

# CalSAWS



## CalSAWS Risk Management Group RMG Report – Off Cycle

August 26, 2026

## LIST OF OPEN RISKS

**Risk 102** - Lack of annual project funding may cause schedule delay or reduction in scope for CalSAWS & other projects

**Risk 324** - Delay in communication may impact CalSAWS participation in the process simulation activity prior to the pilot go-live

**Risk 326** - Lack of timely policy guidance may impact Medi-Cal HR1 delivery schedule

**Risk 330** - Current BenefitsCal system performance may not meet the new SLA performance standards set in the new contract

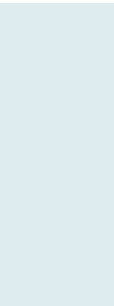
**Risk 332** - Limited County Review Capacity May Impact Analytics Modernization Delivery Schedule

**Risk 333** - Inclusion Of CMS Verify Lawful Presence (Vlp) Service In 26.09 May Impact Ability to Implement Medi-Cal Eligibility System Changes

**Risk 334** - Lack Of Transmitting Foster Care Vendor Information to CalSAWS Increases County Vendor Maintenance

**Risk 336** - Move Of Database Servers to Oracle Databases@Aws May Result In User Impact

**Risk 338** - Replacement Of Identify Access Management Solution May Result In User Access Issues End of Life



## LIST OF NEW RISKS

No new risks.

# LIST OF OPEN ISSUES

No open issues.

## CalSAWS RMG Risks and Issues Report – Off Cycle August 26, 2026

### **GENERAL ANALYSIS**

The overall average Project risk exposure level, due to nine open risks, is now at 1.26. Draft issues are still being formalized and will be included in the next RMG report.

The following charts summarize various aspects of these risks. Figure 1 Risk/Issue Exposure Levels plots the risk numbers and their corresponding risk exposures and shows the progression from lowest probability/ risk exposure to highest. Most of the risks have a probability of occurring equal to or less than 50%. Figure 2 shows the trend of risk/issues counts and overall average project risk exposure over the past year. There are seven risks at Medium risk level, and one at High. Figure 5 Count of Open Risks with Trigger Dates Within Risk Horizon Categories shows that there are six risks having trigger dates occurring within the next 90 days (Near Horizon).

