWORKs	John Doe
1234567	Medi-Cal	Jane Doe

Result: Assign to John Doe.

Sibling Assignment processing does not apply. The Program Priority configuration of CalFresh does not identify a CalFresh program on the case so priority program processing ends. The standard program hierarchy applies and identifies the CalWORKs program as the highest priority program with an assigned worker of John Doe. The resulting Task is associated to the CalWORKs program and assigned to "John Doe".

3   SUPPORTING DOCUMENTS

N/A

4   REQUIREMENTS

4.1   Project Requirements

REQ #	REQUIREMENT TEXT	How Requirement Met
2.19.2.1	The LRS shall include alerts and reminders for pending and ongoing work.	Update the MEDS Alert Admin Detail page to include a dropdown to prioritize which Program the Task.

5   MIGRATION IMPACTS

N/A

6 OUTREACH

N/A

7   APPENDIX

N/A

CalSAWS

California Statewide Automated Welfare System

Design Document

CA-272460

MEDS Alerts – Add Program Prioritization to MEDS Alert Task Configurability

CalSAWS	DOCUMENT APPROVAL HISTORY	
	Prepared By	Vallari Bathala, Justin Dobbs
	Reviewed By	Justin Dobbs, Sarah Rich, Carlos Albances, Dymas Pena

DATE	DOCUMENT VERSION	REVISION DESCRIPTION	AUTHOR
01/29/2024	1.0	Initial Revision	Vallari Bathala, Justin Dobbs

Table of Contents

1   OVERVIEW

This design outlines modifications to Task Management functionality for MEDS Alerts within the CalSAWS System.

1.1   Current Design

The Medi-Cal Eligibility Data System (MEDS) outbound interface and online transactions sent to MEDS often generate MEDS Alert records in response. These MEDS Alert records are sent from MEDS to CalSAWS using the MEDS Alert inbound interface. The MEDS Alert Admin Detail page displays configuration information of MEDS Alerts including a Task Information section which allows configurability (introduced by CA-223832) to automate the Tasks generated by MEDS Alerts.

MEDS Alert Task processing determines a Task Program based on a predefined program hierarchy. Resulting Tasks may be associated to a program defined by the hierarchy that is not appropriate for the MEDS Alert. The ability to prioritize the assignment based on a specific Program for the Tasks generated by MEDS Alerts does not currently exist.

1.2   Requests

1. Add the ability to define a program for prioritization during MEDS Alert Task processing.

1.3   Overview of Recommendations

1. Update the MEDS Alert Admin Detail page to include a dropdown allowing program prioritization for Task processing.

1.4   Assumptions

1. This enhancement does not impact historic Tasks, it will only be available for Tasks processed after the enhancement.
2. This enhancement will only modify the Task Information section of the MEDS Alert Admin Detail page, it does not modify/impact the upstream processing of the MEDS Alert itself.

2 RECOMMENDATIONS

This section will outline recommendations to introduce the ability to prioritize a program for MEDS Alert Tasks.

2.1 MEDS Alert Admin Detail

2.1.1 Overview

This section describes recommendations to include a dropdown to prioritize the resulting Task based on the selected Program.

2.1.2 Task Pop-Up: Task Search Page Mockup

MEDS Alert Admin Detail

✱- Indicates required fields

Edit   Close

MEDS Alert Information

Alert ID:
0005

Alert Description:
DATE FIELD DOES NOT CONTAIN A VALID DATE

Alert Type:
PRI-REJ

Alert Status:
Active

Task Information

Type:

Status: ✱
Inactive

Due Date:
Default Due Date

Default Due Date:
10 days

Initial Assignment:
Default Assignment

Default Assignment:
MEDS Alert Task Distribution

Sibling Assignment:
No

Program Priority:
CalFresh

Long Description:
MEDS Alert {Alert ID} - {Alert Description} has been received.

▸ **History**

Edit   Close

Figure 2.1.2-1 – MEDS Alert Admin Detail – View Mode

Figure 2.1.2-2 – MEDS Alert Admin Detail – Edit Mode

2.1.3 Description of Changes

1. Task Information
   a. Program Priority – Add a dropdown field to configure the MEDS Alert Task based on Program. Options included are:
      i. BLANK (Default)
      ii. CalFresh
      iii. CalWORKS
      iv. Medi-Cal

   This field will default to BLANK for all MEDS Alerts at the time of release. Counties may utilize this configuration if necessary.

2.1.4 Page Location

- **Global:** Admin Tools

- **Local:** Admin
- **Task:** MEDS Alert Admin > Search for an Alert > Click on the desired results from the List page to navigate to the MEDS Alert Admin Detail page

2.1.5 Security Updates

N/A

2.1.6 Page Mapping

Implement page mapping for the 'Program Priority' dropdown.

2.1.7 Accessibility

The following accessibility enhancements have been identified:

- iFrames must have an accessible name.

2.1.8 Page Usage/Data Volume Impacts

N/A

2.2 Processing

2.2.1 Processing Flow

When processing a MEDS Alert Task, current logic evaluates assignments based on two options: "Default Assignment" and "Case Carrying Worker/Bank".

With the introduction of 'Program Priority', additional assignment processing configurations, if applied, will execute in a specific order as follows:

Figure 2.1.2-1 – Assignment Processing Order

<u>Program Priority and Sibling Assignment</u>

Processing a MEDS Alert Task that has both 'Sibling Assignment' set to "Yes" as well as a 'Program Priority' configured will determine Task assignment as follows:

1. Sibling Assignment Processing

   STEP 1: 'sibling' assignment processing will execute first to determine if there is a 'sibling' Worker available who is already holding a Task on the Case.

   STEP 2: If a 'sibling' Worker is found, the Task will be assigned to the Sibling Worker. The 'Program Priority' evaluation is not reached due to successful sibling worker determination.

   STEP 3: If a 'sibling' Worker is not found, the MEDS Alert processing will continue with 'Program Priority' Evaluation.

2. Program Priority Evaluation

   STEP 1: 'Program Priority' Processing will first evaluate whether there is a Program set in the 'Program Priority' attribute.

   STEP 2: If there is a Program set in the 'Program Priority' attribute, the processing logic will then evaluate the Case to determine if the Case has a program that matches the program configured for Program Priority.

   STEP 3:

   If a priority Program is found as part of STEP 2, determine if the priority program will be associated to the resulting Task:

   a. When:

      Initial Assignment is set to "Case Carrying Worker/Bank" and the Case Carrying Worker field is one of the following:

      - Currently Assigned Worker
      - Most Recent Worker Within 30 Days
      - Most Recent Worker Within 60 Days
      - Most Recent Worker Within 90 Days
      - Most Recent Worker Within 120 Days
      - Most Recent Worker

      Then:

      Evaluate the priority program for the Worker Assignment configuration set in the Case Carrying Worker field.

   b. When:

      Initial Assignment is set to "Case Carrying Worker/Bank" and the Case Carrying Worker field **IS** set to "No Case Carrying

8

Worker". (This is a scenario where only a Bank ID is configured for assignment).

Then:

Evaluate the priority program for a currently assigned worker.

c. When:

Initial Assignment is set to "Default Assignment".

Then:

Evaluate the priority program for a currently assigned worker.

If a worker is determined via one of the 3 scenarios listed above, then the priority program is the program that will be associated to the resulting Task. (i.e., the Program(s) field as seen on the Task Detail page)

Note: For Cases with multiple program blocks that match the configured program priority and determine a worker via one of the 3 scenarios listed above, the Task will be associated to the first matching program block on the Case as displayed on the Case Summary page (for example "Medi-Cal #1) (See Example #6).

If a priority program is NOT found as part of STEP 2 OR if a worker is NOT determined via one of the 3 scenarios listed above, the standard program hierarchy and assignment logic will determine the appropriate program and assignment to associate to the resulting Task.

STEP 4: Determine assignment of the resulting Task:

If STEP 3a determines a valid Worker for the priority program then assign the resulting Task to the priority program Worker determined in STEP 3a, otherwise determine Task assignment via the standard assignment processing.

2.2.2 Example Scenarios

Example 1: Sibling Assignment and Program Priority are not set. Initial Assignment is set to evaluate for the 'Case Carrying/Worker Bank'.

Initial Assignment: Case Carrying Worker/Bank

Case Carrying Worker: Currently Assigned Worker

Sibling Assignment: No

Program Priority: BLANK

The associated Case has three Programs and three Program Workers:

Case	Program	Worker	Sibling Worker
1234567	CalWORKs	John Doe	No
1234567	CalFresh	Jack Doe	No
1234567	Medi-Cal	Jane Doe	No

Result: Assign to John Doe.

Sibling Assignment processing and Program Priority do not apply. The standard program hierarchy is then applied and identifies CalWORKs as the highest priority program, which has a current worker. The resulting Task is associated to the CalWORKs program and assigned to "John Doe".

Example 2a: Sibling Assignment is not set; Program Priority is set, and Initial Assignment is set to only route into a Task Bank.

Initial Assignment: Case Carrying Worker/Bank

Case Carrying Worker: No Case Carrying Worker

Default Bank ID: 00AB000001BK

Sibling Assignment: No

Program Priority: CalFresh

The associated Case has three Programs and three Program Workers:

Case	Program	Worker	Sibling Worker
1234567	CalWORKs	John Doe	No
1234567	CalFresh	NONE	NONE
1234567	Medi-Cal	Jane Doe	No

Result: Assign to Task Bank 00AB000001BK.

Sibling Assignment processing does not apply. Program Priority processing identifies the CalFresh program on the case. As configured, there is no Worker ID to be determined for assignment; this scenario will assign directly to the Task Bank. Per STEPs 3 and 4 in the Program Priority Evaluation section above, the standard program hierarchy will be applied, and the Task will be associated

to the CalWORKs program and assigned to Task Bank 00AB000001BK.

Example 2b: Sibling Assignment is not set; Program Priority is set, and Initial Assignment is set to only route into a Task Bank.

Initial Assignment: Case Carrying Worker/Bank

Case Carrying Worker: No Case Carrying Worker

Default Bank ID: 00AB000001BK

Sibling Assignment: No

Program Priority: CalFresh

The associated Case has three Programs and three Program Workers:

Case	Program	Worker	Sibling Worker
1234567	CalWORKs	John Doe	No
1234567	CalFresh	Jane Doe	NONE
1234567	Medi-Cal	Jane Doe	No

Result: Assign to Task Bank 00AB000001BK.

Sibling Assignment processing does not apply. Program Priority processing identifies the CalFresh program on the case. Per STEP 3 in the Program Priority Evaluation section above, the CalFresh program has a currently assigned worker, so the resulting Task will be associated to the CalFresh program. However, since the Case Carrying Worker field is set to "No Case Carrying Worker", the resulting Task will only be assigned to Task Bank 00AB000001BK.

Example 3: Sibling Assignment is set to 'Yes' and priority Program is set in a MEDS Alert. A Sibling Worker is available who can accept the Task.

Initial Assignment: Default Assignment

Default Assignment: MEDS Alert Task Distribution

Sibling Assignment: Yes

Program Priority: CalFresh

The associated Case has three Programs and three Program Workers:

Case	Program	Worker	Sibling Worker
1234567	CalWORKs	John Doe	Yes
1234567	CalFresh	Jack Doe	No
1234567	Medi-Cal	Jane Doe	No

Result: Assign to John Doe.

Sibling Assignment will apply first and determine that John Doe is a Sibling Worker and assign the Task to the Sibling Worker. The Task will be associated to the highest priority program, which is CalWORKs. Program Priority is not evaluated.

Example 4: A priority Program is set in a MEDS Alert and a valid Sibling Program Worker is not available.

Initial Assignment: Default Assignment

Default Assignment: Current Program Worker

Sibling Assignment: Yes

Program Priority: CalFresh

The associated Case has three Programs and three Program Workers:

Case	Program	Worker	Sibling Worker
1234567	CalWORKs	John Doe	No
1234567	CalFresh	Jack Doe	No
1234567	Medi-Cal	Jane Doe	No

Result: Assign to Jack Doe.

Sibling Assignment is processed first and does not identify a sibling worker. The Program Priority of CalFresh is then evaluated. The Case includes a CalFresh program with worker "Jack Doe" assigned. Per STEPs 3 and 4 in the Program Priority Evaluation section above, the resulting Task will be associated to the CalFresh program and assigned to "Jack Doe".

Example 5: 'Program Priority' attribute is set in a MEDS Alert and a valid Program Worker is available.

Initial Assignment: Default Assignment

Default Assignment: Current Program Worker

Sibling Assignment: No

Program Priority: CalFresh

The associating Case has three Programs and three Program Workers:

Case	Program	Worker
1234567	CalWORKs	John Doe
1234567	CalFresh	Jack Doe
1234567	Medi-Cal	Jane Doe

Result: Assign to Jack Doe.

Sibling Assignment processing does not apply. The Program Priority of CalFresh is evaluated. The Case includes a CalFresh program with worker "Jack Doe" assigned. Per STEPs 3 and 4 in the Program Priority Evaluation section above, the resulting Task will be associated to the CalFresh program and assigned to "Jack Doe".

Example 6: 'Program Priority' attribute is set in a MEDS Alert and a valid Program Worker is available.

Initial Assignment: Default Assignment

Default Assignment: Current Program Worker

Sibling Assignment: No

Program Priority: Medi-Cal

The associating Case has four Program blocks and four Program Workers:

Case	Program	Worker
1234567	CalWORKs	John Doe
1234567	CalFresh	Jack Doe
1234567	Medi-Cal #1	Jane Doe
1234567	Medi-Cal #2	Jim Doe

Result: Assign to Jane Doe.

Sibling Assignment processing does not apply. The Program Priority of Medi-Cal is evaluated. The Case includes two Medi-Cal programs with workers "Jane Doe" and "Jim Doe" assigned. As

noted in STEP 3 in the Program Priority Evaluation section above, the resulting Task will be associated to the first Medi-Cal program (as seen on the Case Summary page) Medi-Cal #1 and assigned to "Jane Doe".

Example 7a: 'Program Priority' attribute is set in a MEDS Alert and a valid Program Worker is not available.

    Initial Assignment: Case Carrying Worker/Bank

    Case Carrying Worker: Currently Assigned Worker

    Sibling Assignment: No

    Program Priority: CalFresh

The associated Case has three Programs and three Program Workers:

Case	Program	Worker
1234567	CalWORKs	John Doe
1234567	CalFresh	NONE
1234567	Medi-Cal	Jane Doe

Result: Assign to John Doe.

Sibling Assignment processing does not apply. The Program Priority of CalFresh identifies the CalFresh program on the Case, however because this priority program does not have a currently assigned worker, priority program processing ends. The standard program hierarchy is then applied and identifies the CalWORKs program, which has a current worker. The resulting Task is associated to the CalWORKs program and assigned to "John Doe".

Example 7b: 'Program Priority' attribute is set in a MEDS Alert and a valid Program Worker is not available.

    Initial Assignment: Case Carrying Worker/Bank

    Case Carrying Worker: Most Recent Worker Within 90 Days

    Sibling Assignment: No

    Program Priority: CalFresh

The associated Case has three Programs and three Program Workers:

Case	Program	Worker	Worker Within 90 Days
1234567	CalWORKs	John Doe	John Doe
1234567	CalFresh	NONE	Jim Doe
1234567	Medi-Cal	Jane Doe	Jane Doe

Result: Assign to Jim Doe.

Sibling Assignment processing does not apply. The Program Priority of CalFresh identifies the CalFresh program on the Case and evaluates the CalFresh program for a most recent worker within 90 days (as configured). Program priority processing identifies Jim Doe as valid worker for assignment. The resulting Task is associated to the CalFresh program and assigned to "Jim Doe".

Example 8: A priority Program is set in a MEDS Alert. Both the priority Program and valid Program Worker are not available.

Initial Assignment: Default Assignment

Default Assignment: Current Program Worker

Sibling Assignment: No

Program Priority: CalFresh

The associated Case has two Programs and two Program Workers:

Case	Program	Worker
1234567	CalWORKs	John Doe
1234567	Medi-Cal	Jane Doe

Result: Assign to John Doe.

Sibling Assignment processing does not apply. The Program Priority configuration of CalFresh does not identify a CalFresh program on the case so priority program processing ends. The standard program hierarchy applies and identifies the CalWORKs program as the highest priority program with an assigned worker of John Doe. The resulting Task is associated to the CalWORKs program and assigned to "John Doe".

3   SUPPORTING DOCUMENTS

N/A

4 REQUIREMENTS

4.1 Project Requirements

REQ #	REQUIREMENT TEXT	How Requirement Met
2.19.2.1	The LRS shall include alerts and reminders for pending and ongoing work.	Update the MEDS Alert Admin Detail page to include a dropdown to prioritize which Program the Task.

5 MIGRATION IMPACTS

N/A

6 OUTREACH

N/A

7 APPENDIX

N/A

California Statewide Automated Welfare System

Design Document

CA-272698

ACL 18-43 - Add M40-107C1 and M40-107d Time Limit NOAs in Threshold Languages

CalSAWS	DOCUMENT APPROVAL HISTORY	
	Prepared By	Sreenidhyee Elamaran
	Reviewed By	Nagesha S

DATE	DOCUMENT VERSION	REVISION DESCRIPTION	AUTHOR
06/04/2024	1.0	Initial Draft	Sreenidhyee Elamaran

Table of Contents

1   OVERVIEW

The purpose of this SCR to add M40-107C1 Time on Aid Between 54th and 57th Month (9/20) notice in all available languages from the CDSS to the Template Repository and add the available threshold languages to the Template Repository for notice M40-107D Time on Aid to Former CalWORKs Recipients (4/21).