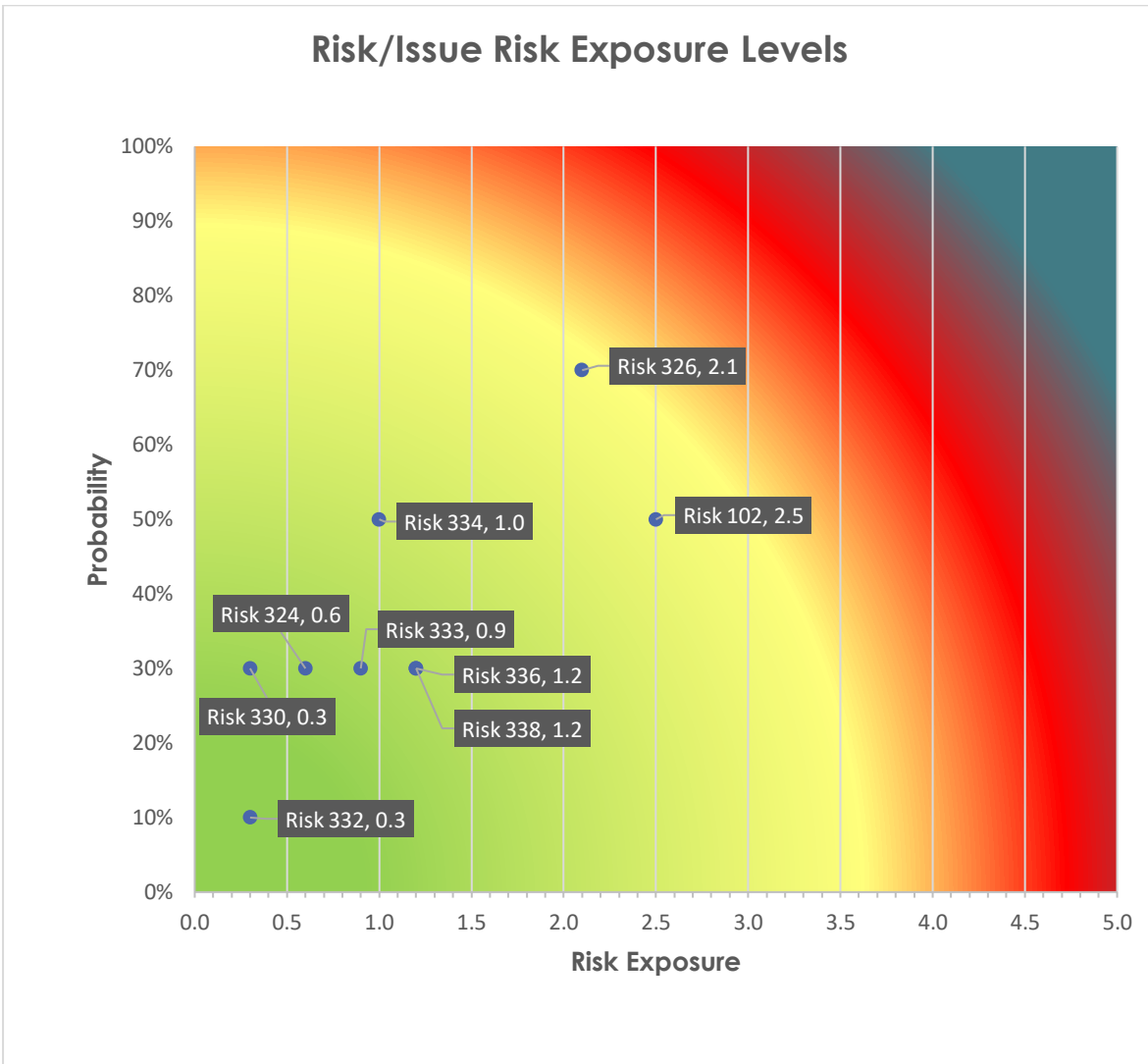


Figure 1 Risk and Corresponding Risk Exposure

| Probability | Impact              |           |        |       |       |                |
|-------------|---------------------|-----------|--------|-------|-------|----------------|
|             |                     | 1 Minimal | 2      | 3     | 4     | 5 Unacceptable |
|             | 90% Near Certainty  | (0.9)     | (1.8)  | (2.7) | (3.6) | (4.5)          |
|             | 70% Highly Likely   | (0.7)     | (1.4)  | (2.1) | (2.8) | (3.5)          |
|             | 50% Possible        | (0.5)     | (1.0)  | (1.5) | (2.0) | (2.5)          |
|             | 30% Unlikely        | (0.3)     | (0.6)  | (0.9) | (1.2) | (1.5)          |
|             | 10% Highly Unlikely | (0.1)     | (0.2)  | (0.3) | (0.4) | (0.5)          |
| Legend      |                     | Low       | Medium | High  |       |                |