1.1   Current Design

1. Currently M40-107d is available only in English, Spanish and Vietnamese.
2. M40-107C1 form is not available in the CalSAWS Template Repository.

1.2   Requests

1. Add the M40-107C1 (9/20) to CalSAWS Template Repository.

   Languages Include: English, Spanish, Arabic, Armenian, Cambodian, Chinese, Farsi, Hindi, Hmong, Japanese, Korean, Lao, Mien, Punjabi, Russian, Tagalog, Thai, Ukrainian, and Vietnamese.

2. Add the M40-107d (4/21) in available threshold languages to CalSAWS Template Repository.

   Languages Include: Arabic, Armenian, Cambodian, Chinese, Farsi, Hindi, Hmong, Japanese, Korean, Lao, Mien, Punjabi, Russian, Tagalog, Thai and Ukrainian.

1.3   Overview of Recommendations

1. Add the M40-107C1 (9/20) to CalSAWS Template Repository.

   Languages Include: English, Spanish, Arabic, Armenian, Cambodian, Chinese, Farsi, Hindi, Hmong, Japanese, Korean, Lao, Mien, Punjabi, Russian, Tagalog, Thai, Ukrainian, and Vietnamese.

2. Add the M40-107d (4/21) in available threshold languages to CalSAWS Template Repository.

   Languages Include: Arabic, Armenian, Cambodian, Chinese, Farsi, Hindi, Hmong, Japanese, Korean, Lao, Mien, Punjabi, Russian, Tagalog, Thai and Ukrainian.

1.4   Assumptions

1. No variables will be populated on the new M40-107C1 and M40-107d (aside from the standard header and footer information).
2. All fields (blank or prepopulated) will be editable.
3. Supporting Documents section references attachments found on Jira.
4. As part of CA-204115, updating the M40-107d English, Spanish, and Vietnamese to the latest state version.

2   RECOMMENDATIONS

2.1   Add M40-107C1 (9/20) to the Template Repository in CalSAWS.

2.1.1   Overview

This effort will be adding the M40-107C1 (9/20) to the Template Repository in English and available threshold languages in CalSAWS.

State Form: M40-107C1 (9/20)

Programs: CalWORKs

Attached Forms: NA Back 9, NA 270

Template Description: Time on Aid Between 54th and 57th Month

Forms Category: NOA

Template Repository Visibility: All Counties

Languages: English, Spanish, Arabic, Armenian, Cambodian, Chinese, Farsi, Hindi, Hmong, Japanese, Korean, Lao, Mien, Punjabi, Russian, Tagalog, Thai, Ukrainian, and Vietnamese.

2.1.2   Form Verbiage

<u>Create M40-107C1 XDP.</u>

A new XDP will be created for the M40-107C1 (9/20) in English and threshold languages.

The first page will follow the NA 530 with M40-107C1 form content, and second page will follow NA Back 9 and Third Page will have the NA 270 form.

Threshold Languages: Spanish, Arabic, Armenian, Cambodian, Chinese, Farsi, Hindi, Hmong, Japanese, Korean, Lao, Mien, Punjabi, Russian, Tagalog, Thai, Ukrainian, and Vietnamese.

*One translation is provided to support the three Chinese threshold languages: Cantonese, Chinese and Mandarin

*Note: Regulation will be updated to "Assembly Bill 79 (Chapter 11, Statutes of 2020) and All County Letter NO. 20-113".

*Note: NA 530-related verbiage has been updated on the M40-107C1 based on the verbiage on CDSS website.

Form Header: CalSAWS Standard Header (HEADER_1_EN)

Form Title (Document List Page Displayed Name): Time on Aid Between 54th and 57th Month

Form Number: M40-107C1

Include NA Back 9: Yes

Imaging Form Name: Time on Aid Between 54th and 57th Month

Imaging Document Type: Notification/NOA

Imaging Case/Person: Case

Form Mockups/Examples: See supporting document #1

2.1.3 Form Generation Conditions

1. **Add M40-107C1 (9/20) to Template Repository**

   The M40-107C1 (9/20) is added only to the Template Repository.

   Required Document Parameters: Customer Name, Case Number, Program, Language

2. **Updates to Form Generation**

   M40-107C1 will generate only through Template Repository.

3. **Add Form Control**

   Add an imaging barcode for M40-107C1.

Tracking Barcode	BRM Barcode	Imaging Barcode
N	N	Y

4. **Add Form Print Options and Mailing Requirements**

   The following are the print and mailing requirements for M40-107C1 (9/20).

Blank Template	Print Local without Save	Print Local and Save	Print Central and Save	Reprint Local	Reprint Central
Y	Y	Y	Y	Y	Y

Mailing Options:

Mailing Options	Option for M40-107C1
Mail-To (Recipient)	Template Repository: Applicant selected on the document parameters page.
Mailed From (Return)	Worker's Office Address
Mail-back-to Address	N/A
Outgoing Envelope Type	Standard
Return Envelope Type	N/A
Special Paper Stock	N/A

Additional Options:

Requirement	Option for M40-107C1 Form
Post to Self-Service Portal	Y

2.2 Add M40-107d (4/21) in available threshold languages in CalSAWS.

2.2.1 Overview

Add the M40-107d (4/21) in available threshold languages in CalSAWS.

State Form: M40-107d (4/21)

Programs: CalWORKs

Attached Forms: NA Back 9, NA 270

Template Description: Time on Aid to Former CalWORKs Recipients

Forms Category: NOA

Template Repository Visibility: All Counties

Languages: Arabic, Armenian, Cambodian, Chinese, Farsi, Hindi, Hmong, Japanese, Korean, Lao, Mien, Punjabi, Russian, Tagalog, Thai, Ukrainian.

2.2.2 Form Verbiage

Create M40-107d XDP.

A new XDP will be created for the M40-107d (4/21) in threshold languages.

Threshold Languages: Arabic, Armenian, Cambodian, Chinese, Farsi, Hindi, Hmong, Japanese, Korean, Lao, Mien, Punjabi, Russian, Tagalog, Thai, Ukrainian.

*One translation is provided to support the three Chinese threshold languages: Cantonese, Chinese and Mandarin

*Note: Regulation will be updated to "40-107(a)(5) and All County Letter No. 20-113".

*Note: NA 290-related verbiage has been updated on the M40-107d based on the latest verbiage on CDSS website.

Form Header: CalSAWS Standard Header (HEADER_1_EN)

Form Title (Document List Page Displayed Name): Time on Aid to Former CalWORKs Recipients

Form Number: M40-107d

Include NA Back 9: Yes

Imaging Form Name: NOA 48- Month Time Limit

Imaging Document Type: F2

Imaging Case/Person: Case

Form Mockups/Examples: See supporting document #2

2.2.3 Form Generation Conditions

1. **Add M40-107d (4/21) to Template Repository**
   The M40-107d (4/21) is added only to the Template Repository.
   Required Document Parameters: Customer Name, Case Number, Program, Language

2. **Updates to Form Generation**
   M40-107d will generate only through Template Repository.

3. **Add Form Control**
   Add an imaging barcode for M40-107D.

Tracking Barcode	BRM Barcode	Imaging Barcode
N	N	Y

4. **Add Form Print Options and Mailing Requirements**

The following are the print and mailing requirements for M40-107d (4/21).

Blank Template	Print Local without Save	Print Local and Save	Print Central and Save	Reprint Local	Reprint Central
Y	Y	Y	Y	Y	Y

Mailing Options:

Mailing Options	Option for M40-107d
Mail-To (Recipient)	Template Repository: Applicant selected on the document parameters page.
Mailed From (Return)	Worker's Office Address
Mail-back-to Address	N/A
Outgoing Envelope Type	Standard
Return Envelope Type	N/A
Special Paper Stock	N/A

Additional Options:

Requirement	Option for M40-107d Form
Post to Self-Service Portal	Y

3   SUPPORTING DOCUMENTS

Number	Functional Area	Description	Attachment
1	Correspondence	M40-107C1 (9/20)	M40-107C1_English.pdf M40-107C1_Spanish.pdf M40-107C1_Arabic.pdf M40-107C1_Armenian.pdf M40-107C1_Cambodian.pdf M40-107C1_Chinese.pdf M40-107C1_Farsi.pdf M40-107C1_Hindi.pdf

			M40-107C1_Hmong.pdf M40-107C1_Japanese.pdf M40-107C1_Korean.pdf M40-107C1_Lao.pdf M40-107C1_Mien.pdf M40-107C1_Punjabi.pdf M40-107C1_Russian.pdf M40-107C1_Tagalog.pdf M40-107C1_Thai.pdf M40-107C1_Ukrainian.pdf M40-107C1_Vietnamese.pdf
2	Correspondence	M40-107d (4/21)	M40-107d_Arabic.pdf M40-107d_Armenian.pdf M40-107d_Cambodian.pdf M40-107d_Chinese.pdf M40-107d_Farsi.pdf M40-107d_Hindi.pdf M40-107d_Hmong.pdf M40-107d_Japanese.pdf M40-107d_Korean.pdf M40-107d_Lao.pdf M40-107d_Mien.pdf M40-107d_Punjabi.pdf M40-107d_Russian.pdf M40-107d_Tagalog.pdf M40-107d_Thai.pdf M40-107d_Ukrainian.pdf

4   REQUIREMENTS

4.1   Project Requirements

REQ #	REQUIREMENT TEXT	How Requirement Met
2.18.3.3 CAR-1239	The LRS shall produce various notices, NOAs, forms, letters, stuffers and flyers, including: a. Appointment notices; b. Redetermination, Recertification and/or Annual Agreement notices and forms; c. Other scheduling notices (e.g., quality control, GR hearings and appeals); d. Periodic reporting notices; e. Contact letters; f. Notices informing the applicant, participant, caregiver, sponsor or authorized representative of a change in worker, telephone hours or Local Office Site; g. Information notices and stuffers; h. Case-specific verification/referral forms; i. GR Vendor notices; k. Court-mandated notices, including Balderas notices; l. SSIAP appointment notices; m. Withdrawal forms; n. COLA notices; o. Time limit notices; p. Transitioning of aid notices; q. Interface triggered forms and notices (e.g., IFDS, IEVS); r. Non-compliance and sanction notices; s. Benefit issuance and benefit recovery forms and notices, including reminder notices; t. Corrective NOAs on State Fair Hearing decisions; u. CSC paper ID cards with LRS-generated access information; and	M40-107C1 is being added in English and all available threshold languages. M40-107d is being added in all available threshold languages.

	v. CSC PIN notices.	

California Statewide Automated Welfare System

Design Document

CA-273900

Automate MAGI Renewal Monitoring

CalSAWS	DOCUMENT APPROVAL HISTORY	
	Prepared By	Eric Haymes
	Reviewed By	Renee Gustafson

DATE	DOCUMENT VERSION	REVISION DESCRIPTION	AUTHOR
3/11/2024	1.0	Initial Version	Eric Haymes

Table of Contents

1 OVERVIEW

This SCR will automate several collections of information that are being reported to by CalSAWS to CalHEERS. Automating these processes will save time for CalSAWS developers and allow them to focus on other tasks.

1.1 Current Design

CalSAWS is manually generating CalHEERS reporting information monthly and providing the reporting results to various interested parties. This process takes time and coordination to accomplish each month.

1.2 Requests

Update the CalHEERS reporting process to be automated. The queries that are run to generate the reporting data will be turned into batch sweeps and run on the necessary days.

1.3 Overview of Recommendations

1. Automate the Medi-Cal Redetermination EDR Report.
2. Automate the Estimated Age Change EDR reporting counts.
3. Automate the Estimated MAGI/Mixed Auto Renewals reporting counts.
4. Automate the Estimated MAGI/Mixed Auto Negative Action reporting counts.
5. Automate the generated email that displays the "Estimated" reporting counts from prior recommendations.

1.4 Assumptions

1. The target population of the Medi-Cal Redetermination EDR Report will not be changed by this SCR, unless otherwise specified to update the in requirements.

2. The Medi-Cal Redetermination EDR Report is only viewed internally by CalSAWS and will not be viewable by the counties or workers.

3. The Medi-Cal Restoration Report will not be automated because it is expected to stop being generated in May.

4. The Medi-Cal Discontinuance Report will not be automated because it is expected to stop being generated in May.

5. The target population of the Estimated MAGI\Mixed Age Change EDR Report will not be changed by this SCR, unless specified to update in the requirements.

6. The target population of the Estimated MAGI\Mixed Auto Negative Action EDR Report will not be changed by this SCR, unless specified to update the

requirements.

7. The target population of the Estimated MAGI\Mixed Auto Renewals Action EDR Report will not be changed by this SCR, unless specified to update the requirements.

2 RECOMMENDATIONS

2.1 Batch Medi-Cal Redetermination EDR Sweep:

2.1.1 Overview

The Medi-Cal Redetermination EDR Sweep will collect information related to Medi-Cal RE's that will or have been sent to customers. The information from this sweep will be used to populate reports that help CalSAWS track if there are oddities in the process causing large amounts of skips or errors.

The sweep will be configurable. More than one batch job will trigger the sweep and generate different variants of datasets that have similar requirements. Thus, this recommendation will not create a batch job itself.

2.1.2 Description of Change

1. Create a new sweep that will collect counts on Automated Ex parte RE EDRs, based on the following target population.

   Technical Note: This sweep will be configurable to run for slightly different parameters that may differ between jobs. The differences in parameters will be set in the batch job's properties.

   a. Configure the sweep for the following dynamic parameters, that will differ depending on what batch job triggers the sweep.
      i. Limit by an EDR's batch reason.
      ii. Limit to a calculated Report month.

      Note:
      Some batch jobs will calculate REs that are due months in the future. Other jobs will calculate RE information after their due date.

   b. The EDR is Outbound (OT)

   c. An EDR exists that is effective for the report month.

   d. The EDRs current status is one of the following:

Description	Code
Complete	CM
Error	ER

8

e. The EDR was created by batch between the start of the current month and the day this sweep is running.

2. The EDR Sweep will collect additional information about the EDRs, that does not impact the target population to capture. This information is necessary for reporting needs. The following additional information will be captured.
   a. Collect information about if a DER was received for an EDR.

   b. Collect information about if EDRs experienced an error.

   c. Collect information about if the EDR was skipped and the reason it was skipped.

   d. Collection information about if the EDR was processed or in process.

2.1.3 Execution Frequency

NA – The sweep does not run in isolation. Other recommendations in this design will cover the individual batch jobs and when they run.

2.1.4 Key Scheduling Dependencies

NA – The sweep does not run in isolation. It will be triggered by multiple batch jobs, at different appropriate dates. Other recommendations in this design will cover the individual batch jobs and what their dependencies are.

2.1.5 Counties Impacted

N/A – see individual job recommendations for their county impacts.

2.1.6 Category

N/A – see individual job recommendations for their category.

2.1.7 Data Volume/Performance

N/A – see individual job recommendations for their volumes.

2.1.8 Failure Procedure/Operational Instructions

N/A – see individual job recommendations for their instructions.

2.2   Batch Medi-Cal RE EDR:

2.2.1   Overview

The Medi-Cal Auto Renewal EDR batch job will trigger the configurable Medi-Cal Redetermination Sweep.

The batch job will capture Medi-Cal RE and auto renewal information, 2 months prior to the RE's due date.

2.2.2   Description of Change

1. Create batch job that runs the Medi-Cal Redetermination EDR Sweep, but is configured to do the following:
   a. Limit to EDRs with the following batch reasons results:

Description	Code
Batch MAGI Redetermination EDR	01

   b. The report month will be calculated as 2 months after the batch date.
      i. Example: If the batch date is 1/3/2024, then the report month is 3/1/2024.

2.2.3   Execution Frequency

Twice a Month – on the 2nd and 8th business day each month.

Note: The second run of the month will replace the prior run's data

2.2.4   Key Scheduling Dependencies

Processor(s):

- Batch EDBC
- PB00CH203
  - Note: Runs 1st business days of the month.

2.2.5   Counties Impacted

N/A – The generated data will not be available to the counties.

2.2.6   Category

Core

2.2.7 Data Volume/Performance

600-thousand records

2.2.8 Failure Procedure/Operational Instructions

Operations staff will evaluate transmission errors and failures and determine the appropriate resolution (i.e., manually retrieving the file from the directory and contacting the external partner if there is an account or password issue, etc.)

2.3 Batch Medi-Cal Negative Action EDR:

2.3.1 Overview

The Medi-Cal Auto NA EDR and Auto Discontinuance batch job will trigger the configurable the Medi-Cal Redetermination Sweep.

The batch job will capture Medi-Cal RE and auto discontinuance information, within the month the program's RE's due date.

2.3.2 Description of Change

1. Create batch job that runs the Medi-Cal Redetermination EDR Sweep, but is configured to do the following:
   a. Limit to EDRs with the following batch reasons results:

Description	Code
MC RE Discontinuance	06

   e. The report month will be calculated as the same month as when the batch job is being run.
      i. Example: If the batch date is 3/16/2024, then the report month is 3/1/2024.