Figure 2 Overall Risk Level and Numerical Analysis

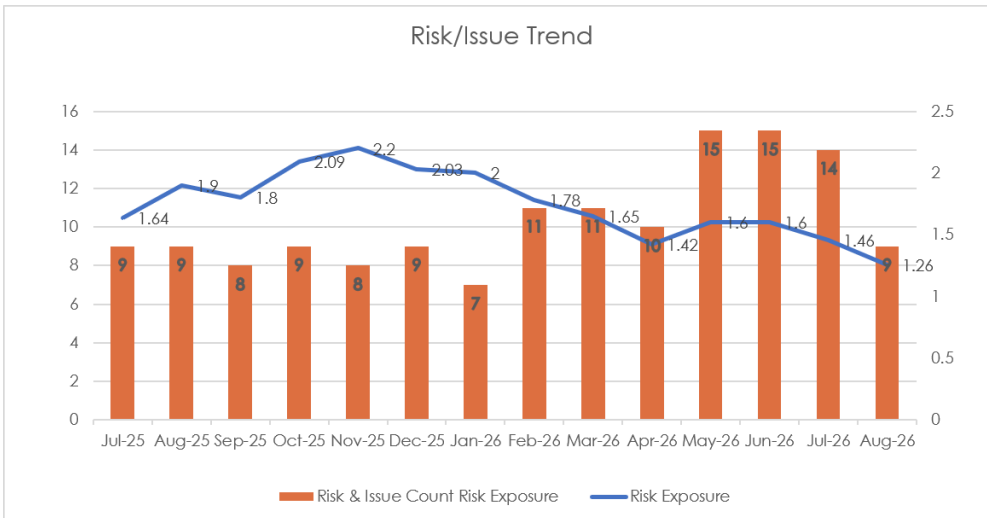


Figure 3 Probability / Impact

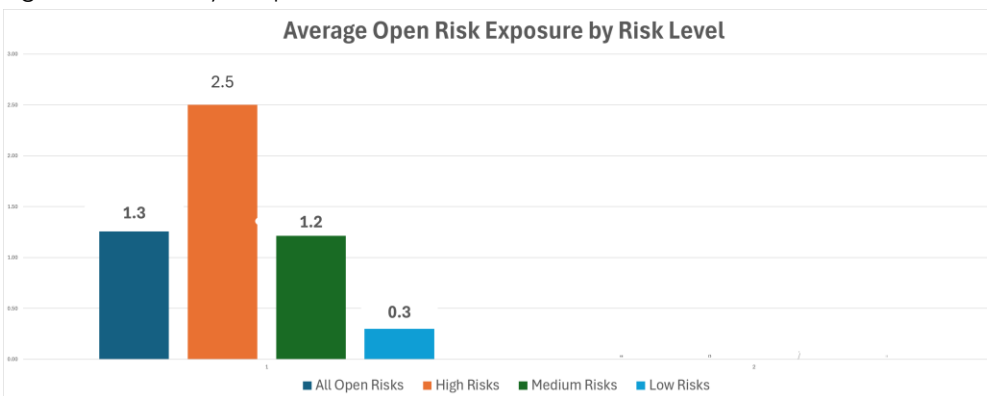


Figure 4 Avg of Open Risk Exposures within Risk Level Category

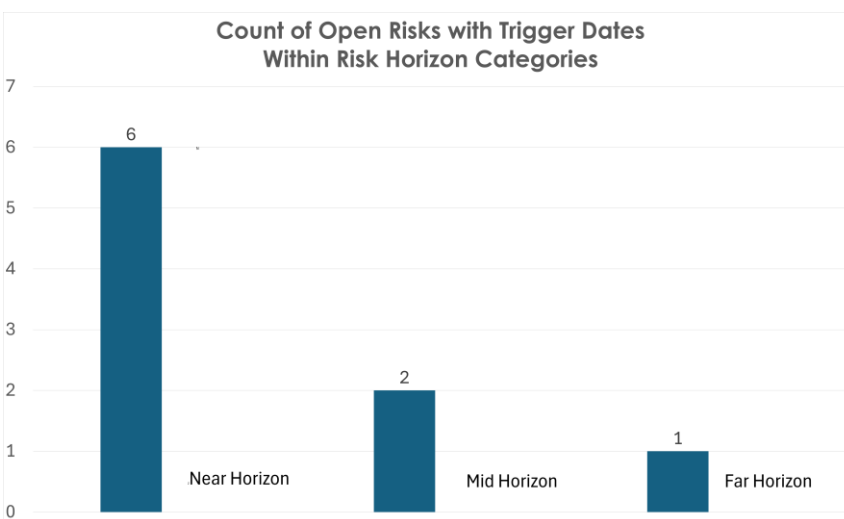


Figure 5 Count of Open Risks with Trigger Dates Within Risk Horizon Categories

## CALSAWS MAINTENANCE AND OPERATIONS

### RISK 102 - LACK OF ANNUAL PROJECT FUNDING MAY CAUSE SCHEDULE DELAY OR REDUCTION IN SCOPE FOR CALSAWS & OTHER PROJECTS

| DESCRIPTION                                                                                                                                                                              | OWNERS       |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|
| The project is subject to state funding approval each year. State general fund payments may be deferred, or budget cuts may cause schedule delays, scope reductions, or staff reductions | Holly Murphy |

| LEVEL | TRENDING                                                                                   | EARLY IMPACT DATE | TRIGGER DATE |
|-------|--------------------------------------------------------------------------------------------|-------------------|--------------|
| HIGH  | Downward  | 1/15/2027         | 7/1/2027     |

#### LATEST STATUS NOTES

- June 24, 2026: Adjusted risk to 50% probability (possible) reducing risk exposure to 2.5. CPMO continues to monitor
- May 28, 2026: All funding has been confirmed in the May Revise, therefore adjusted risk to trending down for the new fiscal year. CPMO continues to monitor progress of the Annual OAPDU.
- May 4, 2026: CPMO continues to monitor progress of the Annual OAPDU through federal review, and will confirm requests through the May Revise.