2.3.3 Execution Frequency

Monthly – 1st business day before Batch 10-day cutoff.

2.3.4 Key Scheduling Dependencies

Processor(s):
- Batch EDBC
- PB00CH204
  - Note: Runs 3 business days before 10-day cutoff.

2.3.5 Counties Impacted

N/A – The generated data will not be available to the counties.

2.3.6 Category

Core

2.3.7 Data Volume/Performance

70-thousand records

2.3.8 Failure Procedure/Operational Instructions

Operations staff will evaluate transmission errors and failures and determine the appropriate resolution (i.e., manually retrieving the file from the directory and contacting the external partner if there is an account or password issue, etc.)

2.4 Medi-Cal Redetermination Auto Action Sweep:

2.4.1 Overview

A new sweep will be created to collection information on Medi-Cal programs that where auto renewed or auto discontinued. The sweep will capture information on the EDBC results and the reason the program may have been discontinued.

This recommendation will not create a batch job directly. Instead, this sweep is configurable and will be triggered different batch jobs that are targeting a different, but similar population.

2.4.2 Description of Change

1. Create batch sweep to capture a list of Medi-Cal programs that meet all the following criteria:
   a. Configure the sweep for the following dynamic parameters, that will differ depending on what batch job triggers the sweep.
      i. Limit to what batch job triggered the EDBC run.

      ii. Limit to a calculated Report month.

      iii. Limit to a specific EDBC result type.

   b. The EDBC run was triggered by the one of the following batch functionalities:

Job Description	Job Number
Find MAGI Redetermination for EDBC	PB00E120
Find MAGI Discontinuance for EDBC	PB00E121

   Technical Note: The job name may change overtime, but the intended target functionality will be the true design requirement. Use batch job properties to define the job names and update the property, if the job name ever changes.

   c. The effective date is for the report month.

> > i. The report month will be the 1st of batch's come-up month.
> >
> > > ☐ **Example**: If the batch is running 4/15/2024, then the report month is 5/1/2024.

> d. The EDBC result is one of the following:

Description	Code
Processed	PC
Not Passed	NP

2. The new Medi-Cal Redetermination Auto Renewals Sweep will collect additional information, that does not impact the target population to capture. This information is necessary for reporting needs. The following additional information will be captured.

> a. Collect information about if the EDBC was skipped and the reason it was skipped.

> b. If the EDBC result was Processed (PC), identify if the program has no person(s) that were discontinued, with a Begin Date for the report month and has no End Date.
> > i. Discontinued will include if the person is in a discontinued status or is an Active FRI person.

2.4.3 Execution Frequency

Monthly

2.4.4 Key Scheduling Dependencies

Predecessors: Batch EDBC

2.4.5 Counties Impacted

N/A – The data generated will not be available to the counties.

2.4.6 Category

Core

2.4.7 Data Volume/Performance

N/A – see individual job recommendations for volumes.

2.4.8 Failure Procedure/Operational Instructions

Operations staff will evaluate transmission errors and failures and determine the appropriate resolution (i.e., manually retrieving the file from the directory and contacting the external partner if there is an account or password issue, etc.)

2.5 Batch Medi-Cal Auto Renewals Sweep

2.5.1 Overview

The Medi-Cal Auto Renewals batch job will trigger the configurable Medi-Cal Redetermination Auto Action sweep. The auto action the batch job will track is when a Medi-Cal program was auto-renewed, when an RE became due.

2.5.2 Description of Change

1. Create batch job that will trigger the configurable Medical RE Auto Renewal and Discontinuance Sweep. run a sweep to capture a list of Medi-Cal programs that meet all the following criteria:

   a. Configure the sweep for the following dynamic parameters, that will differ depending on what batch job triggers the sweep.

      i. Limit to what batch job triggered the EDBC run.

      ii. Limit to a calculated Report month.

      iii. Limit to a specific EDBC result type.

   b. The EDBC run was triggered by the one of the following batch functionalities:

Job Description	Job Number
Find MAGI Redetermination for EDBC	PB00E120

   Technical Note: The job name may change overtime, but the intended target functionality will be the true design requirement. Use batch job properties to define the job names and update the property, if the job name ever changes.

   c. The effective date is for the report month.
      i. The report month will be the 1st of batch's come-up month.
         □ **Example**: If the batch is running 4/15/2024, then the report month is 5/1/2024.

   d. The EDBC result is one of the following:

Description	Code
Processed	PC
Not Passed	NP

2.5.3 Execution Frequency

Monthly – 8th business day every month

2.5.4 Key Scheduling Dependencies

Predecessors:

- Batch EDBC
- PB00CH203
    - o Note: Runs 1st business days of the month.

2.5.5 Counties Impacted

N/A – The data generated will not be available to the counties.

2.5.6 Category

Core

2.5.7 Data Volume/Performance

400-thousand records

2.5.8 Failure Procedure/Operational Instructions

Operations staff will evaluate transmission errors and failures and determine the appropriate resolution (i.e., manually retrieving the file from the directory and contacting the external partner if there is an account or password issue, etc.)

2.6 Batch Medi-Cal Auto Discontinuance Sweep:

2.6.1 Overview

The Medi-Cal Auto Discontinuance batch job will trigger the configurable Medi-Cal Redetermination Auto Action Sweep.

The batch job will capture information on Medi-Cal programs that were auto-discontinued, within the month the program's RE was due.

2.6.2 Description of Change

1. Create batch job that runs the Medi-Cal Redetermination EDR Sweep, but is configured to do the following:

   a. Limit to EDRs with the following batch reasons results:

Description	Code
MC RE Discontinuance	06

   f. The report month will be calculated as the same month as when the batch job is being run.
      i. Example: If the batch date is 3/3/2024, then the report month is 3/1/2024.

2.6.3 Execution Frequency

Monthly – 1 business days after Batch 10-day cutoff

2.6.4 Key Scheduling Dependencies

Processor(s):
- Batch EDBC
- PB00CH204
  - Note: Runs 3 business days before 10-day cutoff.

2.6.5 Counties Impacted

N/A – The generated data will not be available to the counties.

2.6.6 Category

Core

2.6.7 Data Volume/Performance

60-thousand records

2.6.8 Failure Procedure/Operational Instructions

Operations staff will evaluate transmission errors and failures and determine the appropriate resolution (i.e., manually retrieving the file from the directory and contacting the external partner if there is an account or password issue, etc.)

2.7 Medi-Cal Customer Report Status Sweep

2.7.1 Overview

The Medi-Cal Redetermination packets are set to customers when they need to reapply for the program. This sweep will track which of those packets have been returned by the customer and which have not.

2.7.2 Description of Change

1. Create a batch job that will capture the following population:
   a. The customer report is effective during the same month as the batch job is running.

   b. The Customer Report is one of the following types:

Description	Code
Non-MAGI RE Packet	MR
MAGI RE Packet	MG
Mixed Household RE Packet	MI

   c. The Customer Report has or has not been received.
      i. Any one of the following statuses will be treated as "Not Received".

Description	Code
Sent	SE
Generated	GE

      ii. Any one of the following statuses will be treated as "Received".

Description	Code
Complete-EDBC Accepted	CE

Reviewed-Ready to Run EDBC	RR
Incomplete	IN
Received	RE

2. The captured population will be saved off into a temp table for one month.

2.7.3 Execution Frequency

Monthly – 1 business days after Batch 10-day cutoff

2.7.4 Key Scheduling Dependencies

Processor(s):

- Batch EDBC

2.7.5 Counties Impacted

N/A – The generated data will not be available to the counties.

2.7.6 Category

Core

2.7.7 Data Volume/Performance

25,000 records

2.7.8 Failure Procedure/Operational Instructions

Operations staff will evaluate transmission errors and failures and determine the appropriate resolution (i.e., manually retrieving the file from the directory and contacting the external partner if there is an account or password issue, etc.)

2.8 Automate Estimated MAGI/Mixed Age Change EDR Batch Sweep

2.8.1 Overview

This would automate an estimated count of EDRs for all the 'CH' age sweeps planned to be run that month and email the count to CalHEERS.

2.8.2 Description of Change

1. Create a batch job that will generate estimated counts for how many redetermination packets will be sent out, based a person's age change for MAGI/Mixed Medi-Cal programs.

   Technical Note: The new batch job will trigger the exact same SQL logic as the existing PB00CH206 (age 19), PB00CH208 (age 1), PB00CH209 (age 6), and PB00CH211 (age 65) batch jobs. However, instead of triggering the generation of packets, the new job will simply count the results.

   a. The same type of population will be captured for 3 separate months, producing 3 separate final counts that are reported at the same time. Each individual report month will include the entire month, from the first day to the last day of the month.
      i. Report Month 1:
         - The same month as the batch date.
         - Example:
            a. Batch date = 1/16/2023
            b. Report month = 02/01/2023 - 02/28/2023

      ii. Report Month 2:
         - The month after the batch date
         - Example:
            a. Batch date = 1/16/2023
            b. Report month = 03/01/2023 - 03/31/2023

      iii. Report Month 3:
         - The 2 months after the batch date
         - Example:
            a. Batch date = 1/16/2023
            b. Report month = 04/01/2023 - 04/30/2023

   b. Each time the batch job runs, it will save off the captured populations (for the three separate report months). When the job runs again, it will delete the prior run's saved data and will then save off the new run's data.

Note: The saved off population will be counted and put into an email that is sent with other report totals. There will be no individual report generated.

2.8.3 Execution Frequency

Monthly – The 5th business day prior to the Batch 10-day cutoff date.

2.8.4 Key Scheduling Dependencies

N/A

2.8.5 Counties Impacted

N/A – the generated data will not be available to the counties.

2.8.6 Category

Core

2.8.7 Data Volume/Performance

200 thousand records

2.8.8 Failure Procedure/Operational Instructions

Operations staff will evaluate transmission errors and failures and determine the appropriate resolution (i.e., manually retrieving the file from the directory and contacting the external partner if there is an account or password issue, etc.)

2.9 Automate Estimated MAGI/Mixed Auto Negative Action EDR Sweep

2.9.1 Overview

This would automate an estimated count of EDRs for Negative Action for Failed to Complete Redetermination planned to be run that month and email the count to CalHEERS. [PB00CH204)

2.9.2 Description of Change

1. Create a batch job that will generate estimated counts for discontinuances are expected to be triggered for MAGI/Mixed Medi-Cal programs.

    Technical Note: The new batch job will trigger the exact same SQL logic as the existing PB00CH204 (Find Magi Discontinued For EDR) batch job. However, instead of triggering discontinuances, it will simply count the results.

    a. The reporting period being searching in will be determined based on the batch date the job is run for. The report period will be the month after the current batch job month.
        i. Example:
            ▢ Batch date = 1/16/2023
            ▢ Report month = 02/01/2023 - 02/28/2023

    b. Each time the batch job runs, it will save off the captured populations. When the job runs again, it will delete the prior run's saved data and will then save off the new run's data.

    Note: The saved off population will be counted and put into an email that is sent with other report totals. There will be no individual report generated.

2.9.3 Execution Frequency

Monthly – Monday of the last week of the month

2.9.4 Key Scheduling Dependencies

Predecessors:
- Batch EDBC

Successors:

2.9.5 Counties Impacted

N/A – the generated data will not be available to the counties.

2.9.6 Category

Core

2.9.7 Data Volume/Performance

Less than 200k records to process a month.

2.9.8 Failure Procedure/Operational Instructions

400 thousand records

2.10 Automate Estimated MAGI/Mixed Medi-Cal Auto Renewals EDR Sweep

2.10.1 Overview

This would automate an estimated count of EDRs that will be triggered for auto-renewal for Medi-Cal programs.

2.10.2 Description of Change

1. Create a batch job that will generate estimated counts for redeterminations are expected to be triggered for MAGI/Mixed Medi-Cal programs.

   Technical Note: The new batch job will trigger the exact same SQL logic as the existing PB00CH203 (Find Magi Redeter for EDR) batch job. However, instead of triggering renewals, it will simply count the results.

   a. The same type of population will be captured for 3 separate months, producing 3 separate final counts that are reported at the same time. Each individual report month will include the entire month, from the first day to the last day of the month.
      i. Report Month 1:
         ▢ The same month as the batch date.
         ▢ Example:
            a. Batch date = 1/16/2023
            b. Report month = 02/01/2023 - 02/28/2023

      ii. Report Month 2:
         ▢ The month after the batch date
         ▢ Example:
            a. Batch date = 1/16/2023
            b. Report month = 03/01/2023 - 03/31/2023

      iii. Report Month 3:
         ▢ The 2 months after the batch date
         ▢ Example:
            a. Batch date = 1/16/2023
            b. Report month = 04/01/2023 - 04/30/2023

   b. Each time the batch job runs, it will save off the captured populations (for the three separate report months). When the job runs again, it will delete the prior run's saved data and will then save off the new run's data.

Note: The saved off population will be counted and put into an email that is sent with other estimate report totals. There will be no individual report generated.

2.10.3 Execution Frequency

Monthly – Monday of the last week of the month

2.10.4 Key Scheduling Dependencies

Predecessor: Batch EDBC

2.10.5 Counties Impacted

N/A – the generated data will not be available to the counties.

2.10.6 Category

Core

2.10.7 Data Volume/Performance

1.5 million records

2.10.8 Failure Procedure/Operational Instructions

Operations staff will evaluate transmission errors and failures and determine the appropriate resolution (i.e., manually retrieving the file from the directory and contacting the external partner if there is an account or password issue, etc.)

CalSAWS

California Statewide Automated Welfare System

Design Document

CA-274526

(TLM-23) Upgrade CalSAWS libraries to be N-1 compliant

	DOCUMENT APPROVAL HISTORY	
CalSAWS	Prepared By	Erick Guanzon

DATE	DOCUMENT VERSION	REVISION DESCRIPTION	AUTHOR
06/24/2024	1.0	Initial Draft	Erick Guanzon

Table of Contents

1 OVERVIEW

This SCR will upgrade CalSAWS applications and other open-source libraries to adhere to the N-1 software update strategy.

1.1 Request

Refer the below table that will upgrade the libraries for the following CalSAWS applications to adhere N-1 software update strategy.

1.1.1 Spring-Boot Applications

Open Source Software	Latest Version	N-1 Version	CalSAWS Version	Application Name
guava	33.2.0-jre	32.1.3-jre	31.0.1-jre	AMP
j2objc-annotations	3.0.0	2.8	1.3	AMP
jakarta.annotation-api	3.0.0	2.1.1	1.3.5	AMP
rocksdbjni	9.1.1	8.11.4	5.18.4	AMP
tomcat-embed-core	11.0.0-M20	10.1.24	9.0.75	AMP
tomcat-embed-el	11.0.0-M20	10.1.24	9.0.75	AMP
tomcat-embed-websocket	11.0.0-M20	10.1.24	9.0.75	AMP
tomcat-jdbc	11.0.0-M20	10.1.24	9.0.16	AMP
tomcat-juli	11.0.0-M20	10.1.24	9.0.16	AMP
xmlbeans	5.2.0	4.0.0	3.1.0	AMP
guava	33.2.0-jre	32.1.3-jre	31.0.1-jre	Activities Service
hibernate-core	7.0.0.Alpha2	6.6.0.Alpha1	5.6.15.Final	Activities Service
hibernate-validator	8.0.1.Final	7.0.5.Final	6.2.5.Final	Activities Service
j2objc-annotations	3.0.0	2.8	1.3	Activities Service
jakarta.annotation-api	3.0.0	2.1.1	1.3.5	Activities Service
jaxb-runtime	4.0.5	3.1.0-M1	2.3.1	Activities Service
swagger-ui	5.17.2	4.19.1	3.32.5	Activities Service
tomcat-embed-core	11.0.0-M20	10.1.24	9.0.75	Activities Service
tomcat-embed-el	11.0.0-M20	10.1.24	9.0.75	Activities Service
tomcat-embed-websocket	11.0.0-M20	10.1.24	9.0.75	Activities Service
tomcat-jdbc	11.0.0-M20	10.1.24	9.0.16	Activities Service
tomcat-juli	11.0.0-M20	10.1.24	9.0.16	Activities Service
txw2	4.0.5	3.1.0-M1	2.3.1	Activities Service
guava	32.0.0-jre	32.1.3-jre	31.0.1-jre	Appointment Service
hibernate-core	7.0.0.Alpha2	6.6.0.Alpha1	5.6.7.Final	Appointment Service
hibernate-validator	8.0.1.Final	7.0.5.Final	6.2.5.Final	Appointment Service