#### CURRENT MITIGATION PLAN

Consortium PMO will monitor actual and projected costs against plan to assess utilization and monitor new funding needs for future funding requests as part of standard processes and apply updates per State schedule:

- Verify monthly updates to the State's Master Automation Effort Tracker to identify gaps—Ongoing Monthly
- Prepare and submit Automated Budget Questionnaire (ABQ) – August/February
- Coordinate with State partners to address comments and questions – Fall and Spring budget cycles
- Verify proposed budget – January/May
- Address funding gaps – February/June

## CALSAWS MAINTENANCE AND OPERATIONS

### RISK 324 - CARES - DELAY IN COMMUNICATION MAY IMPACT CALSAWS PARTICIPATION IN THE PRODUCTION SIMULATION ACTIVITY PRIOR TO THE GO-LIVE.

| DESCRIPTION                                                                                                                                                                                                                                                                                    | OWNERS                                                                      |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------|
| Production Simulation prior to pilot go-live was paused. Production Simulation replaces process Simulation. Recently the CARES project secured a vendor to support this activity. This has impacted production simulation timeline, resources, environment availability, and data compliance . | Deanna Rotert,<br>Rachel Hernandez,<br>Jerald Nielson,<br>Ramya Raghunathan |

| LEVEL         | TRENDING                                                                                   | EARLY IMPACT DATE | TRIGGER DATE    |
|---------------|--------------------------------------------------------------------------------------------|-------------------|-----------------|
| <b>MEDIUM</b> | Downward  | <b>8/16/2026</b>  | <b>9/7/2026</b> |

| LATEST STATUS NOTES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <ul style="list-style-type: none"> <li>■ 8/10: <ul style="list-style-type: none"> <li>• CalSAWS data refresh in the Prod Sim environment has been postponed to the week of September 22-26 to align with CARES refresh timeline. This minimizes the impact on county testing in the environment.</li> <li>• This risk remains open for continued monitoring and tracking against production simulation activities. ;</li> </ul> </li> <li>■ 6/29: <ul style="list-style-type: none"> <li>• Prod Sim - county executions officially began 6/22</li> <li>• Case Links enabled and shared with the Counties as a testing resource</li> <li>• CalSAWS requested participant POCs, county wise, from CARES to share with the county staff for collaboration during Prod Sim. CARES evaluation is in progress.</li> <li>• This risk remains open for continued monitoring and tracking against production simulation activities.</li> </ul> </li> <li>■ 6/1: <ul style="list-style-type: none"> <li>• Significant progress has been made for Prod Sim environment readiness to support county executions. <ul style="list-style-type: none"> <li>✦ Staff onboarding is in progress. ETA 06/05.</li> <li>✦ CalSAWS Staff Kick Offs – 5/19 and 06/02</li> <li>✦ Execution Begins 06/08 (CARES projected moved the execution begin date from 06/01 to allow time for CARES environment readiness)</li> <li>✦ Case Links Enabled in Prod Sim 5/31</li> <li>✦ Support Hours Established</li> <li>✦ CalSAWS API smoke testing is in progress. CARES API testing support is in progress</li> <li>✦ This risk remains open for continued monitoring and tracking against production simulation timelines.</li> </ul> </li> </ul> </li> </ul> |

#### CURRENT MITIGATION PLAN

- Updated Risk Mitigation for Production Simulation: 5/15
- Finalize Production Simulation approach for CalSAWS Participants in CalSAWS
  - Prod Sim Support Structure
  - 5/19 and 5/28 onboard CalSAWS participants and share OCM Resources and training materials
  - Support Office Hours
  - Findings reporting process- leveraging EUST 3 approach Continue to collaborate with CARES to develop shared timelines and milestones for coordinated county communications.

## CALSAWS TRANSITION RISK

### RISK 326 - LACK OF TIMELY POLICY GUIDANCE MAY IMPACT MEDI-CAL HR1 DELIVERY SCHEDULE

| DESCRIPTION                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | OWNERS                                                                     |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------|
| IF policy guidance, project planning and delivery timelines are not met, THEN the CalSAWS and BenefitsCal system delivery may be delayed. Effective October 1, 2026 through January 1, 2027, House Resolution 1 (HR1) introduces several Medi-Cal policy changes, including new work requirements, moving from annual to six-month redetermination, for specific populations, an amended definition of Qualified Non-Citizens, and reduced retroactive Medi-Cal period. These changes add complexity to how individual and household details are used when determining eligibility, impacting county intake, change reporting, and renewal processes. Implementation depends on CMS guidance, which is pending. The redetermination and work requirement policy changes must be implemented by the January 1, 2027 effective date. The changes above will affect several areas within CalSAWS and BenefitsCal, as well as county operations. Without timely policy guidance, project planning and delivery timelines may be affected. To help manage these risks, the following mitigation efforts have been put in place. | Pragati Sharma ,<br>Jerald Nielson,<br>Melissa<br>Mendoza,<br>Emmeil Davis |

| LEVEL         | TRENDING                                                                                            | EARLY IMPACT DATE | TRIGGER DATE     |
|---------------|-----------------------------------------------------------------------------------------------------|-------------------|------------------|
| <b>MEDIUM</b> | <b>Downward</b>  | <b>6/12/2026</b>  | <b>8/14/2026</b> |

| LATEST STATUS NOTES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <ul style="list-style-type: none"> <li>8/10/2026 - Risk trending downward from 2.8 to 2.1. Risk Trigger date changed from 7/27 to 8/14/2026. Final content revisions in app dev. CalSAWS/CalHEERS integrated test in progress. CalHEERS has extended integrated test by 1 week.</li> <li>6/1/2026 - Added BenefitsCal 26.11 Design completion to 7/31/2026. Updated 26.09 final forms to 6/19/2026 (WR Application Insert finalization). Updated Early Trigger and Risk Trigger dates. Updated the 26.09 Design completion to 5/12 due to QNC phase II design that is being finalized.</li> <li>5/3/2026 – Updated Early Trigger and Risk Trigger dates. Extended 26.09 final forms and NOAs to 5/8/2025 due to QNC related NOAs. CalSAWS Design to extended to 5/15/2026.</li> </ul> |

## CURRENT MITIGATION PLAN

- Use an Agile SDLC approach per agreement with DHCS, CalHEERS, and CalSAWS – Completed
- Create delivery schedule, including cross-organization dependencies (e.g., CalSAWS Project, DHCS, CalHEERS, and BenefitsCal) – Completed
- DHCS to provide final policy – Due Date:
  - 26.06: 3/20/2026 – Completed
  - 26.09: 12/31/2025 – Completed
  - 26.09 QNC: 8/28/2026 – In Progress
- DHCS to provide final forms and NOAs – Due Date
  - 26.06: 3/27/2026 – Completed
  - 26.09: 6/19/2026 – Completed
- Complete CalSAWS Design – Due Date:
  - 26.06: 1/15/2026 – Completed,
  - 26.09: 6/22/2026 – Completed
- Complete BenefitsCal Design –
  - 26.06: 3/27/2026 - Completed,
  - 26.09: 5/29/2026 – Completed
  - 26.11: 8/28/2026 - In Progress
- Complete Integration Test:
  - 26.06: 5/22/2026 – Completed
  - 25.09: 8/28/2026 - In Progress
- Complete System Test – Due Date:
  - 26.06: 5/29/2026 – Completed
  - 26.09: 9/4/2026 - In Progress
- Complete BenefitsCal System Test:
  - 26.06: 6/19/2026 – Completed
  - 26.09: 9/11/2026
- Distribute enhanced project communications - Ongoing

## CALSAWS TRANSITION RISK

### RISK 330 - CURRENT BENEFITSCAL SYSTEM PERFORMANCE MAY NOT MEET THE NEW SLA PERFORMANCE STANDARDS SET IN THE NEW CONTRACT

| DESCRIPTION                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | OWNERS                                           |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------|
| Current BenefitsCal System performance may not meet the new Service Level Agreement (SLA) performance standards set in the new contract, which would require system performance enhancements to be developed in coordination with BenefitsCal policy and enhancement schedules. This could pose change scheduling delays depending on the volume of changes needed to align to the new SLAs. The transition is scheduled to finalize on 7/31/2026 at which time the new SLAs would be able to begin. | Jason Horton,<br>Robert Lusk,<br>Frederick Gains |

| LEVEL         | TRENDING                                                                                       | EARLY IMPACT DATE | TRIGGER DATE     |
|---------------|------------------------------------------------------------------------------------------------|-------------------|------------------|
| <b>MEDIUM</b> | Remains same  | <b>3/27/2026</b>  | <b>9/30/2026</b> |