j2objc-annotations	3.0.0	2.8	1.3	Appointment Service
jakarta.annotation-api	3.0.0	2.1.1	1.3.5	Appointment Service
jaxb-runtime	4.0.2	3.1.0-M1	2.3.1	Appointment Service
swagger-ui	5.17.2	4.19.1	3.32.5	Appointment Service
tomcat-embed-core	11.0.0-M20	10.1.24	9.0.75	Appointment Service
tomcat-embed-el	11.0.0-M20	10.1.24	9.0.75	Appointment Service
tomcat-embed-websocket	11.0.0-M20	10.1.24	9.0.75	Appointment Service
txw2	4.0.5	3.1.0-M1	2.3.1	Appointment Service
hibernate-core	7.0.0.Alpha2	6.6.0.Alpha1	5.6.15.Final	Fiscal Service
j2objc-annotations	3.0.0	2.8	1.3	Fiscal Service
jakarta.annotation-api	3.0.0	2.1.1	1.3.5	Fiscal Service
jakarta.xml.bind-api	4.0.2	3.0.1	2.3.2	Fiscal Service
jaxb-runtime	4.0.5	3.1.0-M1	2.3.1	Fiscal Service
ojdbc11	23.4.0.24.05	21.13.0.0	21.10.0.0	Fiscal Service
swagger-ui	5.17.11	4.19.1	3.32.5	Fiscal Service
tomcat-embed-core	11.0.0-M20	10.1.24	9.0.75	Fiscal Service
tomcat-embed-el	11.0.0-M20	10.1.24	9.0.75	Fiscal Service
tomcat-embed-websocket	11.0.0-M20	10.1.24	9.0.75	Fiscal Service
tomcat-jdbc	11.0.0-M20	10.1.24	9.0.16	Fiscal Service
tomcat-juli	11.0.0-M20	10.1.24	9.0.16	Fiscal Service
txw2	4.0.5	3.1.0-M1	2.3.1	Fiscal Service
guava	33.2.0-jre	32.1.3-jre	31.0.1-jre	Imaging Service
hibernate-validator	8.0.1.Final	7.0.5.Final	6.2.5.Final	Imaging Service
j2objc-annotations	3.0.0	2.8	1.3	Imaging Service
jakarta.xml.bind-api	4.0.2	3.0.1	2.3.3	Imaging Service
jakarta.xml.soap-api	3.0.2		1.4.2	Imaging Service
jakarta.xml.ws-api	4.0.2	3.0.1	2.3.3	Imaging Service
saaj-impl	3.0.4	2.0.1	1.5.3	Imaging Service
swagger-ui	5.17.11	4.19.1	3.32.5	Imaging Service
guava	33.2.0-jre	32.1.3-jre	31.0.1-jre	Journal Service
hibernate-core	7.0.0.Alpha2	6.6.0.Alpha1	5.6.15.Final	Journal Service
hibernate-validator	8.0.1.Final	7.0.5.Final	6.2.5.Final	Journal Service
j2objc-annotations	3.0.0	2.8	1.3	Journal Service
jakarta.annotation-api	3.0.0	2.1.1	1.3.5	Journal Service
jakarta.xml.bind-api	4.0.2	3.0.1	2.3.2	Journal Service
jaxb-runtime	4.0.5	3.1.0-M1	2.3.1	Journal Service

ojdbc8(artifact moved to com.oracle.database.jdbc » ojdbc10)	23.4.0.24.05		21.10.0.2	Journal Service
swagger-ui	5.17.11	4.19.1	3.32.5	Journal Service
tomcat-embed-core	11.0.0-M20	10.1.24	9.0.75	Journal Service
tomcat-embed-el	11.0.0-M20	10.1.24	9.0.75	Journal Service
tomcat-embed-websocket	11.0.0-M20	10.1.24	9.0.75	Journal Service
tomcat-jdbc	11.0.0-M20	10.1.24	9.0.16	Journal Service
tomcat-juli	11.0.0-M20	10.1.24	9.0.16	Journal Service
txw2	4.0.5	3.1.0-M1	2.3.1	Journal Service
guava	33.2.0-jre	32.1.3-jre	31.0.1-jre	CalSAWS Service
hibernate-core	7.0.0.Alpha2	6.6.0.Alpha1	5.6.15.Final	CalSAWS Service
hibernate-validator	8.0.1.Final		6.2.5.Final	CalSAWS Service
j2objc-annotations	3.0.0	2.8	1.3	CalSAWS Service
jakarta.annotation-api	3.0.0	2.1.1	1.3.5	CalSAWS Service
jaxb-runtime	4.0.2	3.1.0-M1	2.3.1	CalSAWS Service
swagger-ui	5.17.2	4.19.1	3.32.5	CalSAWS Service
tomcat-embed-core	11.0.0-M20	10.1.24	9.0.75	CalSAWS Service
tomcat-embed-el	11.0.0-M20	10.1.24	9.0.75	CalSAWS Service
tomcat-embed-websocket	11.0.0-M20	10.1.24	9.0.75	CalSAWS Service
tomcat-jdbc	11.0.0-M20	10.1.24	9.0.16	CalSAWS Service
tomcat-juli	11.0.0-M20	10.1.24	9.0.16	CalSAWS Service
txw2	4.0.5	3.1.0-M1	2.3.1	CalSAWS Service
guava	33.2.0-jre	32.1.3-jre	31.0.1-jre	OCAT Service
jaxb-runtime	4.0.5	3.1.0-M1	2.3.0	OCAT Service
jaxb-core	4.0.5	3.1.0-M1	2.3.0	OCAT Service
tomcat-embed-el	11.0.0-M20	10.1.24	9.0.75	OCAT Service
hibernate-validator	8.0.1.Final	7.0.5.Final	6.2.5.Final	OCAT Service
j2objc-annotations	3.0.0	2.8	1.3	OCAT Service
jakarta.annotation-api	3.0.0	2.1.1	1.3.5	OCAT Service
tomcat-embed-websocket	11.0.0-M20	10.1.24	9.0.75	OCAT Service
tomcat-embed-core	11.0.0-M20	10.1.24	9.0.75	OCAT Service
txw2	4.0.5	3.1.0-M1	2.3.0	OCAT Service
guava	33.2.0-jre	32.1.3-jre	31.0.1-jre	SMS Service
spring-security-config	6.3.0	5.8.3	3.2.10.RELEASE	SMS Service
spring-security-web	6.3.0	5.8.3	3.2.10.RELEASE	SMS Service
spring-security-core	6.3.0	5.8.3	3.2.10.RELEASE	SMS Service
spring-jdbc	6.1.8	5.3.36	1.2.9	SMS Service
j2objc-annotations	3.0.0	2.8	1.3	SMS Service
jakarta.annotation-api	3.0.0	2.1.1	1.3.5	SMS Service
tomcat-embed-websocket	11.0.0-M20	10.1.24	9.0.73	SMS Service
tomcat-embed-core	11.0.0-M20	10.1.24	9.0.73	SMS Service
tomcat-embed-el	11.0.0-M20	10.1.24	9.0.73	SMS Service

guava	33.2.0-jre	32.1.3-jre	31.0.1-jre	GAGR
hibernate-core	7.0.0.Alpha2	6.6.0.Alpha1	5.6.15.Final	GAGR
hibernate-validator	8.0.1.Final	7.0.5.Final	6.2.5.Final	GAGR
j2objc-annotations	3.0.0	2.8	1.3	GAGR
jakarta.annotation-api	3.0.0	2.1.1	1.3.5	GAGR
jakarta.xml.bind-api	4.0.2	3.0.1	2.3.2	GAGR
jaxb-runtime	4.0.5	3.1.0-M1	2.3.1	GAGR
swagger-ui	5.17.11	4.19.1	3.32.5	GAGR
tomcat-embed-core	11.0.0-M20	10.1.24	9.0.75	GAGR
tomcat-embed-el	11.0.0-M20	10.1.24	9.0.75	GAGR
tomcat-embed-websocket	11.0.0-M20	10.1.24	9.0.75	GAGR
tomcat-jdbc	11.0.0-M20	10.1.24	9.0.16	GAGR
tomcat-juli	11.0.0-M20	10.1.24	9.0.16	GAGR
txw2	4.0.5	3.1.0-M1	2.3.1	GAGR
guava	33.2.0-jre	33.1.0-jre	31.0.1-jre	Email Service
tomcat-jdbc	11.0.0-M20	10.1.24	9.0.16	Email Service
ojdbc8 (artifact moved to com.oracle.database.jdbc » ojdbc10)	23.4.0.24.05	23.3.0.23.09	21.10.0.0	Email Service
tomcat-embed-el	11.0.0-M20	10.1.24	9.0.75	Email Service
hibernate-validator	8.0.1.Final	7.0.5.Final	6.2.5.Final	Email Service
j2objc-annotations	3.0.0	2.8	1.3	Email Service
tomcat-juli	11.0.0-M20	10.1.24	9.0.16	Email Service
swagger-ui	5.17.2	5.15.2-1	3.32.5	Email Service
jakarta.annotation-api	3.0.0	2.1.1	1.3.5	Email Service
tomcat-embed-websocket	11.0.0-M20	10.1.24	9.0.75	Email Service
tomcat-embed-core	11.0.0-M20	10.1.24	9.0.75	Email Service
hibernate-validator	8.0.1.Final	7.0.5.Final	6.2.5.Final	Portal Service
guava	33.2.0-jre	32.1.3-jre	31.0.1-jre	Portal Service
spring-security-config	6.3.0	5.8.3	3.2.10.RELEASE	Portal Service
spring-security-web	6.3.0	5.8.3	3.2.10.RELEASE	Portal Service
spring-security-core	6.3.0	5.8.3	3.2.10.RELEASE	Portal Service
hibernate-core	7.0.0.Alpha2	6.6.0.Alpha1	5.6.15.Final	Portal Service
tomcat-embed-el	11.0.0-M20	10.1.24	9.0.75	Portal Service
j2objc-annotations	3.0.0	2.8	1.3	Portal Service
swagger-ui	5.17.11	4.19.1	3.32.5	Portal Service
jakarta.annotation-api	3.0.0	2.1.1	1.3.5	Portal Service
tomcat-embed-websocket	11.0.0-M20	10.1.24	9.0.75	Portal Service
tomcat-embed-core	11.0.0-M20	10.1.24	9.0.75	Portal Service
jaxb-runtime	4.0.5	3.1.0-M1	2.3.1	Portal Service
txw2	4.0.5	3.1.0-M1	2.3.1	Portal Service
guava	33.2.0-jre	32.1.3-jre	31.0.1-jre	Task Service
saaj-impl	3.0.4	2.0.1	1.5.3	Task Service

jakarta.xml.ws-api	4.0.2	3.0.1	2.3.3	Task Service
hibernate-core	7.0.0.Alpha2	6.6.0.Alpha1	5.6.15.Final	Task Service
tomcat-embed-el	11.0.0-M20	10.1.24	9.0.75	Task Service
hibernate-validator	8.0.1.Final	7.0.5.Final	6.2.5.Final	Task Service
j2objc-annotations	3.0.0	2.8	1.3	Task Service
swagger-ui	5.17.11	4.19.1	3.32.5	Task Service
jakarta.annotation-api	3.0.0	2.1.1	1.3.5	Task Service
tomcat-embed-websocket	11.0.0-M20	10.1.24	9.0.75	Task Service
tomcat-embed-core	11.0.0-M20	10.1.24	9.0.75	Task Service
jaxb-runtime	4.0.5	3.1.0-M1	2.3.1	Task Service
jakarta.xml.bind-api	4.0.2	3.0.1	2.3.3	Task Service
oauth2-oidc-sdk	11.12	10.15	9.35	Task Service
txw2	4.0.5	3.1.0-M1	2.3.1	Task Service
tomcat-jdbc	11.0.0-M20	10.1.24	9.0.16	Auditor
poi	5.2.5	4.1.2	3.11	Auditor
ojdbc11	23.4.0.24.05		21.10.0.0	Auditor
tomcat-embed-jasper	11.0.0-M20	10.1.9	9.0.55	Auditor
guava	33.2.0-jre	32.1.3-jre	31.0.1-jre	Auditor
tomcat-juli	11.0.0-M20	10.1.24	9.0.16	Auditor
j2objc-annotations	3.0.0	2.8	1.3	Auditor
rocksdbjni	9.1.1	8.11.4	5.18.4	Auditor
oauth2-oidc-sdk	11.12	10.15	9.35	Auditor
tomcat-annotations-api	11.0.0-M20	10.1.9	9.0.75	Auditor
guava	33.2.0-jre	32.1.3-jre	31.0.1-jre	Fileservice
j2objc-annotations	3.0.0	2.8	1.3	Fileservice
jakarta.annotation-api	3.0.0	2.1.1	1.3.5	Fileservice
tomcat-embed-websocket	11.0.0-M20	10.1.24	9.0.75	Fileservice
tomcat-embed-core	11.0.0-M20	10.1.24	9.0.75	Fileservice
tomcat-embed-el	11.0.0-M20	10.1.24	9.0.75	Fileservice
guava	33.2.0-jre	32.1.3-jre	31.0.1-jre	Kafka Producer Service
j2objc-annotations	3.0.0	2.8	1.3	Kafka Producer Service
rocksdbjni	9.1.1	8.11.4	5.18.4	Kafka Producer Service
jakarta.annotation-api	3.0.0	2.1.1	1.3.5	Kafka Producer Service
tomcat-embed-websocket	11.0.0-M20	10.1.24	9.0.75	Kafka Producer Service
tomcat-embed-core	11.0.0-M20	10.1.24	9.0.75	Kafka Producer Service
tomcat-embed-el	11.0.0-M20	10.1.24	9.0.75	Kafka Producer Service
guava	33.2.0-jre	32.1.3-jre	31.0.1-jre	Lobby Service

tomcat-jdbc	11.0.0-M20	10.1.24	9.0.16	Lobby Service
jaxb-runtime	4.0.5	3.1.0-M1	2.3.3	Lobby Service
saaj-impl	3.0.41	2.0.1	1.5.3	Lobby Service
jakarta.xml.ws-api	4.0.2	3.0.1	2.3.3	Lobby Service
tomcat-embed-el	11.0.0-M20	10.1.24	9.0.75	Lobby Service
hibernate-validator	8.0.1.Final	7.0.5.Final	6.2.5.Final	Lobby Service
j2objc-annotations	3.0.0	2.8	1.3	Lobby Service
swagger-ui	5.17.2	5.15.2-1	3.32.5	Lobby Service
txw2	4.0.5	3.0.1-M1	2.3.3	Lobby Service
jakarta.annotation-api	3.0.0	2.1.1	1.3.5	Lobby Service
tomcat-embed-websocket	11.0.0-M20	10.1.24	9.0.75	Lobby Service
tomcat-embed-core	11.0.0-M20	10.1.24	9.0.75	Lobby Service
jakarta.xml.soap-api	3.0.2	2.0.1	1.4.2	Lobby Service
oauth2-oidc-sdk	11.12	10.15	9.35	Lobby Service
jakarta.xml.soap-api	3.0.1	2.0.1	1.4.2	CHEWS
jakarta.xml.ws-api	4.0.2	3.0.1	2.3.3	CHEWS
saaj-impl	3.0.4	2.0.1	1.5.3	CHEWS

1.1.2 CalSAWS Core, Batch & WebService

Open Source Software	Latest Version	N-1 Version	CalSAWS Version	Application Name
bcprov-jdk14(artifact moved to org.bouncycastle » bcprov-jdk14)	140	139	138	CalSAWS Core Online
common-config	7.4.0	6.2.10	5.2.1	CalSAWS Core Online
common-utils	7.4.0	6.2.10	5.2.1	CalSAWS Core Online
guava	33.2.0-jre	32.1.3-jre	31.0.1-jre	CalSAWS Core Online
hibernate-core	7.0.0.Alpha2	6.6.0.Alpha1	5.4.11.Final	CalSAWS Core Online
j2objc-annotations	3.0.0	2.8	1.3	CalSAWS Core Online
jaxb-core	4.0.5	3.1.0-M1	2.3.0	CalSAWS Core Online
jaxb-impl	4.0.5	3.1.0-M1	2.3.0	CalSAWS Core Online
jaxb-runtime	4.0.5	3.1.0-M1	2.3.1	CalSAWS Core Online
kafka-streams-avro-serde	7.6.0	6.2.10	5.2.1	CalSAWS Core Online

microsoft-graph	6.8.0	5.80.0	1.0.2	CalSAWS Core Online
nimbus-jose-jwt	9.39.1	8.23	3.1.2	CalSAWS Core Online
oauth2-oidc-sdk	11.12	10.15	4.5	CalSAWS Core Online
ojdbc8(artifact moved to com.oracle.database.jdbc » ojdbc10)	23.4.0.24.25	21.13.0.0	19.3.0.0	CalSAWS Core Online
ons(artifact moved to com.oracle.database.jdbc)	23.4	21.13	19.3.0.0	CalSAWS Core Online
rocksdbjni	9.1.1	8.11.4	5.18.4	CalSAWS Core Online
spring-ws-core	4.0.11	3.1.6	2.1.4.RELEASE	CalSAWS Core Online
spring-xml	4.0.11	3.1.6	2.1.4.RELEASE	CalSAWS Core Online
txw2	4.0.5	3.1.0-M1	2.3.1	CalSAWS Core Online
ucp	23.4.0.24.05	21.13.0.0	19.3.0.0	CalSAWS Core Online
xmlbeans	5.2.0	4.0.0	3.1.0	CalSAWS Core Online
bcprov-jdk14(artifact moved to org.bouncycastle » bcprov-jdk14)	140	139	138	CalSAWS Core Webservices
jaxb-core	4.0.5	3.1.0-M1	2.3.0	CalSAWS Core Webservices
jaxb-impl	4.0.5	3.1.0-M1	2.3.0	CalSAWS Core Webservices
nimbus-jose-jwt	9.39.1	8.23	3.1.2	CalSAWS Core Webservices
oauth2-oidc-sdk	11.12	10.15	4.5	CalSAWS Core Webservices
ojdbc8(artifact moved to com.oracle.database.jdbc » ojdbc10)	23.4.0.24.25	21.13.0.0	19.3.0.0	CalSAWS Core Webservices
ons	23.4	21.13	19.3.0.0	CalSAWS Core Webservices
oraclepki	23.4.0.24.25	21.13.0.0	19.3.0.0	CalSAWS Core Webservices
simplefan	23.4.0.24.25	21.13.0.0	19.3.0.0	CalSAWS Core Webservices
spring-ws-core	4.0.11	3.1.6	2.1.4.RELEASE	CalSAWS Core Webservices
ucp	23.4.0.24.05	21.13.0.0	19.3.0.0	CalSAWS Core Webservices
bcprov-jdk14(artifact moved to org.bouncycastle » bcprov-jdk14)	140	139	138	CalSAWS Core Batch