#### LATEST STATUS NOTES

- 8/21/2026: BenefitsCal ProdOps team identified changes to enable a "canary" check to notify the team of system availability. Next steps are to document a change to be brought through CAB to enable in production, UAT, SIT, TRN, and Prod Staging environments.
- 8/12/2026: RMG approved moving this risk to the M&O Phase and risk level to Medium. Continue to monitor the implementation of SCR CSPM-84965 until closure.
- 7/28/2026 and 8/10/2026: System performance continues to remain in line with the new performance metrics under the new contract for the 26.07 Release (deployed July 19, 2026). During transition, it was discovered that the production and lower environments have no automated alerts to notify the ProdOps team of system availability. The new contract has an availability SLA for production and certain lower environments. SCR CSPM-84965 will track the changes to set up automated monitoring and alerting of production and the lower environments so that the team will be notified when system availability is experiencing issues. Recommend moving this transition risk to the M&O phase and changing the M&O Risk Impact probability 30% \* Impact to 5 (unacceptable) = 1.5 MEDIUM RISK

#### CURRENT MITIGATION PLAN

Conduct a comprehensive assessment of current system performance against new SLA requirements. If gaps are identified, develop and implement targeted remediation actions such as infrastructure upgrades, code optimization, or query tuning to ensure compliance with SLA standards.

## CALSAWS MAINTENANCE AND OPERATIONS

### RISK 332 - LIMITED COUNTY REVIEW CAPACITY MAY IMPACT ANALYTICS MODERNIZATION DELIVERY SCHEDULE

| DESCRIPTION                                                                                                                                                                                                                                                                                                                           | OWNERS                                                                               |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------|
| The Analytics Modernization project timeline requires county users to actively participate, review designs, and complete validation at a cadence of ~10–15 reports per week. If this review capacity is not maintained due to limited availability or delayed feedback cycles then development and release timelines may be impacted. | Deven Dharm, Surabhi Daharwal, Sukhman Tiwana, Robert Lusk, David Bruhn, Pandu Gupta |

| LEVEL | TRENDING                                                                                       | EARLY IMPACT DATE | TRIGGER DATE |
|-------|------------------------------------------------------------------------------------------------|-------------------|--------------|
| LOW   | Remains same  | 5/21/2026         | 9/23/2026    |

| LATEST STATUS NOTES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <ul style="list-style-type: none"> <li>8/24/2026 [QA]: As communicated in the August month JPA/PSC, County Validation will no longer occur at the module level. Hence, the module level "Test Validation Completion" planning dates were removed from the Risk Mitigation Plan section.</li> <li>8/10/2026 [M&amp;E Team]: The Risk Description was updated to match the CPMO team standards.; 8/5/2026 [M&amp;E Team]: Updated the Risk Trigger Date to match Case Characteristics design approval.</li> </ul> |

| CURRENT MITIGATION PLAN                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <ul style="list-style-type: none"> <li>Group reports into logical review batches.</li> <li>Perform detailed internal reviews with the Policy &amp; Design, Data Integration and QA teams.</li> <li>Establish a structured review cadence.</li> <li>Schedule review sessions in advance.</li> <li>Provide clear review timelines and expectations to county workgroup participants.</li> <li>Escalate delays early through governance channels if review cycles fall behind schedule.</li> <li>For Intake/Application module, Design Review Completion - Target date: 6/1/2026 [Completed]</li> <li>For Continuing Eligibility module, Design Review Completion - Target date: 8/6/2026 [Completed]</li> <li>For Case Characteristics module, Design Review Completion - Target date: 9/23/2026 [In Progress]</li> <li>For Productivity module, Design Review Completion - Target date: TBD</li> <li>Consolidated County Test Validation: County Test Validation Start – Target Date: TBD</li> <li>County Test Validation Completion – Target Date: TBD</li> </ul> |