common-config	7.4.0	6.2.10	5.2.1	CalSAWS Core Batch
common-utils	7.4.0	6.2.10	5.2.1	CalSAWS Core Batch
glassfish-embedded-all	8.0.0-JDK17-M5	7.0.14	3.1.2.2	CalSAWS Core Batch
guava	33.2.0-jre	32.1.3-jre	31.0.1-jre	CalSAWS Core Batch
hibernate-core	7.0.0.Alpha2	6.6.0.Alpha1	5.4.11.Final	CalSAWS Core Batch
j2objc-annotations	3.0.0	2.8	1.3	CalSAWS Core Batch
jakarta.xml.soap-api	3.0.1	2.0.1	1.4.2	CalSAWS Core Batch
jaxb-core	4.0.5	3.1.0-M1	2.3.0	CalSAWS Core Batch
jaxb-impl	4.0.5	3.1.0-M1	2.3.0	CalSAWS Core Batch
jaxb-runtime	4.0.5	3.1.0-M1	2.3.1	CalSAWS Core Batch
kafka-streams-avro-serde	7.6.0	6.2.10	5.2.1	CalSAWS Core Batch
microsoft-graph	6.8.0	5.80.0	1.0.2	CalSAWS Core Batch
nimbus-jose-jwt	9.39.1	8.23	3.1.2	CalSAWS Core Batch
oauth2-oidc-sdk	11.12	10.15	4.5	CalSAWS Core Batch
ojdbc8 (artifact moved to com.oracle.database.jdbc » ojdbc10)	23.4.0.24.25	21.13.0.0	19.3.0.0	CalSAWS Core Batch
ons	23.4	21.13	19.3.0..0	CalSAWS Core Batch
rocksdbjni	9.1.1	8.11.4	5.18.4	CalSAWS Core Batch
saaj-impl	3.0.4	2.0.1	1.5.3	CalSAWS Core Batch
spring-ws-core	4.0.11	3.1.6	2.1.4.RELEASE	CalSAWS Core Batch
spring-xml	4.0.11	3.1.6	2.1.4.RELEASE	CalSAWS Core Batch
txw2	4.0.5	3.1.0-M1	2.3.1	CalSAWS Core Batch
ucp	23.4.0.24.05	21.13.0.0	19.3.0.0	CalSAWS Core Batch
xmlbeans	5.2.0	4.0.0	3.1.0	CalSAWS Core Batch

1.2 Overview of Recommendations

The CalSAWS Online, Batch, WebService, and Spring-Boot applications will be compiled with the latest libraries and will be deployed using the latest platform. Breaking changes should be resolved if the application code is using a deprecated API.

The following applications will receive an upgrade based on the N-1 version listed in section 1.1.1 and 1.1.2.

Application Name
AMP
Activities Service
Appointment Service
Fiscal Service
Imaging Service
Journal Service
CalSAWS Service
OCAT Service
Texting/SMS Client (Integrated in CalSAWS Application)
GAGR
Email Service
Portal Service
Task Service
Auditor
FileService
Kafka Producer Service
Lobby Service
CHEWS
CalSAWS Core
CalSAWS Core - Batch
CalSAWS WebService

1.3 Assumptions

- This upgrade should not change the functional behavior of the CalSAWS applications.
- In the case of potential runtime issue with upgraded libraries and if there are no alternative version, that specific libraries should be rolled back to the previous known working version.

2 RECOMMENDATIONS

This SCR will upgrade CalSAWS Applications open-source libraries to adhere to the N-1 software update strategy.

2.1 Security Updates

N/A

3 SUPPORTING DOCUMENTS

N/A

Number	Functional Area	Description	Attachment

4 REQUIREMENTS

N/A

4.1 Project Requirements

REQ #	REQUIREMENT TEXT	How Requirement Met

4.2 Migration Requirements

DDID #	REQUIREMENT TEXT	Contractor Assumptions	How Requirement Met

5 MIGRATION IMPACTS

N/A

6   OUTREACH

N/A

7   APPENDIX

N/A

CalSAWS

California Statewide Automated Welfare System

Design Document

CA-274726 Create Task Override Capture Profile

DRAFT

CalSAWS	DOCUMENT APPROVAL HISTORY	
	Prepared By	Robyn Anderson
	Reviewed By	Christopher Vasquez, Rhiannon Chin

DATE	DOCUMENT VERSION	REVISION DESCRIPTION	AUTHOR
05/02/2024	1.0	Initial draft	Robyn Anderson
5/31/2024	2.0	Updated with review comments	Robyn Anderson

Table of Contents

1 OVERVIEW

1.1 Current Design

Currently, users have several different capture modes to choose from when uploading document into the Hyland Imaging Solution. Each of those capture modes allows the users to set the Task Override flag to True or False, with False being the default. Setting the flag to True overrides any task configuration on the Document Routing page in CalSAWS and does not create a task for the document when it tis scanned. If the user wishes to set the flag to True, they must do so for every individual document in the batch.

1.2 Requests

Create Task Override Capture Modes where the Task Override specialty flag is set to True by default.

1.3 Overview of Recommendations

1. Create Task Override Scan and Task Override File capture modes. These capture modes will differ from other capture modes by defaulting the Task Override flag to True.

1.4 Assumptions

1. Access to the new capture mode will be governed by the Imaging No Task Creation Override security right. No additional security rights will be required.
2. Workflow routing within the Imaging Solution will not change or be updated with this design.

2 RECOMMENDATIONS

2.1 Task Override Capture Modes

2.1.1 Overview

Three new capture modes will be added to Imaging solution, Task Override Scan, Task Override Photo Scan and Task Override File. These new capture modes will default the Task Override specialty flag to True.

2.1.2 Description of Change

Three new capture modes will be added to the Capture Profile Dropdown menu on the Capture and Indexing screen.
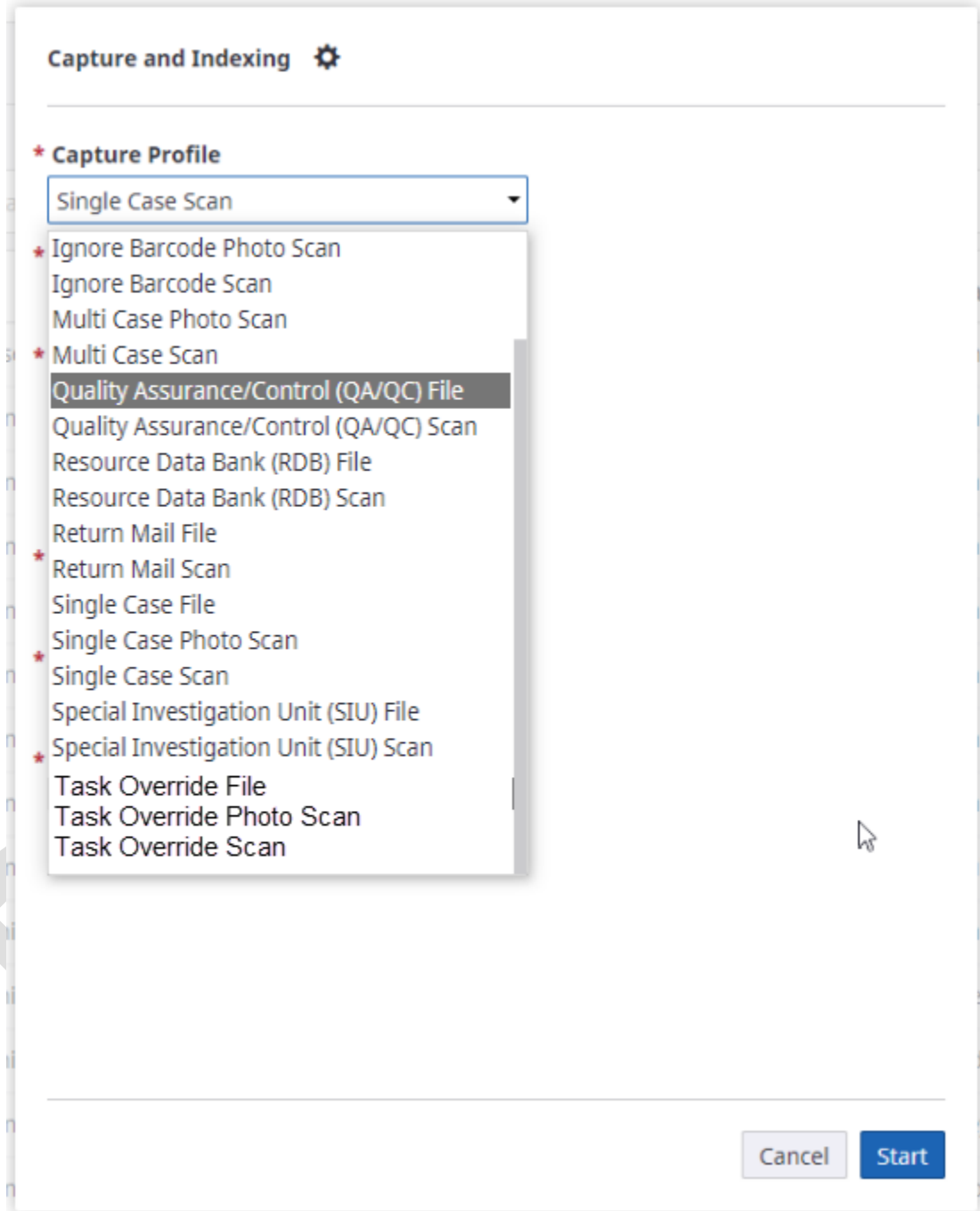
Figure 2.1.2.1 - Capture Mock up #1

Once the user selects one of the Task Override Capture profiles the system will behave as it does for the Single and Multi Case capture profiles. The user will need to fill in Received Date, Applicable Date, Bundle ID, Origin, OCR Bypass and OCRSplitOverride fields. All fields except for the Bundle ID are mandatory. Received Date and Applicable Date will default to the current date, while the OCRBypass will default to False and the OCRSplitOverride will

default to True. The Origin depends on the user's security rights and assigned Worker Id in CalSAWS.

Capture and Indexing ⚙

* **Capture Profile**

Task Override Scan ▾

* **Received Date**

05/02/2024 📅

* **Applicable Date**

05/02/2024 📅

Bundle ID

* **Origin**

07 - Contra Costa - County ▾

* **OCRBypass**

False ▾

* **OCRSplitOverride**

True ▾

Cancel   **Start**

Figure 2.1.2.2 - Capture Mock up #2

On the QA page. The Task Override capture profiles will behave exactly as the Single Case and Multi Case capture profiles, except for the Task Override specialty flag, which will default to True instead of False as it does for other capture profiles. User may change the flag True to False on a per document basis.

If a barcode is present on the documents, the barcode will be read, and the case and form information will be filled automatically. If a barcode is not present, the user will need to supply the information.

User will be able to edit the following fields:

- Bundle ID
- Case Number (required to submit the batch)
- Barcode
- Form Number
- Form Name
- Applicable Date (required to submit the batch)
- Received Date (required to submit the batch)
- Hearings Number
- Investigations ID
- Notes
- Page Label

They will also be able to change the default values on the specialty flags:

- Task Override flag (defaults to True, this is the only capture profile where the default is true)
- No Change SAR7/QR7 flag (defaults to False)
- Person Override (defaults to False)
- OCRSplitOverride (defaults to True)
- OCRBypass flag (defaults to False)

Once the batch is submitted, the document will follow the usual Imaging Solutions Workflow routing rules. The Task Override flag set to True will prevent a task from being generated.

3 SUPPORTING DOCUMENTS

Number	Functional Area	Description	Attachment
CA-214172	Capture	DDID 2242, 2500, 2501, 2502, 2503, 2267, 2255, 2516, 2517, 2518, 2204, 2521 - Multi, Import, Virtual Capture	CA-214172 - Multi, Import, Virtual Capt
CA-214030	Capture	DDID 2198, 2242, 2255, 2500, 2501, 2502, 2503, 2516, 2517, 2521 - Capture Single and Barcode Detection	CA - 214030 - Capture Single and

CalSAWS

California Statewide Automated Welfare System

Design Document

CA-274990

(TLM-22) CalSAWS SpringBoot APIs – Upgrade Spring and SpringBoot To Latest Version

CalSAWS	DOCUMENT APPROVAL HISTORY	
	Prepared By	Erick Guanzon

DATE	DOCUMENT VERSION	REVISION DESCRIPTION	AUTHOR
12/17/2024	1.0	Initial Draft	Erick Guanzon

Table of Contents

1 OVERVIEW

This SCR describes the update of Spring/SpringBoot Framework to the latest version.

1.1 Current Design

The CalSAWS spring-boot based microservices are currently using the following frameworks.

Framework	Version	Description
Spring Framework	5.3.32	Open-source framework for building Java enterprise applications.
Spring Boot	2.7.12	Open-source tool based on Spring Framework for creating standalone applications.
Gradle	7.x	Build tool/system for compiling, packaging Java application
Java	17	Java Development Kit

1.2 Requests

The following platform and libraries should be update to the latest version to take advantage of the latest security updates, improvements and features provided by the framework.

Framework/Tools	Latest Version
Spring Framework	6.1.9
Spring Boot	3.3.0

1.3 Overview of Recommendations

The CalSAWS applications will be compiled with the latest libraries and will be deployed using the latest version of the framework. Breaking changes should be resolved if the application code is using a deprecated API.

1.4 Assumptions

- This upgrade should not change the functional behavior of the CalSAWS application.
- The upgrade will keep the current versions of Gradle and Java that are being used compile, build and package the applications.
- The upgraded versions of the common application architecture APIs, tools and custom libraries that will use the latest Spring/Spring-Boot release may not be compatible for the main CalSAWS online application, batch and webservices. In the case of incompatibility due to the upgrade, these common projects might have to be maintained separately.

2   RECOMMENDATIONS

2.1   Description of Changes

- The CalSAWS spring-boot applications should be compiled in Java17
- This is a framework and library updates to latest version that will allow the CalSAWS application to apply latest security patches, features, and improvements.
- A possible application code change is required to update the namespace from javax to jakarta.

2.2   List of Applications

- AMP
- Activities Service
- Appointment Service
- Fiscal Service
- Imaging Service
- Journal Service
- CalSAWS Service
- OCAT Service
- Texting/SMS Client (Integrated in CalSAWS Application)
- GAGR
- Email Service
- Portal Service
- Task Service
- LRS Dashboard
- Auditor
- FileService
- Kafka Producer Service
- Lobby Service
- CHEWS
- EBTEmulator

3   SUPPORTING DOCUMENTS

N/A

Number	Functional Area	Description	Attachment

4 REQUIREMENTS

N/A

4.1 Project Requirements

REQ #	REQUIREMENT TEXT	How Requirement Met

4.2 Migration Requirements

DDID #	REQUIREMENT TEXT	Contractor Assumptions	How Requirement Met

5 MIGRATION IMPACTS

N/A

6 OUTREACH

N/A

7 APPENDIX

N/A

CalSAWS

California Statewide Automated Welfare System

Design Document

CA-275752

Enable additional fields in Barcode Verification and Exception

CalSAWS	DOCUMENT APPROVAL HISTORY	
	Prepared By	Robyn Anderson
	Reviewed By	Christopher Vasquez, Rhiannon Chin

DATE	DOCUMENT VERSION	REVISION DESCRIPTION	AUTHOR
05/29/2024	1.0	Initial draft	Robyn Anderson
6/7/2024	2.0	Updated with review comments	Robyn Anderson

Table of Contents

1 OVERVIEW

1.1 Current Design

Documents are sent to the Barcode Verification queue when the system generated barcode cannot be read, or if the county code of the scanning user is different than the county code of the document associated with the barcode in the system. When a document is in the Barcode Verification queue, the users may only update the Barcode and Notes fields. They are not able to update the Case Number, Form Number or Form Name fields. In order to update those fields, they must send the document to the Exception queue.

A document will be sent to the Exception queue when the system cannot identify the correct form information. In the Exception queue, users may update the Case Number, Form Number, Form Name, Applicable Date, Received date, County Code and Notes fields. User may not update the Barcode field. To update the Barcode, the user must forward the document to the Barcode Verification queue.

Sometimes documents need to have barcode and the form/case information edited at the same time, so the users are forced to move document from one queue to another to edit all the fields. This wastes the case worker's time and causes delays in processing documents.

1.2 Requests

- Allow users to edit the Case Number, Form Number, Form Name, Applicable Date, Received Date, and County Code fields while a document is in the Barcode Verification queue.
- Allow users to edit the Barcode field while a document is in the Exception queue.

1.3 Overview of Recommendations

1. Make the Case Number, Form Number and Form Name fields editable in the Barcode Verification and Barcode Verification Confidential queue.
2. Make the Barcode Field editable in the Exception and Exception Confidential queues.

1.4 Assumptions

1. Document Routing through Imaging workflow will not change.
2. Any field that was previously editable in the Exception or Barcode Verification queue will remain editable.

2   RECOMMENDATIONS

2.1   Update the Barcode Verification and Exception queues.

2.1.1   Overview

The Exception, Exception Confidential, Barcode Verification and Barcode Verification Confidential queues will be updated to allow the user to edit more fields than they can currently edit today.

2.1.2   Description of Change

Enable the following fields in Barcode Verification and Barcode Verification Confidential for editing:

- Case Number
- Form Number (using the Form Number lookup functionality)
- Form Name
- Applicable Date
- Received Date
- County Code

If the user enters a Case Number, Form Number or Form Name that conflicts with the Barcode, the user will have to verify that the Barcode field is cleared before submitting the document to archive or the document will revert to the information associated with the barcode.

Enable the following fields in Exception and Exception Confidential for editing:

- Barcode

If the user enters a barcode that does not match the case or form information, the system will automatically update the information to match with the barcode. It is important that the user verify all information is correct before they send the document back to the archive once they complete their edits.

3   SUPPORTING DOCUMENTS

Number	Functional Area	Description	Attachment

Ca-214058	Workflow	Environment Workflow Configuration	CA - 214058 - Environment Workfl

CalSAWS

California Statewide Automated Welfare System

Design Document

CA-275753

Collapse Reindex Form Only into Reindex All

CalSAWS	DOCUMENT APPROVAL HISTORY	
	Prepared By	Robyn Anderson
	Reviewed By	Christopher Vasquez, Rhiannon Chin

DATE	DOCUMENT VERSION	REVISION DESCRIPTION	AUTHOR
05/10/2024	1.0	Initial draft	Robyn Anderson
6/4/2024	2.0	Updated with review comments	Robyn Anderson

Table of Contents

1   OVERVIEW

1.1   Current Design

There are two Reindex queues with separate security rights. Reindex - Form Only allows users to edit the form information, applicable date, received date and county code. It does not allow users to change the case/person information.

Reindex All allows users to change the same information as Reindex - Form Only as well as the case/person information.

If a user needs to change the case/person information after archive, documents must be sent to Reindex All. If the user needs to change the form information, they have the choice of using either queue.

In the Add to Workflow screen, the options in the Select a queue drop down menu are listed as Document Requires Removal, Reindex – Form Only, then Reindex All.

1.2   Requests

- Merge the Reindex and Reindex Confidential queues into Reindex All and Reindex All Confidential, only keeping Reindex All and Reindex All Confidential.
- Reorder the Add to Workflow options so that Reindex All displays first and Document Requires Removal second. Remove the Reindex – Form Only option.
- Remove the Imaging Reindex and Imaging Confidential Reindex security rights from CalSAWS and the Imaging system.

1.3   Overview of Recommendations

1. Remove the Reindex and Reindex Confidential queues form the user interface and routing scripts. Move any documents in Reindex Form Only to Reindex All and documents in Reindex Confidential to Reindex All Confidential.
2. Rename Document Requires Removal to Remove Document in the Add to Workflow options drop down menu so that Reindex All is the first option listed. Delete the entry for Reindex - Form Only.
3. Delete the Imaging Reindex and Imaging Confidential Reindex security rights from CalSAWS and Imaging.

1.4   Assumptions

1. Document Routing will not change.
2. It will be the counties' responsibility to update their county-maintained security roles, or grant users the Imaging Reindex All or Imaging Confidential Reindex All rights as needed.
3. The Reindex All queue will work the same as it does today, and no functionality will change.

2   RECOMMENDATIONS

2.1   Remove the Reindex queue.

2.1.1   Overview

Removing the Reindex - Form Only queue will consist of several steps. All documents from Reindex will be moved to the corresponding Reindex All queue. Access to the Reindex - Form Only queue will be disabled in the user interface, and the queue will be removed from the routing scripts.

2.1.2   Description of Change

All the documents in the Reindex and Reindex Confidential queues will be moved to the corresponding Reindex All and Reindex Confidential queue. This will be done manually by the Imaging Team using the routing functionality in the Hyland thick client. It is estimated that this should only take an hour based on the typical size of the queues.

The CI_PrepForScanning script will be modified to use the Reindex All (FR) queue map, preventing any documents from routing to the Reindex – Form Only and Reindex Confidential queues.

Group access to the Reindex - Form Only and Reindex Confidential queues will be deactivated, removing them from the user interface after all documents have been moved to the corresponding Reindex All and Reindex All Confidential queues.

2.2   Add to Workflow Updates

2.2.1   Overview

Two changes will be made to the Add to Workflow drop down menu. All references to the current Reindex – Form Only queues will need to be deleted and the Document Requires Removal option will be renamed so the county Reindex All queue will be listed first on the menu.

2.2.2   Description of Change

The current Add to Workflow menu contains 3 options, in the following order: Document Requires Removal, Reindex Form Only, and Reindex All. Document

Requires Removal is not used nearly as much as Reindex All, so the order will need to be updated.

Remove <county code> Reindex -Form Only option. Rename the <county code> Document Requires Removal option to <county code> Remove Document so that the <county code> Reindex All option appears first on the menu and <county code> Remove Document will be second.

Add to Workflow

Select a workflow process:

Reindex Reasons

Select a queue:

36 - Reindex All

36 - Reindex All
36 - Remove Document
MEDIUM

Add   Cancel

2.3 Update Security Rights

2.3.1 Overview

The Imaging Reindex and Imaging Confidential Reindex rights will be removed from both the CalSAWS and Imaging systems. Counties will be responsible for updating user security as needed.

2.3.2 Description of Change

Currently, there are four security rights that allow access to the Reindex queues.

- **Imaging Confidential Reindex**: Allows access to the Confidential Reindex queue and the ability to reindex document's Form Name, County Code, Applicable Date and Received Date.

- **Imaging Confidential Reindex All**: Allows user access to the Confidential Reindex All queue and the ability to reindex a document's Case/Person Name, Case Number/CIN, Form Name, County Code, Applicable Date & Received Date.

- **Imaging Reindex**: Allows user access to the Reindex queue and the ability to reindex document properties, excluding the Case/Person Name and Case Number/CIN.

- **Imaging Reindex All**: Allows user access to the Reindex All queue and the ability to reindex document properties, including the Case/Person Name and Case Number/CIN.

It is possible for a user to have any combination of the rights listed above.

The Imaging Reindex and Imaging Confidential Reindex rights will be removed, leaving the Imaging Reindex All and Imaging Confidential Reindex All rights.

In CalSAWS the Imaging Reindex and Imaging Reindex Confidential rights will be removed from the Security Assignment, Security Groups List, Security Rights List and County Security Role Detail pages. All security roles will lose Imaging Reindex rights when they are removed, and some project-maintained security roles will be updated to include the Imaging Reindex All security rights. These updates are outlined in the supporting document "Security Updates."

In the Imaging solution, once the Imaging Reindex and Imaging Confidential Reindex rights are removed from CalSAWS, the user sync job that syncs user security rights between CalSAWS and Imaging will remove those security rights from the users in the Hyland system. The <county code> Reindex and <county code> Confidential Reindex security groups will then be deleted from the Imaging solution.

The individual Counties will be responsible for updating any county specific security roles that contain either the Imaging Reindex or Imaging Confidential Reindex security rights.

The security rights currently needed to send a document to a queue are not changing with this SCR. The Imaging Reindex Route security right is still needed to send documents to Reindex All. The Imaging Document Remove security right is needed to remove documents.

3 SUPPORTING DOCUMENTS

Number	Functional Area	Description	Attachment
CA-214058	Workflow	DDID 2519, 2261, 2515, 2198, 2505, 2522, 2199 - Environment Workflow Config	CA - 214058 - Environment Workfl
	Security	CalSAWS Imaging Security Guide	CalSAWS Imaging Security Guide 4.17.2
	Security	Updates project-maintained security roles	CA-275753 Security Updates.xlsx

CalSAWS

California Statewide Automated Welfare System

Design Document

CA-275831

Enhance the Release Note Report

CalSAWS	DOCUMENT APPROVAL HISTORY	
	Prepared By	TK Bui
	Reviewed By	

DATE	DOCUMENT VERSION	REVISION DESCRIPTION	AUTHOR
06/12/2024	1.0	Initial version	TK Bui

Table of Contents

1   OVERVIEW

The Release Note Report allows for the end-users to understand the differences in System behaviors and any new actions that must be performed based on the changes/fixes. To match the Release Note Report with JIRA, the Release Note Report will be updated with renamed columns and an added new column.

1.1   Current Design

The Release Note Report does not include the County Action column and some of the existing columns have different names than what is reflected in JIRA.

1.2   Requests

Update the Release Note Report to sync up its columns with JIRA.

1.3   Overview of Recommendations

1. Rename the "Notes" column as "Additional Information" on the Release Note Report to match JIRA.
2. Rename the "Page(s) Impacted" column as "Pages/Forms/Reports Impacted" on the Release Note Report to match JIRA.
3. Add a new column called "County Action" to the Release Note Report.

1.4   Assumptions

1. This SCR will update both the On Request and Scheduled versions of the Release Note Report.

2   RECOMMENDATIONS

2.1   Release Note Report

2.1.1   Overview

The Release Note Report allows for end-users to understand the differences in System behaviors and any new actions that must be performed based on the changes/fixes. It comes in two versions: On Request and Scheduled. Both need to be updated with renamed columns and an added new column to match what is reflected in JIRA.

2.1.2 Release Note Report Mockup

Figure 2.1.2.1 – On Request Release Note Report Filter Sheet

Note: This sheet is provided for reference and no cosmetic changes are required. The full report mockup can be found in the Supporting Documents section.

Figure 2.1.2.2 – On Request Release Note Report Before Renaming and Adding Columns

Note: The full report mockup can be found in the Supporting Documents section.

Figure 2.1.2.3 – On Request Release Note Report After Renaming and Adding Columns

Note: The full report mockup can be found in the Supporting Documents section.

Figure 2.1.2.4 – Scheduled Release Note Report Before Renaming and Adding Columns

Note: The full mockup can be found in the Supporting Documents section.

Release	Type	Functional Area	ID	Program(s) Impacted	Issue	Now	Pages/Forms/Reports Impacted	Ticket Reference	Additional Information	County Action
24.03.05 03/05/2024	SCR	All	CA-229573	N/A	Customers couldn't request a Scheduled Callback	Customers are now able to request a Scheduled Callback the next day.	-	-	-	-
24.03.24 03/24/2024	SCR	All	CA-237399	Foster Care	Prior to SCR CA-237399, CalSAWS would not track STRTP, Vendorized Regional Center Group Home, or Community Treatment Facilities' QRTP requirements, and/or QI assessment.	With the release of CA-237399, CalSAWS added a QRTP Determination section to the Child Placement Detail page to track, QI Assessment, Court Approval, Accreditation. CalSAWS has also created a new QRTP Determination page that will allow users to create QRTP records. Updates were also made to the Transaction History Detail page and the Deleted Records Detail page to track the QRTP Determination record. Also, updates were made to EDBC to set the Sub Type Code field on the Aid Code based on the assessment and accreditation of the placement.	-	-	-	-
24.03.26 03/26/2024	SCR	All	CA-239577	CalWORKs Diversion Homeless Perm Homeless Temp RCA/RMA	CalSAWS did not automatically trigger a task to let the worker know the customer made inquiry on their Time Clocks through their BenefitsCal account.	CalSAWS generates a task to let the worker know the customer has made an inquiry about their Time Clocks through their BenefitsCal account.	-	-	This functionality is turned off in the Self-Service Portal. No Tasks will be received until the functionality is turned on.	-
24.03.24 03/24/2024	SCR	All	CA-239577	CalWORKs, Diversion, Homeless Perm, Homeless Temp, RCA/RMA	CalSAWS did not automatically trigger a task to let the worker know the customer made inquiry on their Time Clocks through their BenefitsCal account. Note: This functionality will not be turned-on or available at this time, until further notice.	CalSAWS generates a task to let the worker know the customer has made an inquiry about their Time Clocks through their BenefitsCal account. Note: This functionality will not be turned-on or available at this time, until further notice.	-	-	-	-

Figure 2.1.2.5 – Scheduled Release Note Report After Renaming and Adding Columns

Note: The full report mockup can be found in the Supporting Documents section.

2.1.3 Description of Change

1. Update the Release Note Report by:
    a. Renaming the "Notes" column as "Additional Information."
    b. Renaming the "Page(s) Impacted" column as "Pages/Forms/Reports Impacted."
    c. Adding a "County Action" column.

Column Name	Column Description
Additional Information	This column contains additional information about the release item from JIRA. **Technical Notes:** CIV_REL_ITEM.NOTES
Pages/Forms/ Reports Impacted	This column contains the listed pages/forms/reports impacted from JIRA. **Technical Notes:** CIV_REL_ITEM.PAGE_IMPACT_DESC
County Action	This column contains the county action from JIRA. **Technical Notes:** CIV_REL_ITEM.COUNTY_ACTION

2.1.4 Report Location

- **Global:** Reports
- **Local:** On Request, Scheduled
- **Task:** Administrative

2.1.5 Counties Impacted

All counties will be impacted by the changes outlined in this SCR.

2.1.6 Security Updates

1. Security Rights

Security Right	Right Description	Right to Group Mapping
N/A	N/A	N/A

2. Security Groups

Security Group	Group Description	Group to Role Mapping
N/A	N/A	N/A

2.1.7 Report Usage/Performance

No significant change in report usage or performance is expected.

3 SUPPORTING DOCUMENTS

Number	Functional Area	Description	Attachment
1	Reports	On Request Release Note Report Mockup	On Request Release Note Report Mockup.◌
2	Reports	Scheduled Release Note Report Mockup	Scheduled Release Note Report Mockup.◌

4 REQUIREMENTS

4.1 Project Requirements

REQ #	REQUIREMENT TEXT	How Requirement Met
2.24.3.2	The CalSAWS shall provide report data in a format that allows COUNTY-specified Users to easily sort report data on all possible criteria.	The Release Note Report shall be updated with renamed and added columns to match with JIRA, allowing County-specified Users to easily sort report data.

5 APPENDIX

N/A

CalSAWS

California Statewide Automated Welfare System

Design Document

CA-277308

Add M44-211B (10/21) NOA latest version to the Template Repository

CalSAWS	DOCUMENT APPROVAL HISTORY	
	Prepared By	Sahana Ramesh
	Reviewed By	Kavitha M R

DATE	DOCUMENT VERSION	REVISION DESCRIPTION	AUTHOR
05/14/2024	1.0	Initial Draft	Sahana Ramesh

Table of Contents

1 OVERVIEW

This effort will add M44-211B (10/21) NOA latest version to the template Repository.

1.1 Current Design

CalSAWS does not have the latest NOA M44-211B (10/21) Version in the Template Repository.

1.2 Requests

Add the M44-211B (10/21) in English and available threshold languages to CalSAWS Template Repository.

Languages Include: English, Spanish, Chinese and Vietnamese.

1.3 Overview of Recommendations

Add the M44-211B (10/21) in English and following threshold languages: Spanish, Chinese and Vietnamese.

1.4 Assumptions

1. No variables will be populated on the new M44-211B (aside from the standard header and footer information).
2. All fields (blank or prepopulated) will be editable.
3. Supporting Documents section references attachments found on Jira.

2 RECOMMENDATIONS

2.1 Add M44-211B (10/21) in English and available threshold languages in CalSAWS.

2.1.1 Overview

Add the M44-211B (10/21) in English and available threshold languages in CalSAWS.

State Form: M44-211B (10/21)

Programs: CalWORKs, RCA

Attached Forms: N/A

Template Description: Expanded Temporary HA for Applicants Fleeing DV

Forms Category: NOA

Template Repository Visibility: All Counties

Languages: English, Spanish, Chinese and Vietnamese

2.1.2 Form Verbiage

Create M44-211B XDP

A new XDP will be created for the M44-211B (10/21) in English and threshold languages.

Threshold Languages: Spanish, Chinese* and Vietnamese

*One translation is provided to support the three Chinese threshold languages: Cantonese, Chinese and Mandarin

Form Header: CalSAWS Standard Header (HEADER_1_EN)

Form Title (Document List Page Displayed Name): Expanded Temporary HA for Applicants Fleeing DV

Form Number: M44-211B - NA 290

Include NA Back 9: Yes

Imaging Form Name: Expanded Temporary HA for Fleeing DV

Imaging Document Type: Notification/NOA

Imaging Case/Person: Case

Form Mockups/Examples: See supporting document #1

2.1.3 Form Generation Conditions

1. **Add M44-211B (10/21) to Template Repository**

   The M44-211B (10/21) is added only to the Template Repository.