## CALSAWS TRANSITION RISK

### RISK 333 - INCLUSION OF CMS VERIFY LAWFUL PRESENCE(VLP) SERVICE IN 26.09 MAY IMPACT ABILITY TO IMPLEMENT MEDI-CAL ELIGIBILITY SYSTEM CHANGES

| DESCRIPTION                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | OWNERS                                              |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------|
| IF new VLP version with QNC changes requires additional system updates, THEN the existing 26.09 policy clarifications, designs, test scenarios, and schedule may be impacted. Centers for Medicare & Medicaid Services(CMS) released a new Verify Lawful Presence (VLP) Service Suite 37.1 version 2 on 2/12/2026 that includes statutory Medi-Cal eligibility changes required for HR 1 Qualified Non-Citizens (QNCs) effective 10/1/2026 and planned for CalSAWS 26.09 release. If the new VLP version with QNC changes are included in the already planned CalSAWS 26.09/CalHEERS 26.9 release at such a late stage then CalSAWS will be required to implement additional system updates in a compressed delivery schedule which may impact existing policy clarifications, designs, test scenarios, and schedule. | Sharon Teramura,<br>Pragati Sharma,<br>Emmeil Davis |

| LEVEL         | TRENDING                                                                                           | EARLY IMPACT DATE | TRIGGER DATE     |
|---------------|----------------------------------------------------------------------------------------------------|-------------------|------------------|
| <b>MEDIUM</b> | <b>Downward</b>  | <b>6/15/2026</b>  | <b>8/14/2026</b> |

#### LATEST STATUS NOTES

- 8/5/2026 - Risk trending downward with Risk Exposure changed from 1.5 to 0.9. CalSAWS/CalHEERS Integration Test extended from 8/14 to 8/21/2026 due to CalHEERS delay in VLP QNC test start. Updated Risk Trigger Date to 8/14/2026.
- 5/28/2026 - Mitigation Plan on track. Updated Early Impact Date to 6/15/2026 and Risk Trigger Date to 7/10/2026. Risk trending downward with Risk Probability reduced from 70% to 50%, and Risk Exposure reduced from 2.1 to 1.5.
- 5/3/2026 - Extended design and test plan dates into May due to additional scope identified during CalHEERS VLP design.

#### CURRENT MITIGATION PLAN

- Assess CalSAWS release plan with DHCS and CalHEERS – Due Date: 3/20/2026: - Completed
- Create delivery schedule, including cross-organization dependencies (e.g., CalSAWS Project, DHCS, CalHEERS) – Due Date: 5/15/2026 – Completed
- Approved CalHEERS Design – Due Date: 5/25/2026 – Completed
- Complete Integrated CalHEERS Test Plan – Due Date: 5/15/2026 – Completed
- Complete CalSAWS Design – Due Date: 5/29/2026 – Completed
- Assess scope and resource needs post-CalSAWS 26.09/CalHEERS 26.9 to December 31, 2026 – Due Date: 7/15/2026 – Completed
- Complete CalSAWS/CalHEERS Integration Test - Due Date: 8/21/2026 - In Progress

## MAINTENANCE AND ENHANCEMENTS

### RISK 334 - LACK OF TRANSMITTING FOSTER CARE VENDOR INFORMATION TO CALSAWS INCREASES COUNTY VENDOR MAINTENANCE

| DESCRIPTION                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | OWNERS                                                                              |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|
| Current issue: Right now, placement updates are tied to vendor/resource that does not include a unique identifier. Because of that, when vendor/resource information is updated, it can apply to multiple placements tied to that same vendor/resource—causing duplicate or unintended updates. Proposed solution: The development of a Vendor API would include a unique CalSAWS Vendor/Resource ID. That ID would act as the matching link, so updates would apply only to the correct placement, avoiding duplication. This risk applies to 57 counties and excludes LA. | Gloria Williams, Deanna Rotert, Rachel Hernandez, Jerald Nielson, Ramya Raghunathan |

| LEVEL         | TRENDING                                                                                       | EARLY IMPACT DATE | TRIGGER DATE |
|---------------|------------------------------------------------------------------------------------------------|-------------------|--------------|
| <b>MEDIUM</b> | Remains same  | 11/2/2026         | 12/1/2026    |

| LATEST STATUS NOTES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <ul style="list-style-type: none"> <li>6/29: No recent updates. Risk remains open to track the strategic solution for receiving vendor information for the 57 counties post v1. Solution sessions to begin with CARES later in the year when V1 priorities are complete for October go-live.</li> <li>6/1: Risk remains open to track the strategic solution for receiving vendor information for the 57 counties post v1. Solution sessions to begin with CARES later in the year when V1 priorities are complete for October go-live.</li> </ul> |

| CURRENT MITIGATION PLAN                                                                                                                                                                    |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>The CalSAWS project team will:</p> <ul style="list-style-type: none"> <li>Provide counties with guidance and suggested options for manually maintaining vendors (resources).</li> </ul> |