   Required Document Parameters: Customer Name, Case Number, Program, Language

2. **Add Form Control**

   Add an imaging barcode for M44-211B.

Tracking Barcode	BRM Barcode	Imaging Barcode
N	N	Y

3. **Add Form Print Options and Mailing Requirements**

   The following are the print and mailing requirements for M44-211B (10/21).

Blank Template	Print Local without Save	Print Local and Save	Print Central and Save	Reprint Local	Reprint Central
Y	Y	Y	Y	Y	Y

   Mailing Options:

Mailing Options	Option for M44 – 211B
Mail-To (Recipient)	Template Repository: Applicant selected on the document parameters page.
Mailed From (Return)	Worker's Office Address
Mail-back-to Address	N/A
Outgoing Envelope Type	Standard
Return Envelope Type	N/A
Special Paper Stock	N/A

Additional Options:

Requirement	Option for M44-211B Form
Post to Self-Service Portal	Y

3 SUPPORTING DOCUMENTS

Number	Functional Area	Description	Attachment
1	Correspondence	M44-211B (10/21)	M44-211B_EN.pdf M44-211B_SP.pdf M44-211B_CH.pdf M44-211B_VI.pdf

4 REQUIREMENTS

4.1 Project Requirements

REQ #	REQUIREMENT TEXT	How Requirement Met
2.18.3.3 CAR-1239	The LRS shall produce various notices, NOAs, forms, letters, stuffers and flyers, including: a. Appointment notices; b. Redetermination, Recertification and/or Annual Agreement notices and forms; c. Other scheduling notices (e.g., quality control, GR hearings and appeals); d. Periodic reporting notices; e. Contact letters; f. Notices informing the applicant, participant, caregiver, sponsor or authorized representative of a change in worker, telephone hours or Local Office Site; g. Information notices and stuffers; h. Case-specific verification/referral forms; i. GR Vendor notices;	M44-211B is being added in English and all available threshold languages.

k. Court-mandated notices, including Balderas notices; l. SSIAP appointment notices; m. Withdrawal forms; n. COLA notices; o. Time limit notices; p. Transitioning of aid notices; q. Interface triggered forms and notices (e.g., IFDS, IEVS); r. Non-compliance and sanction notices; s. Benefit issuance and benefit recovery forms and notices, including reminder notices; t. Corrective NOAs on State Fair Hearing decisions; u. CSC paper ID cards with LRS-generated access information; and v. CSC PIN notices.	

CalSAWS

California Statewide Automated Welfare System

Design Document

CA-277313

Add M82-812-Family Reunification/Zero Grant Change notice in all Threshold languages to Template Repository

CalSAWS	DOCUMENT APPROVAL HISTORY	
	Prepared By	Nisarga N
	Reviewed By	Nagesha S

DATE	DOCUMENT VERSION	REVISION DESCRIPTION	AUTHOR
05/22/2024	1.0	Initial Draft	Nisarga N

Table of Contents

1 OVERVIEW

This effort will add M82-812-Family Reunification/Zero Grant Change NOA to Template Repository.

1.1 Current Design

Currently M82-812-Family Reunification/Zero Grant Change NOA is not available in template repository.

1.2 Requests

1. Add M82-812-Family Reunification/Zero Grant Change NOA to Template Repository.
   Languages include: English, Spanish, Chinese and Russian.

1.3 Overview of Recommendations

1. Add M82-812-Family Reunification/Zero Grant Change NOA to Template Repository.
   Languages include: English, Spanish, Chinese and Russian.

1.4 Assumptions

1. No variables will be populated on the M82-812 (aside from the standard header and footer information).
2. All fields (blank or prepopulated) will be editable.
3. Supporting Documents section references attachments found on Jira.
4. SCR CA-277931 will add automated NOA along with Eligibility changes in SCR CA-233160.

2 RECOMMENDATIONS

2.1 Add M82-812 to Template repository.

2.1.0 Overview

This section will cover the requirement for M82-812-Family Reunification/Zero Grant Change NOA to template repository.

State Form: M82-812 (4/04)

Programs: CalWORKs

Attached Forms: N/A

Template Description: Family Reunification/zero grant

Forms Category: NOA

Template Repository Visibility: All Counties

Languages: English, Spanish, Chinese and Russian.

2.1.1 Form/NOA Verbiage

Create M82-812 XDP

A new XDP will be created for the**:** Family Reunification/Zero Grant Change NOA.

Languages: English, Spanish, Chinese* and Russian.

> *One translation is provided to support the three Chinese threshold languages: Cantonese, Chinese, and Mandarin.

Form Header: CalSAWS Standard Header (HEADER_1_EN)

Form Title (Document List Page Displayed Name): Family Reunification/zero grant

Form Number: M82-812 – NA 290

Include NA Back 9: Yes

Imaging Form Name: Family Reunification/0 Grant Change NOA

Imaging Document Type: Notification/NOA

Imaging Case/Person: Case

Form Mockups/Examples: See supporting document #1

2.1.2 Form/NOA Generation Conditions

Required Document Parameters: Customer Name, Case Number, Program, Language

2.1.3 Form Control

Add an imaging barcode for M82-812

Tracking Barcode	BRM Barcode	Imaging Barcode
N	N	Y

Print Options:

Blank Template	Print Local without Save	Print Local and Save	Print Central and Save	Reprint Local	Reprint Central
Y	Y	Y	Y	Y	Y

Mailing Options:

Mailing Options	Option for M82-812 Form
Mail-To (Recipient)	Template Repository: Applicant selected on the document parameters page.
Mailed From (Return)	Worker's Office Address
Mail-back-to Address	N/A
Outgoing Envelope Type	Standard
Return Envelope Type	N/A
Special Paper Stock	N/A

Additional Options:

Requirement	Option for M82-812
Post to Self-Service Portal	Y

3   SUPPORTING DOCUMENTS

Number	Functional Area	Description	Attachment
1	Correspondence	M82-812 (4/04)	M82-812_English.pdf M82-812_Spanish.pdf M82-812_Chinese.pdf M82-812_Russian.pdf

4   REQUIREMENTS

4.1   Project Requirements

REQ #	REQUIREMENT TEXT	How Requirement Met
2.18.3.3 CAR-1239	The LRS shall produce various notices, NOAs, forms, letters, stuffers, and flyers, including: a. Appointment notices;	M82-812 forms being added to Template Repository in English, Spanish, Chinese and Russian.

b. Redetermination, Recertification, and/or Annual Agreement notices and forms; c. Other scheduling notices (e.g., quality control, GR hearings, and appeals); d. Periodic reporting notices; e. Contact letters; f. Notices informing the applicant, participant, caregiver, sponsor or authorized representative of a change in worker, telephone hours or Local Office Site; g. Information notices and stuffers; h. Case-specific verification/referral forms; i. GR Vendor notices; k. Court-mandated notices, including Balderas notices; l. SSIAP appointment notices; m. Withdrawal forms; n. COLA notices; o. Time limit notices; p. Transitioning of aid notices; q. Interface triggered forms and notices (e.g., IFDS, IEVS); r. Non-compliance and sanction notices; s. Benefit issuance and benefit recovery forms and notices, including reminder notices; t. Corrective NOAs on State Fair Hearing decisions; u. CSC paper ID cards with LRS-generated access information; and v. CSC PIN notices.	

CalSAWS

California Statewide Automated Welfare System

Design Document

CA-277320

Add MC 239 A&D NOAs to Template Repository

CalSAWS	DOCUMENT APPROVAL HISTORY	
	Prepared By	Pushpendra Gutha
	Reviewed By	Ravi Gupta

DATE	DOCUMENT VERSION	REVISION DESCRIPTION	AUTHOR
05/13/2024	1.0	Initial Draft	Pushpendra Gutha

Table of Contents

1 OVERVIEW

The purpose of this SCR is to add MC 239 A&D and MC 239 A&D-2 NOAs are not currently available in the Template Repository.

1.1 Current Design

Currently the MC 239 A&D and MC 239 A&D-2 NOAs are not currently available in the Template Repository.

Note: Title 22, C.C.R. 50262 is on the MC 239 A&D and MC 239 A&D-2 notices previously provided by DHCS (05/07). However, the regulation is outdated. Per ACWDL 20-24 the SB 104 amended WIC §14005.40 to increase the ABD FPL program income limits by increasing the income disregard of all countable income above 100 percent up to 138 percent of the FPL. Notice regulation needs to be updated.

1.2 Requests

1. Add the MC 239 A&D NOAs to the Template Repository.
2. Update the regulations on the MC 239 A&D and MC 239 A&D2 notices.

1.3 Overview of Recommendations

Add the following (or most current when SCR is implemented) English and Spanish:

1) Medi-Cal Notice of Action Approval for the Aged and Disabled Federal Poverty Level Program:
   MC 239A&D (05/07)
   MC 239 A&D (SP) (05/07)

2) Medi-Cal Notice of Action Denial or Discontinuance of Benefits under the Aged and Disabled Federal Poverty Level Program
   MC 239 A&D-2 (05/07)
   MC 239 A&D-2 (SP) (05/07)

3) Update the regulations on the MC 239 A&D and MC 239 A&D2 notices.

1.4 Assumptions

1. All fields (blank or prepopulated) will be editable.
2. No variables will be populated on the new MC 239 A&D NOAs (aside from the standard header and footer information).
3. This effort is not updating any NOA generation conditions.
4. Supporting Documents section references attachments found on JIRA.

2   RECOMMENDATIONS

2.1   Add MC 239 A&D NOA to Template Repository

2.1.1   Overview

Add the MC 239 A&D NOA to the Template Repository.

State Form: MC 239 A&D (05/07)

Programs: Medi-Cal

Attached Forms: N/A

Forms Category: NOA

Template Repository Visibility: All Counties

Form Title (Document List Page Displayed Name):

Medi-Cal Notice of Action Approval for the Aged and Disabled Federal Poverty Level Program

Imaging Form Name: MC NOA Approval Aged and Disabled FPL

Imaging Document Type: Notification/NOA

Imaging Case/Person: Case

Languages: English, Spanish.

2.1.2   Form Verbiage

Add MC 239 A&D NOA XDPs in English & Spanish to CalSAWS

New XDP will be added for MC 239A&D (05/07)

Form Header: CalSAWS Standard Header (HEADER_1_EN)

Include NA Back 9: Yes

Form Mockups/Examples: See supporting documents #1

2.1.3   Form Generation Conditions

Add MC 239 A&D (05/07) to Template Repository in English & Spanish language.

The MC 239 A&D (05/07) NOA added only to Template Repository.

Required Document Parameters: Customer Name, Case Number, Program, Language.

Add Form Print Options and Mailing Requirements

The following are the print and mailing requirements for MC 239 A&D

Blank Template	Print Local without Save	Print Local and Save	Print Central and Save	Reprint Local	Reprint Central
Y	Y	Y	Y	Y	Y

Mailing Options:

Mailing Options	Option MC 239 A&D
Mail-To (Recipient)	Applicant selected on the document parameters page.
Mailed From (Return)	Worker's Office Address
Mail-back-to Address	N/A
Outgoing Envelope Type	Standard
Return Envelope Type	N/A
Special Paper Stock	N/A
Mail Priority	Same Day Priority

Add Form Control

Add an imaging barcode for MC 239 A&D (05/07)

Tracking Barcode	BRM Barcode	Imaging Barcode
N	N	Y

Additional Options:

Requirement	Option for MC 239 A&D NOA
Post to Self-Service Portal	Y

2.2 Add MC 239 A&D-2 NOA to Template Repository

2.2.1 Overview

Add the MC 239 A&D-2 NOA to the Template Repository.

State Form: MC 239 A&D-2 (05/07)

Programs: Medi-Cal

Attached Forms: N/A

Forms Category: NOA

Template Repository Visibility: All Counties

Form Title (Document List Page Displayed Name):

Medi-Cal Notice of Action Denial or Discontinuance of Benefits under the Aged and Disabled Federal Poverty Level Program

Imaging Form Name: MC NOA Denial/Discont Aged Disabled FPL

Imaging Document Type: Notification/NOA

Imaging Case/Person: Case

Languages: English, Spanish.

2.2.2 Form Verbiage

Add MC 239 A&D-2 NOA XDPs in English & Spanish to CalSAWS

New XDP will be added for MC 239 A&D-2 (05/07)

Form Header: CalSAWS Standard Header (HEADER_1_EN)

Include NA Back 9: Yes

Form Mockups/Examples: See supporting documents #1

2.2.3 Form Generation Conditions

Add MC 239 A&D-2 (05/07) to Template Repository in English & Spanish language

The MC 239 A&D-2 (05/07) NOA added only to Template Repository.

Required Document Parameters: Customer Name, Case Number, Program, Language.

Add Form Print Options and Mailing Requirements

The following are the print and mailing requirements for MC 239 A&D-2

Blank Template	Print Local without Save	Print Local and Save	Print Central and Save	Reprint Local	Reprint Central
Y	Y	Y	Y	Y	Y

Mailing Options:

Mailing Options	Option MC 239 A&D-2
Mail-To (Recipient)	Applicant selected on the document parameters page.
Mailed From (Return)	Worker's Office Address
Mail-back-to Address	N/A
Outgoing Envelope Type	Standard
Return Envelope Type	N/A
Special Paper Stock	N/A
Mail Priority	Same Day Priority

Add Form Control

Add an imaging barcode for MC 239 A&D-2 (05/07)

Tracking Barcode	BRM Barcode	Imaging Barcode
N	N	Y

Additional Options:

Requirement	Option for MC 239 A&D-2 NOA
Post to Self-Service Portal	Y

2.3   Update the regulations on the MC 239 A&D and MC 239 A&D2 notices

2.3.1   Overview

Update Regulations for the following fragments:
- MC_AP_A_AND_D_FPL_FULL_SCOPE_M175
- MC_AP_A_AND_D_FPL_RESTRICTED_M176
- MC_TN_A_AND_D_FPL_INCOME_OVER_LIMIT_M177

Fragment Names and IDs:
- MC_AP_A_AND_D_FPL_FULL_SCOPE_M175 (Fragment ID: 6584)
- MC_AP_A_AND_D_FPL_RESTRICTED_M176 (Fragment ID: 6585)
- MC_TN_A_AND_D_FPL_INCOME_OVER_LIMIT_M177 (Fragment ID: 6586)

2.3.2 Form/NOA Verbiage

This effort is not updating verbiage for these fragments.

2.3.3 Form/NOA Variable Population

Add/Update NOA Regulations

English:

WIC §14005.40; Title 22, California Code of Regulations, Sections 50549, 50551.3 and 50555.2

Spanish:

WIC §14005.40; del Título 22, del Código de Regulaciones de California, Sección(es) 50549, 50551.3 and 50555.2

2.3.4 Form/NOA Generation Conditions

This effort is not updating generation conditions for these fragments.

3   SUPPORTING DOCUMENTS

Number	Functional Area	Description	Attachment
1	Correspondence	MC 239A&D (05/07) English & Spanish Languages MC 239A&D-2 (05/07) English & Spanish Languages	MC-239-AD_EN.pdf MC-239-AD_SP.pdf MC-239-AD2_EN.pdf MC-239-AD2_EN.pdf

4 REQUIREMENTS

4.1 Project Requirements

REQ #	REQUIREMENT TEXT	How Requirement Met
2.18.3.3 CAR-1239	CalSAWS shall produce various notices, NOAs, forms, letters, stuffers, and flyers, including: a. Appointment notices; b. Redetermination, Recertification, and/or Annual Agreement notices and forms; c. Other scheduling notices (e.g., quality control, GR hearings, and appeals); d. Periodic reporting notices; e. Contact letters; f. Notices informing the applicant, participant, caregiver, sponsor or authorized representative of a change in worker, telephone hours or Local Office Site; g. Information notices and stuffers; h. Case-specific verification/referral forms; i. GR Vendor notices; k. Court-mandated notices, including Balderas notices; l. SSIAP appointment notices; m. Withdrawal forms; n. COLA notices; o. Time limit notices; p. Transitioning of aid notices; q. Interface triggered forms and notices (e.g., IFDS, IEVS); r. Non-compliance and sanction notices; s. Benefit issuance and benefit recovery forms and notices, including reminder notices; t. Corrective NOAs on State Fair Hearing decisions; u. CSC paper ID cards with LRS-generated access information; and v. CSC PIN notices.	MC 239A&D (05/07) & MC 239 A&D-2 (05/07) is being Added in English & Spanish language to CalSAWS template repository.

CalSAWS

California Statewide Automated Welfare System

Design Document

CA-278003

Add Threshold Languages to M44-316D SAR

CalSAWS	DOCUMENT APPROVAL HISTORY	
	Prepared By	Sahana Ramesh
	Reviewed By	Kavitha M R

DATE	DOCUMENT VERSION	REVISION DESCRIPTION	AUTHOR
06/19/2024	1.0	Initial Document	Sahana Ramesh

Table of Contents

1 OVERVIEW

The purpose of this change is to Add Threshold Languages to M44-316D SAR NOA.

1.1 Current Design

Currently M44-316D SAR - Change in Income NOA is available in English and Spanish languages and was implemented as part of SCR CA-261780.

1.2 Requests

Add threshold languages M44-316D SAR with reason code A752C (Snippet ID - 10987) in CaLSAWS.

Languages Include: Arabic, Armenian, Cambodian, Chinese, Farsi, Hmong, Korean, Lao, Russian, Tagalog and Vietnamese.

1.3 Overview of Recommendations

Add threshold languages M44-316D SAR with reason code A752C in CaLSAWS.

Languages Include: Arabic, Armenian, Cambodian, Chinese, Farsi, Hmong, Korean, Lao, Russian, Tagalog and Vietnamese.

1.4 Assumptions

1. The triggering conditions of the NOA Fragments for Threshold Generation will remain the same and are not being updated.
2. The NOA template remains the same and is not being updated.
3. The existing variable population is not being updated with this effort.

2   RECOMMENDATIONS

2.1   Add Threshold languages to M44-316D SAR with reason code A752C.

2.1.1   Overview

Add Threshold languages to M44-316D SAR with NOA code A752C.

Reason Fragment Name and ID: CW_CH_CNTBL_INCOME_RSN_A752
(Fragment ID: 10987)
State Form/NOA: M44-316D SAR/NA 200
Current NOA Template: CW_NOA_TEMPLATE (3026)
Current Program(s): CalWorks
Current Action Type: Change
Include NA Back 9: Yes
Currently Repeatable: No
Existing Languages: English and Spanish

2.1.2   Form/NOA Verbiage

Add M44-316D SAR NOA in Threshold languages.

Languages Include: Arabic, Armenian, Cambodian, Chinese, Farsi,
Hmong, Korean, Lao, Russian, Tagalog and Vietnamese.

NOA Mockups/Examples: Supporting Documents #1

2.1.3   Form/NOA Variable Population

No updates to variable population.

2.1.4   Form/NOA Generation Conditions

No updates to existing trigger conditions.

3   SUPPORTING DOCUMENTS

Number	Functional Area	Description	Attachment
1.	Correspondence	M44-316D SAR NOA Fragments	Fragments_Verbiage.xlsx

4   REQUIREMENTS

4.1   Project Requirements

REQ #	REQUIREMENT TEXT	How Requirement Met
2.18.3.3 CAR-1239	The LRS shall produce various notices, NOAs, forms, letters, stuffers, and flyers, including: a. Appointment notices; b. Redetermination, Recertification, and/or Annual Agreement notices and forms; c. Other scheduling notices (e.g., quality control, GR hearings, and appeals); d. Periodic reporting notices; e. Contact letters; f. Notices informing the applicant, participant, caregiver, sponsor or authorized representative of a change in worker, telephone hours or Local Office Site; g. Information notices and stuffers; h. Case-specific verification/referral forms; i. GR Vendor notices; k. Court-mandated notices, including Balderas notices; l. SSIAP appointment notices; m. Withdrawal forms; n. COLA notices; o. Time limit notices; p. Transitioning of aid notices; q. Interface triggered forms and notices (e.g., IFDS, IEVS); r. Non-compliance and sanction notices;	M44-316D SAR NOA is being added in available Threshold languages.

s. Benefit issuance and benefit recovery forms and notices, including reminder notices; t. Corrective NOAs on State Fair Hearing decisions; u. CSC paper ID cards with LRS-generated access information; and v. CSC PIN notices.	

CalSAWS

California Statewide Automated Welfare System

Design Document

CA-278309

San Diego -GAGR Grant Amounts for Shared Housing

CalSAWS	DOCUMENT APPROVAL HISTORY	
	Prepared By	Tom Lazio
	Reviewed By	

DATE	DOCUMENT VERSION	REVISION DESCRIPTION	AUTHOR
05/29/2024	1.0	Initial Draft	T. Lazio

Table of Contents

1   OVERVIEW

This SCR will update the GA/GR Automated Solution 'Shared Housing' logic to comply with the GA/GR Automated Solution program regulations of San Diego County.

1.1   Current Design

Current CalSAWS logic does not align with San Diego's GA/GR Automated Solution Program Regulations on Shared Housing and In-Kind income. SCR CA-229096 added the 'Shared Housing' logic for the GA/GR Automated Solution program which by-passes grant reduction amount calculation when participant has 'Housing' In-Kind (Earned or Unearned) Income, and they have a 'Shared Housing Situation'.

1.2   Requests

San Diego County has requested that 'Shared Housing' logic not skip grant reduction amount calculation determination when the individual has In-Kind Income 'Housing' (earned or unearned), and they have a 'Shared Housing Situation'.

1.3   Overview of Recommendations

1. Update existing Shared Housing logic to not by-pass grant reduction amount calculation determination when the individual has In-Kind Income 'Housing' (earned or unearned) and a 'Shared Housing Situation'.

1.4   Assumptions

1. Shared Housing logic will remain the same for all other GA/GR Automated Solution counties.
2. The Shared Housing grant amount values are correct based on the General Relief Table of Maximum Basic Need Rates provided by San Diego County.

2   RECOMMENDATIONS

2.1   Shared Housing Logic Update for San Diego County

2.1.1   Overview

Modify Shared Housing logic to not by-pass GA/GR Automated Solution grant reduction amount calculation when the participant has In-Kind 'Housing' income (Earned or Unearned) and a 'Shared Housing Situation' for San Diego County.

2.1.2 Description of Changes

1. Update existing Shared Housing logic to not by-pass grant reduction amount calculation determination when the individual has In-Kind Income 'Housing' (earned or unearned) and a 'Shared Housing Situation' for San Diego County.

   <u>Technical Note</u>: See CA-278309 San Diego Visio attachment in JIRA.

2.1.3 Programs Impacted

GA/GR Automated Solution

3   SUPPORTING DOCUMENTS

Number	Functional Area	Description	Attachment
1.0	Eligibility	Visio diagram with updated Shared Housing logic flow described in Section 2.1.	CA-278309 San Diego Visio.vsdm

4   REQUIREMENTS

Project Requirements

REQ #	REQUIREMENT TEXT	How Requirement Met
2.8.1.1	The LRS shall fully automate and perform all aspects of the eligibility determination process and benefit level calculations for all categories of public assistance in a single pass without manual intervention.	This SCR will update Shared Housing logic to ignore In-Kind income and apply 'Shared Housing' grant amounts for San Diego County.

California Statewide Automated Welfare System

Design Document

CA-279597

Update Placer's In-Kind Income Values for GR

	DOCUMENT APPROVAL HISTORY	
CalSAWS	Prepared By	Tom Lazio
	Reviewed By	

DATE	DOCUMENT VERSION	REVISION DESCRIPTION	AUTHOR
06/21/2024	1.0	Initial Draft	T. Lazio

Table of Contents

1 OVERVIEW

This SCR will be updating the In-kind income amount values for Placer County effective 07/01/2024.

1.1 Current Design

CalSAWS is currently using Placer County In-Kind income amounts that will be increasing as of 07/01/2024.

1.2 Requests

Placer County is updating their In-kind Income values for GA/GR Automated Solution program effective 07/01/24 and have requested CalSAWS to update the In-Kind income amount values for the following types:

- Housing-Earned
- Utilities-Earned
- Clothing-Earned
- Food-Earned
- Housing-Unearned
- Utilities-Unearned
- Clothing-Unearned
- Food-Unearned

1.3 Overview of Recommendations

1. Code Table Change Request (CTCR) to update existing individual In-Kind income amounts, delete duplicate individual In-Kind income amounts, and add new individual In-Kind amounts for Placer County.
2. Generate List of GR Impacted Cases with In-Kind Income for Placer County.

1.4 Assumptions

1. County users will run Online EDBC to apply new In-Kind Income amounts.
2. In-kind income under Admin County Rules > Income will have 'In-kind Income applicable' set to 'Yes' for Placer County effective 07/2024.

2   RECOMMENDATIONS

2.1   Add Latest In-Kind Income Amounts for Placer County

2.1.1   Overview

Apply CTCR to end date existing GA/GR In-Kind Income Amounts (Code Table 10688), delete duplicate GA/GR In-Kind Income Amounts and add new GA/GR In-Kind Income Amounts for Placer County.

2.1.2   Description of Changes

1. Delete the following existing individual In-Kind Income records for Placer County as these are duplicates:

CATGRY ID	Code_ Num_ Identif	SHORT DECODE NAME	LONG DECODE NAME	ORDR BY NUM	BEG DATE	END DATE	REFER TABLE_1 DESCR	REFER TABLE_2 DESCR	REFER TABLE_3 DESCR	REFER TABLE_4 DESCR	REFER TABLE_5 DESCR
10688	Q1	Food - Earned	Food - Earned	0	01/01/1000	**12/31/9999**	31	1	93	R2	15
10688	M4	Food - Unearned	Food - Unearned	0	01/01/1000	**12/31/9999**	31	1	92	R2	77
10688	P5	Utilities - Earned	Utilities - Earned	0	01/01/1000	**12/31/9999**	31	1	37	R2	31

2. Set the End Date to **06/30/2024** on the following existing individual In-Kind Income records for Placer County:

CATGRY ID	Code_ Num_ Identif	SHORT DECODE NAME	LONG DECODE NAME	ORDR BY NUM	BEG DATE	END DATE	REFER TABLE_1 DESCR	REFER TABLE_2 DESCR	REFER TABLE_3 DESCR	REFER TABLE_4 DESCR	REFER TABLE_5 DESCR
10688	CE	Food - Earned	Food - Earned	0	01/01/1000	**12/31/9999**	31	1	93	R2	15
10688	P6	Food - Earned	Food - Earned	0	01/01/1000	**12/31/9999**	31	2	93	R2	28
10688	N9	Food - Earned	Food - Earned	0	01/01/1000	**12/31/9999**	31	3	93	R2	50
10688	CD	Food - Unearned	Food - Unearned	0	01/01/1000	**12/31/9999**	31	1	92	R2	77
10688	J9	Food - Unearned	Food - Unearned	0	01/01/1000	**12/31/9999**	31	2	92	R2	169
10688	H7	Food - Unearned	Food - Unearned	0	01/01/1000	**12/31/9999**	31	3	92	R2	220
10688	K8	Housing – Earned	Housing – Earned	0	01/01/1000	**12/31/9999**	31	1	97	R2	137
10688	I6	Housing – Earned	Housing – Earned	0	01/01/1000	**12/31/9999**	31	2	97	R2	189
10688	H8	Housing – Earned	Housing – Earned	0	01/01/1000	**12/31/9999**	31	3	97	R2	210

CATGRY ID	Code_Num_Identif	SHORT DECODE NAME	LONG DECODE NAME	ORDR BY NUM	BEG DATE	END DATE	REFER TABLE_1 DESCR	REFER TABLE_2 DESCR	REFER TABLE_3 DESCR	REFER TABLE_4 DESCR	REFER TABLE_5 DESCR
10688	CC	Utilities - Earned	Utilities - Earned	0	01/01/1000	**12/31/9999**	31	1	37	R2	31
10688	P4	Utilities - Earned	Utilities - Earned	0	01/01/1000	**12/31/9999**	31	2	37	R2	33
10688	P1	Utilities - Earned	Utilities - Earned	0	01/01/1000	**12/31/9999**	31	3	37	R2	38

3. Add the following individual In-Kind records for Placer County effective as of **07/01/2024**:

CATGRY ID	Code_Num_Identif	SHORT DECODE NAME	LONG DECODE NAME	ORDR BY NUM	BEG DATE	END DATE	REFER TABLE_1 DESCR	REFER TABLE_2 DESCR	REFER TABLE_3 DESCR	REFER TABLE_4 DESCR	REFER TABLE_5 DESCR
10688	TBD*	Clothing - Earned	Clothing - Earned	0	**7/1/2024**	12/31/9999	31	1	95	R2	20
10688	TBD*	Clothing - Earned	Clothing - Earned	0	**7/1/2024**	12/31/9999	31	2	95	R2	40
10688	TBD*	Clothing - Earned	Clothing - Earned	0	**7/1/2024**	12/31/9999	31	3	95	R2	60
10688	TBD*	Clothing - Unearned	Clothing - Unearned	0	**7/1/2024**	12/31/9999	31	1	94	R2	20
10688	TBD*	Clothing - Unearned	Clothing - Unearned	0	**7/1/2024**	12/31/9999	31	2	94	R2	40
10688	TBD*	Clothing - Unearned	Clothing - Unearned	0	**7/1/2024**	12/31/9999	31	3	94	R2	60
10688	CE	Food - Earned	Food - Earned	0	**7/1/2024**	12/31/9999	31	1	93	R2	100
10688	P6	Food - Earned	Food - Earned	0	**7/1/2024**	12/31/9999	31	2	93	R2	200
10688	N9	Food - Earned	Food - Earned	0	**7/1/2024**	12/31/9999	31	3	93	R2	250
10688	CD	Food - Unearned	Food - Unearned	0	**7/1/2024**	12/31/9999	31	1	92	R2	100
10688	J9	Food - Unearned	Food - Unearned	0	**7/1/2024**	12/31/9999	31	2	92	R2	200
10688	H7	Food - Unearned	Food - Unearned	0	**7/1/2024**	12/31/9999	31	3	92	R2	250
10688	K8	Housing – Earned	Housing – Earned	0	**7/1/2024**	12/31/9999	31	1	97	R2	170
10688	I6	Housing – Earned	Housing – Earned	0	**7/1/2024**	12/31/9999	31	2	97	R2	245
10688	H8	Housing – Earned	Housing – Earned	0	**7/1/2024**	12/31/9999	31	3	97	R2	280
10688	TBD*	Housing – Unearned	Housing - Unearned	0	**7/1/2024**	12/31/9999	31	1	96	R2	170
10688	TBD*	Housing – Unearned	Housing - Unearned	0	**7/1/2024**	12/31/9999	31	2	96	R2	245

CATGRY ID	Code_ Num_ Identif	SHORT DECODE NAME	LONG DECODE NAME	ORDR BY NUM	BEG DATE	END DATE	REFER TABLE_1 DESCR	REFER TABLE_2 DESCR	REFER TABLE_3 DESCR	REFER TABLE_4 DESCR	REFER TABLE_5 DESCR
10688	TBD*	Housing – Unearned	Housing - Unearned	0	**7/1/2024**	12/31/9999	31	3	96	R2	280
10688	CC	Utilities - Earned	Utilities - Earned	0	**7/1/2024**	12/31/9999	31	1	37	R2	36
10688	P4	Utilities - Earned	Utilities - Earned	0	**7/1/2024**	12/31/9999	31	2	37	R2	50
10688	P1	Utilities - Earned	Utilities - Earned	0	**7/1/2024**	12/31/9999	31	3	37	R2	73
10688	TBD*	Utilities - Unearned	Utilities - Unearned	0	**7/1/2024**	12/31/9999	31	1	36	R2	36
10688	TBD*	Utilities - Unearned	Utilities - Unearned	0	**7/1/2024**	12/31/9999	31	2	36	R2	50
10688	TBD*	Utilities - Unearned	Utilities - Unearned	0	**7/1/2024**	12/31/9999	31	3	36	R2	73

TBD* - Code_Num_Identif to be determined in development as these will be new codes.

Code Table 10688 Reference Table Columns:
- REFER_TABLE_1_DESCR = County Code
- REFER_TABLE_2_DESCR = Household Size
- REFER_TABLE_3_DESCR = Income Type (values in Short/Long Decode Name columns from CT 186)
  - 36 - Utilities - Unearned
  - 37 - Utilities - Earned
  - 92 - Food - Unearned
  - 93 - Food - Earned
  - 94 - Clothing - Unearned
  - 95 - Clothing - Earned
  - 96 - Housing - Unearned
  - 97 - Housing - Earned
- REFER_TABLE_4_DESCR = Region
- REFER_TABLE_5_DESCR = In-kind Chart Amount
  *(There are other reference columns in this table, but they are null/not used by any of the above counties:
  6-Other, 7-Stabilization, 8-SSIP/CALM/PAES/AGEX, 9-GA/GR)*

2.1.3 Programs Impacted

GA/GR Automated Solution

3   REQUIREMENTS

3.1   Project Requirements

REQ #	REQUIREMENT TEXT	How Requirement Met
2.16.1.1 (CAR-1163)	The LRS shall be capable of handling several types of mass updates, including table changes and regulatory changes.	Add and update In-Kind Income Amounts for Placer County.

4   OUTREACH

4.1   Lists

List Name: Placer County GR Impacted Cases with In-Kind Income
List Criteria: All GA/GR Automated Solution cases that meet the following criteria:

    i. County is 'Placer'
    ii. Participant has an 'Active' GR program person status.
    iii. Participant has an 'Active' GR program status.
    iv. GR participant has an effective (high dated) Income record with the following details:

        a. Income Category is 'Income In-Kind – Earned' -OR- 'Income In-Kind – Unearned'
        b. Income Type is one of the following:
- Utilities - Unearned
- Utilities - Earned
- Food - Unearned
- Food - Earned
- Clothing - Unearned
- Clothing - Earned
- Housing - Unearned
- Housing - Earned

Standard Columns:
- Case Name
- Case Number
- County
- Unit
- Unit Name
- Office Name
- Worker ID

8

Additional Column(s):
- Income Person Name (Last Name, First Name)
- Income Category
- Income Type
- Income Begin Date

Frequency: One-time

The list will be posted to the following location: CalSAWS Web Portal>System Changes>SCR and SIR Lists>2024>CA-279597