## INFRASTRUCTURE

### RISK 336 - MOVE OF DATABASE SERVERS TO ORACLE DATABASES@AWS MAY RESULT IN USER IMPACT

| DESCRIPTION                                                                                                                                                                                                   | OWNERS                                       |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------|
| If database server configurations are not effectively identified, implemented and tested, and the mitigation plan is not effective, users could experience CalSAWS system outages or poor system performance. | Michael Johnson, Robert Lusk, Thomas Weitzel |

| LEVEL         | TRENDING                                                                                              | EARLY IMPACT DATE | TRIGGER DATE    |
|---------------|-------------------------------------------------------------------------------------------------------|-------------------|-----------------|
| <b>MEDIUM</b> | <b>Remains same</b>  | <b>7/31/2026</b>  | <b>9/4/2026</b> |

| LATEST STATUS NOTES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <ul style="list-style-type: none"> <li>6/25/26 - Project plan is completed. Testing runs with M&amp;E partner have successfully completed. The servers to support the release are stood up and data is being loaded. An additional performance test had been requested to run online transactions during execution of a batch job. This testing was not part of the original plan and could not be accommodated with the current testing tool. As it relates to the risk mitigation plan: <ul style="list-style-type: none"> <li>Constructing a detailed project plan to include testing for functionality, performance, batch and connectivity. - Complete</li> <li>Engaging in multiple testing runs in partnership with the M&amp;E vendor. - Complete;;</li> </ul> </li> </ul> |

| CURRENT MITIGATION PLAN                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <ul style="list-style-type: none"> <li>Constructing a detailed project plan to include testing for functionality, performance, batch and connectivity.</li> <li>Engaging in multiple testing runs in partnership with the M&amp;E vendor.</li> <li>Incorporating the new database server into the release such that development and release testing cycles make use of the new technology.</li> <li>Determining the rollback plan in the case of unexpected significant problems.</li> </ul> |

## M&O PRODUCTION RISK

### RISK 338 - REPLACEMENT OF IDENTIFY ACCESS MANAGEMENT SOLUTION MAY RESULT IN USER ACCESS ISSUES

| DESCRIPTION                                                                                                                                                                                                      | OWNERS                                             |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------|
| If user journeys are not effectively identified, implemented and tested, and the mitigation plan is not effective, users could experience access issues to systems that are authenticated using the CalSAWS IAM. | Igor Pryakhin,<br>Joseph Nelson,<br>Thomas Weitzel |

| LEVEL         | TRENDING                                                                                       | EARLY IMPACT DATE | TRIGGER DATE      |
|---------------|------------------------------------------------------------------------------------------------|-------------------|-------------------|
| <b>MEDIUM</b> | Remains same  | <b>7/31/2026</b>  | <b>10/23/2026</b> |

#### LATEST STATUS NOTES

- 8/12/26 - Update
- 6/25/26 - Testing environment has been stood up. As it relates to the mitigation plan:
  - Constructing a detailed project plan to include testing for each system across the CalSAWS vendor partners - Pending partners finalizing project plans based on the agreed upon rollout timing
  - Engaging multiple stakeholders in Discovery workshops to identify user journeys to inform the project plan and inform the other vendors - Complete
  - Extending the testing period to provide additional time for CalSAWS core system and BenefitsCal - Complete (The rollout timing has been agreed upon and resulted in additional time for updates and testing.);
- 6/15/26 - Discovery workshops have completed. The project plan is being updated to reflect the agreed upon rollout plan. M&E and BenefitsCal partners are developing their schedules. Environments are being built for testing.

#### CURRENT MITIGATION PLAN

- Constructing a detailed project plan to include testing for each system across the CalSAWS vendor partners
- Engaging multiple stakeholders in Discovery workshops to identify user journeys to inform the project plan and inform the other vendors
- Extending the testing period to provide additional time for CalSAWS core system and BenefitsCal
- Determining the rollback plan in the case of unexpected significant problems.